



CCSBT-CC/1610/16

CCSBT IUU Vessel List Resolution

1.0 Introduction

The CCSBT Resolution on Establishing a List of Vessels Presumed to have Carried out Illegal, Unreported and Unregulated Fishing Activities for Southern Bluefin Tuna (SBT)¹ was adopted by CCSBT 20 in 2013².

This Resolution requires that details of any vessels alleged to be carrying out SBT IUU fishing activities during the current and/or previous year should be submitted to the Executive Secretary, along with suitably documented supporting evidence. These vessels are then included on a draft IUU vessel list for the Compliance Committee's (CC's) consideration.

To date, no Members or Cooperating Non-Members (CNMs) have submitted any vessels for inclusion on the CCSBT Draft IUU vessel list, and neither has the Resolution's cross-listing provision been invoked. Therefore, this paper is limited to:

- Noting any instances where Members' fishing vessels caught SBT and were not CCSBT-authorized on that date;
- Noting any recent potential evidence of non-Member fishing activity that the Secretariat may have received from sources other than Members/ CNMs; and
- Proposing a revision to the CCSBT IUU Vessel List Resolution.

2.0 Members/CNMs Conducting Fishing Activity Involving SBT while not Authorised

No instances of genuine unauthorised fishing activity by Members/ CNMs were detected during 2015/16. One Australian and one New Zealand fishing vessel caught a very small number of SBT (1 and 3 respectively) while not CCSBT-authorized due to an administrative oversight. The details are provided in paper CCSBT-CC/1610/07.

3.0 Alleged Evidence of Non-Member SBT IUU Fishing Activity

'Fu Yuan Yu' Fleet (flagged to China)

In late January 2016, the Secretariat received reports of alleged Non-Member fishing activity by a fleet of Chinese flagged fishing vessels described as the 'Fu Yuan Yu' fleet. The information received came directly from the Captain of the 'M/Y Steve Irwin' which is operated by 'Sea Shepherd Global'.

This 'Fu Yuan Yu' fleet was comprised of the Chinese-flagged fishing vessels 'Fu Yuan Yu 70', 'Fu Yuan Yu 71', 'Fu Yuan Yu 72', 'Fu Yuan Yu 73', 'Fu Yuan Yu 75' and 'Fu Yuan Yu 76'³. According to reports from the Captain of the 'M/Y Steve Irwin', this fleet was potentially also being serviced by a Chinese-flagged reefer ship 'Liao Chang Yu Yun 088'.

¹ Hereafter referred to as the "IUU Vessel List Resolution"

² And was subsequently revised at CCSBT 21 in 2014

³ Note that the 'Fu Yuan Yu 76' is listed in the majority of, but not all of, Sea Shepherd Global's correspondence concerning the 'Fu Yuan Yu' fleet

This fleet of vessels was located in the High Seas region of the IOTC⁴ Convention Area (between approximately 38-40°S and 90-91°E), and the CCSBT Secretariat received independent confirmation of some of the fleet's presence in this location from the Fisheries Monitoring Centre on Reunion Island. These vessels were not authorised to conduct fishing activities involving IOTC species (including SBT) within the IOTC Convention Area.

The IOTC Secretariat wrote to China seeking information about this fleet, and shared part of China's response with the CCSBT Secretariat. The response stated:

"...after our checking, it is advised that Fu Yuan Yu 070, 071, 072, 073, 075, 076 are flagged to China, they are lighting purse seiners and authorised by Chinese government to fish small pelagic species on the high seas of Indian Ocean. Because they are not engaged in fishing for IOTC species, thus we did not register for them in the IOTC Record of Authorised Vessels".

However, the Captain of the 'M/Y Steve Irwin' alleged that the 'Fu Yuan Yu', fleet was comprised of, "purposefully built driftnet fishing vessels with gear to deploy, set and retrieve large-scale drift nets", and that the fleet was, "primarily targeting big pelagic species such as sharks and tuna". In addition, the 'M/Y Steve Irwin' reported recovering approximately 4km of driftnet that was set by the FV 'Fu Yuan Yu 71', in which it alleges that 25 dead SBT were caught (as well as other pelagic species). Photographic evidence was provided. This same information was submitted to Interpol which created an associated case file.

