Report of the Fifteenth Annual Meeting
of the Commission

14 - 17 October 2008
Auckland, New Zealand
Report of the Fifteenth Annual Meeting of the Commission
14-17 October 2008
Auckland, New Zealand

Agenda Item 1. Opening of meeting

1.1 Welcoming address
1. The Chair (Mr Stan Crothers, New Zealand) welcomed participants and opened the meeting. In his opening remarks, the Chair made a special welcome and congratulations to Indonesia for becoming a full Member of the Commission.
2. The meeting approved the Chair’s proposed procedure for managing the Commission and Extended Commission Meetings.

1.2 Adoption of agenda
3. The agenda was adopted and is included at Appendix 1.
4. The list of meeting participants is included at Appendix 2.

Agenda Item 2. Approval of decisions taken by the Extended Commission
5. The Commission approved the decisions taken by the Extended Commission for the Fifteenth Meeting of the Commission, which is at Appendix 3.

Agenda Item 3. Election of the Chair and Vice-Chair for CCSBT 16 and venue
6. The Chair of CCSBT 16 will be Mr Young-Hyo Ha (Korea).
7. The Vice Chair for CCSBT 16 will be Mr Masanori Miyahara (Japan).

Agenda Item 4. Other business
8. There was no other business

Agenda Item 5. Adoption of report of meeting
9. The report was adopted.

Agenda Item 6. Close of meeting
List of Appendices

Appendix

1 Agenda
2 List of Participants
3 Report of the Extended Commission for the Fourteenth Annual Meeting of the Commission
AGENDA

1. Opening of the meeting
   1.1 Welcoming address
   1.2 Adoption of agenda

2. Approval of decisions taken by the Extended Commission

3. Election of the Chair and Vice Chair for CCSBT16 and venue

4. Other business

5. Adoption of report of meeting

6. Close of meeting
Appendix 2

List of Participants

Fifteenth Annual Meeting of the Commission
Auckland, New Zealand
14-17 October 2008

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Appendix 3

Report of the Extended Commission of the Fifteenth Annual Meeting of the Commission

14 - 17 October 2008
Auckland, New Zealand
Agenda Item 1. Opening of meeting

1.1 Election of Chair and Vice-Chair for the Extended Commission of the Fifteenth Meeting of the Commission

1. The Chair of CCSBT 15 (Mr Stan Crothers) opened the meeting.

2. Mr Stan Crothers (New Zealand) and Mr Young-Hyo Ha (Korea) were confirmed as the Chair and Vice Chair of the Extended Commission meeting.

1.2 Adoption of agenda

3. The agenda was adopted and is included at Attachment 1.

4. Participants were introduced and the list of meeting participants is included at Attachment 2.

5. The list of documents submitted to the meeting is at Attachment 3.

1.3 Opening statements

1.3.1 Members

6. Opening statements by Members of the Extended Commission are at Attachment 4.

1.3.2 Cooperating Non-Members

7. Opening statements by Cooperating Non-Members are at Attachment 5.

1.3.3 Observers

8. Opening statements by observers are at Attachment 6.

Agenda Item 2. Report from the Secretariat

9. Members noted the report from the Secretariat. There were no comments on the report.
**Agenda Item 3. Finance and Administration**

10. The Executive Secretary provided an outline of the financial matters that the meeting would need to consider, including the revised budget for 2008 (CCSBT-EC/0810/05), the proposed budget for 2009 (CCSBT-EC/0810/06) and amendments to the financial regulations of the Commission (CCSBT-EC/0810/07). Detailed consideration of these papers was referred to the Finance and Administration Committee (FAC).

11. The FAC was convened to consider:
   - the revised budget for 2008;
   - the proposed budget for 2009;
   - the indicative budget for 2010;
   - the “financial statements” and “auditor’s report” from 2007;
   - recommended changes to the Financial Regulations and Financial Rules; and
   - other administration issues.

12. New Zealand was nominated to continue as chair of the FAC and Australia to act as rapporteur, however these decisions were deferred to the FAC to confirm.

13. New Zealand drew the Commission’s attention to existing agreements with regard to quota allocation for the 2010 year and requested that the indicative budget for 2010 reflect these agreements.

14. The Extended Commission adopted the report of the FAC, which is at Attachment 7.

**Agenda Item 4. Review of SBT Fisheries**

15. National fisheries reviews were considered to have been read by participants. The Chair provided an opportunity for Members and Cooperating Non-Members to ask questions in relation to the reports.


17. Australia and Japan expressed their intention to work for improvement of monitoring of Japanese wholesale markets and Australian SBT farming operations and report back the results to the 16th Extended Commission Meeting. The Extended Commission welcomed this cooperative initiative.

18. Members agreed on the need to work cooperatively in order to clarify the actual global SBT catch to the greatest extent possible.
Agenda Item 5. Governance – Role of Chair and Commissioners

19. The Extended Commission agreed to consider appointing Chairs and Vice Chairs for a period of two years with the opportunity for reappointment for a further two year period after the end of the current rotation, which finishes with the Fishing Entity of Taiwan in 2010. In the interim it agreed that the Chair and Vice Chair should work more closely together with the Executive Secretary.

Agenda Item 6. Report from the Compliance Committee

20. The outcomes of the Monitoring, Control and Surveillance (MCS) development work of the Compliance Committee is reported against agenda item 7.

21. The meeting of the Compliance Committee considered its terms of reference. A paper on strengthening the compliance regime was received from New Zealand and this paper was recognised as giving focus for future work.

Agenda Item 7. Integrated Monitoring, Control and Surveillance System

22. At its third meeting, the Compliance Committee continued its work to develop integrated MCS measures and made considerable progress on developing Catch Documentation Scheme (CDS), Vessel Monitoring System (VMS) and revised transhipment measures.

23. The Committee agreed on a VMS resolution as provided at Attachment 4 of the report of the Third Meeting of the Compliance Committee (CC3) and recommended that the Extended Commission adopt this resolution.

24. The Extended Commission provided additional time for the Compliance Committee to finalise the CDS and revised transhipment measures during the meeting of the Extended Commission.

25. The Compliance Committee concluded its work on integrated MCS measures during the meeting of the Extended Commission and agreed the following five resolutions:

- VMS\(^1\) (at Attachment 8);
- CDS (at Attachment 9), noting that the Secretariat will work through the resolution, make corrections that were indicated by the Compliance Committee\(^2\) and circulate it as soon as possible;
- Transhipment (at Attachment 10);

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\(^1\) The Secretariat will circulate a summary VMS report format by 31 January 2009 for approval by Members by 30 April 2009.

\(^2\) This includes: Correcting paragraph numbering; checking the accuracy of references to paragraphs; cross checking the consistency of the text in the resolution to the forms and the forms to their instructions; and consideration of deleting the export section of the catch monitoring form given that there is an export after landing form that could be used instead. The Secretariat will also review and improve the design of the forms in the appendices to the resolution for use of Members.
• Establishment of a record of authorised farms (at Attachment 11); and
• An amendment to the register of authorised vessels (at Attachment 12) to reflect some details in the CDS paper.

