

Fifth Meeting of the Compliance Committee
9-10 October 2010
Taipei, Taiwan
Provisional Agenda

1. Opening of meeting
 - 1.1. Welcome
 - 1.2. Adoption of Agenda
 - 1.3. Meeting Arrangements

2. Compliance with CCSBT Conservation and Management Measures

This agenda item relates to compliance with existing CCSBT conservation and management measures.

 - 2.1. Reports from Members and Cooperating Non-members (*compliance related issues from National Reports and content of Compliance Action Plans*),
It is assumed that Members will have read the submitted National Reports and Compliance Action Plans so there should be no need to re-present those items. The time will therefore focus on questions and answers to key issues within the National Reports as well as the contents of Compliance Action Plans.
 - 2.2. Report from Secretariat
The Secretariat's report will include an updated Compliance Table that summarises compliance of Members and CNMs with CCSBT management measures. This table will be updated in conjunction with Members and CNMs in advance of the meeting as recommended by the Fourth Meeting of the Compliance Committee (CC4).
 - 2.3. Assessment of compliance with CCSBT management measures
The CCSBT Strategic plan recommended by the Strategy and Fisheries Management Working Group (SFMWG) requires that, in order to obtain accurate data on all fisheries, an annual audit of Members against a checklist of compliance measures be conducted by the Compliance Committee. Information presented in the previous sub agenda items are expected to be the major contributors to this audit process. The present sub agenda item provides an opportunity to assess this information and to provide recommendations for any areas that require improvement.

3. Outcomes from the Compliance Risk Assessment

CC4 recommended that an intersessional working group undertake a risk assessment to identify how well existing measures are contributing to monitoring and management of the SBT fishery; and whether there are areas where monitoring and management of the fishery could improve. It was agreed that the working group will report back to the 2010 CC meeting, including priorities for action and proposals for potential additional resolutions. CCSBT 16 agreed that New Zealand would coordinate the work of this group. This work also directly contributes to the CCSBT Strategic Plan recommended by the SFMWG since the plan contains four related strategies¹ that are scheduled to be conducted in 2010.

 - 3.1. Report from the Intersessional Compliance Risk Assessment Working Group
New Zealand will present the major outcomes from the intersessional work.

¹ These being: (1) Assess the necessity of additional MCS measures and/or improvement of agreed MCS measures to meet Commission objectives; (2) Identify any gaps between MCS measures in place and any improvements or additional measures required; (3) Develop a plan for implementing any changes needed; and (4) Implement identified MCS measures.

3.2. Discussion of recommendations and resolutions

Depending on the outcomes of the intersessional work, this discussion could be a major component of the 2010 CC meeting.

4. Review of CCSBT MCS Measures

This agenda item is for review of specific existing MCS measures, with the intention of ensuring that these measures are operating effectively and efficiently. Compliance with such measures should, in most cases, be dealt with in the previous agenda item.

4.1. CDS

The CDS was implemented on 1 January 2010. The Secretariat will prepare a paper summarising implementation issues that it is aware of. This currently includes: Delegation of authority to validate CDS documents and provision of information on tagged SBT to importers as raised by Japan; Ambiguity in the timeframes for data submission and whether data should be provided in a more timely manner²; Ambiguity in the meaning of "Vessel Registration Number" (i.e. whether it is the CCSBT or national number); Problems with the centralised tag; Tag wastage due to the fishing year requirement in the tag number; Consider allowing non Members (and non CNMs) to validate re-export documents; Loop hole with respect to validation of domestically landed SBT that are transhipped; Defining the meaning of "farming capacity" for the authorised list of farms (i.e. whether it is stocking or production capacity); and items from Attachment 4 of the 2009 Compliance Committee meeting that were referred to this meeting for review/discussion (choice between options for the operation of Farm Stocking Forms and incorporation of hatchery raised SBT into the CDS). To enable Members to consider the CDS issues in advance, Members and CNMs are requested to circulate points they wish to raise well before the meeting³

4.2. Transshipment

The Secretariat will present a report on the operation of this resolution and shall table observer report(s) as required by the resolution. Members should note that they are required to provide a report to the Executive Secretary 6 weeks before the CCSBT meeting (the Secretariat will circulate these reports).

4.3. VMS

It may not be necessary to review the VMS.

5. Report from the Joint Tuna RFMO workshop on Improvement, Harmonization and Compatibility of Monitoring, Control and Surveillance Measures

A joint meeting of tuna RFMOs will be held in Barcelona from 3 to 5 June 2010 to discuss improvement, harmonization and compatibility of monitoring, control and surveillance measures, including monitoring catches from catching vessels to markets. The Executive Secretary will provide a brief summary of the outcomes of this workshop.

² *The Resolution requires CDS forms to be forwarded to the Executive Secretary on a quarterly basis, but it does not specify for which period. In lieu of such specification, the practice used for the TIS has been adopted for the CDS. In this practice, forms issued/received from January to March are submitted on 30 June and forms for April to June are submitted on 30 September etc. This results in a time lag of up to 6 months.*

³ *It is suggested that points (with explanation) be submitted to the Secretariat by 13 August 2010 for inclusion within the Secretariat's paper on this topic, or that they be submitted as short papers for the meeting by 10 September 2010.*

6. Data Confidentiality and Integrity

6.1. Data Confidentiality Rules and Arrangements

CCSBT 16 tasked the Executive Secretary with developing draft rules and other necessary arrangements for the exchange of confidential data drawing on precedence from other RFMOs, as relevant for consideration by the ESC and the CC at their 2010 meetings. Draft rules have been prepared and circulated by the Secretariat and a revised draft incorporating comments received will be submitted to the CC for its consideration.

6.2. Standards and Procedures to Ensure Data Integrity

The Strategic Plan recommended by the SFMWG specifies that standards and processes to ensure data integrity (“e.g. certain percentage of complete correct documentation accompanying landings and export/domestic sales; certain percentage of inspection”) should be adopted in 2010. The CC should consider such standards, possibly with reference to the Compliance Table that will be provided by the Secretariat.

7. Future work program

8. Other business

9. Recommendations to the Extended Commission

10. Conclusion

10.1. Timing of the next meeting

The Terms of Reference for the Compliance Committee specifies that “The Compliance Committee will meet annually immediately prior to the annual meeting of the Extended Commission unless otherwise decided by the Extended Commission”.

10.2. Adoption of meeting report

10.3. Close of meeting