

Commission for the Conservation of
Southern Bluefin Tuna



みなまぐろ保存委員会

Report of the Third Meeting of the Compliance Committee

**12 – 13 October 2008
Auckland, New Zealand**

Report of the Third Meeting of the Compliance Committee

12-13 October 2008

Auckland, New Zealand

Agenda Item 1. Opening of meeting

1.1 Welcome

1. The meeting was opened by the Chair of the Compliance Committee, Mr Wood, who welcomed participants.
2. Participants introduced themselves and provided brief opening remarks. The list of participants is shown at **Attachment 1**.

1.2 Adoption of agenda

3. The agenda was adopted and is shown at **Attachment 2**. It was agreed that New Zealand's paper on a proposed under and overfishing resolution (CCSBT-CC/0810/16) would be raised in other business.
4. The list of documents for the meeting is shown at **Attachment 3**.

1.3 Meeting arrangements

5. The Chair advised participants of administrative arrangements for the meeting.

Agenda Item 2. Status of measures

2.1 Report from the Secretariat

6. The Secretariat provided an overview of paper CCSBT-CC/0810/04 and noted that most Members and Cooperating Non-Members have met their management related reporting obligations, although some had difficulty with the timeframes. The Secretariat also noted that the European Commission had written to the Chair of the Extended Commission outlining its reporting difficulties in relation to the Monthly Catch Reporting System and Trade Information Scheme.

2.2 Reports from Members and Cooperating Non-Members (Reports on compliance related issues from National Reports), and assessment of compliance with measures, including:

7. All Members and one Cooperating Non-Member (the European Community) presented their national reports (CCSBT-CC/0810/SBT Fisheries-) and responded to questions of clarification asked by participants.

Agenda Item 3. Terms of Reference

8. The meeting considered the terms of reference and agreed that they provided adequate scope for the work of the Committee.
9. It was recognised that paper CCSBT-CC/0810/17 provided a strategic focus for future work and that further work would be undertaken to expand and develop this focus.

Agenda Item 4. Integrated MCS measures

4.1 Catch Documentation Scheme

10. New Zealand presented paper (CCSBT-CC/0810/15) which provided a possible Catch Tracking Scheme based on a compromise between proposals put up by other Members and an extension of the CCSBT Trade Information Scheme.
11. A working group was formed to discuss this proposal and to prepare a Catch Documentation Scheme (CDS) resolution for consideration by the Extended Commission. Substantial progress was made by the working group, but there was insufficient time to finalise the proposal during the Compliance Committee meeting. It was agreed that the working group should continue its work during the meeting of the Extended Commission subject to the agreement of the Extended Commission.

4.2 Vessel Monitoring System

12. Australia introduced paper CCSBT-CC/0810/06 on a vessel monitoring system (VMS) for the CCSBT. The VMS resolution in Australia's paper was the resolution agreed at the Second Meeting of the Compliance Committee but not adopted at CCSBT 14.
13. The meeting agreed to a minor modification of this resolution, which is at **Attachment 4**.
14. The format for the VMS summary report referred to in paragraph 3a of the VMS Resolution (**Attachment 4**) will be approved intersessionally by the Commission in accordance Rule 6.5 of the Rules of Procedure. The Executive Secretary will provide a draft content and format of the report by 31 January 2009. The Commission will seek to approve the format by 30 April 2009.

4.3 Transshipment

15. Australia introduced paper CCSBT-CC/0810/07, which was based on the previous CCSBT transshipment resolution adopted at CCSBT 13 (2006) with additional modifications to reflect changes that the Indian Ocean Tuna Commission (IOTC)

had made to its transshipment resolution and to clarify the agreed implementation date.

16. The meeting noted that additional minor changes were required to the resolution, including changes to:
 - Ensure the safety of observers;
 - Change the tasks of observers in Annex 2 to reflect CCSBT tasks instead of IOTC tasks; and
 - Qualifying the vessel requirements from Large Scale Tuna Fishing Vessels as Tuna Vessels with Freezing Capacity.
17. It was agreed that a working group should discuss the resolution further during the meeting of the Extended Commission.

4.4 SBT farm compliance

18. The work being undertaken on the CDS resolution incorporates a proposed register of authorised farms and therefore it was considered that there was no need for further discussion of farm compliance under this agenda item.

