



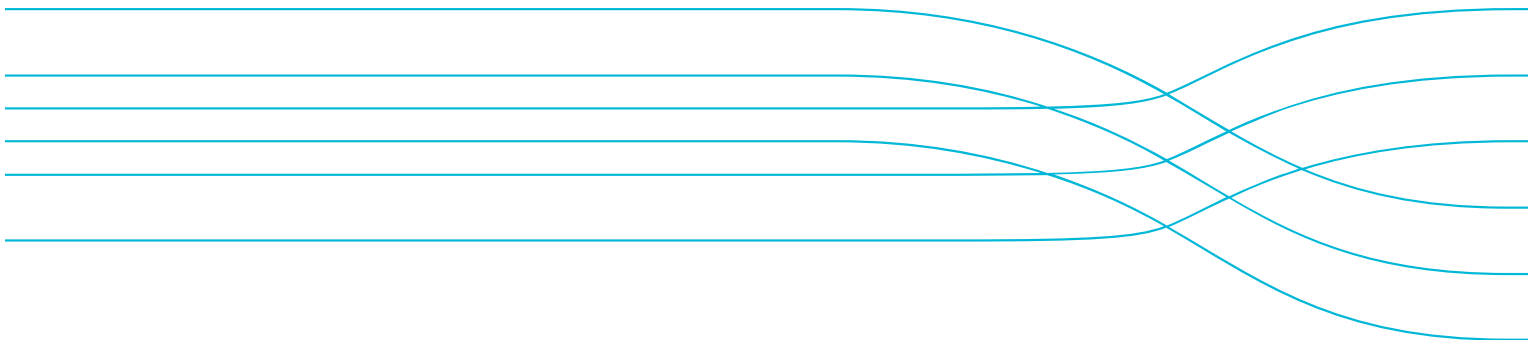
DRAFT Final Report to TRAFFIC International

Genetic species identification – SBT market presence in China.

Campbell Davies, Jessica Farley, Peta Hill, Matt Lansdell and Peter Grewe

Report to TRAFFIC and CCSBT Secretariat

2 October 2016



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Acknowledgments

Our thanks go to Joyce Wu and TRAFFIC for providing the tissue samples and associated data. This work was funded by the CCSBT and CSIRO Oceans and Atmosphere.

1 Introduction

CSIRO has developed and refined a specific DNA assay for identification of individual *Thunnus* species and skipjack tuna for widespread commercial application (e.g. Chain of Custody for market certification and Catch Documentation Schemes for tuna RFMOs) as part of a strategic research program on Next Generation Sequencing methods for fisheries monitoring assessment and management (Davies and Grewe 2015; Davies et al. 2015; Grewe et al., 2016). The assay will clearly and reliably distinguish among the primary sashimi-grade species of tuna (southern bluefin tuna, Atlantic bluefin tuna, Pacific/Northern bluefin tuna, bigeye tuna and yellowfin tuna) as well as albacore and skipjack.

CSIRO was approached by TRAFFIC to provide specific identifications of tissue samples collected from a range of restaurants in Shanghai and Beijing, China, as part of a larger review and trade study on the presence of SBT in Chinese seafood markets commissioned by CCSBT (Anon., 2015). This report summarises the work undertaken and the results of species identification of the samples provided by TRAFFIC.

2 Objectives

1. Extract the DNA from 100 tissue samples using standardised protocols and profile for species identification.
2. Validate the species ID test for a subset of samples using the Genotyping-by-Sequencing approach (ddRAD).

3 Methods

Sampling collection

A total of 200 muscle tissue samples from sashimi-grade tunas were purchased from sushi restaurants by TRAFFIC. Sampling was stratified across cities, months and price category of restaurants (Table 1) in an attempt to provide as representative sample of the product being sold as sashimi grade tuna in the same retail markets as an earlier 2012 study. The samples were all collected from restaurants in Beijing and Shanghai, preserved in RNA-Later following standard protocols and transported to CSIRO.

