

**Tenth Meeting of the Compliance Committee
8 – 10 October 2015
Yeosu, South Korea
Provisional Agenda**

1. Opening of meeting

1.1. Welcome

1.2. Adoption of Agenda

1.3. Meeting Arrangements

2. Compliance with CCSBT Conservation and Management Measures

This agenda item relates to compliance with existing CCSBT conservation and management measures.

2.1. Annual Reports from Members and Cooperating Non-members

Members and Cooperating Non-Members (CNMs) should submit their annual reports in accordance with the [Template for the Annual Report to the Compliance Committee and the Extended Commission](#). Members that have participated in a Quality Assurance Review (QAR) are encouraged to report any important outcomes raised within their QAR in section I (Summary of MCS Improvements) of the template. Note that completion of a QAR in its own right is an important step towards MCS improvement.

In relation to implementing the definition of the Attributable Catch¹, paragraph 53 of the CCSBT 21 report specified the following action points for 2015:

- “Individual Member research on applicable sources of mortality and report back to ESC and CC for discussion and review.”*
- “Members shall endeavour to set allowances to commence for 2016-17 quota years for all sources of attributable mortality based on best estimates and notify other Members by CCSBT22. If Members can't they will notify CCSBT22 and explain why they are unable to and set a date by which they can set the allowance.”*

Progress in these matters should be reported in section I(3) “Implementation of the common definition for the “Attributable SBT Catch” of the Annual Report Template.

It is noted that, at CC9, Australia committed to provide the interim report on the project to investigate automation of the stereo video measuring technique.

It is assumed that Members and CNMs will have read the submitted annual reports so there should be no need to present those items. This agenda item will therefore focus on questions and answers to key issues within the Annual Reports. It is expected that the question and answer session will be a detailed examination of Members' and CNMs' systems and performance.

2.2. Report from Secretariat

The Secretariat's report will include an updated Compliance Table that summarises compliance of Members and CNMs with CCSBT management measures. This table will be updated in conjunction with Members and CNMs in advance of the meeting.

¹ CCSBT 21 agreed to the following definition of attributable catch: “A Member or CNM's attributable catch against its national allocation is the total Southern Bluefin Tuna mortality resulting from fishing activities within its jurisdiction or control including, inter alia, mortality resulting from:

- commercial fishing operations whether primarily targeting SBT or not;*
- releases and/or discards;*
- recreational fishing;*
- customary and/or traditional fishing; and*
- artisanal fishing.”*

2.3. Assessment of compliance with CCSBT management measures

Information presented in the previous sub agenda items as well as in the reports mentioned above are expected to be the major contributor to this process.

2.3.1. Compliance of Members

The Compliance Committee (CC) will consider the performance of Members in complying with CCSBT management measures and provide recommendations for any areas that require improvement.

2.3.2. Compliance of Cooperating Non-Members

The CC will consider the performance of CNMs in complying with CCSBT management measures and provide recommendations for any areas that require improvement. Information discussed here will assist the Extended Commission (EC) to make its decisions on whether to continue the status of existing CNMs and/or whether to accept applications from CNMs to join the EC, such as the application made by the EU this year.

2.3.3. Application of the Corrective Actions Policy

The CC will consider identified areas of non-compliance and recommend how those instances of non-compliance should be addressed under the [CCSBT's Corrective Action Policy](#).

3. Implementation of the CCSBT Compliance Plan

The following sub-agenda items relate to action planned for 2015 and 2016 within the Three-Year Action Plan (2015-2017) of the [Compliance Plan](#) that are not addressed in other sections of this agenda.

3.1. Action Planned for 2015

3.1.1 Update to the Template for the Annual Report to Compliance Committee and Extended Commission

The ERSWG has requested that the Compliance Committee collate information on the types of information Members collect on bycatch mitigation measures under their compliance programs for SBT vessels. The Secretariat will propose updates to the Annual Report Template to address this request and other updates that may be required for existing or new measures.

3.1.2 Electronic observation technologies

This item gives Members the opportunity to report back on the 2015-2017 Compliance Action Plan (CAP) item 8.3.1 regarding research they have undertaken to explore the costs and benefits of electronic observation technologies to supplement traditional human observer coverage programmes, and/or whether they have implemented or are considering implementing electronic observation technologies.

