

**Provisional Agenda**  
**Fourteenth Meeting of the Compliance Committee**  
**10 – 12 October 2019**  
**Capetown, South Africa**

**1. Opening of Meeting**

- 1.1 Welcome
- 1.2 Adoption of Agenda
- 1.3 Meeting Arrangements

**2. Overview of Compliance with CCSBT Conservation and Management Measures**

*This agenda item relates to compliance with existing CCSBT conservation and management measures.*

**2.1 Report from the Secretariat**

*The Secretariat will present two papers, each including tables that summarise compliance of Members with key CCSBT conservation and management measures:*

- *SBT-related measures, and*
- *ERS-related measures.*

**2.2 Annual Reports from Members**

*Members should submit their annual reports in accordance with the [Template for the Annual Report to the Compliance Committee and the Extended Commission](#). This agenda item will focus on questions and answers to key issues within the annual reports, including a detailed examination of Members' systems and performance. Members should highlight any areas where there are issues with their implementation of and/or compliance with CCSBT measures, including those areas highlighted in the Secretariat's papers presented under agenda item 2.1. It is assumed that Members will have read the submitted annual reports so there should be no need to present those items.*

**2.3 Assessment of compliance with CCSBT Management Measures**

*Information presented in the previous sub agenda items as well as in the reports mentioned above are expected to be the major contributor to this process.*

**2.3.1 Compliance of Members**

*The Compliance Committee (CC) will consider the performance of Members in complying with CCSBT management measures and provide recommendations for any areas that require improvement.*

**2.3.2 Application of the Corrective Actions Policy**

*The CC will consider identified areas of non-compliance and recommend how those instances of non-compliance should be addressed under the [CCSBT's Corrective Actions Policy](#).*

**3. Report from the Ecologically Related Species Working Group (ERSWG)**

*The Secretariat will present a brief report of matters from the ERSWG13 (May 2019) meeting that are relevant to the CC.*

**4. Report from the Technical Compliance Working Group (TCWG)**

*The TCWG/CC Chair will present an oral report of TCWG1's meeting including any recommendations or draft documents for the CC's consideration.*

## 5. Operation of CCSBT Measures: Issues & Updates

*Members and/or the Secretariat will provide updates or report on any problems with the operation of CCSBT Measures such as those listed below:*

- CDS;
- Authorised Vessel/Farm Resolutions;
  - Outcome of Indonesia's undertaking to seek IMO numbers for all its Authorised CCSBT Vessels that meet the requirements of the updated IMO numbering scheme as was agreed at CC13;
  - Consideration of proposed revisions to the Authorised Vessel Resolution to take into account revisions to the IMO's Ship Identification Number Scheme (Resolution A.1078(28)) that were deferred by CC13 for consideration by CC14
- VMS Resolution;
- Transshipment Resolution;
- Minimum Standards for Inspections in Port;
- IUU Vessel List Resolution
  - Consider a draft IUU Vessel list as appropriate.

## 6. Implementation of the CCSBT Compliance Plan

*This agenda item is for actions in the three-year Compliance Action Plan (2018-2020) that were planned for 2019, and which are not addressed in other sections of this agenda.*

### 6.1 Catch Documentation Scheme (CDS)

*Review any recommendations of TCWG1 with respect to the CDS Resolution.*

*If not already recommended by TCWG1, then, in accordance with the Compliance Action Plan (CAP) item 3b, determine and document future work priorities, including how the CCSBT plans to reduce the cost of introducing an eCDS in future, and when to commence its introduction.*

### 6.2 Vessel Monitoring System (VMS) Resolution

*The CAP (item 4a) tasks Members and the Secretariat with identifying information gaps where enhanced CCSBT VMS arrangements are necessary to strengthen CCSBT's existing Conservation and Management Measures. As agreed by CC13, the Secretariat will provide a slightly expanded version of its CC13 paper, taking into account the results of a recent consultancy report to IOTC on options for strengthening its VMS. The paper will also raise the option of conducting a Quality Assurance Review (QAR) on the implementation of CCSBT's existing VMS measure.*

