

Document for 2016 CCSBT CDS WorkshopJapan's Proposal on the revision of the CCSBT's
Catch Documentation Scheme (CDS) Resolution

Based on the results and discussion at the last Compliance Committee (CC 10) and Annual Meeting (EC 22), Japan would like to submit the proposal on the revision of the CCSBT's CDS Resolution in order to promote traceability of all SBT and to monitor more effectively compliance with the CCSBT's conservation measures using a certificate which provides coherent and comprehensive information from catch to the point of first sale on markets.

Our proposal is composed of three Appendices. Appendix 1 is to compare the existing text and revised text on the main body of the resolution. Appendix 2 is the revision of "CATCH MONITERING FORM" (CMF) and Appendix 3 is the revision of the instruction sheet of CMF and "CATCH TAGGING FORM" (CTF).

Outlines of our proposal are as follows;

Appendix 1

- For transfers into farms, SBT shall be accompanied by CMF, which is revised to incorporate the information contained in the current "FARM STOCKING FORM" (FSF). (para 1.2 and 1.3)
- On the basis of the above revision, FSF would become unnecessary. (para 1.3, 3.1.1, 3.1.3 and 3.2)
- CTF shall be attached to the relevant CMF. (new para 4.4)
- Documents shall be validated only by persons without substantial interest in relevant SBT products. (para 5.2)

Appendix 2

- "CATCH/HARVEST SECTION" is modified to "CATCH SECTION" and this section becomes common to all SBT catch, including catch for farming.
- For farming of SBT, "FARM STOCKING SECTION" and "HARVEST SECTION" are added newly to CMF.

Appendix 3

- In accordance with the revisions mentioned above, necessary modifications and additions are made on the instruction sheets of CMF and CTF.

Resolution on the Implementation of a CCSBT Catch Documentation Scheme (extracts)

Existing Text	Proposal (track in red)
1.2 For transshipment, landing of domestic product, exports, imports and re-exports under the jurisdiction of a Member or Cooperating Non-Member or Other State/Fishing Entity Cooperating in the CDS (OSEC), all SBT shall be accompany by a document described in section 3 of this resolution. <i>(Rests are omitted.)</i>	1.2 For <u>transfers of SBT into farms</u> , transshipment, landing of domestic product, exports, imports and re-exports under the jurisdiction of a Member or Cooperating Non-Member or Other State/Fishing Entity Cooperating in the CDS (OSEC), all SBT shall be accompany by a document described in section 3 of this resolution. <i>(Rests are omitted.)</i>
1.3 Transfer of SBT into and between farms under the jurisdiction of a Member or Cooperating Non-Member shall be documented on the Farm Stocking Form and Farm Transfer Form as applicable.	1.3 Transfer of SBT into and between farms under the jurisdiction of a Member or Cooperating Non-Member shall be documented on the Farm Stocking Form and Farm Transfer Form as applicable.
3.1.1	(delete)
3.1.3 Catch Monitoring Form – records information on the catch, landing, transshipment, export, and import of all SBT regardless of whether farmed or not, including unexpected catch.	3.1.3 Catch Monitoring Form – records information on the catch, <u>farming</u> , landing, transshipment, export, and import of all SBT regardless of whether farmed or not , including unexpected catch.
3.2 The information to be contained in the CCSBT CDS documentation referred to in 3.1, along with associated instruction sheets, is in Appendix 1 A-D.	3.2 The information to be contained in the CCSBT CDS documentation referred to in 3.1, along with associated instruction sheets, is in Appendix 1 A- D C.
(New paragraph after the paragraph 4.3)	<u>4.4 The copy of completed Catch Tagging Forms shall be attached to the relevant Catch Monitoring Form.</u>
5.2 The authority to validate CDS documents may be delegated to an authorized person by an official of the relevant State/fishing entity. <i>(Rests are omitted.)</i>	5.2 The authority to validate CDS documents may be delegated to an authorized person by an official of the relevant State/fishing entity <u>only when such person does not have a substantial interest in SBT fisheries and its products.</u> <i>(Rests are omitted.)</i>

The Revision of CATCH/HARVEST SECTION

Delete the following items;

- **"/HARVEST" and "Tick and complete only one part" from black box of title**
- **two check boxes, "Or" and the box of "For Wild Fishery"**

CATCH/HARVEST SECTION – Tick and complete only one part

<input type="checkbox"/> For Wild Fishery	Name of Catching Vessel	Registration Number	Flag State/Fishing Entity
Or			
<input type="checkbox"/> For Farmed SBT	CCSBT Farm Serial Number	Name of Farm	
	Document Number(s) associated Farm Stocking (FS) Form(s)		

Description of Fish						
Product: F (Fresh)/ FR (Frozen)/ A (Alive)	Type: RD/GGO...	Month of Catch (mm/yy)	Gear Code	CCSBT Statistical Area	Net Weight (kg)	Total Number of whole Fish (including RD/GGO...)
For Other (OT): Describe the type of Product			For Other (OT): Specify Conversion Factor			

Name of Processing Establishment (if applicable)	Address of Processing Establishment (if applicable)

