

Eighth Meeting of the Compliance Committee
10 – 12 October 2013
Adelaide, Australia
Provisional Agenda

1. Opening of meeting

1.1. Welcome

1.2. Adoption of Agenda

1.3. Meeting Arrangements

2. Compliance with CCSBT Conservation and Management Measures

This agenda item relates to compliance with existing CCSBT conservation and management measures.

2.1. Annual Reports from Members and Cooperating Non-members

Members and Cooperating Non-Members (CNMs) should submit their annual reports in accordance with the [Template for the Annual Report to the Compliance Committee and the Extended Commission](#)¹ that was recommended by CC 7 and adopted at CCSBT 19. Members that have taken part in a Trial Quality Assurance Review (QAR) are encouraged to report any important outcomes raised within their QAR in section I (Summary of MCS Improvements) of the template. Note that completion of a QAR in its own right is an important step towards MCS improvement.

It is assumed that Members and CNMs will have read the submitted annual reports so there should be no need to re-present those items. The time will therefore focus on questions and answers to key issues within the Annual Reports. It is expected that the question and answer session will be a detailed examination of the Members' and CNMs' systems and performance.

2.2. Report from Secretariat

The Secretariat's report will include an updated Compliance Table that summarises compliance of Members and CNMs with CCSBT management measures. This table will be updated in conjunction with Members and CNMs in advance of the meeting as recommended by the Fourth Meeting of the Compliance Committee (CC4). The paper from the Secretariat will also include an updated characterisation of global SBT fisheries as requested by CC5.

2.3. Assessment of compliance with CCSBT management measures

The CCSBT Strategic plan requires that, in order to obtain accurate data on all fisheries, an annual audit of Members against a checklist of compliance measures be conducted by the Compliance Committee. Information presented in the previous sub agenda items is expected to be the major contributor to this process. The present sub agenda item provides an opportunity to assess this information and to provide recommendations for any areas that require improvement.

This agenda item also provides the opportunity for the Compliance Committee to consider the performance of CNMs in complying with CCSBT management measures in order to assist the Extended Commission with its decision in relation to continuing the status of CNMs.

¹ It should be noted that the template incorporates the "Annual VMS Summary Report", the "Annual Transshipment Summary Report" and the "Annual Report on Implementation of the 2008 ERS Recommendation", so there is no requirement to submit those reports separately.

3. Implementation of the CCSBT Compliance Plan

The CCSBT Compliance Plan contains a three year action plan. The following sub-agenda items relate to action planned for 2013 and 2014

3.1. Action planned for 2013

3.1.1. Minimum performance requirements

The Compliance Plan requires development and adoption of minimum performance requirements (MPRs) for the CDS and transshipments to be completed during 2013.

3.1.1.1. Catch Documentation Scheme (CDS)

The May 2013 meeting of the Compliance Committee Working Group agreed a set of revised CDS MPRs to recommend to the Compliance Committee. The Compliance Committee will consider these MPRs and decide whether to recommend these, or a further revised set of MPRs to the Extended Commission.

3.1.1.2. Transshipments

The Secretariat will prepare revised minimum performance requirements in relation to transshipments based on the consultant's original draft, to act as a basis for further discussion.

3.1.2. Explore the costs and benefits of a common IUU vessel list with other RFMOs

CC7 tasked the Secretariat with preparing a draft IUU vessel list measure for the CCSBT which is compatible with that of other RFMOs' IUU vessel list measures and to indicate the costs and benefits for the CCSBT of the draft measure. A draft was prepared and circulated to Members (Circular #2013/022). A revised draft will be prepared after Members' comments have been received and the revision will be submitted as a meeting document for the Compliance Committee's consideration.

3.1.3. Evaluate ways to effectively implement Port State obligations

CC7 tasked the Secretariat with preparing a draft set of CCSBT Port State Measures. At CCSBT 19, the high workload for the Secretariat was acknowledged and New Zealand offered to assist by preparing a draft Port State Measure. The draft by New Zealand is in preparation and will be circulated in advance of the meeting. The meeting will consider that draft.