26. In relation the tagging component of the CDS, Australia clarified its position at Attachment 13.

27. The Extended Commission adopted all five MCS resolutions as recommended by the Compliance Committee.

**Agenda Item 8. Australian SBT farming study**

8.1 Examination of 2007-2008 results

28. The Chair of the Extended Scientific Committee (ESC) summarised the discussion of the Australian farming study that was considered under agenda item 6 of the ESC meeting.

8.2 Discussion of Australian SBT farming study

29. The meeting welcomed the progress of Australia’s research effort with the stereo video camera to improve its monitoring of SBT catches transferred into farms and encouraged full implementation of the stereo video technique by Australia as soon as possible.

**Agenda Item 9. Recreational fisheries**

30. The Extended Commission adopted the recommendation of the Compliance Committee that Members with a recreational catch should report estimates of their recreational catch to the Extended Commission on an annual basis.

**Agenda Item 10. Report from the Extended Scientific Committee**

31. The Chair of the ESC presented the report of the ESC, which is at Attachment 14.

32. Following a question and answer session, the meeting agreed to all the recommendations from the report of the ESC. The meeting did not propose any revisions to the five alternative constant catch projection options suggested by the ESC for producing management advice. However, it was noted that this advice could be provided from the Strategy and Fisheries Management Working Group meeting to be held in Tokyo in April 2009.

33. The meeting agreed that there was a need to consider streamlining the scientific process. It was agreed that the work of the Scientific Committee for 2009 was extremely important and should proceed without interference to the process.
However, it was felt that a review of the scientific process should be conducted after the 2009 scientific process.

**Agenda Item 11. Total Allowable Catch and its allocation**

34. The meeting noted that CCSBT 13 set the TAC and its allocation to Members for 2007 to 2009 for most Members and for 2007 to 2011 in the case of Japan, and that the TAC was only to be reviewed if exceptional circumstances emerged in relation to the stock. The Extended Commission reconfirmed its decision on the TAC and its allocation as specified in paragraphs 60 to 69 of the CCSBT 13 report.

35. Indonesia advised the Extended Commission that it is a developing country with a historic SBT catch that is considerably greater than its current allocation, and that it was seeking consideration of the Commission for an increase in its national allocation of the TAC. The Extended Commission confirmed that Indonesia’s TAC for 2009 would be 750t, but agreed that it would not impose sanctions on Indonesia for small catches above this level during 2008 and 2009.

36. Members agreed to work with Indonesia to develop a more detailed understanding of the Indonesian fishery and its interactions with southern bluefin tuna on the spawning grounds.

37. The European Community advised the Extended Commission that it was seeking consideration of the Commission for an increase to its national allocation of the TAC. South Africa advised that it was considering joining the CCSBT as a full Member, possibly by next year, and it hoped to receive a more equitable national allocation at such time. In support of their requests:
   - The European Community advised that its SBT catch was a small “unavoidable” bycatch of its swordfish and shark fisheries and that it did not intend to allow an expansion of its SBT catch above the current level of by-catch or to develop an SBT fishery; and
   - South Africa advised that it was a coastal state and that it wished to further develop its fishery.

38. The Extended Commission was not able to agree to these requests given the current state of the fishery and the TAC decisions made in 2006, nor did the Extended Commission agree to relax any of its reporting requirements. However, the Extended Commission noted that the TAC and national allocations will be reviewed in 2009 and encouraged Cooperating Non-Members to consider becoming full Members prior to that time.

39. The Extended Commission also agreed to maintain the interim catch allocations for 2009 for Cooperating Non-Members to the levels specified at paragraph 64 of the CCSBT 13 report.
Agenda Item 12. Administration of Over/Under Catch

40. The Extended Commission decided not to implement New Zealand’s proposal (CCSBT-EC/0810/BGD/18) for the administration of over-catch and under-catch. The difficulty in accurately administering over-catch and under-catch without a CDS or other means of verifying catches was also noted. Additional work was conducted during the meeting on a proposal to allow carry forward of small percentages of uncaught quota on a case by case basis in small coastal SBT fisheries. However, the meeting did not reach consensus on this proposal.

Agenda Item 13. CCSBT performance review

41. The Extended Commission considered paper CCSBT–EC/0810/14. The consideration of the performance review recommendations was undertaken against Attachment B of that paper. In summary, in each of the major themes of the paper, the Extended Commission:

**Governance and fisheries management**
- Agreed to hold a Strategy and Fisheries Management Working Group Meeting in Tokyo from 14 to 17 April 2009 to address management objectives, a fisheries management plan and stock rebuilding options. The Working Group would comprise Commissioners, fisheries managers and scientific experts from Members. The terms of reference for the meeting are at Attachment 15.

**Science**
- Noted that the recommendations concerning science are being addressed by the work of the Extended Scientific Committee and that the report of the Chair of the Extended Scientific Committee will incorporate a response to these recommendations.

**Data**
- Noted that the Extended Commission had taken decisions to require the provision of accurate data by members and that these decisions would be supported by the decisions of the Extended Commission on an integrated MCS system.
- Noted that in the long term the CCSBT’s data collection requirements should match those of other tuna RFMOs to assist with harmonisation across the RFMOs. There should also be a better sharing of data across the RFMOs.

**Ecologically related species**
- Recognised that its lack of action in relation to ERS matters has attracted justifiable criticism and noted that:
  - the independent review had identified no barrier to the Extended Commission taking binding decisions on ERS; and
  - the Extended Commission would be considering improvements to its activity on ERS issues under agenda item 14 including discussion of specific proposals to improve performance in this area.

**Compliance**
• Noted that the Extended Commission needed to be able to rely on the data provided by members and that it was moving to implement an integrated MCS system which reflected the recommendations of the performance review. It acknowledged that further work was required on other measures identified in the performance review, but that for the moment priority was being given to finalising the MCS package, consisting of CDS, VMS, and transhipment resolutions. The Extended Commission also noted the need to keep these issues under review and strengthen their effectiveness.

**Relationships**

• Noted the independent reviewer’s statement that the current CCSBT rules are quite restrictive and do not accord with current standards of international best practise including transparency.

• Agreed to reduce the notification period for observers wishing to attend CCSBT meetings to 50 days and to amend the rules of procedure accordingly.

• Noted that its current activities were improving harmonisation with other RFMOs in the areas of CDS, transhipment, sharing of science information, and unique vessel identification.

• Noted that CCSBT needed to formalise and agree with the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR) the applicable management measures for SBT fishing to be undertaken in the CCAMLR Convention Area, consistent with the CCAMLR convention.

**Administration**

• Decided not to extend the meeting time for the Compliance Committee/Extended Commission any further. It was decided that the Extended Commission would respond to the recommendations of the performance review by working more flexibly at its meetings and intersessionally (including through technology e.g. teleconferencing and videoconferencing, e-mail use and making use of opportunistic meetings) to optimise the time available for the agendas of the two meetings. It noted that the work of the Compliance Committee and Extended Commission would also be improved by rigorous preparation for the meeting.

