

Eleventh Meeting of the Compliance Committee
6 – 8 October 2016
Kaohsiung, Taiwan
Provisional Agenda

1. Opening of Meeting

1.1. Welcome

1.2. Adoption of Agenda

1.3. Meeting Arrangements

2. Compliance with CCSBT Conservation and Management Measures

This agenda item relates to compliance with existing CCSBT conservation and management measures.

2.1. Reports from Members and Cooperating Non-members

2.1.1. Annual Reports

Members and Cooperating Non-Members (CNMs) should submit their annual reports in accordance with the [Template for the Annual Report to the Compliance Committee and the Extended Commission](#), noting that it was agreed at CC10 that Members should commit to report in more detail on item II(3)iii of the template, in particular for each case where the observer has highlighted an apparent discrepancy.

Members that have participated in a Quality Assurance Review (QAR) are encouraged to report any important outcomes raised within their QAR in section I (Summary of MCS Improvements) of the template. Completion of a QAR is an important step towards MCS improvement.

Members will report their progress towards implementing the definition of the Attributable Catch¹ in accordance with section I(3) of the template.

It is assumed that Members and CNMs will have read the submitted annual reports so there should be no need to present those items. Therefore, this agenda item will focus on questions and answers to key issues within the annual reports. It is expected that the question and answer session will be a detailed examination of Members' and CNMs' systems and performance.

2.1.2. Fishing Sector Definitions

The CC11 Workplan notes that the definitions that Members use to distinguish the different fishing sectors (such as artisanal, commercial, recreational and customary) should be determined and recorded. Members and CNMs should provide their current fishing sector definitions to the Secretariat so that it can report on these to the meeting. These definitions will provide a starting point from which to work towards a common CCSBT-specific definition for each fishing sector.

¹ CCSBT 21 agreed to the following definition of attributable catch: "A Member or CNM's attributable catch against its national allocation is the total Southern Bluefin Tuna mortality resulting from fishing activities within its jurisdiction or control¹ including, inter alia, mortality resulting from:

- commercial fishing operations whether primarily targeting SBT or not;
- releases and/or discards;
- recreational fishing;
- customary and/or traditional fishing; and
- artisanal fishing."

¹ Except where a vessel is chartered to a person or entity of another Member or CNM, and if a catch is attributable to that Member or CNM.

2.2. Report from the Secretariat

The Secretariat's report will include updated compliance tables that summarise compliance of Members and CNMs with key CCSBT management measures. These tables will be updated in conjunction with Members and CNMs in advance of the meeting.

2.3. Assessment of compliance with CCSBT Management Measures

Information presented in the previous sub agenda items as well as in the reports mentioned above are expected to be the major contributor to this process.

2.3.1. Compliance of Members

The Compliance Committee (CC) will consider the performance of Members in complying with CCSBT management measures and provide recommendations for any areas that require improvement.

2.3.2. Compliance of CCSBT's Cooperating Non-Member

The CC will consider the performance of its CNM (the Philippines) in complying with CCSBT management measures and provide recommendations for any areas that require improvement. Information discussed here will help inform the Extended Commission's (EC's) decision on whether to continue the Philippines' CNM status.

2.3.3. Application of the Corrective Actions Policy

- a) *The CC will consider identified areas of non-compliance and recommend how those instances of non-compliance should be addressed under the [CCSBT's Corrective Actions Policy](#).*
- b) *CC10 agreed that in accordance with the Corrective Actions Policy, Members should provide assistance to Indonesia to help it comply with its allocations of the global SBT Total Allowable Catch. As part of this assistance, CC10 noted that Indonesia expects to receive a Catch Quota Distribution Model applied by other Members. This item represents an opportunity for Members to give an update on any assistance provided to Indonesia, and for Indonesia to report back on progress with its review of any Catch Quota Distribution Model it has received since CC10.*

3. Implementation of the CCSBT Compliance Plan

The following sub-agenda items relate to action planned for 2016 and 2017 within the Three-Year Action Plan (2015-2017) of the [Compliance Plan](#) (CAP) that are not addressed in other sections of this agenda. Also included is a preliminary discussion item on priority compliance areas for the 2018-20 CAP.