The CCSBT Secretariat provided the information (received from Sea Shepherd Global) to its Members on the dates noted below:

- On 3 February 2016, the Secretariat forwarded the 'M/Y Steve Irwin's' Captain's preliminary report on the 'Fu Yuan Yu' fleet, which included a breakdown of the species reported to be caught in the driftnet, AIS⁵ tracks and photos;
- On 5 February 2016, the Secretariat forwarded the 'M/Y Steve Irwin's' Captain's follow-up report which included length estimates of driftnet size;
- On 19 February 2016, the Secretariat distributed Circular #2016/008 advising of Sea Shepherd Global's request to Chinese authorities to investigate alleged illegal drift-netting by the Chinese 'Fu Yuan Yu' fleet, and
- On 17 March 2016, the Secretariat distributed Circular #2016/010 advising of Sea Shepherd Global's request to China to investigate alleged illegal transshipment activity by the reefer vessel 'Liao Chang Yu Yun 088'.

This Circular included a suggestion that the CCSBT Secretariat write to China to request information about annual SBT catch by Chinese flagged vessels, and also to request that China investigate and provide information on any SBT catch retained/discarded by the 'Fu Yuan Yu' fleet, and received by the reefer 'Liao Chang Yu Yun 088' (if any). However, Members did not reach a consensus regarding this suggestion, and therefore the CCSBT Secretariat did not write to China.

⁴ Indian Ocean Tuna Commission

⁵ AIS means Automatic Identification System and is an automatic tracking system used on ships and by Vessel Traffic Services for identifying and locating vessels by electronically exchanging data with other nearby ships, AIS base stations, and satellites. The International Maritime Organisation's International Convention for the Safety of Life at Sea requires AIS to be fitted aboard international voyaging ships with gross tonnage (GT) of 300 or more, and all passenger ships regardless of size.

4.0 Draft IUU Vessel List

To date no information has been submitted to the Executive Secretary by Members/CNMs pursuant to paragraph 4 of the CCSBT IUU Vessel List Resolution. Therefore, there is no Draft IUU Vessel List that requires CC11's consideration.

5.0 Proposed Revision of the CCSBT IUU Vessel List Resolution

The Secretariat proposes a revision be made to the Resolution adding a list of prohibited or non-compliant fishing gears⁶ (pursuant to paragraph 3c) as an additional Annex (II) to the CCSBT IUU Vessel List Resolution. A draft proposed revision of the CCSBT IUU Vessel List Resolution is provided for Members' consideration at **Attachment A**.

6.0 Summary

The Secretariat invites Members to:

- Consider the information presented in this paper with respect to alleged Non-Member fishing activity involving SBT;
- Consider whether to accept the proposed revisions to the CCSBT IUU Vessel Resolution provided at **Attachment A**; and
- Consider any recommendations CC11 may wish to make to the EC with respect to the information/ proposed revision presented in this paper.

Prepared by the Secretariat

⁶ It is proposed that large-scale driftnets are listed as a prohibited or non-compliant fishing gear

Resolution on Establishing a List of Vessels Presumed to have Carried Out Illegal, Unreported and Unregulated Fishing Activities For Southern Bluefin Tuna (SBT)

(revised at the 21st Annual Meeting, 16 October 2014)

The Extended Commission for the Conservation of Southern Bluefin Tuna (CCSBT),

Recalling that the FAO Council adopted on 23 June 2001 an International Plan of Action to prevent, to deter and eliminate illegal, unreported and unregulated fishing (IPOA-IUU). This plan stipulates that the identification of the vessels carrying out illegal, unreported and unregulated (IUU) activities should follow agreed procedures and be applied in an equitable, transparent and non-discriminatory way;

Recalling that the Extended Commission adopted the CCSBT Compliance Plan at its Eighteenth Annual Meeting in October 2011;