**Agenda Item 14. Ecologically Related Species Working Group**

42. The Extended Commission noted the critical Performance Review assessment of its actions in relation to ecologically related species in both the self assessment component of the review and the independent expert’s report. It was noted that this was a significant issue that the CCSBT needed to resolve.

43. Japan presented paper CCSBT-EC/0810/BGD23, which proposed that Members and Cooperating Non-members agree to manage fishing for SBT in accordance with the seabird by-catch mitigation resolutions of other RFMOs when fishing in their convention areas.

44. Australia presented paper CCSBT-EC/0810/BGD15, which proposed a binding CCSBT resolution on seabird by-catch mitigation.
45. It was agreed that a non-binding recommendation would be developed for the CCSBT covering by-catch mitigation for sea birds, sea turtles and sharks. The recommendation at Attachment 16 was adopted by the Extended Commission.

46. The Extended Commission expressed its commitment to managing the impacts of SBT fisheries on the marine environment. The Extended Commission also noted that the continued work of the Ecologically Related Species Working Group is necessary to this end. It was agreed to convene a meeting of the Working Group in 2009 in Korea and fund it in the 2009 budget. The Executive Secretary was asked to investigate whether it was possible to hold the meeting immediately before or after the Extended Scientific Committee meeting.

**Agenda Item 15. Cooperating Non-Members**

47. The Executive Secretary outlined paper CCSBT-EC/0810/16 regarding Cooperating Non-Members. He advised that the Philippines and South Africa have been generally complying with the CCSBT conservation and management measures. He also advised that the European Community had corresponded with the Extended Commission regarding difficulties that they were having with some of the CCSBT reporting requirements.

48. The Extended Commission agreed to continue the Cooperating Non-Member status of the Philippines and South Africa. Members were also happy to note the consideration being given by South Africa on becoming a full Member of the Commission.

49. The Extended Commission was encouraged by the European Community’s commitment to transpose the CCSBT’s measures into Community law and therefore fully abide by the CCSBT’s measures in the future. With this understanding, the Extended Commission agreed to continue the Cooperating Non-Member status of the European Community. Members also encouraged the European Community to consider acceding to the convention.

**Agenda Item 16. Relationship with Non-Members**

50. The Executive Secretary presented paper CCSBT-EC/0810/17 on the relationship with non-members. During 2008, contact had been made with only one non-member, Belize. The Executive Secretary noted that the International Merchant Marine Registry of Belize had initiated contact with the Secretariat on a number of occasions in recent years.

51. Australia advised that the requests for information from Belize were likely to be for the purpose of Belize ensuring that they were in compliance with CCSBT measures.

**Agenda Item 17. Research Mortality Allowance**

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52. The Extended Commission agreed to allocate the following Research Mortality Allowances for 2009:
   • 1 t for the Japanese recruitment surveys including trolling surveys; and
   • 10 t for Australian pop up tagging in collaboration with New Zealand, Taiwan and South Africa and a possible pilot project involving gene tagging.

**Agenda Item 18. Activities with other organisations**

53. The Executive Secretary outlined paper CCSBT-EC/0810/19 which described the activities with other organisations during 2008 and the proposed attendance at meetings of other organisations during 2009.

54. The Chair of the joint tuna RFMO meeting commented that the date and venue of next tuna RFMO meeting will be clarified with the European Community in the next week and that Members will be advised soon after that.

55. It was noted that the Secretariat should maintain close liaison with ICCAT, particularly in relation to IUU fishing matters.

**Agenda Item 19. Program of work for 2009**

56. The Extended Commission agreed to the workplan at Attachment 17.

**Agenda Item 20. Confidentiality of Commission Documents**

57. The Extended Commission agreed that, with exception of Attachment A of paper CCSBT-ESC/0809/06 and papers CCSBT-CC/0810/12 and CCSBT-CC/0810/21, the documents and reports of meetings under the jurisdiction of CCSBT 15 would be made publicly available.

**Agenda Item 21. Other business**

58. It was noted that the Compliance Committee and Extended Commission meetings lasted 6 days in total, with lengthy periods when many participants were inactive while documents were being discussed and prepared by others. Members were encouraged to give consideration intersessionally and through bilateral discussions to procedures for improving the efficiency of the meeting process and reducing the total duration of the meetings to 5 days once this has been done.
Agenda Item 22. Close of meeting

22.1 Election of Chair and Vice-Chair for the Extended Commission of the 16th Annual Meeting of the CCSBT

59. The Extended Commission agreed that the Chair for CCSBT 16 will be Mr Young-Hyo Ha (Korea). The Vice-Chair will be nominated by Taiwan.

60. The Fourth Meeting of the Compliance Committee will be held at Jeju Island, Korea on 18-19 October 2009. The 16th Annual Meeting of the Commission will be held at the same location from 20 to 23 October 2009.

22.2 Adoption of report

61. The report was adopted.

22.3 Close of meeting


63. Members and Cooperating Non-Members thanked the Chair, the Secretariat and the interpreters for an extremely productive meeting.
List of Attachments

Attachment

1. Agenda
2. List of Participants
3. List of Documents
4. Opening Statements by Members
5. Opening Statements by Cooperating Non-Members
6. Opening Statements by observers
7. Report of the Finance and Administration Committee
8. Resolution on establishing the CCSBT Vessel Monitoring System
9. Resolution on the Implementation of a CCSBT Catch Documentation Scheme
10. Resolution on Establishing a Program for Transshipment by Large-Scale Fishing Vessels
11. Resolution on the Establishment of a Record of Authorised Farms
12. Resolution on amendment of the Resolution on “Illegal, Unregulated and Unreported Fishing (IUU) and Establishment of a CCSBT Record of Vessels over 24 meters Authorized to Fish for Southern Bluefin Tuna” adopted at the CCSBT15 in 2008
13. Australia’s clarification in relation to its measuring of SBT in the tagging component of the CDS
14. Report of the Thirteenth Meeting of the Scientific Committee
15. Terms of Reference for the Strategy and Fisheries Management Working Group Meeting
16. Recommendation to Mitigate the Impact on Ecologically Related Species of Fishing for Southern Bluefin Tuna
17. Workplan
Attachment 1

Agenda

Extended Commission of the Fifteenth Annual Meeting of the Commission

14-17 October 2008
Auckland, New Zealand

1. Opening of the Meeting
   1.1. Election of Chair and Vice-Chair for the Extended Commission of the Fifteenth Meeting of the Commission
   1.2. Adoption of Agenda
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Auckland, New Zealand
14-17 October 2008

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List of Documents

The Extended Commission of the Fifteenth Annual Commission Meeting

(CCSTBE-EC/0810/)
1. Draft Agenda and the Annotation
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3. Draft List of Documents
4. (Secretariat) Report from the Secretariat
5. (Secretariat) Revised 2008 Budget
6. (Secretariat) Draft 2009 Budget
7. (Secretariat) Amendments to Financial Regulations
8. (Secretariat) Review of SBT Fisheries
9. (Secretariat) Governance Arrangements– Role of Chair
10. (Secretariat) Report from the Compliance Committee
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21. (Secretariat) Workplan
22. (SC Chair) Report of the 9TH Meeting of the CCSBT Stock Assessment Group and the 13TH Meeting of the Scientific Committee