Validation by Authority (not required for exports transhipped at sea): I validate that the above information is complete, true and correct to the best of my knowledge and belief.			OFFICIAL SEAL
Name and Title	Signature		
	Date		

The Addition of FARM STOCKING SECTION and HARVEST SECTION

Insert the following two sections between the new CATCH SECTION (first page of this material) and the current INTERMEDIATE PRODUCT

FARM STOCKING SECTION (if applicable)

Name of Towing Vessel		Registration Number	Flag State/Fishing Entity
Name of Farm		CCSBT Farm Serial Number	Flag State/Fishing Entity
Number of Stocking Fish	Weight of Stocking Fish (kg)		Farm Stocking Date
Number of Mortalities	Weight of Mortalities (kg)		

Validation by Authority: I validate that the above information is complete, true and correct to the best of my knowledge and belief.			<i>OFFICIAL SEAL</i>
Name and Title	Signature	Date	

HARVEST SECTION (if applicable)

Name of Farm	CCSBT Farm Serial Number	Date of Harvest
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Description of Fish			
Product: F (Fresh)/FR (Frozen)	Type: RD/GGO...	Net Weight (kg)	Total Number of whole Fish (including RD/GGO...)
For Other (OT): Describe the type of Product		For Other (OT): Specify Conversion Factor	

Name of Processing Establishment (if applicable)	Address of Processing Establishment (if applicable)
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Validation by Authority: I validate that the above information is complete, true and correct to the best of my knowledge and belief.			<i>OFFICIAL SEAL</i>
Name and Title	Signature	Date	

INTERMEDIATE PRODUCT DESTINATION SECTION and FINAL PRODUCT DESTINATION SECTION are omitted.

The revision on “Instruction Sheet” of “CATCH MONITORING FORM”

This form is to be issued by the State/Fishing Entity that holds the national quota allocation against which these SBT have been taken.

This form (CMF) must accompany all transfers of SBT into farms, transshipments, landings of domestic product, exports, imports and re-exports of SBT, and a copy of this form must be provided to the issuing State/Fishing Entity. The only exception is that exportation/import of fish parts other than the meat (i.e. head, eyes, roe, guts, tails) may be allowed without this document. Please note that:

~~For farms, Farm Stocking Form(c) must have been produced by the State/Fishing Entity for all SBT on the CMF and the document number of these Farm Stocking Form(c) must be recorded on the CMF.~~

· Catch Tagging Form(s) must have been completed for all SBT on the CMF and copies must be provided to the issuing State/Fishing Entity. Copies also must be attached to the CMF and the document numbers of these Catch Tagging Form(s) must be recorded on the CMF.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document.

The Catch Monitoring Form has ~~35~~ main sections: (1) Catch/~~Harvest~~; (2) Farm Stocking; (3) Harvest; (~~24~~) Intermediate Product Destination; and (~~35~~) Final Product Destination. The Catch/~~Harvest~~ and Final Product Destination sections must always be completed for wild fishery and the Catch, Farm Stocking, Harvest and Final Product Destination sections must always be completed for farmed SBT. However, the Intermediate Product Destination section only needs to be completed if the product is being exported and/or transhipped.

The top of the form contains 2 additional pieces of information that must always be completed. These are:

Document Number: Enter the unique document number that was allocated by the State/Fishing Entity of origin for this form.

Catch Tagging Form Document numbers: Enter the unique document number of each Catch Tagging Form that is associated with this form. If there is insufficient room to enter all document numbers, enter this information on a separate page and attach that page.

CATCH HARVEST SECTION ~~—tick and complete only one part~~

~~Tick the box to specify whether the catch is for the Wild fishery or for Farmed SBT.~~

~~You must complete the part of the Catch Harvest section corresponding to the box that you ticked, and then complete the remainder of the section.~~

~~For Wild Fishery—This should only be completed for wild fishery (not for farmed SBT)~~

Name of Catching Vessel: Enter the name of the catching vessel.

Registration Number: Enter the flag state's Registration Number of the catching vessel.

Flag State / Fishing Entity: Enter the Flag State or Fishing Entity of the catching vessel.

~~For Farmed SBT—This should only be completed for farmed SBT~~

~~(Rests are also deleted)~~

Description of Fish

All SBT described in this section must be sent to the Final Product Destination. Split shipments are not permitted, so if SBT are to go to two or more different destinations, a separate Catch Monitoring Form must be completed for the catch sent to each destination.

The shipment of SBT must be described, to the highest degree of accuracy using the following information.

NOTE: One row shall describe one product type

Product (including SBT transferred for farming): Enter the type of product being shipped ~~as either~~ as one of LIVED (L), FRESH (F) or FROZEN (FR).

Type: Enter the type code from the table below that most closely matches the type of the SBT. For OT, describe the type of product, and specify a conversion factor.