DNA extraction

DNA was extracted from a 10mg sub-sample of tissue from 199 samples. A bead-based extraction protocol (Machery Nagel Nucleomag) kit was used on an Eppendorf EP motion robot to produce a 150uL archive solution and 50uL working stock of DNA in micro-titre format plates. Archive plates of extracted DNA are stored in dedicated -80°C freezers at CSIRO Hobart. The working stock plates

of extracted DNA were shipped to Diversity Arrays Technology (DArT) in Canberra for genotype sequencing of approximately 5000 single nucleotide polymorphism (SNP) loci.

Table 1. Summary of sampling design for estimating proportion of tuna species occurring in sushi restaurants in Beijing and Shanghai in two rounds of sampling in January and March 2016. One sashimi sample was purchased from each restaurant in each price class sampled in each month. Price classes were: 0-100, 101-200, 201-300, 301-400, 400-500, >500.

| CITY | MONTH | PRICE CLASS | # RESTAURANTS |
|--------------|-------|-------------|---------------|
| Beijing | Jan | 5 | 10 |
| | Mar | 5 | 10 |
| Shanghai | Jan | 5 | 10 |
| | Mar | 5 | 10 |
| Total | | | 200 |

DNA Profile Analysis

DNA profiles consisted of information collected from 5000 SNP loci for each individual. Genetic distances (i.e. percent difference between two individuals) were calculated for pairwise comparisons of all TRAFFIC samples as well as comparisons to DNA profiles from control samples of known 8 *Thunnus* and Skipjack tuna species previously genotyped by CSIRO. Sequencing artefact errors on the Illumina sequencer occur at 1% or less frequency and thus individuals with less than 1% differences were considered to be samples of the same individual. Percent sequence differences of 2-3% were considered conspecifics and assigned the identification of the matching control species.

Estimation of Proportion of SBT in Sushi Product from Restaurant Survey

Of the 200 samples collected, one from Beijing samples was not genotyped, and one of the restaurants did not have a price category recorded, leaving 198 samples for this analysis (Table 2).

Table 2. Summary of tuna samples used in analysis of the proportion of SBT in sushi sold in Beijing and Shanghai.

| Price category (CNY) | Beijing | | Shanghai | |
|----------------------|-----------|-----------|-----------|-----------|
| | January | March | January | March |
| <100 | 1 | 6 | 0 | 8 |
| 100-200 | 9 | 28 | 11 | 24 |
| 200-300 | 10 | 13 | 9 | 10 |
| 300-400 | 11 | 1 | 12 | 4 |
| 400-500 | 9 | 1 | 8 | 0 |
| >500 | 10 | 0 | 10 | 3 |
| Total | 50 | 49 | 50 | 49 |

The stratified random survey design provided the basis to estimate the proportion of SBT in the sushi restaurant market in the two cities using the number of restaurants in each class and the proportion of SBT in each class (Table 2). A binomial generalized linear model (GLM) was fit to the proportion of tuna samples identified as SBT with the *city*, *month* and *price* category of the restaurant as explanatory variables (The glm

function in R with family set to binomial with a logit link was used. See Appendix A). *Month* was not significant so was dropped from the final model.

The final model was used to predict the proportion of SBT in each city and restaurant price category (Table 2). Then, using the number of restaurants in each city belonging to each price category, taken from Table 6 of the TRAFFIC interim report to CCSBT (CCSBT-ESC/1609/36), a weighted average of the predicted SBT proportions was calculated to get the expected proportion of tuna samples that are SBT (i) across both cities and (ii) in Shanghai alone (since only 1 of the Beijing samples was SBT). The weighted average across both cities is 0.165, and the weighted average for just Shanghai is 0.243.