(CCSTBE-EC/0810/SBT Fisheries-)

Australia    Australian’s Annual Review of the Southern Bluefin Tuna Fishery
New Zealand  Review of New Zealand SBT Fisheries
Japan        Review of Japanese SBT Fisheries in 2007 Fishing Season
Taiwan       Review of Taiwan’s SBT Fishery of 2006/2007

2. (Australia) Assessing the accuracy and precision of stereo-video and sonar length measurements of southern bluefin tuna (Thunnus maccoyii). Phillips, K., Rodriguez, V., Harvey, E., Ellis, D., Seager, J., Begg, G., Honda, N., Shibata, K., and Hender, J. (Originally CCSBT-ESC/0809/12)


5. (Australia) Choice, use and reliability of historic CPUE. Davies, C., Lawrence, E., Basson, M., Kolody, D., and Preece, A. (Originally CCSBT-ESC/0809/19)


8. (Australia) Vessel Monitoring System (Originally CCSBT-CC/0810/06)

9. (Australia) Transshipment for the SBT fishery (Originally CCSBT-CC/0810/07)

14. (Australia) Update on Japanese Market Data (Originally CCSBT-CC/0810/12)

15. (Australia) Appropriate Bycatch Management Mitigation measures (Originally CCSBT-CC/0810/13)

17. (New Zealand) Proposed Catch Tracking System for SBT including a register of authorized farms (Originally CCSBT-CC/0810/15)

18. (New Zealand) Under and overfishing resolution for CCSBT (Originally CCSBT-CC/0810/16)

19. (New Zealand) Future priorities for strengthening the compliance regime (Originally CCSBT-CC/0810/17)

20. (New Zealand) New Zealand SBT tagging trials as part of a catch tracking system (Originally CCSBT-CC/0810/18)

21. (Australia) Proposed use of CCSBT Research Mortality Allowance to facilitate electronic tagging of adult SBT as part of Australia’s contributions to the CCSBT SRP in 2008/09. Davies, C. (Originally CCSBT-ESC/0810/31)

22. (Japan) (Secretariat) Secretariat Review of Catches (ESC agenda item 4.2) (Originally CCSBT-ESC/0809/06)

23. (Japan) Seabird by-catch Mitigation (Originally CCSBT-CC/0810/19)
24. (Japan) Analysis on age composition of southern bluefin tuna used for farming (Originally CCSBT-CC/0810/20)

25. (Japan) Japan’s preliminary analysis on CCSBT-CC/0810/12(Originally CCSBT-CC/0810/21)

(CCSBT-EC/0810/Info)


(CCSBT-EC/0810/Rep)
2. Report of the Sixth Meeting of the Ecologically Related Species Working Group (February 2006)
5. Report of the Eleventh Meeting of the Scientific Committee (September 2006)
6. Report of the First Meeting of the Compliance Committee (October 2006)
11. Report of the Twelfth Meeting of the Scientific Committee (September 2007)
12. Report of the Second Meeting of the Compliance Committee (October 2007)
17. Report of the Ninth Meeting of the Stock Assessment Group and Fifth Meeting of the Management Procedure Workshop (September 2008)
18. Report of the Thirteenth Meeting of the Scientific Committee (September 2008)
Opening Statement by Australia

Thank you and good morning Chair, delegates, ladies and gentleman. Firstly I would like to extend my thanks to Ngati Whatua, the tangata whenua for the powhiri.

We would also like to thank the Government of New Zealand for hosting the 15th session of the Commission for the Conservation of Southern Bluefin Tuna.

First, Australia would like to formally recognise Indonesia and welcome them to their first meeting as a full member of the Commission. Indonesia’s joining the Commission is a very important development: not only are they an important fishing nation and a range state for southern bluefin tuna, but now both of the countries whose waters surround the spawning grounds of southern bluefin tuna, Australia and Indonesia, are members of this Commission.

It is well known to everyone here that we have a fishery that is under considerable pressure and it has been for many years. This is not an unusual situation for a fishery, particularly given the globalisation of fisheries, the large number of fishing nations and coastal states that catch tuna species and the extensive trade in fisheries, notably tuna. These pressures are particularly great on high value tuna species. This makes our job to ensure that the fishery is sustainable into the future even harder, but the tools to manage the fishery are available.

Clearly we are far from the target we set ourselves for this fishery of rebuilding the stock to Maximum Sustainable Yield (MSY) levels. In Australia we have recognised that MSY is not necessarily the most appropriate target for a fish stock and, for many of our fisheries, we have adopted the approach of using Maximum Economic Yield (MEY) as an appropriate reference point – and SBT is even further away from being able to meet this target. This Commission needs to commit to managing this fishery in a sustainable way and to exercising the political will to make the decisions that will allow this to happen.

CCSBT is one of five tuna regional fisheries management organizations (RFMOs) and in many things this Commission has lead the way amongst this group. Most recently we have been the first to complete our performance review. Australia believes that there are no surprises in the outcomes of the review and now all members need to apply the political will to implement the required changes, including those identified in Ambassador Balton’s independent review. Australia would see one of the aims of this week as being to develop an action plan to implement the required changes.

There is another key issue which I must mention. This issue has been with us for some time and was identified as a key problem in the development of the Southern Bluefin Tuna Scientific Research Program (SRP) in 2000. That issue is the characterisation of the catch. While this may seem like a trivial or easily resolved issue, it is not.
Furthermore, data drives the SBT stock assessments and to carry out those assessments effectively we must have complete and accurate data. Today we have the technology to characterise the catch of SBT; what we need is the political will to apply that know how.

We remain concerned about the amount of SBT appearing on Japanese markets and we will need to continue monitoring the markets to ensure that public concerns in Australia and overseas about over-catch are addressed. We must implement, as soon as possible, essential monitoring, control and surveillance measures, including the establishment of a Vessel Monitoring System, a Catch Documentation Scheme and a transshipment control measure.

Domestically, Australia has implemented harvest strategies for all our fisheries and we believe that CCSBT likewise needs to commit to develop a management procedure which will better instruct us on appropriate levels of catch and how we should respond as a Commission to changes in stock status. We believe that this Commission must establish target reference points for the fishery as soon as possible and associated decision rules to guide the Commission in the management of the fishery. However, while Australia is keen to develop a management procedure it is likely to be counter productive unless we have a clear understanding of the catch by all Members, Co-operating non-members and non-members.

Finally, and importantly, we must minimise the impacts of SBT fishing on ecologically related species. We need to meet not just our responsibilities to our own public constituencies, but also to the global public. The plight of many seabird species, in particular albatrosses is well recognised and the outlook for some species is dire. Further, we cannot ignore the impact that fishing has on sharks, sea turtles and other marine species and we must take positive steps to reduce our impact on associated and dependent species.