3.1.3 Agreed Minimum Catch Monitoring Requirements

CC9's Workplan notes that Members should "Develop and implement agreed minimum catch monitoring requirements for each fishing sector", during the approximate period 2015 – 2017. This activity reflects CAP action item 8.3.2. Members should report back on progress to date.

3.1.4 Enhance monitoring through bilateral arrangements and international networks

The Secretariat will report on any developments from the International Monitoring, Control and Surveillance Network and on the Secretariat's compliance relationships with other RFMOs, particularly the IOTC. CCSBT Members should suggest any additional bilateral arrangements or international networks that CCSBT could build on/join in order to enhance compliance monitoring.

3.2. Action Planned for 2016

The Compliance Committee should give consideration to the approach it wishes to use for considering the following elements of the Action Plan during 2016.

3.2.1. Study to Examine Systems/Processes on CCSBT Data Collection and Management

The CAP (item 8.3.1b) notes that in 2016 and 2017 a study should be conducted to examine systems/processes to better integrate and/or improve the efficiency of the collection and management of data/information submitted in accordance with CCSBT's CDS, VMS, Observer and Transshipment Measures, particularly focusing on collecting the data/information once and as close to its original source as possible, and to seek to harmonise these with other RFMOs' systems and processes wherever possible.

The CC should develop a Terms of Reference that sets out specifications for the work to be conducted as part of this study and that enables this work to be contracted out if required.

4. Review and Revision of CCSBT MCS Measures

This agenda item focuses on the review of existing MCS measures, with the intention of ensuring that these measures are operating effectively and efficiently, and are updated as appropriate.

4.1. Transshipment

4.1.1 Reporting

CC9 agreed and CCSBT21 adopted a revised CCSBT transshipment measure which for the first time includes monitoring of in-port transshipments. If available, the Secretariat will provide summary information for these in-port transshipments, in addition to its regular reporting on at-sea transshipments and any issues with the operation of the transshipment resolution.

4.1.2 Transshipment MOUs

At CC9 it was requested that the Secretariat approach WCPFC to ascertain the possibility of implementing a transshipment MOU between the CCSBT and WCPFC and transshipments involving SBT within the WCPFC Convention Area. The Secretariat will report progress on this item, and will also provide an update on discussions with ICCAT and IOTC regarding updating the existing CCSBT-ICCAT and CCSBT-IOTC transshipment MOUs.

4.2. Catch Documentation Scheme (CDS)

4.2.1. Operational Issues with the CDS

This agenda item provides an opportunity to identify and discuss any ongoing operational issues that are occurring with the CDS.

In addition:

- *As agreed at CC9 the Secretariat is monitoring how REEF² forms are utilised and will report on this (particularly with respect to the risk of over utilisation) to CC10.*
- *If the sufficient data have been received in time, the Secretariat will conduct a preliminary data analysis of differences between the weights of SBT in the Catch/Harvest and Final Product Destination sections of CDS Catch Monitoring Forms as outlined in CCSBT Circular #2015/009. The analysis will help to determine whether the 5% discrepancy level currently being allowed to assist in achieving smooth trade is appropriate.*

² Re-Export / Export after Landing of Domestic Product Form within the CCSBT CDS.

4.2.2. Revision of the CDS Resolution

At CC9, the Secretariat presented a paper CCSBT-CC/1410/16 which included a summary of ICCAT's experiences with its Electronic Bluefin Catch Document (e-BCD) project, and recommendations for a CCSBT electronic CDS (eCDS). One of the paper's main recommendations was to consider making amendments to the CCSBT CDS Resolution before commencing development of an eCDS. Members strongly agreed with this recommendation and it was added to the CC's 2015 Workplan.

The Secretariat has commenced its CDS review and is proposing a series of potential amendments to the CDS Resolution (including the associated CDS forms) that should facilitate the smoother implementation of an eCDS should Members agree to proceed with one.

The Secretariat will also report on its technical evaluation of ICCAT's e-BCD system and whether it would be cost effective and practical to modify ICCAT's system for use with a CCSBT eCDS.