3.1. Enhance Monitoring through Bilateral Arrangements and International Networks

The Secretariat will report on any developments from the International Monitoring, Control and Surveillance (IMCS) Network and the Secretariat's compliance relationships with other RFMOs and RFBs. CCSBT Members should suggest any additional bilateral arrangements or international networks that CCSBT could build on/join in order to enhance compliance monitoring.

3.2. Update to the Template for the Annual Report to the Compliance Committee and Extended Commission

The Resolution for a CCSBT Scheme for Minimum Standards for Inspection in Port will enter into force on 1 January 2017. The Secretariat will propose updates to the Annual Report Template to reflect this new Resolution, as well as any other updates that may be required for existing measures.

3.3. Electronic Observation Technologies

- a) *This item gives Members the opportunity to report back on the 2015-2017 Compliance Action Plan (CAP) item 8.3.1a)i) regarding research that may have been undertaken to explore the costs and benefits of electronic observation technologies to supplement traditional human observer coverage programmes, and/or whether Members have already implemented electronic observation technologies.*
- b) *CAP 8.3.1a)ii) notes that, depending on the results of exploring the potential costs and benefits in a) above, consideration should be given to implementing electronic observation technologies in SBT fisheries from 2017. Therefore, Members should discuss if they wish to commence implementing these technologies (if not yet implemented) in SBT fisheries. If so, then timeframes for implementation and any potential changes needed to associated data reporting requirements should also be considered.*

3.4. Study to Examine Systems/Processes on CCSBT Data Collection and Management

The CAP (item 8.3.1b) notes that in 2016 and 2017 a study should be conducted to examine systems/processes to better integrate and/or improve the efficiency of the collection and management of data/information submitted in accordance with CCSBT's CDS, VMS, Observer and Transshipment Measures, particularly focusing on collecting the data/information once and as close to its original source as possible, and to seek to harmonise these with other RFMOs' systems and processes wherever possible.

In 2015, CC10 agreed that the Secretariat should develop a Terms of Reference (ToR) for a study to examine systems and processes for CCSBT data collection and management, and seek an indicative cost estimate for conducting such a study. The Secretariat will report back on this item.

3.5. Follow-Up on 2014 CCSBT Performance Review Panel Recommendations with respect to the 2015 – 2017 CAP

CC9's consideration of a draft Compliance Action Plan (CAP) for 2015-2017 excluded recommendations made by the 2014 CCSBT Performance Review Panel (PRP) because the Extended Commission (EC) had not yet had an opportunity to consider the PRP's recommendations. The EC has since reviewed the 2014 Performance Review results. Therefore, the PRP specific revisions to the CAP originally proposed by the Secretariat at CC9 will be provided to CC11 for its consideration.

3.6. Preliminary Consideration of Priority Compliance Areas for the 2018 - 2020 CAP

During 2017, a three-year CAP will need to be developed for the period 2018-20. This agenda item provides an opportunity for Members to:

- i) *review areas of perceived high compliance risk,*
- ii) *identify and confirm compliance priorities and resourcing for the 2018 - 2020 period, and*
- iii) *propose additional items to consider including in the 2018 – 2020 CAP such as implementation of electronic observation technologies, enhanced vessel positional/ VMS reporting requirements, implementation of the CCSBT IUU List cross-listing provision, and a review of current compliance reporting requirements with respect to ERS obligations, etc.*

4. Review and Revision of CCSBT MCS Measures

This agenda item focuses on reviewing existing MCS measures, with the intention of ensuring that these measures are operating effectively and efficiently, and are updated as appropriate.