I look forward to a successful and fruitful meeting.
Mr. Chairman, distinguished delegates, ladies and gentlemen

On behalf of Taiwan delegation, I would like to express my sincere gratitude to the Government of New Zealand for providing this excellent venue to hold the meeting. I am glad that the Indonesia is one of the members of the Extended Commission of CCSBT. As the waters of Indonesia is the spawning ground of southern bluefin tuna (SBT), the accession of the Indonesia to the Extended Commission is much important to the conservation and management of the resource. I would also like to welcome the representatives of European Community and the Philippines of Cooperating Non-Member.

At the 13th annual meeting of CCSBT, the Members and Cooperating Non-Members recognized the critical importance of fully implementing an integrated package of compliance measures to prevent, deter and eliminate illegal, unreported and unregulated SBT catches. The participants also had heavy discussed on the issues relating to the implementation of the draft resolution of catch documentation scheme (CDS), vessel monitoring system (VMS) and transshipment at sea respectively. Unfortunately due to the difference of the fisheries situation among the members, neither the detailed implementation measures on VMS and transshipment at sea, nor the measure on CDS had been adopted.

Considering the fact that the IOTC had adopted the resolution on VMS and transshipment at sea respectively, Taiwan thinks it is important for the CCSBT to reach consensus on the contents of resolution on VMS and transshipment at sea, taking into account the principle of harmonization with the measures adopted by the Commission of IOTC. With regard to the CDS, according to our outstanding the purpose of CDS is to establish the tracking system of SBT so as to ensure the compliance of the management and conservation measures. Therefore, it is important and necessary to broadly cover all SBT fisheries to reach the objective of fairness as well as to combat and eliminate IUU fishing activities.

In principle, Taiwan supports to establish the CDS, and thinks the upcoming CDS shall apply to all marine and farm SBT fisheries, taking into account the element of fairness, feasibility and simplification. We don’t want to see such measure will become an unbearable burden to the fishermen in the midst of up-rising oil prices. In addition, we also note the recommendations from the performance review of the CCSBT, and we are concerned that this annual meeting how to respond the recommendations from the performance review of the CCSBT, such as the timing arrangement of the Compliance Committee and the Extended Commission.

In closing, Taiwan will work with my dear colleagues around the table during the course of this week and hope the meeting has a fruitful and constructive outcome.
Thank you.
Opening Statement by Indonesia

Mr. Chair, distinguished delegates, ladies and gentlemen, very good morning to all of you.

First of all, on behalf of the Indonesian government and delegation, I would like to extend my sincere gratitude to the New Zealand government for hosting this annual commission meeting. Further, I would also thank the secretariat staffs of the commission that facilitate and make this meeting to be realized. We are now here for the 15th annual meeting of the CCSBT.

For Indonesia, this meeting is really indispensable. This annual commission meeting is the first time for Indonesia to participate under and bearing a new status as a full member of the commission. For more than 10 years, Indonesia had been considered as a cooperating non-member of the commission. With that previous status, Indonesia had been involved and given opportunities to participate in the many aspects of the commission activities. At December 2007, the government of Indonesia ratified the commission constitution and hence that was a legal basis for Indonesia submitting membership status to the commission. Indonesia acceded to the convention of the CCSBT on April 2008. At this very important meeting, Indonesia want to thank to the all members and non-members as well as every party that help Indonesia in the process through which this new status is guaranteed.

Being a full member of the CCSBT, it brings about multifaceted consequences and implications. Indonesia now should involve and participate more actively in the management and conservation of southern bluefin tuna. Efforts are also directed to fill country responsibilities with respects the constitution, resolutions, decision, and regulation of the commission. As a new member, all those responsibilities might be difficult to be fulfilled at once. Yet, Indonesia has decided to do its best in support of arriving at the objective of the commission.

Internally in Indonesia, Ministry of Marine Affairs and Fisheries that bears main responsibilities resulted from this new status. However, other government institutions especially Ministry of Foreign Affairs also now pay the serious attention on the issues and development of CCSBT. In the parliament of Indonesia, there is a special fisheries commission overseeing the fisheries development that also want to see and demand positive impact of the Indonesian membership in the CCSBT. A serious attention is also given by Indonesian private sectors that thus far have been looking for the best opportunity to utilize and manage southern bluefin tuna resources. Showing their concern, there are three officials from Association of Tuna Fishing Companies that also form the Indonesian delegates and attend this meeting.

Mr. Chair, ladies and gentlemen. The main expectation of becoming full member of the commission is that Indonesia can actively participate in the management conservation
and utilization of southern bluefin tuna resource. Nevertheless, it should be pointed out
that there is another target and objective expected to be achieved as a part of Indonesian
fisheries development programs is that to develop southern bluefin tuna fishery as a
source of living for many small-scale fishers, operators, and enterprises. Although
fisheries sector only contributes about 2% to 3% of the total Indonesian Gross Domestic
Product (GDP), the sector has a significant role in terms of providing employment. The
government of Indonesia also prioritizes fisheries sector as a means of achieving the
global consensus Millennium Development Goals (MDGs), where poverty alleviation is
one of its components. Within that context and corridor, Indonesia is now developing its
fisheries sector where bluefin tuna is an important element.

Mr. Chair. In the last two years, Indonesia could not market its bluefin tuna catch due to
prevailing marketing and trading arrangements. On the other hand, using small-scale
fleets, bluefin tuna were able to be caught unintentionally. Number of bluefin tuna catch
in 2007 were somewhat more than 1,000 tons. None of the catch were sent to export
market. Therefore, it was really a misallocation of that expensive resource as it was
undervalued and under-priced in the local market.

Indonesia was given 750 ton of total allowable catch (TAC) for 2008. Yet by just using
that TAC, fishers could not export their catch. At the last year commission meeting, TAC
for Indonesia in 2009 was not yet determined. Other member countries and even
cooperating non-members have received their respective TAC for 2009. Irrespective of
the reasons underlying the last year decision, Indonesia humbly want to ask the chair
prioritize the agenda on the TAC and national allocation and determine the TAC for
Indonesia in this meeting

With regards to the management of tuna and other highly migratory species, Indonesia
has taken important steps in order to participate and involve in international fora and
organization. Beside becoming full member of the CCSBT, Indonesia has been adapted
as a full member of the IOTC since 2007. Indonesia is also now in the process of being
full member of the WCPFC to replace its status now as cooperating non-member.
Hopefully, by the end next years, Indonesia will have been active members of these three
tuna RFMO whose competent areas bordering Indonesian waters.

At this very important occasion, Indonesia want extend its greatest regard to the
government of Australia that through various institutions, arrangements, projects, and
activities has assisted Indonesia in capacity building and strengthening in research,
development, and resource management. Our bilateral collaboration has been long
existed in Benoa Bali through which a systematic tuna monitoring, enumeration, and
observer program could be established. From that collaborative project, a reliable data
and information on the Indian Ocean tuna, including southern bluefin tuna, can be
supplied to both national and regional management purposes. Based on the Benoa Bali
activities, Indonesia will develop a formal research institution covering Indian Ocean
Tuna. To strengthen capacity of the upcoming research station, Indonesia will be still in a
dire need of assistance from partner institutions and scientists in Australia.
Until the last scientific committee meeting in Rotorua, it is still accepted that the waters south off Java and Bali Islands are the only spawning and nursery grounds for southern bluefin tuna. Hence, it is our common responsibility to study, understand, and properly manage the waters and its fisheries so that a long sustained bluefin resource can be in place. In this regards, Indonesia want to invite members and parties to undertake studies so that we may have better understanding on spawning aspects of bluefin tuna.