Table 2. Predicted proportion of samples that are SBT by city and restaurant price category.

| City | Price Category | No. samples | No. SBT | Observed proportion SBT | Predicted proportion SBT | No. restaurants | Weight |
|----------|----------------|-------------|---------|-------------------------|--------------------------|-----------------|--------|
| Beijing | <100 | 7 | 0 | 0 | 0.009 | 435 | 0.160 |
| Shanghai | <100 | 8 | 2 | 0.250 | 0.242 | 1169 | 0.431 |
| Beijing | 100-200 | 37 | 0 | 0 | 0.006 | 300 | 0.111 |
| Shanghai | 100-200 | 35 | 6 | 0.171 | 0.165 | 375 | 0.138 |
| Beijing | 200-300 | 23 | 0 | 0 | 0.019 | 105 | 0.039 |
| Shanghai | 200-300 | 19 | 8 | 0.421 | 0.398 | 150 | 0.055 |
| Beijing | 300-400 | 12 | 1 | 0.083 | 0.021 | 33 | 0.012 |
| Shanghai | 300-400 | 16 | 6 | 0.375 | 0.422 | 75 | 0.028 |
| Beijing | 400-500 | 10 | 0 | 0 | 0 | 10 | 0.004 |
| Shanghai | 400-500 | 8 | 0 | 0 | 0 | 17 | 0.006 |
| Beijing | >500 | 10 | 0 | 0 | 0.005 | 21 | 0.008 |
| Shanghai | >500 | 13 | 2 | 0.154 | 0.150 | 21 | 0.008 |

4 Results and Discussion

A total of 199 individual DNA profiles were obtained using 5000 SNP loci. One sample was omitted from the genotyping runs as it was not possible to fit all 200 restaurant samples and the necessary control samples in the allocated plates.

Based on percent differences in SNP sequence, individuals were unambiguously classified as belonging to skipjack or one of eight *Thunnus* species (Table 3). Members of identified species groups had percent sequence differences that ranged between 2-3% while species mis-matches had observed percent sequence differences greater than 5%. This clear range of percent differences and the use of verified controls ensured very high probability of correct assignment to species.

Bigeye tuna was the most frequent species found at 35% of the 199 fish analysed. The three bluefin species were present at lower frequencies: Pacific bluefin (28%), Atlantic bluefin (17%) and southern bluefin (13%).

Southern bluefin samples were almost all sampled from restaurants in Shanghai (Table 4). Only one southern bluefin was sampled in Beijing. And, interestingly, the large majority of southern bluefin samples were in the lowest price class, 0-100 (Table 5), with the small number of samples in high prices classes being for belly meat.

Yellowfin was the least common species present at only 7% of the total sample, while the remaining species (skipjack, albacore, blackfin, and longtail tuna) were not present in the tissues sampled.

Indicative of the power of the genotyping method, tissue from 6 individual fish were identified as having been sampled at more than one restaurant (Table 6). This result may not be surprising if the duplicate samples were from a single large fish which had been processed into the various sushi cuts and distributed among restaurants.

Table 3. Summary of species identification results from 199 tissue samples from sushi restaurants in Beijing and Shanghai, China. ABT = Atlantic bluefin tuna, PBT = Pacific bluefin tuna, BET = bigeye tuna, SBT = southern bluefin tuna, YFT = yellowfin tuna, ALB = albacore tuna, BLK = blackfin tuna, LOT = longtail tuna and SKJ = skipjack tuna.

| SPECIES ID | COUNT | PROPORTION |
|--------------|------------|-------------|
| BET | 70 | 0.35 |
| PBT | 55 | 0.28 |
| ABT | 34 | 0.17 |
| SBT | 26 | 0.13 |
| YFT | 14 | 0.07 |
| ALB | 0 | 0.00 |
| BLK | 0 | 0.00 |
| LOT | 0 | 0.00 |
| SKJ | 0 | 0.00 |
| Total | 199 | 1.00 |

Table 4. Summary of the number of southern bluefin tuna identified in samples collected by city and sampling month.

| CITY | JANUARY | MARCH | TOTAL |
|-----------------|----------------|--------------|--------------|
| Shanghai | 10 | 15 | 25 |
| Beijing | 1 | 0 | 1 |
| | | Total | 26 |