4.1. Review of the Corrective Actions Policy

The Corrective Actions Policy is scheduled to be reviewed every three years since being adopted in October 2011. The CC should consider whether this policy now needs to be updated.

4.2. Catch Documentation Scheme (CDS)

4.2.1. Operational Issues with the CDS

This agenda item provides an opportunity to identify and discuss any ongoing operational issues that are occurring with the CDS.

In addition:

- *The Secretariat will present the results of a data analysis of differences between the weights of SBT in the Catch/Harvest and Final Product Destination sections of CDS Catch Monitoring Forms received to date as outlined in CCSBT Circular #2015/009. This analysis will help to determine whether the 5% discrepancy level currently allowed in order to facilitate smooth trade is appropriate; and*
- *CC10 requested that the Secretariat and Members conduct investigations into apparent discrepancies between export and import quantities submitted in Members' and CNMs' National Reports to the Compliance Committee, versus the export and import quantities derived from Members' CDS submissions. CC10 also requested that the Secretariat provide a recommendation regarding future standardised reporting formats for export and import information². Members and the Secretariat should report back on their findings.*

4.2.2. Revision of the CDS Resolution

The Fourth Meeting of the Compliance Committee Working Group (CCWG 4) was held during April 2016 to review the CDS. The report of CCWG 4 provides a draft for a revised CDS Resolution including revised Certificates, etc. However, various elements of the Resolution remained unresolved (refer to paragraphs 12, 15, 17 and 19 of the CCWG4 report and the square-bracketed items in the report attachments for more details). These elements will need to be considered intersessionally by Members to enable further progress to be made at CC11.

4.3. Authorised Vessel Resolution

This agenda item will only be discussed if there is new information to consider in relation to authorised vessels or if Members wish to suggest additional work in this area.

4.4. VMS

This agenda item will only be discussed if there is new information to consider in relation to VMS or if Members wish to suggest additional work in this area.

4.5. Transshipment Resolution

4.5.1. Transshipment Reporting

This agenda item will only be discussed if there is new information to consider in relation to the Transshipment Resolution or if Members wish to suggest additional work in this area.

4.5.2. Potential Transshipment MOU with WCPFC

At CC10 it was agreed that the Secretariat should continue its dialogue with WCPFC (in order to try to develop a proposed transshipment MoU to present to CC11), with the provision that any such proposal maintains CCSBT's current at-sea transshipment standards. The Secretariat will report back on this item.

4.6. Review of Compliance Resolutions, Decisions and Recommendations

This is a standing agenda item to allow the CC to review and update any obsolete/outdated compliance Resolutions, decisions and recommendations in accordance with item 8.2.3 of the Compliance Plan's 3-year Action Plan.

² Any recommendations may need to be incorporated into the Secretariat's template for annual reporting to the CC and EC

4.7. CCSBT IUU Vessel List Resolution

A CCSBT IUU Vessel List Resolution was adopted by CCSBT20. There are currently no vessels listed on the CCSBT IUU Vessel List.

4.7.1. Potential IUU Fishing Activity Involving SBT

The Secretariat will provide a brief information paper for Members to consider for this agenda item that will note:

- a) Any Members' vessels that appear to have been engaged in IUU SBT fishing activity pursuant to paragraph 4 of the Resolution, for example Member/CNM vessels that appear to have conducted fishing activities involving SBT while not being authorised by the CCSBT, and/or*
- b) Any evidence of non-Member fishing activity involving SBT that it has received.*

4.7.2. Draft IUU Vessel List

Any information that has been submitted to the Executive Secretary by Members and CNMs pursuant to paragraph 4 of the CCSBT IUU Vessel List Resolution, or any other suitably documented information available, will be used by the Executive Secretary to produce a Draft IUU Vessel List for Members' consideration.

4.7.3. Proposed Update to the CCSBT IUU Vessel List Resolution

The Secretariat will propose a recommendation that Members consider adding a list of prohibited and/or non-compliant fishing gears (pursuant to paragraph 3c) as an Annex to the CCSBT IUU Vessel List Resolution.