3.1.4. Complete audit trial, receive reports, consider findings and take appropriate action

CCSBT 19 approved funding for the Trial Quality Assurance Reviews (QARs) recommended by CC7. These reviews are desktop reviews of the suitability of four Members' systems for ensuring compliance with their national allocations of the SBT global Total Allowable Catch. The QAR process, specifications and selection of reviewing company were all agreed intersessionally. Individual QAR reports are scheduled to be circulated together with any comments from the respective Member by 12 September 2013. The final overall QAR report is scheduled to be circulated by 27 September 2013.

Discussion of any significant issues raised in individual QAR reports is expected to occur in agenda item 2.1. The present agenda item is intended to consider the overall findings of the reviews and to make recommendations in relation to future reviews and other appropriate action.

Australia will provide a presentation² describing the process of independent internal audits within the Australian Government. This presentation may help Members that do not have internal audits to better understand the audit process.

3.1.5. Provide a program of MCS assistance to Indonesia

CC7 agreed that Australia would report to CC8 on assistance provided to Indonesia, including through its cooperative forums with Indonesia. In addition, CCSBT 19 created a small fund to assist developing Members/CNMs. The Secretariat will report on any usage of that fund in relation to MCS. The meeting should review whether current assistance mechanisms are suitable.

3.1.6. R & D on new technologies & tools to aid observers, certifiers and validators to identify SBT (in particular once processed)

Members are asked to prepare and present specific proposals for consideration by the meeting. The Compliance Committee will discuss any proposals and make recommendations to the Extended Commission in relation to support and/or funding of such projects as is appropriate. This matter was briefly discussed at CC7 and Members agreed to keep research and development on new technologies on the agenda for discussion of any updates in 2013.

3.2. Action planned for 2014

The Compliance Committee should give consideration to the approach it wishes to use for considering the following elements of the action plan during 2014.

- **Enhance monitoring through bilateral arrangements and international networks**

The three-year Action Plan includes an action item for the CCSBT to: “Build on existing bilateral arrangements and international networks (such as International Monitoring, Control and Surveillance Network) to enable Members to better monitor their fleet performance and any IUU fishing, and investigate non-compliance” . The Secretariat will provide information on the International Monitoring, Control and Surveillance (IMCS) Network and propose that the CCSBT become a member of the IMCS network. CCSBT Members should suggest other bilateral arrangements or international networks that could enhance monitoring.

- **Implement systematic monitoring and surveillance regimes for IUU SBT fishing**

Members should consider whether current and proposed CCSBT measures such as trade data analysis, IUU Vessel list and Port State Measures, etc. are sufficient, or whether additional measures are required. Consideration should be given to any systematic monitoring and surveillances approaches that should be implemented from 2014 and onwards.

- **Identify and nominate non-Member States whose cooperation should be sought**

The three-year Action Plan requires identification of non-member port and market States whose cooperation should be sought, together with nominations of these States to the Extended Commission. This process commenced at CC7 during discussions of the Secretariat’s analysis of trade data and has resulted in the Secretariat inviting the USA, Hong Kong, China and Singapore to participate as observers at CC8.

² As agreed at CCWG2, Australia has advised that it’s presentation “will outline how Australia’s audit processes operate, including private companies auditing Governments’ systems, as well as a Government also playing an audit role. It is anticipated that this presentation will outline how organisations must be appropriately accredited to perform an audit and that they must respect the confidentiality of all documents. Hopefully this presentation will allow CCSBT Members to reconsider the on-site verification phase (Phase 2) of the QAR.”

4. Review of CCSBT MCS Measures

This agenda item is for review of existing MCS measures, with the intention of ensuring that these measures are operating effectively and efficiently. Compliance with such measures should, in most cases, be dealt with in agenda item 2. The Secretariat will prepare a brief report summarising the operation of these measures from its perspective. Members should prepare papers outlining any operational issues with the measures that they have identified, including any recommendations for change.