Concerned by the fact that Southern Bluefin Tuna (SBT) IUU fishing activities diminish the effectiveness of CCSBT conservation and management measures,

Determined to address the challenge of an increase in IUU fishing activities by way of counter-measures to be applied in respect to the vessels, without prejudice to further measures adopted in respect of flag States or entities under the relevant CCSBT instruments;

Considering the action undertaken in all other tuna regional fisheries management organisations to address this issue;

Conscious of the need to address, as a matter of priority, the issue of fishing vessels conducting IUU fishing activities,

Noting that efforts to prevent, deter and eliminate IUU fishing must be addressed in the light of all relevant international fisheries instruments and in accordance with other relevant international obligations, including the rights and obligations established under the World Trade Organisation (WTO) Agreement;

Recalling Article 15 (4) of the Convention, which calls on Members to cooperate in taking appropriate action, consistent with international law and their respective domestic laws, to deter fishing activities for SBT by nationals, residents or vessels of any State or entity not party to the CCSBT Convention where such activity could affect adversely the attainment of the objective of the Convention;

Agrees, in accordance with paragraph 3(b) of Article 8 of the Convention for the Conservation of Southern Bluefin Tuna, that:

Definition of SBT IUU Fishing Activities and CCSBT IUU Vessel Lists

1. At each annual meeting, the Extended Commission will identify those vessels which have engaged in fishing activities for SBT in a manner which has undermined the effectiveness of the Convention and the CCSBT measures in force. The Extended Commission shall establish, and amend as necessary in subsequent years, a list of such vessels (the CCSBT IUU Vessel List), in accordance with the procedures and criteria set out in this Resolution (or subsequent revision).
2. As part of the annual process, a Draft IUU Vessel List will first be drawn up by the Executive Secretary based on information received from Members/ Cooperating non-Members (CNMs). The Compliance Committee (CC) will then adopt a Provisional IUU Vessel List based on the initial Draft IUU List and any information provided in relation to the vessels on this Draft List. The CC will also consider the current CCSBT IUU Vessel List and may make recommendations to remove vessels from it as appropriate. Finally, the Extended Commission will consider both the Provisional IUU List and any recommendations made by the CC to amend the current CCSBT IUU Vessel List, and then adopt a final CCSBT IUU Vessel List. CCSBT IUU Vessel List definitions are provided at **Annex I**.
3. For the purposes of this Resolution, the fishing vessels are presumed to have carried out SBT IUU fishing activities, *inter alia*, when a Member or CNM presents suitably documented evidence that such vessels:
 - a. Harvested SBT and were not authorised by a Member or CNM to fish for SBT, or;
 - b. Did not record and/or report their SBT catches or catch-related data in accordance with CCSBT reporting requirements, or made false reports, or;
 - c. Used prohibited or non-compliant fishing gear ([a list of prohibited or non-compliant fishing gear types is provided at Annex II](#)) in a way that undermines CCSBT conservation and management measures, or;
 - d. Transhipped with, or participated in joint operations such as re-supplying or re-fuelling vessels included in the CCSBT IUU Vessel List, or;
 - e. Harvested SBT in the waters under the national jurisdiction of the coastal State or entity without authorisation and/or committed a serious infringement of its laws and regulations directly related to the SBT fishery, without prejudice to the sovereign rights of the coastal State or entity to take measures against such vessels, or;
 - f. Engaged in fishing activities for SBT, including transhipping, re-supplying or re-fuelling, contrary to any other CCSBT conservation and management measures.