4.8. Minimum Performance Requirements

As noted for revision during 2016 in the current CAP, the Secretariat will prepare new draft Minimum Performance Requirements (MPRs) for the CCSBT IUU Vessel List Resolution and the CCSBT Scheme for Minimum Standards for Inspection in Port. Associated revisions to section 6.5 of the MPRs (Annual reporting to the Compliance Committee) may also be required. New/updated draft requirements will be provided for Members' consideration.

4.9. Quality Assurance Reviews

The Quality Assurance Review (QAR) program for 2016 includes an on-site QAR for both Korea and New Zealand. Discussion of any significant issues raised in individual QAR reports is expected to occur under agenda item 2.1.1. Discussions under the current agenda item are intended to focus on consideration of the overall findings of the 2016 QARs. Taiwan is the next Member scheduled for a full on-site QAR. The EU and South Africa have yet to undergo any QAR. The CC should recommend priorities for the next QAR.

4.10. Review of Trade Data

4.10.1 Annual Trade Analyses

The Secretariat will provide an update of its annual paper on analysis of trade data (sourced from the GTA database), reporting on any significant changes with regard to emerging markets and trade data summaries/ discrepancies that have occurred since the Secretariat's report to CC10.

The meeting will consider whether there are any specific non-member port and market States whose cooperation should be sought and advise the Extended Commission accordingly. The Secretariat has invited Fiji, Singapore and the USA to participate as observers to CC11 and CCSBT23.

4.10.2 Review of Intra-EU Trade Figures on the GTA Database

At CC10, the EU agreed to review its 2013 and 2014 SBT trade figures as recorded on the GTA database (presented by the Secretariat in paper CCSBT-CC/1510/16), and to report its findings to CC11. The EU has previously reviewed its 2007 to 2012 SBT trade figures recorded on the GTA database, and confirmed that much of the EU's apparent trade of SBT during those years was a result of erroneous trade statistics caused by species miscoding issues. This agenda item provides an opportunity for the EU to report back on its findings regarding its apparently significant trade of SBT recorded on the GTA database for the 2013 and 2014 years, including identifying the causes of miscoding as requested by Japan at CC10.

4.10.3 Analysis of SBT Market Presence in China

TRAFFIC will provide a report on the outcomes of its component of the CCSBT funded project to determine the presence of SBT products in China through analyses of trade data and DNA species identification of sashimi tuna sampled from Beijing and Shanghai.

5. Consideration of the level of non-Member SBT catch

CCSBT 22 stressed the high importance of obtaining the best possible estimates of non-Member catch before CCSBT 23 so that non-Member catches can be taken into account for the 2018 to 2020 TAC block. The EC requested the ESC to further improve its estimates on non-Member catch and the ESC noted that it had requested the CC provide more data relating to Non-Member catch. The CC should provide advice to the EC on whether compliance information supports the ESC's advice or whether compliance information suggests a higher or lower non-Member catch than suggested by the ESC.

6. Discussion of New or Enhanced MCS Measures, Including Ongoing Identification and Sharing of Best Practise for MCS Systems

6.1. R & D on New Technologies & Tools to Aid Observers, Certifiers and Validators to identify SBT (in particular once processed)

Members are asked to report back on new technologies and tools, and/or prepare and present specific proposals for consideration by the meeting. The Compliance Committee will discuss any proposals and make recommendations to the Extended Commission in relation to support and/or funding of such projects as is appropriate.

6.2. Ongoing Identification and Sharing of Best Practise for MCS Systems

Members are invited to present information on any developments in 'best practise' with respect to MCS systems. This could include new systems developed by the Member or systems/practises developed elsewhere.

7. Work Program for 2017

8. Other business

9. Recommendations to the Extended Commission

10. Conclusion

10.1. Adoption of Meeting Report

10.2. Close of Meeting