4.3. Authorised Vessel Resolution

CC9 agreed that the following addition to the authorised vessel resolution would be included in a Secretariat paper to CC10 for consideration by the Compliance Committee:

“Effective from January 2016, Members and CNMs shall ensure that all fishing vessels (except wooden and fibreglass vessels) flying their flag that are authorised to catch SBT, and that are at least 100GT/GRT in size, have IMO numbers issued to them.

The Secretariat's paper will include this and other potential changes to the authorised vessel resolution for consideration by the Compliance Committee.

4.4. VMS

This agenda item will not be discussed if there is no new information to present or consider in relation to VMS.

4.5. Review of All Compliance Resolutions, Decisions and Recommendations

As part of the CC's Workplan for 2015, it was agreed to review existing Resolutions to identify outdated measures and update these accordingly. Taking into account resourcing constraints, the Secretariat will review and amend as many Resolutions, decisions and recommendations as possible, in tandem with its work on a compendium of CCSBT measures.

4.6. Draft IUU Vessel List

A CCSBT IUU Vessel List Resolution was adopted by CCSBT20. If any information is submitted to the Executive Secretary by Members and CNMs pursuant to paragraph 4 of the CCSBT IUU Vessel List Resolution, or any other suitably documented information available is submitted, then the Secretariat will provide a Draft IUU Vessel List for Members' consideration based on this information.

4.7. Minimum Performance Requirements

The Secretariat has prepared a revised draft of sections 2.1, 2.2, 2.3 (Authorisation Measures) and 3.3 (Transshipments) of the Minimum Performance Requirements (MPRs) as noted for revision during 2015 in the current CAP. Revisions to section 6.5 of the MPRs (Annual reporting to the Compliance Committee) were also needed due to changes in the Transshipment Resolution's annual reporting requirements, and are provided accordingly. New Zealand will provide a revised set of ERS MPRs for consideration by the SFMWG meeting in July 2015. Depending on the outcome of the SFMWG discussions, a set of draft ERS MPRs may also be considered under this agenda item.

4.8. Quality Assurance Reviews

The Quality Assurance Review (QAR) program for 2015 includes an on-site QAR Japan and finalisation of the 2014 QAR report for Indonesia. Discussion of any significant issues raised in individual QAR reports is expected to occur under agenda item 2.1. Discussions under the current agenda item are intended to focus on consideration of the overall findings of the 2015 QARs and recommendations regarding QARs for 2016.

4.9. Review of trade data

The Secretariat will provide an update of its annual paper on analysis of trade data, reporting on any significant changes with regard to emerging markets and trade data summaries/ discrepancies that have occurred since the Secretariat's report to CC9. The Secretariat will also report on any progress on CCSBT 21's request to commission market analyses on significant markets to contribute to estimating non-member catch.

The meeting will consider whether there are any specific non-member port and market States whose cooperation should be sought and advise the Extended Commission accordingly. The Secretariat has invited Fiji, Singapore and the USA to participate as observers to CC10 and CCSBT22.

5. Discussion of new or enhanced MCS measures, including ongoing identification and sharing of best practise for MCS systems

5.1. Port State Measures

At CC9, no agreement was reached on a CCSBT Port State Measures Resolution. Japan advised that it would intersessionally submit an alternative draft Port State Measures Resolution for consideration based on minimum standards for inspections in port which would provide an equivalent measure to ICCAT's Recommendation 12-07. Japan's proposal will be discussed under this agenda item.

5.2. R & D on new technologies & tools to aid observers, certifiers and validators to identify SBT (in particular once processed)

Members are asked to report back on new technologies and tools, and/or prepare and present specific proposals for consideration by the meeting. The Compliance Committee will discuss any proposals and make recommendations to the Extended Commission in relation to support and/or funding of such projects as is appropriate.

5.3. Ongoing identification and sharing of best practise for MCS systems

Members are invited to present information on any developments in 'best practise' with respect to MCS systems. This could include new systems developed by the Member or systems/practises developed elsewhere.

6. Work Program for 2016

7. Other business

8. Recommendations to the Extended Commission

9. Conclusion

9.1. Adoption of meeting report

9.2. Close of meeting