Agenda Items 5 and 6. Other measures and future work program

19. New Zealand outlined its paper on strengthening the compliance regime (CCSBT-CC/0810/17).
20. The Compliance Committee agreed that there was nothing specific it wanted to add to its work program in addition to the work currently assigned to it by the Extended Commission. However, it agreed that the document concerning strengthening the compliance regime presented by New Zealand (CCSBT-CC/0810/17) would be a useful vehicle for future development work.

Agenda Item 7. Other business

21. The Committee noted that the paper from New Zealand concerning the management of over catch and under catch (CCSBT-EC/0810/16) would be considered by the Extended Commission.
22. The meeting noted the need to consider the special requirements of Indonesia as a developing country, in particular further improvements in relation to data collection as well as compliance with its national allocation.

Agenda Item 8. Recommendations to the Extended Commission

23. It was agreed to recommend that the Commission adopt the draft resolution on implementation of a vessel monitoring system at **Attachment 4**.
24. It was agreed to recommend to the Extended Commission that the Compliance Committee be convened during the course of its annual meeting to complete development of draft resolutions for adoption of a CCSBT Catch Documentation Scheme and Monitoring of Transshipment.
25. It was agreed to recommend to the Extended Commission that Members with a recreational catch should report estimates of their recreational catch to the Extended Commission on an annual basis.

Agenda Item 9. Conclusion

26. The report was adopted.
27. The meeting closed at 5:55pm on 13 October 2008.

List of Attachments

Attachment

- 1 List of Participants
- 2 Agenda
- 3 List of Documents
- 4 Resolution on establishing the CCSBT Vessel Monitoring System

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Third Meeting of the Compliance Committee
12 - 13 October 2008
Auckland, New Zealand

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Agenda
Third Meeting of the Compliance Committee
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1. Opening of meeting
 - 1.1. Welcome
 - 1.2. Adoption of Agenda
 - 1.3. Meeting Arrangements

2. Status of Measures
 - 2.1. Report from Secretariat
 - 2.2. Reports from Members and Cooperating Non-members (Reports on compliance related issues from National Reports), and assessment of compliance with measures, including:
 - 2.2.1. Compliance with National Quota Allocations
 - 2.2.2. Monthly Catch Reporting
 - 2.2.3. Trade Information Scheme
 - 2.2.4. Authorised Vessel List
 - 2.2.5. Scientific Observer Program
 - 2.2.6. Bird Mitigation Measures

3. Terms of Reference

4. Integrated MCS Measures
 - 4.1. CDS
 - 4.2. VMS
 - 4.3. Transshipment
 - 4.4. SBT Farm Compliance

5. Other Measures
 - 5.1. Port State Measures
 - 5.2. Other measures

6. Future work program

7. Other business

8. Recommendations to the Extended Commission

9. Conclusion

9.1. Adoption of meeting report

9.2. Recommendation of timing of next meeting

9.3. Close of meeting

**EXTENDED COMMISSION FOR THE CONSERVATION OF SOUTHERN
BLUEFIN TUNA**

THIRD COMPLIANCE COMMITTEE

List of Documents

(CCSBT-CC/0810/)

1. Draft Agenda
2. List of Participants
3. Draft List of Documents
4. (Secretariat) Compliance Committee Management Measures
6. (Australia) Vessel Monitoring System
7. (Australia) Transshipment for the SBT fishery
12. (Australia) Update on Japanese Market Data
13. (Australia) Appropriate Bycatch Management Mitigation measures
15. (New Zealand) Proposed Catch Tracking System for SBT
16. (New Zealand) Under and overfishing resolution for CCSBT
17. (New Zealand) Future priorities for strengthening the compliance regime
18. (New Zealand) New Zealand SBT tagging trials
19. (Japan) Seabird by-catch Mitigation
20. (Japan) Analysis on age composition of southern bluefin tuna used for farming
21. (Japan) Japan's preliminary analysis on CCSBT-CC/0810/12

(CCSBT-CC/0810/SBT Fisheries-)

Australia	Australian's Annual Review of the Southern Bluefin Tuna Fishery
New Zealand	New Zealand SBT Fisheries Review
Japan	Review of Japanese SBT Fisheries in 2007 Fishing Season
Taiwan	Review of Taiwan's SBT Fishery of 2006/2007
Korea	Review of Korean SBT Fishery of 2006/2007
Indonesia	Annual Review of Indonesia SBT Fisheries
EC	Report of 2007 SBT Fishery – European Community
Philippines	Report of 2007 SBT Fishery

(CCSBT-CC/0810/BGD)