Information on alleged SBT IUU Fishing Activities

4. Members and CNMs shall transmit every year to the Executive Secretary at least 14 weeks before the annual meeting of the CC, a list of vessels presumed to be carrying out SBT IUU fishing activities during the current and/or previous year, accompanied by the suitably documented supporting evidence concerning the presumption of SBT IUU fishing activity. The CCSBT Reporting Form for SBT Illegal Activity (**Annex III**) shall be used.
5. This list and evidence shall be based, *inter alia*, on information collected by Members and CNMs from all relevant sources including but not limited to:
 - a) Relevant resolutions of the CCSBT, as adopted and amended from time to time;
 - b) Reports by Members and CNMs on vessel inspections;
 - c) Reports by Members and CNMs relating to CCSBT conservation and management measures in force;
 - d) Catch and trade information obtained on the basis of relevant trade statistics such as Food and Agriculture Organisation of the United Nations (FAO) data, statistical and CDS documents, and other national or international verifiable statistics; and
 - e) Any other information obtained from port States or entities and/or gathered from the fishing grounds that is suitably documented.
6. Before or at the same time as transmitting a list of presumed SBT IUU vessels to the Executive Secretary, the Member or CNM shall notify the relevant flag State or entity, either directly or through the Executive Secretary (using the Reporting Form in **Annex III**), of a vessel's inclusion on this list, and provide that flag State or entity with a copy of the pertinent suitably documented information.

Draft CCSBT IUU Vessel List

7. On the basis of the information received pursuant to paragraph 4, and any other suitably documented information available, the Executive Secretary shall draw up a Draft IUU Vessel List. This list shall be drawn up in conformity with **Annex IVH**. The Executive Secretary shall transmit it together with the current CCSBT IUU Vessel List, including any inter-sessional amendments, as well as all the supporting evidence provided, to all Members, and CNMs as well as to those Non-Cooperating Non-Members (NCNMs) whose vessels are included on these lists, at least 10 weeks before the annual CC meeting.
8. The Executive Secretary shall request the flag State or entity to notify the owner of the vessel(s) of its/their inclusion in the Draft IUU Vessel List and of the consequences that may result from its/their inclusion being confirmed in the CCSBT IUU Vessel List adopted by the Extended Commission.

9. Upon receipt of the Draft IUU Vessel List, Members and CNMs shall closely monitor the vessels included in the Draft IUU Vessel List in order to determine their activities and possible changes of name, flag and/or registered owner.
10. Members, CNMs and NCNMs with vessels included on the Draft IUU and/or current CCSBT IUU Lists will transmit any comments to the Executive Secretary at least 6 weeks before the annual CC meeting, including suitably documented information as described in paragraph 22, showing that the listed vessels have not fished for SBT in a way that undermines CCSBT conservation and management measures.
11. On the basis of the information received pursuant to paragraphs 7 and 10, the Executive Secretary shall circulate the Draft IUU Vessel list and the current CCSBT IUU Vessel List, together with all suitably documented information provided pursuant to paragraph 10 as a CC meeting document to all Members and CNMs 4 weeks in advance of the annual CC meeting.
12. All Members, CNMs, and any NCNMs concerned may at any time submit to the Executive Secretary any additional information, which might be relevant for the establishment of the CCSBT IUU Vessel list. The Executive Secretary shall circulate the information, at the latest before the annual CC meeting, together with all the evidence provided.

Provisional CCSBT IUU Vessel List

13. Each year the CC shall examine the Draft IUU Vessel List and current CCSBT IUU Vessel list, as well as the information referred to in paragraphs 7, 11 and 12.
14. The CC shall remove a vessel from the Draft IUU Vessel List if the flag State or entity demonstrates that:
 - a) The vessel did not take part in any SBT IUU fishing activities described in paragraph 3, or
 - b) Effective action has been taken in response to the SBT IUU fishing activities in question, including, *inter alia*, prosecution and/or imposition of sanctions of adequate severity. Members and CNMs will report any actions and measures taken to promote compliance by their flagged vessels with CCSBT conservation and management measures.
15. Following this examination, the CC shall:
 - a) Adopt a Provisional IUU Vessel List in conformity with **Annex IVH** following consideration of the Draft IUU Vessel List and information and evidence circulated under paragraphs 7, 11 and 12. The Provisional IUU Vessel List shall be submitted to the Extended Commission for approval, and
 - b) Recommend to the Extended Commission which, if any, vessels should be removed from the current CCSBT IUU Vessel List, following consideration of the current List and of the information and evidence circulated under paragraphs 10 and 12.