Table 5. Summary of the number of southern bluefin tuna identified in samples by price class.

| PRICE CLASS | COUNT |
|--------------------|--------------|
| 0-100 | 21 |
| 100-200 | 4 |
| 200-300 | 1 |
| 300-400 | 0 |
| 400-500 | 0 |
| >500 | 0 |
| Total | 26 |

Table 5. Summary of the duplicate tuna identified by genetic profiles in samples collected by city and sampling month (n= 6 duplicates).

| City | Shanghai | Shanghai | Beijing | Beijing | Beijing | Beijing | Beijing | Beijing | Beijing | Beijing | Beijing | Beijing | Beijing | Beijing |
|---------------|----------------------|--------------|---------------------------------------|-------------------------|-------------------------------------|--------------------------|----------------------|-------------------------------|-------------------------|----------------------------------|-----------------|-------------------------------------|---------------|----------------------|
| Source | Canada | Canada | Kagoshima, Japan | Japan | Indonesia | Japan | Japan | Spain, from agent | Nagasaki, Japan | Atlantic | Nagasaki, Japan | Japan/Canada | Japan | Spain |
| Shown on menu | Bluefin tuna | Toro sashimi | Tuna Sashimi | Bluefin tuna | Fresh Bluefin Tuna | Tuna | Bluefin Tuna | Tuna | Tuna Sashimi | Bluefin Tuna Sashimi | Bluefin tuna | Bluefin Tuna Sashimi | Tuna Sashimi | Bluefin Tuna Sashimi |
| Date sampled | 7 Jan | 9 Jan | 19 Jan | 15 Jan | 18 Jan | 15 Jan | 19 Jan | 15 Jan | 25 Jan | 9 Mar | 16 Mar | 14 Mar | 15 Mar | 15 Mar |
| Species ID | ABT | ABT | NBT | ABT | NBT | NBT | NBT | NBT | NBT | ABT | ABT | ABT | ABT | ABT |
| Sample number | 21 | 38 | 53 | 58 | 74 | 93 | 94 | 95 | 100 | 167 | 173 | 183 | 194 | 195 |
| 21 | Duofan Manyi Jingshi | SOMA LOUNGE | | | | | | | | | | | | |
| 53 | | | Fukubuku Sake Bar & Lounge (Chaoyang) | | Haiku Japanese Restaurant (Xicheng) | Yotsuba Sushi (Chaoyang) | | | Nobu Beijing (Chaoyang) | | | | | |
| 58 | | | | Kenzan Sushi (Chaoyang) | | | | | | | | | | IZAKAYA (Jianguolu) |
| 94 | | | | | | | Ran Sushi (Chaoyang) | Tianshe Teppanyaki (Chaoyang) | | | | | | |
| 167 | | | | | | | | | | Shotamuni Restaurant (Xinshijie) | | Shotamuni Restaurant (Orient Plaza) | | |
| 173 | | | | | | | | | | | AKIMOTO | | Akimoto (2nd) | |

Key

| Colour code | Explanation |
|---|---|
| | 1st observation of individual fish |
| | 1st observation of duplicate individual |
| | 2nd observation of duplicate individual |
| | 3rd observation of duplicate individual |

5 Summary

The results demonstrate that the ddRAD method used here can clearly and unambiguously identify individual tuna species, including southern bluefin tuna with a high degree of accuracy and consistency. Furthermore, it was possible to identify individual fish that were sampled multiple times among different restaurants during the relatively short period of the study, further demonstrating the power of these methods for identifying and or tracking product through the supply chain.

In terms of southern bluefin tuna, the results demonstrate:

- SBT is being sold in retail sushi restaurants in China, representing ~16.5% of sales in the two major cities during the short period of this study .
- SBT appears to be much more common in the Shanghai market and, predominantly, in the lower price class of restaurant. Although there were examples of it being sold in mid-higher tier classes of restaurant. For the period of the study it constituted ~24.3% of the sashimi grade tuna sold through sushi restaurants in Shanghai.
- The product is generally not being sold as southern bluefin tuna. The most common retail name being “Bluefin tuna” and “Tuna Sushimi”.