1. (Australia) (CPUE Modelling Group) The development of new agreed CPUE series for use in future MP work. Itoh, T., Lawrence, E. and Pope, J.G. (Originally CCSBT-ESC/0809/09)
2. (Australia) Assessing the accuracy and precision of stereo-video and sonar length measurements of southern bluefin tuna (*Thunnus maccoyii*). Phillips, K., Rodriguez, V., Harvey, E., Ellis, D., Seager, J., Begg, G., Honda, N., Shibata, K., and Hender, J. (Originally CCSBT-ESC/0809/12)
3. (Australia) Fishery indicators for the SBT stock 2007/08. Hartog, J., and Preece, A. (Originally CCSBT-ESC/0809/16)
4. (Australia) Estimating Australia's Recreational Catch of Southern Bluefin Tuna. Rowsell, M., Moore, A., and Sahlqvist, P., and Begg, G. (Originally CCSBT-ESC/0809/17)
5. (Australia) Choice, use and reliability of historic CPUE. Davies, C., Lawrence, E., Basson, M., Kolody, D., and Preece, A. (Originally CCSBT-ESC/0809/19)
6. (Australia) The potential use of indicators as a basis for management advice in the short term. Basson, M., and Davies, C. (Originally CCSBT-ESC/0809/30)
22. (Japan) (Secretariat) Secretariat Review of Catches (ESC agenda item 4.2) (Originally CCSBT-ESC/0809/06)

(CCSBT-CC/0810/Info)

1. (New Zealand) CCAMLR process of risk assessment to minimize the effects of longline fishing mortality on seabirds. Waugh SM, Baker GB, Gales R, Croxall JP 2008.
2. (New Zealand) Stages in the process of managing seabird mortality in RFMO fisheries. Waugh, SM 2008

(CCSBT-CC/0810/Rep)

1. Report of the Twelfth Annual Meeting of the Commission (October 2005)
2. Report of the Sixth Meeting of the Ecologically Related Species Working Group (February 2006)
3. Report of the Special Meeting of the Commission (July 2006)
4. Report of the Seventh Stock Assessment Group Meeting (September 2006)
5. Report of the Eleventh Meeting of the Scientific Committee (September 2006)
6. Report of the First Meeting of the Compliance Committee (October 2006)
7. Report of the Thirteenth Annual Meeting of the Commission (October 2006)
8. Report of the First Meeting of the Compliance Committee Working Group (April 2007)
9. Report of the Seventh Meeting of the Ecologically Related Species Working Group (July 2007)
10. Report of the Eighth Stock Assessment Group Meeting (September 2007)

11. Report of the Twelfth Meeting of the Scientific Committee (September 2007)
12. Report of the Second Meeting of the Compliance Committee (October 2007)
13. Report of the Fourteenth Annual Meeting of the Commission (October 2007)
14. Report of the Performance Review Working Group (August 2008)
15. Report of the Special Meeting of the Commission (August 2008)
16. Report of the Independent Expert on the Performance Review (September 2008)
17. Report of the Ninth Meeting of the Stock Assessment Group and Fifth Meeting of the Management Procedure Workshop (September 2008)
18. Report of the Thirteenth Meeting of the Scientific Committee (September 2008)

Resolution on establishing the CCSBT Vessel Monitoring System

The Extended Commission for the Conservation of Southern Bluefin Tuna (CCSBT),

Recalling that, at its thirteenth annual meeting, the Extended Commission Members and Cooperating Non-Members agreed to develop and implement their Vessel Monitoring Systems (the 2006 VMS resolution);

Recognising the need for monitoring, control and surveillance measures to apply to all sectors of the global southern bluefin tuna fishery;

Recognising the importance of these Vessel Monitoring Systems as an integral part of an effective monitoring, control and surveillance regime for the southern bluefin tuna fishery, in particular to ensure the long-term sustainability of the stock;

Mindful that a vessel monitoring system was identified as one of the important monitoring, control and surveillance measures to deter illegal, unreported and unregulated fishing in the Course of Actions adopted at the Kobe Joint Meeting of Tuna Regional Fisheries Management Organisations from 22 – 26 January 2007;

Recognising the need to stipulate minimum standards for the Vessel Monitoring Systems;

Aware that some Members and other regional fisheries management organizations have established Vessel Monitoring Systems and that the experiences of such Members and organizations may be useful in developing and implementing a Commission for the Conservation of Southern Bluefin Tuna Vessel Monitoring System;