CCSBT IUU Vessel List

16. At its annual meeting the Extended Commission shall review the Provisional IUU Vessel List, taking into account any new suitably documented information related to vessels on the Provisional IUU Vessel List, and any recommendations to amend the current CCSBT IUU Vessel List made pursuant to paragraph 15 above. The Extended Commission will then adopt a new CCSBT IUU Vessel List.
17. Upon adopting the new CCSBT IUU Vessel List, Members, CNMs and NCNMs with vessels on the CCSBT IUU Vessel List are requested to:
 - a) Notify the owner of the vessel of its inclusion on the CCSBT IUU Vessel List and the consequences that result from being included on the CCSBT IUU Vessel List, as referred to in paragraph 18, and
 - b) Take all the necessary measures to eliminate these IUU fishing activities, including, if necessary, the withdrawal of the registration or the fishing licenses of these vessels, and to inform the Extended Commission of the measures taken in this respect.
18. Members and CNMs shall take all necessary non-discriminatory measures subject to, and in accordance with their applicable laws and regulations, international law and each Member's/ CNM's international obligations to:
 - a) Remove or withdraw any SBT fishing authorisations for the vessel or impose alternative sanctions consistent with domestic laws and regulations of the flag State;
 - b) Ensure that the fishing vessels, flying their flag do not assist in any way, engage in fishing processing operations or participate in any transshipment or joint fishing operations with vessels included on the CCSBT IUU Vessel List;
 - c) Ensure that vessels on the CCSBT IUU Vessel List are not authorised to land, tranship, re-fuel, re-supply, or engage in other commercial transactions in their ports, except in case of *force majeure*;
 - d) Ensure that foreign flagged vessels included on the CCSBT IUU Vessel List do not enter into their ports, except in case of *force majeure*, unless vessels are allowed entry into port for the exclusive purpose of inspection and/or effective enforcement action;
 - e) Ensure that a vessel included in the CCSBT IUU Vessel List is not chartered based on its license;
 - f) Ensure that foreign-flagged vessels included in the CCSBT IUU Vessel List are not granted their flag, except if the vessel has changed owner and the new owner has provided sufficient evidence demonstrating the previous owner or operator has no further legal, beneficial or financial interest in, or control of, the vessel, or having taken into account all relevant facts, the flag Member or CNM determines that granting the vessel its flag will not result in IUU fishing;

- g) Ensure that SBT from vessels included in the CCSBT IUU Vessel List are not landed, farmed, transhipped and/or traded internationally and and/or domestically; and
 - h) Collect and exchange with other Members and CNMs any appropriate information with the aim of searching for, controlling and preventing false CDS documents and/or false import/export certificates of SBT from vessels included in the CCSBT IUU Vessel List.
19. The Executive Secretary will take any necessary measure to ensure publicity of the CCSBT IUU Vessel List adopted by CCSBT, in a manner consistent with any applicable confidentiality requirements, and through electronic means, by placing it on the CCSBT web site. Furthermore, the Executive Secretary will transmit the CCSBT IUU Vessel List to appropriate regional fisheries organisations for the purposes of enhanced co-operation between CCSBT and these organisations in order to prevent, deter and eliminate IUU fishing.

Cross-Listing

20. The Extended Commission may consider cross-listing IUU vessel lists with all other tuna Regional Fisheries Management Organisations and relevant organisations on a case by case basis as agreed by the Extended Commission.

Trade Measures/ Sanctions

21. Without prejudice to the rights of flag States or entities and coastal States or entities to take proper action consistent with international law, including applicable WTO obligations, Members and CNMs shall not take any unilateral trade measures or other sanctions against vessels provisionally included in the Draft and Provisional IUU Vessel Lists, pursuant to paragraphs 7 and 15, or which have been already removed from the CCSBT Draft, Provisional or Current IUU Vessel Lists, pursuant to paragraphs 14 or 16 or 22 - 26, on the grounds that such vessels are involved in SBT IUU fishing activities.