Finally, I would like to end this opening statement by wishing that we shall have productive and constructive discussion and sharing of views and ideas, under the spirit of cooperation, in order to come up with results that give long terms benefits to our countries.

Thank you.
Opening Statement by Japan

Good morning.

On behalf of the Japanese delegation, I would like to make a brief comment. As time is limited, I would like to set aside formality and make just two points.

The first is that Japan would like to work constructively at this meeting and make it productive. To do that, although there are a number of issues for this meeting, Japan promises to make every possible effort to effectively reach a conclusion on the CDS (Catch Documentation Scheme) or other compliance measures.

Secondly, I would like to point out that there is a big obstacle for Japan in making such an effort. As I mentioned in the Compliance Committee, I cannot eliminate the doubts in relation to growth rate on the SBT farming. As the Japanese public and media have expressed their great interest in this issue, I am determined to overcome this obstacle.

I feel very encouraged after receiving the report on stereo video camera from Australia. I sincerely hope that using this equipment, or by means of weighing at transferring from the cage to pens for tagging, weighing at harvest, and by random sampling at the transferring, reliable information will be provided to the CCSBT by the end of next year’s fishing season. The elimination of the concern on this issue is key to our cooperation with the CCSBT.

Having commented on the above 2 points, I would like to close the opening statement of the Japanese delegation.
Opening Statement by New Zealand

Chair my opening comments will reflect those of other speakers however some of those are worthy of repetition. Firstly welcome to delegations members and to observers and of course a special welcome to Indonesia attending this meeting as a full member.

In recent times it has been rare to have a meeting of this Commission without its own special challenges and this meeting is no exception. Chair the Commission can be pleased that it has met the target of completing the review of its performance in the year leading up to this meeting. My congratulations to the Executive Secretary and to members who worked hard to produce the self assessment and a summary document for consideration by this Commission. We now have before us a way forward and the challenge for this meeting will be to determine a course of action for the Commission to improve our performance in a range of areas.

Chair New Zealand as always is here to work constructively with other members to set and achieve our goals. We are committed to performance improvement but in particular, as our Chief Executive has indicated we are committed to the core role of this Commission which is to ensure that the southern bluefin stock is sustainably managed and we manage any impacts of our fishing. Unfortunately in our current situation this will mean developing strategies to rebuild the stock rather than maintain its current level. To this end we look forward to working with other delegations during the week and constructive outcomes at the meetings’ end.

In closing our warm thanks to Brian for bringing his own style and humour to the position over the years. Also of course our congratulations to Bob and we look forward to working with him in the future.
Opening Statement by the Republic of Korea

Good morning!
Honorable Chairman, Distinguished Delegates, Ladies and Gentlemen!

It is my great pleasure to attend 15th Annual Meeting of the CCSBT in this beautiful port city of Auckland. On behalf of the Korean delegation, I would extend my deep gratitude to the New Zealand Government for hosting this meeting. I also thank the Secretariat for its hard work in preparing this meeting. In particular, I would highly appreciate the efforts made by Mr. Brian Macdonald as a temporal Executive Secretary to resolve some issues within the Secretariat and congratulate Mr. Bob Kennedy on his appointment as a new Executive Secretary of the CCSBT.

Above all, I welcome Indonesia’s accession to the Convention for the Conservation of Southern Bluefin Tuna and want to see close cooperation in achieving the objective of the Convention, that is, the conservation and optimum utilization of southern bluefin tuna.

As regards the agenda, Korea welcomes the progress on CDS, VMS and transshipment schemes over the last two days in the Compliance Committee meeting. Korea hopes that resolutions on those issues should be adopted through smooth deliberations of the Extended Commission. These measures should be effective in preventing IUU fishing activities, harmonized with those placed in the other relevant RFMOs, cost-effective and practicable. Accuracy in reporting southern bluefin catches should be ensured through these measures.

Korea highly appreciates the work of the Performance Review Working Group and contribution of the independent expert, David Bolton. Recommendations of the PRWG are timely and should be duly considered in the deliberations of the Commission. Korea, as parties of the UNFSA and many RFMO conventions is committed to the conservation and sustainable use of marine resources.

For those important agenda items of this meeting, my delegation will fully cooperate with other delegations during the course of meetings so that we can produce tangible results. Thank you.
Opening Statement by the European Community

Mr Chairman, Distinguished Delegates, Ladies and Gentlemen,

It is a pleasure for the European Community to be present for the second time at the Annual Meeting of the Convention on the Conservation of Southern Bluefin Tuna, following our accession as a Cooperating Non-Member in 2006.

The European Community remains committed to fulfilling our obligations to CCSBT as well as strengthening this RFMO in order to ensure best conservation and management measures for Southern Bluefin Tuna. We have reinforced resources at the European Commission just recently to enable us to participate more fully in the CCSBT’s important substantive work.

We have participated actively in the third meeting of the CCSBT Compliance Committee and in particular wish to underline our support a robust, inclusive Catch Documentation Scheme.

As you are aware, the EC does not target deliberately Southern Bluefin Tuna in their fisheries in the Southern Oceans. Inevitably, Community longline vessels have sometimes made small unavoidable by-catches of Southern Bluefin Tuna while undertaking fisheries for swordfish and shark. The level of by-catches of Southern Bluefin Tuna has been extremely minor, between less than 1% to a maximum of 4% of total catch. The EC therefore intends to ask the Commission this year to consider granting the EC a modest increase in our cooperation quota, from 10 to 20 tonnes, purely to reflect the realities of by-catches by Community vessels and which is indispensable given the nature of the Community longline fisheries in this area.

We look forward to participating in this meeting and hope it will be a productive and successful one.

Thank you
Opening Statement by the Philippines

On behalf of the Philippine delegation, I would like to express our gratitude to the Government of New Zealand for the gracious hospitality extended to us and for hosting the 15th Special Meeting of the Commission on the Conservation of Southern Blue Fin Tuna (CCSBT) in this beautiful City of Auckland, New Zealand.

The Republic of the Philippines since it started attending CCSBT meetings as well as its being a Cooperating Non-member (CNM) has been complying with the management measures being implemented by the Commission which demonstrate our commitment to the management, conservation, optimum utilization of the fish stocks as well as the sustainable development of the fisheries resources in the CCSBT Convention Area.

Rest assured that the Philippines will participate in the discussion of the agenda items in this meeting and will articulate our concerns as well as support strong measures on the dwindling fish stocks particularly Southern Blue Fin tuna. It is our hope that the Commission will become stronger to manage the fish stocks in the Convention Area.