Naturally, the somewhat restricted scope of the sampling (the two major cities and two months in the first quarter of 2016) and the strong difference in the presence of SBT between Shanghai (26 observations) and Beijing (one occurrence) means some care needs to be taken in extrapolating these results to the presence of SBT in the wider Chinese market. However, these results and the ancillary data collected by TRAFFIC, as part of the sampling protocols for the study, indicate that SBT represents a substantial proportion of the sashimi market in Shanghai (at least), that it is rare for it to be transparently identified as SBT in the retail market, and there are a range of stated sources of the product being sold in restaurants.

6 References

- Davies, C.R. and Grewe, P.M. 2016. Development of genetic markers for identification of skipjack tuna from the Maldivian Islands and standardised protocols for their use. *Draft final Report to the Marine Stewardship Council*. January 2016.
- Davies, C.R., Grewe, P.M., Bravington, M.V., et al., 2015. Transforming monitoring and assessment of international fisheries. *Sustainable Fisheries panelist presentation, Our Oceans 2015*, Valparaiso, Chile.
- Grewe, P.M., H. E. Irianto, C. H. Proctor, M. S. Adam, A. R. Jauhary, K. Schafer, D. Itano, K. Evans, A. Killian and C. R. Davies. 2016. Population structure and provenance of tropical tunas: recent results from high throughput genotyping and potential implications for monitoring and assessment. *Working Paper SA-WP-01 to the Scientific Committee of the Western Central Pacific Scientific Committee*, Bali, Indonesia, August 2016.

Attachement A: The R output for the final GLM.

Call:

```
glm(formula = Species == "SBT" ~ City + PriceClass, family = binomial(link = "logit"), data = china.dat)
```

Deviance Residuals:

| Min | 1Q | Median | 3Q | Max |
|---------|---------|---------|---------|--------|
| -1.0472 | -0.6014 | -0.1942 | -0.1006 | 2.7873 |

Coefficients:

| | Estimate | Std. Error | z value | Pr(> z) | |
|----------------------|----------|------------|---------|----------|-----|
| (Intercept) | -4.6910 | 1.2845 | -3.652 | 0.000260 | *** |
| CityShanghai | 3.5495 | 1.0412 | 3.409 | 0.000652 | *** |
| RestClass(100,200] | -0.4768 | 0.9229 | -0.517 | 0.605407 | |
| RestClass(200,300] | 0.7295 | 0.9254 | 0.788 | 0.430473 | |
| RestClass(300,400] | 0.8273 | 0.9460 | 0.875 | 0.381835 | |
| RestClass(400,500] | -16.4081 | 1362.9845 | -0.012 | 0.990395 | |
| RestClass(500,2e+03] | -0.5934 | 1.1132 | -0.533 | 0.594006 | |

Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

(Dispersion parameter for binomial family taken to be 1)

Null deviance: 150.17 on 197 degrees of freedom

Residual deviance: 109.21 on 191 degrees of freedom

(1 observation deleted due to missingness)

AIC: 123.21

Number of Fisher Scoring iterations: 17

Analysis of Deviance Table

| | Df | Deviance | Resid. Df | Resid. Dev | Pr(>Chi) | |
|------------|----|----------|-----------|------------|-----------|-----|
| NULL | | | 197 | 150.17 | | |
| City | 1 | 29.327 | 196 | 120.84 | 6.114e-08 | *** |
| PriceClass | 5 | 11.637 | 191 | 109.21 | 0.04012 | * |

Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

CONTACT US

t 1300 363 400
+61 3 9545 2176
e csiroenquiries@csiro.au
w www.csiro.au

FOR FURTHER INFORMATION

Oceans & Atmosphere
Campbell Davies
t +61 6 6232 5044
e Campbell.Davies@csiro.au
w www.csiro.au

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