Agrees, in accordance with paragraph 3(b) of Article 8 of the Convention for the Conservation of Southern Bluefin Tuna, that:

1. The Members and Cooperating Non-Members of the Extended Commission shall adopt and implement satellite-linked Vessel Monitoring Systems (VMS) for vessels fishing for Southern Bluefin Tuna on the following basis:
 - a. for such vessels fishing in the IOTC Area, in accordance with IOTC Resolution 06/03 On Establishing a Vessel Monitoring System Programme (including Annex 1 to that Resolution);
 - b. for such vessels fishing in the WCPFC Area, in accordance with WCPFC Conservation and Management Measure 2006-06 “Commission Vessel Monitoring System” (including Annex 1 to that Measure);
 - c. for such vessels fishing in the CCAMLR Area, in accordance with CCAMLR Conservation Measure 10-04 (2006) “Automated Satellite-

Linked Vessel Monitoring System (VMS)” (including Annex 10-04/A and Annex 10-04/B to that Measure);

- d. for such vessels fishing in the ICCAT Area, in accordance with ICCAT Recommendation 03-14 “Recommendation by ICCAT concerning Minimum Standards for the Establishment of a Vessel Monitoring System in the ICCAT Convention Area”; and
 - e. for such vessels fishing in any other high seas area where there is no VMS, in accordance with IOTC Resolution 06/03 On Establishing a Vessel Monitoring System Programme (including Annex 1 to that Resolution).
2. The application of the VMS provided for in paragraph 1(a-e) shall be consistent with any modifications to those VMS that may be adopted by those respective Commissions from time to time.
 3.
 - a. The Members and Cooperating Non-Members of the Extended Commission shall provide VMS summary reports annually in advance of the Compliance Committee meeting and in the format approved by the Commission.
 - b. In relation to incidents concerning specific vessel(s) when the vessel(s) are suspected to have operated in contravention of CCSBT conservation and management measures, Members and Cooperating Non-Members of the Extended Commission may request another Member and Cooperating Non-Member of the Extended Commission which is the flag state/fishing entity of the vessel(s) to provide VMS data on the vessel(s) on a case by case basis. The Member and Cooperating Non-Member which receives such request shall:
 - (i) investigate the incidents and provide details of the investigation to the Member or Cooperating Non-Member which requested VMS data; or
 - (ii) provide VMS data on the vessel(s) to the requesting Member or Cooperating Non-Member, which will inform the results of its investigation to the Members or Cooperating Non-Member which is the flag state/fishing entity of the vessel(s).
 4. The Extended Commission agrees to adopt the confidentiality and security provisions attached in Annex I in relation to the information provided pursuant to paragraph 3(b).
 5. With the assistance of the Secretariat the Compliance Committee shall review and report to the Compliance Committee in 2009 on the implementation of this resolution and any possible measures to improve its effectiveness as a component of the monitoring, control and surveillance regime for the SBT fishery. Such review shall take account of any developments by other RFMOs, including development of a harmonised VMS across tuna RFMOs

6. This resolution does not supersede the 2006 VMS resolution adopted at CCSBT 13.

Annex I – Confidentiality, Use and Security of VMS Data

Confidentiality and use of VMS Data

1. VMS data shall be confidential and may only be provided or used as permitted by this resolution.
2. Members and Cooperating Non-Members of the Extended Commission which receive VMS data from another Member or Cooperating Non-Member of the Extended Commission shall maintain the confidentiality of those data and shall not use the data except as specified in the resolution. In particular, Members and Cooperating Non-Members of the Extended Commission which receive VMS data may only provide those data to representatives and officials of the Member or Cooperating Non-Member for the purposes outlined in paragraph 3 of this Annex.
3. Members and Cooperating Non-Members of the Extended Commission may only use those VMS data to monitor compliance with CCSBT conservation and management measures.

Information technology security

4. Members and Cooperating Non-Members of the Extended Commission which receive VMS data shall adopt secure information technology systems to ensure that the confidentiality of VMS data is maintained.

VMS Data Confidentiality Policies

5. Members and Cooperating Non-Members of the Extended Commission which propose to request VMS data shall prepare a VMS Data Confidentiality Policy and provide that Policy to the Secretariat and all other Members and Cooperating Non-Members of the Extended Commission. The VMS Data Confidentiality Policy shall outline all measures which the Member and Cooperating Non-Members of the Extended Commission proposes to implement to ensure it complies with the requirements in Annex I of this resolution.