Deletion from the CCSBT IUU Vessel List

22. A Member, CNM or NCNM whose vessel appears on the CCSBT IUU Vessel List may request the removal of the vessel from the list through the Compliance Committee or at any time during the inter-sessional period by submitting to the Executive Secretary suitably documented information demonstrating that:
- a) It has adopted measures so that this vessel complies with all CCSBT conservation and management measures; and
 - b) It is and will continue to assume effectively its responsibilities with respect to this vessel in particular as regards the monitoring and control of the SBT fishing activities executed by this vessel; and
 - c) One or more of the following:
 - i) It has taken effective action in response to the SBT IUU fishing activities that resulted in the vessel's inclusion in the CCSBT IUU Vessel List,

including prosecution or the imposition of sanctions of adequate severity;

ii) The vessel has changed ownership and that the new owner can establish that the previous owner no longer has any legal, financial or real interests in the vessel or exercises control over it, and that the new owner has not participated in SBT IUU fishing activities;

iii) The case regarding the vessel that conducted SBT IUU fishing activities has been settled to the satisfaction of the Member(s)/ CNM(s) that originally submitted the vessel for listing and the flag State or entity involved.

23. On the basis of the information received in accordance with paragraph 22, the CCSBT Executive Secretary will transmit electronically the removal request, with all the supporting information to each Member within 15 days following the notification of the removal request.
24. Each Member of the Extended Commission will examine the request to remove the vessel and notify the Executive Secretary in writing of their conclusion regarding either the removal from, or the maintenance of the vessel on the CCSBT IUU Vessel List within 21 days following the notification by the Executive Secretary referred to in paragraph 23.
Decisions on any inter-sessional requests to remove the vessel shall be made in accordance with Rule 6(5) of the Rules of Procedure, such that no response is considered to be support for the request.
25. The Executive Secretary shall communicate the result of the decision to all Members and CNMs and to any NCNM which requested the removal of its vessel from the CCSBT IUU Vessel List.
26. If Members agree to the removal of the vessel from the CCSBT IUU Vessel List, the Executive Secretary will take the necessary measures to remove the vessel concerned from the CCSBT IUU Vessels List, as published on the CCSBT web site. Moreover, the Executive Secretary will forward the decision of removal of the vessel to appropriate regional fisheries organisations.
27. If a Member does not agree with the request for the removal of the vessel from the CCSBT IUU Vessel List, the vessel will be referred to the Compliance Committee for further consideration and the Executive Secretary will inform the Members, CNMs as well as any NCNMs that made the removal request.

ANNEX I: CCSBT IUU Vessel List Definitions

The format of all CCSBT IUU Vessel Lists must conform to **Annex IVH**.

Draft CCSBT IUU Vessel List

This list is drawn up by the Executive Secretary in accordance with paragraph 7, and based on information submitted by Members and CNMs on the CCSBT Reporting Form for SBT Illegal Activity (**Annex III**), and any other suitably documented information about vessels presumed to be carrying out SBT IUU fishing activities during the current and/or previous year. This list is then examined each year by the Compliance Committee (CC).

Provisional CCSBT IUU Vessel List

This list is derived from the Draft IUU Vessel List.

It is created when the CC has completed its consideration of the Draft IUU Vessel List and the associated evidence circulated, and has made any appropriate amendments to the Draft List. At this point, the appropriately amended Draft List is adopted by the CC as a Provisional IUU Vessel List.

Current CCSBT IUU Vessel List

This list is created from a combination of considering the agreed Provisional IUU Vessel List and the Current CCSBT IUU Vessel List.

The Extended Commission reviews the Provisional IUU Vessel List at its annual meeting, taking into account any new suitably documented information related to vessels on the Provisional list, as well as any recommendations to amend the current CCSBT IUU Vessel List made by the CC. This process will result in a CCSBT IUU Vessel List which is agreed and adopted by the Extended Commission as the current CCSBT IUU Vessel List.