CODE	NAME	DESCRIPTION
RD	Round	SBT Without any processing

~~(Rests are omitted.)~~

Month of Catch/Harvest (mm/yy): Enter the month and year of ~~harvest~~ catch of the southern bluefin tuna. ~~in the case of farmed fish, this applies to the month of kill, not the month of initial harvest.~~

Gear code: Identify the gear type that was used to harvest the southern bluefin tuna using the list below; for OTHER TYPE, describe the type of gear. ~~In the case of farmed fish, write "FARM".~~

GEAR CODE	GEAR TYPE
BB	Baitboat

~~(Rests are omitted.)~~

CCSBT Statistical Area: Enter the area in which the southern bluefin tuna were harvested using the main CCSBT Statistical Areas (1 to 10 and 14 to 15) or the other CCSBT Statistical Areas (11 to 13) where there is no corresponding main area. ~~In the case of farmed fish, it is not necessary to fill in this column.~~ A map showing the statistical areas is provided on page ~~34~~ of these instructions.

Net Weight (kg): Enter the net product weight in kilograms. ~~In the case of farmed SBT, this is the weight at the time of~~

~~harvest from the farm (as opposed to when originally caught)~~

Total Number of whole Fish (including RD, GGO, GGT, DRO, DRT): Enter the number of fish that remain whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.

For Other (OT) Describe the type of product: If Product Type is Other (OT), then describe the product.

For Other (OT) Specify the Conversion Factor: If Product Type is Other (OT) then specify the Conversion Factor to be used to convert this weight to a whole weight equivalent.

Name of Processing Establishment (if applicable): Enter the name of the establishment that processed the southern bluefin tuna (if applicable).

Address of Processing Establishment (if applicable): Enter the address of the establishment that processed the southern bluefin tuna (if applicable).

Validation

Validation by Authority (not required for exports transhipped at sea): If this is not an export being transhipped at sea, enter the name and full title of the official signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal. For SBT transhipped at sea and then landed domestically, validation should occur at the point of domestic landing (i.e. after transhipment).

The addition to “Instruction Sheet” of “CATCH MONITORING FORM”

FARM STOCKING SECTION (if applicable)

This section is to be completed for each tow cage of SBT.

Name of Towing vessel and Registration number: Enter the vessel name and flag state’s registration number of each towing vessel

Name of Farm and CCSBT Serial number: Enter the name and the serial number, as recorded on the CCSBT list of authorized farms, of the SBT farm to which SBT were transferred.

Number of Stocking Fish: Enter the estimated number of fish being transferred to the farm.

Weight of Stocking Fish: Enter the estimated weight of fish being transferred to the farm.

Farm Stocking Date: Enter the date on which SBT were transferred to the farm (dd/mm/yy).

Number and Weight (kg) of Mortalities: Enter the number and weight (kg) of mortalities that occurred during each towing.

***Note that any SBT mortalities retained for sale should not be recorded on this section, but instead, should be recorded as wild caught fish.**

Validation

Validation by Authority: Enter the name and full title of the official signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal.

HARVEST SECTION (if applicable)

This section is only applicable to dead farmed SBTs and to be completed for each harvest of caged SBT.

Where multiple harvests are conducted for SBTs transferred to farm which was accompanied by one document which was recorded and validated in CATCH SECTION and FARM STOCKING SECTION, except for last harvest, copies of document with branch document numbers are able to be used.

If applicable, the copy of relevant FARM TRANSFER FROM/s must be attached.

Name of Farm and CCSBT Serial number: In the case that it is different from the farm which is recorded in the FARM STOCKING SECTION, enter the name and the serial number, as recorded on the CCSBT list of authorized farms, of the SBT farm to which SBT were harvested.

Date of Harvest: Enter the date of kill, not the date of initial harvest.

Product: Enter the type of product being shipped as either FRESH (F) or FROZEN (FR).

Type: Enter the type code from the above table (as described in “CATCH SECTION”) that most closely matches the type of the SBT. For OT, describe the type of product, and specify a conversion factor.

Net Weight (kg): Enter the net product weight in kilograms. This is the weight at the time of harvest from the farm (as opposed to when originally caught).

Total Number of whole Fish (including RD, GGO, GGT, DRO, DRT): Enter the number of fish that remain whole. A

fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.

For Other (OT) Describe the type of product: If Product Type is Other (OT), then describe the product.

For Other (OT) Specify the Conversion Factor: If Product Type is Other (OT) then specify the Conversion Factor to be used to convert this weight to a whole weight equivalent.

Name of Processing Establishment (if applicable): Enter the name of the establishment that processed the southern bluefin tuna (if applicable).

Address of Processing Establishment (if applicable): Enter the address of the establishment that processed the southern bluefin tuna (if applicable).

Validation

Validation by Authority: Enter the name and full title of the official signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal.

The revision on “Instruction Sheet” of “CATCH TAGGING FORM”

This form is to be issued by the State/Fishing Entity that holds the national quota allocation against which these SBT have been taken.

This form must be completed and provided to the issuing State/Fishing Entity when completing the associated Catch Monitoring Form. **The copy of completed form must be attached to the relevant CMF.**

(Omitted)

Vessel Registration Number (or CCSBT Farm Serial Number): Enter the **flag state's** Registration Number of the Catching Vessel (or the CCSBT Farm Serial Number from the CCSBT Record of Authorized Farms).

(Rests are omitted.)