Thank you very much.
Opening Statement by the Republic of South Africa

Honourable Chair, and the distinguished members of the CCSBT.

South Africa wishes to thank the Secretariat of the CCSBT for the opportunity of being invited to the Fifteenth Annual Meeting of the CCSBT.

After a long period of absence South Africa has attended this meeting for the purpose of reviewing its membership as a cooperating non member of the CCSBT, because she is presently developing her Tuna Longline Fisheries.

South Africa understands and acknowledges her international obligations, and is committed to cooperate with all parties in the conservation and co management of Southern Bluefin Tunas.

Once more, South Africa wishes to thank the host, New Zealand for receiving her delegates.

THANK YOU!
Opening Statement by the Humane Society International

Thank you Chair.

Following the independent review by Ambassador Balton of the self-assessment of the Performance Review, it is apparent that the Commission has a clear mandate to deal with the bycatch of seabirds, sharks, and turtles. There is no longer any basis for opposing a strategy to deal with ERS, or stalling on the implementation of effective bycatch mitigation measures.

A resolution adopted by CCAMLR on international actions to reduce the incidental mortality of seabirds arising from fishing (Resolution 22/XXV), recognises that populations of species of albatrosses and petrels are unlikely to recover until fisheries-related incidental mortality is significantly reduced. Thousands of albatross are being killed a year by SBT longline fisheries, and these include species heading for certain extinction unless the impact of longline fishing is addressed. This Resolution directs RFMOs, including the CCSBT, to adequately address and mitigate against seabird bycatch, and highlights the importance of bycatch working groups to address seabird mortality. Other RFMOs are tackling their bycatch problems, admittedly with differing degrees of success. The CCSBT still lags far behind all of them.

The adoption of night setting and line weighting have seen seabird bycatch rates decline dramatically under CCAMLR, with zero albatrosses observed captured in the regulated longline fisheries in the CCAMLR Convention Area over the last two consecutive years. There is no scientific or operational reason why the CCSBT cannot achieve similar successes on seabird bycatch.

To address the problem of shark bycatch, HSI recommends the adoption of a non-retention policy, a prohibition on the use of wire traces, closures for high risk areas and times and a total prohibition on finning in the form of a requirement that any sharks that are retained should be landed with their fins attached naturally to their carcasses, in accordance with the Resolution on Sustainable Fisheries passed by the United Nations General Assembly in 2007.

HSI calls for an urgent investigation into the extent of marine turtle bycatch in SBT longline fisheries, particularly those operating on the SBT spawning grounds where the risk of turtle bycatch is likely to be high.

HSI implores you all, today, to resolve the dispute over the Commission mandates to deal with bycatch, and to agree the further convening of the ERS Working Group in 2009 as a matter of priority. Further, we urge you to immediately agree effective measures to prevent seabird, shark and turtle bycatch – adopting those that have proven to be effective in other RFMOs.
Decisive action to address bycatch has been called for in the United Nations General Assembly Resolution on Sustainable Fisheries 2006 and 2007, and in CCAMLR resolution 22/XXV, and would be consistent with the FAO Code of Conduct for Responsible Fisheries, the FAO International Plan of Action for Reducing Incidental Catch of Seabirds in Longline Fisheries and the FAO International Plan of Action for the Conservation and Management of Sharks.

Thank you Chair.
Opening Statement by TRAFFIC and WWF

Thank you Mr Chairman.

TRAFFIC, the wildlife trade monitoring network, and WWF the conservation organization would like to thank the Commissioners of the CCSBT for this opportunity to participate as observers to the meetings of the Commission and Extended Commission. We look forward to assisting with these critical deliberations and the implementation of its decisions, so as to see urgently needed progress on the conservation of southern bluefin tuna and its ecologically related species.

There are still many steps to be taken in this Commission to realize true transparency of catch and trade of SBT in order to ensure that IUU fishing for SBT by Members and Non-Members stops. We consider that it is imperative that the draft Resolutions on a Catch Documentation Scheme, Vessel Monitoring System and Transhipment are finalized and implemented as a priority. In addition, there is increasing urgency surrounding the discussion and development of the full range of integrated monitoring, control and surveillance systems that began at CCSBT13.

TRAFFIC and WWF remain concerned by the lack of progress in ensuring the conservation of ecologically related species and of particular concern to TRAFFIC is the lack of adequate controls over the recording, catch and retention of sharks.

TRAFFIC and WWF support the development of the management procedure within CCSBT and sees its full implementation as a priority. Discussions must focus on returning SBT stocks to levels that can be considered biologically safe and consistent with the application of the precautionary principle. TRAFFIC and WWF, like many others watching the performance of CCSBT, are dismayed by the incredibly poor status of the stock despite the years of management through the trilateral arrangements between Australia, Japan and New Zealand and then the last 14 years of this Convention which is tasked with the SBT’s conservation.

The Commission’s self assessment and the report of the independent expert confirms the Commission’s inability, as it currently operates, to recover the stock to biologically safe levels or, as the title of the convention purports, to ensure the conservation of SBT. This Commission must implement the recommendations of the performance review as a priority in order to ensure that it can pursue the objectives of the convention.

In moving forward, TRAFFIC and WWF would like to see the Scientific Committee of the Commission tasked with investigating if in fact the current decrementation of catch, via a measure of tonnage, irrespective of the size class of the fish, accounts for the relative impact on the stock of the various fleets. The fishing of SBT occurs throughout the geographic range and age classes of the stock and, given the state of the stock, there would be value in confirming whether a differential decrementation system, which
reflects the relative impact on the stock of the removal of different size classes would contribute to rebuilding of the stock. Finally, Mr Chairman, TRAFFIC and WWF have been participating in these discussions for the last 15 years. We look forward to a time when we can fully associate transparency of process, catch and trade with the Convention and that we are all confident we have SBT and its ecologically related species on a confirmed sustainable footing.

Thank you, Mr Chairman.

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WWF Australia
Gllewellyn@wwf.org.au
Report of the Finance and Administration Committee

1. New Zealand was nominated to chair the committee and Shaun Driscoll was unanimously accepted to that role. Australia undertook the role of rapporteur and both the Executive Secretary (Brian Macdonald) and Executive Secretary Elect (Bob Kennedy) and Science Committee Chair (John Annala) were present.

3.1. Revised Budget 2008

2. The Executive Secretary introduced paper CCSBT-EC/0810/05 (Revised Budget 2008) for discussion. Discussion highlighted the following points:

2.1. The revised expenditure for 2008 predicts an under-spend of 1.87% ($30,707) against the budget approved at CCSBT14.

2.2. Considerable savings are achieved in the budgets for the annual meetings and the operation of the sub-committees, however, there are significant cost overruns (11.82%) in the area of secretariat and office management costs. Key over-spend variations include:

- Other employment expenses $37,100
  - Exit costs for previous executive secretary
- Executive Secretary recruitment expenses $90,700
  - Includes recruitment costs for the data manager
- Office costs $19,300
  - Costs associated with accountancy advice

2.3. The revised income for 2008 anticipates an increase of $121,693 to $1,768,243, mainly due to member contributions from Indonesia of $127,929.