4.1. CDS

Two possible changes to the CDS Resolution have been suggested by CCWG2 (see paragraphs 9 to 14 of the CCWG2 meeting report). These are in relation to the definition of “meat” in paragraph 1.2 of the CDS Resolution and the measurement of SBT fork length in the instructions of the Catch Tagging Form. The CCWG2 also sought advice as to whether the CDS Resolution includes the Resolution text, the attached forms and the form instructions, or whether the resolution includes only some of these items? For example, does the Resolution include only the Resolution text and the CDS forms, but not the CDS form instructions?

4.2. Transshipment

At CC7, Japan, Taiwan and potentially Korea undertook to explore intersessionally how they can work together to improve the integrity of transshipment at-sea verification. They agreed to report the outcome of these discussions to CC8.

4.3. VMS

4.4. Record of authorised farms and vessels

4.5. Review and analysis of publicly available trade data

CC7 requested that the Secretariat’s analysis of trade data from the Global Trade Atlas, including a comparison with information from the CCSBT’s CDS be updated on a regular basis and that Members be asked to investigate any discrepancies revealed in this process. The Secretariat will update its analysis for the meeting.

5. Discussion of new or enhanced MCS measures, including ongoing identification and sharing of best practise for MCS systems

5.1. Report on outcomes of any joint technical discussions in relation to stereo video technology

CC7 agreed that Australia and Japan would report the outcomes of any technical discussions on this matter to CC8.

5.2. Costs and benefits of a web-based eCDS

CC7 requested the Secretariat to explore the costs and benefits of a web-based e-CDS and to present its findings to CC8. The Secretariat prepared a concept paper describing its proposal for a web based eCDS and presented the proposal to CCWG2. The Secretariat is now preparing system specifications based on the concept paper in order to obtain “ballpark” cost estimates for an eCDS. These estimates, together with the benefits and concerns³ relating to an eCDS will be presented to CC8.

5.3. Observer program

There was no consensus on a Regional Observer Program (ROP) at CC7. However, it was agreed that the Executive Secretary would consult with Members on their objectives and requirements in relation to a ROP, analyse the requirements and report back to the Compliance Committee in 2013 with options on how to move forward. An initial paper, outlining Members’ comments on an ROP together with options for a way forward was presented to CCWG2. The paper will be revised, taking into account comments at CCWG2 and submitted to CC8 for the Compliance Committee’s consideration.

³ Members’ views on the benefits and concerns with an eCDS have been requested by the Secretariat (see CCSBT Circular #2013/024) as agreed at CCWG2 and these will be incorporated in the Secretariat’s paper.

5.4. New and/or enhanced MCS measures for the CCSBT

This agenda item provides an opportunity for the Compliance Committee to discuss any new and/or enhanced MCS measures required for the CCSBT. Measures to be discussed will arise from discussions at earlier agenda items and through any proposals submitted from Members in advance of the meeting. In relation to this, CC7 requested that all Members explore and report on options for strengthening MCS systems of Members and CNMs. Discussions will take the entire CCSBT MCS framework into account with the intent of achieving an overall MCS system with appropriate robustness to support CCSBT management decisions.

5.5. Ongoing identification and sharing of best practise for MCS systems

Members are invited to present information on developments in relation to best practise with MCS systems. This could include new systems developed by the Member or systems/practises developed elsewhere.

6. Future work program

7. Other business

8. Recommendations to the Extended Commission

9. Conclusion

9.1. Timing of the next meeting

The Terms of Reference for the Compliance Committee specifies that “The Compliance Committee will meet annually immediately prior to the annual meeting of the Extended Commission unless otherwise decided by the Extended Commission”.

9.2. Adoption of meeting report

9.3. Close of meeting