The current CCSBT IUU Vessel List can be modified inter-sessionally according to paragraph 20 by cross-listing additions and/or removals from other RFMOs, or through requests from Members/CNMs/NCNMs according to paragraphs 22 to 27.

ANNEX II: CCSBT List of Prohibited or Non-Compliant Fishing Gears

The following fishing gear types are prohibited from use or considered non-compliant in terms of the CCSBT's conservation and management measures:

1. Prohibited or Non-Compliant Fishing Gear Types

- a Large-scale driftnets¹.

¹ “Large-scale driftnets” are defined as gillnets or other nets or a combination of nets that are more than 2.5 kilometres in length whose purpose is to enmesh, entrap, or entangle fish by drifting on the surface of, or in, the water column.

ANNEX III: CCSBT Reporting Form for SBT Illegal Activity

1. Details of Vessel

- a Current Name of Vessel (Previous name/s, if any);
- b Current Flag (previous flag/s, if any);
- c Date first included on CCSBT IUU Vessel List (if applicable);
- d Lloyds, IMO and UVI Number (if available);
- e Photo(s) (if any);
- f Call Sign (previous call sign, if any);
- g Owner / Beneficial Owner/s (previous owner/s, if any), and owner's place of registration (if any);
- h Operator (previous operator/s, if any);
- i Master/Fishing master name and nationality;
- j Date of alleged SBT IUU fishing activities;
- k Position (as accurately identified as possible)² of the alleged SBT IUU fishing activities (if available);
- l Summary of alleged SBT IUU activities (see section 2 for more detail);
- m Summary of any actions known to have been taken in respect of the alleged SBT IUU fishing activities;
- n Outcome of actions taken.

² Positional information may include latitudes/ longitudes, geographic location name(s) and/or CCSBT statistical area number (s), etc

2. Details of CCSBT Resolution Elements Contravened

Place a "X" next to the individual elements of paragraph 3 of this Resolution that were contravened, and provide relevant details including date, location and source of information. Additional information can be provided in an attachment if necessary, and listed under section 3.

Paragraph Reference	SBT IUU Fishing Activity	Indicate
3a	Harvested SBT and were not authorised by a Member or CNM to fish for SBT	
3b	Did not record and/or report their SBT catches or catch-related data in accordance with CCSBT reporting requirements, or made false reports	
3c	Used prohibited or non-compliant fishing gear in a way that undermines CCSBT conservation and management measures	
3d	Transhipped with, or participated in joint operations such as re-supplying or re-fuelling vessels included in the CCSBT IUU Vessel List	
3e	Harvested SBT in the waters under the national jurisdiction of the coastal State or entity without authorisation and/or committed a serious infringement of its laws and regulations directly related to the SBT fishery, without prejudice to the sovereign rights of the coastal State or entity to take measures against such vessels	
3f	Engaged in fishing activities for SBT, including transshipping, re-supplying or re-fuelling, contrary to any other CCSBT conservation and management measures	

3. Associated Documents

List here the associated documents that are appended e.g. boarding reports, court proceedings, and photographs.

ANNEX IVH: Information to be Included in all CCSBT IUU Vessel Lists
(Draft, Provisional and Current)

The Draft, Provisional and Current CCSBT IUU Vessel Lists shall contain the following details, where available:

- i) Name of the vessel and previous name/s, if any;
- ii) Flag of the vessel and previous flag/s, if any;
- iii) Owner of the vessel and previous owner/s, including beneficial owners, if any, and owner's place of registration (if any);
- iv) Operator of the vessel and previous operator/s, if any;
- v) Call sign of the vessel and previous call sign/s, if any;
- vi) Lloyds/IMO and UVI number, if available;
- vii) Photograph(s) of the vessel, where available;
- viii) Date vessel was first included on the CCSBT IUU Vessel List;
- ix) Summary of the activities which justify inclusion of the vessel on the List, together with references to all relevant supporting documents and evidences;
- x) Date and location of any relevant sightings of the vessel, if any;
- xi) Summary of any other related activities performed by the vessel contrary to CCBST conservation and management measures, if any.