### 6.3 IUU Vessel List Resolution: Cross-Listing Provision

*The CAP (item 5b) tasks Members and the Secretariat with reviewing and revising the CCSBT's IUU Vessel Resolution (as appropriate), particularly the cross-listing provision, in order to facilitate the implementation of cross-listing with other tRFMOs/relevant organisations on a case by case basis as agreed by the Extended Commission (EC).*

### 6.4 Potential Formalised Compliance Assessment Process

*As agreed at CC13, Australia will provide a paper on consideration of a draft compliance assessment process for the CCSBT.*

### 6.5 Standing Agenda Items

*The following standing agenda items should be discussed when new information is available:*

- any significant changes in emerging markets/ market activity
- updates on SBT IUU activity including any ad hoc analyses requested of Trygg Mat Tracking
- non-member port and market States whose cooperation should be sought
- updates on developments and use of Electronic Monitoring Systems (EMS) in SBT fisheries
- SBT identification technologies
- ongoing identification and sharing of best practices for MCS systems.

**7. AIS data study to assess risks of IUU fishing for SBT**

*Trygg Mat Tracking (TMT) received funding from the Common Oceans ABNJ Tuna Project to undertake AIS data analyses in conjunction with other relevant information to help identify the risk of potential IUU fishing activity involving SBT. TMT will present the results of its analyses.*

**8. CCSBT Plans, Policies & Arrangements: Review, Revision & Progress Reports**

*Members and the Secretariat will consider any potential revisions to and/or progress reports on CCSBT policies and arrangements.*

**8.1 Compliance Action Plan (CAP): Review of Risks and Preliminary Consideration of a CAP for 2021 Onwards**

*This agenda item has two components:*

- *As a standing agenda item, the Secretariat will review the list of compliance risks identified in the current CAP, including what has been done to mitigate or better quantify those risks;*
- *During 2019 and 2020 a new CAP needs to be developed for 2021 onwards, including:
  - i) reviewing areas of perceived high compliance risk,
  - ii) identifying and confirming compliance priorities and resourcing, and
  - iii) identifying any new items to add to the CAP.*

**8.2 Quality Assurance Reviews (QARs)**

*The EU is the only Member for which a QAR has not yet been undertaken. Furthermore, the EU has advised (CCSBT Circular #2019/006) that for various reasons it should not undertake a QAR. The CC should consider this as well as the future need for and direction of QARs, including the possibility of conducting targeted QARs, e.g. of specific CCSBT Members, systems or measures.*

**8.3 Template for Annual Report to the CC and EC**

*As agreed at CC13, New Zealand will provide a summary of proposed revisions to the annual report template for Members' consideration.*

**8.4 Update on CCSBT's Compliance Relationships with other Organisations**

*The Secretariat will provide an update on developments from the International Monitoring, Control and Surveillance Network (IMCSN), the Tuna Compliance Network (TCN) and the Secretariat's compliance relationships with other organisations, and/or any bilateral arrangements, including an update on any progress made on operationalising the transshipment MoC with WCPFC.*

**9. On-line Data Submission/ Data Access Project**

*The Secretariat will provide an update on the on-line data submissions and data access project.*

**10. Proposal to enhance the implementation of seabird measures**

*CC13 agreed that the Secretariat should work with BirdLife International to develop a project involving both outreach/education and verification of compliance with seabird mitigation measures for external funding. BirdLife presented a first outline of a proposal to ERSWG13 for its consideration. The proposal will be refined, including through discussion with Members and presented to CC13 for further consideration.*

**11. Work Program for 2020**

**12. Other business**

**13. Recommendations to the Extended Commission**

**14. Conclusion**

14.1. Adoption of Meeting Report

14.2. Close of Meeting.