2.4. As at the date of CCSBT15, outstanding member contributions total $174,836. The FAC expressed its concern that contributions are not always paid in a timely manner and notes the pressure this places on Commission finances. The FAC recommends that the Commission remind all members of the need for compliance with Article 11 of the Convention and noted the considerable loss of interest income resulting from late payment. It should also be noted that in 2009 there will be significant costs incurred early in the year requiring the early payment of all member contributions.

2.5. It should be noted that at page 14, paragraph 2, the CCSBT performance review (The Balton Report\(^1\)) refers to the full and timely payment of member contributions.

3. The Executive Secretary noted that a special account for ‘staff separation liabilities’ has been established in line with the FAC recommendation in 2007. An initial deposit of $40,000 was made in accordance with the 2008 budget and with interest, that account is expected to have a credit balance of $41,200 at 31 December 2008.

\(^1\) CCSBT Independent Reviewer, Ambassador David Balton
4. The projected surplus from 2008 is expected to total $152,400 which will be carried forward against 2009 member contributions.

5. It is the recommendation of the FAC that the Extended Commission adopt paper CCSBT-EC/0810/05 (Revised Budget 2008) as tabled. A copy of the ‘Revised Budget 2008’ is attached as Annex ‘A’.

3.2. **Proposed Budget 2009**

6. The Executive Secretary introduced paper CCSBT-EC/0810/06 (Draft Budget 2009) for discussion, however adjustments were agreed that took account of the fluctuating value of the Australian dollar and additional expenditure arising from the decisions of the Extended Commission at CCSBT15. Discussion highlighted the following points:

6.1. It is anticipate that a surplus of $152,400 will be carried forward from 2008. This surplus will be applied to the 2009 budget in terms of Financial Regulations 6.1(c), (d) and (e).

6.2. The Executive Secretary identified a liability that may be carried forward into 2009 relating to the appointment of the new data manager. This expenditure relates to relocation of the new ‘data manager’ which might not be completed in 2008. If this occurs, appropriate carry forward of budget will occur with a nil impact on 2009 budgets.

6.3. Budgeted costs associated with the operation of the SAG/SC and the sub-committees were discussed and it was agreed that they were appropriate in the context of agreed commitments for 2009. There was, however, a recommendation that committee and expert panel composition and operation could be formally reviewed ahead of CCSBT16. It was noted that it is about ten years since this was last done.

3.3. The budget includes a payment of $55,000 to the special account for ‘staff separation liabilities’. This payment completes the catch-up process and satisfies the anticipated scope of the liability going forward. An annual payment to this fund will be levied in accordance with U.N. guidelines. For 2009 that payment is $19,200.

7. Australia noted that since 2004 it has sought to have the scientific aerial survey funded by all members as it has been consistently identified by the ESC as a high priority project. This project has obvious shared benefit for all members and has been solely funded by Australia to the tune of more than $2million since 2004 ($640,000 for 2009). In addition the 2008 Scientific Committee agreed that the scientific aerial survey index is now to be used in the base case model selected for further conditioning of the operating model (SC 2008, para 126,129,130). Australia considers that this further supports the case for future funding by all Members of the Extended Commission.

8. While there was appreciation from Members to Australia for this scientific contribution to CCSBT, there was no consensus to include this request in the 2009 budget.
9. Total gross expenditure for 2009 is projected to be $1,854,468—an overall increase from 2008 of 14.77%. This increase reflects an increase in costs associated with the various meetings of the Commission in 2009 but member contributions are offset by the budget carry forward from 2008 and the member contribution from Indonesia. Actual increases to member contributions will average 1.5% and are set out in Annex ‘B’.

10. It is the recommendation of the FAC that the Extended Commission adopt the adjusted version of paper CCSBT-EC/0810/06 (Proposed Budget 2009). It has been adjusted to take account of budget surpluses from 2008 and the increased expenditure items arising from the decisions taken at CCSBT15. A copy of the revised ‘Proposed Budget 2009’ is attached as Annex ‘B’.

3.4. Indicative Budget 2010

11. CCSBT Financial Regulations require the Executive Secretary to prepare an indicative budget for the following year. This indicative budget for 2010 was tabled by the Executive Secretary as part of paper CCSBT-EC/0810/06. It includes certain assumptions as specified, however these assumptions have changed as a result of decisions taken at CCSBT15.

12. The FAC noted the decision at CCSBT13 (2006) “to simultaneously implement all steps of the MOU reached at CCSBT1 relating to the national allocations of Australia, New Zealand and Japan from the 2010 fishing year.”

13. The FAC also noted that the indicative budget should be prepared on the basis of existing decisions only and should reflect the financial implications of those decisions. Accordingly, the following considerations are factored into the indicative budget:
   - An increase in the New Zealand allocation in 2010 to 1,000 tonnes;
   - A restoration of parity between the allocations of Australia and Japan in 2010 at 5,665 tonnes;
   - Increased secretariat expenditure in relation to the introduction of a Catch Documentation Scheme;
   - An ERS Working Group meeting is not convened;
   - A 5% cost increase (excluding one-off expenditure in the 2009 budget); and
   - No carry-over funds from 2009.

14. It is the recommendation of the FAC that the Extended Commission adopt the revised ‘Indicative Budget 2010’ as set out in the attachment as Annex ‘C’.

3.5. Audit Report, Financial Statements and Changes to ‘Financial Regulations’

15. The FAC noted that audited ‘financial statements’ for 2007 and the ‘auditors report’ for the year ending 31 December 2007 have been supplied to each Member. It was also noted that these documents were considered by Commissioners at the Special Meeting of the Commission in Tokyo in August 2008. The following points are noted:
15.1. The auditor is satisfied that the financial statements have been prepared in accordance with mandatory requirements, including the Commission’s Financial Regulations and the Australian Accounting Standards.

15.2. The auditor noted an issue with general non-compliance with Financial Rule 7 in that individual items of expenditure in excess of $5,000 have not been approved by the Chair or the Vice Chair of the Commission during the year.

15.3. The auditor further noted that since 2003, it has become practice not to comply with Financial regulation 10(2)(b) in that instead of reporting the required information in the audited financial statements, the Executive Secretary has reported unaudited budget figures to the Commission separately each year.

15.4. The auditor also notes the inappropriate use of the Commission’s bank account to pay for private purchases of wine by Commission employees, and the incorrect claiming and receiving of tax refunds by the Commission for the Goods and Services Tax (GST) and Wine Export Tax (WET) applicable to these purchases.

15.5. The auditor further noted that during 2007 there had been insufficient controls over the use of the Secretariat’s credit card.

16. The Executive Secretary introduced paper CCSBT-EC/0810/07 (Amendments to Financial Regulations) for discussion. This paper seeks to address the issues raised by the auditor and following discussion in the FAC the following recommendations are made to the Extended Commission:

16.1. That Financial Regulation 4.4 be amended as follows:

   The Executive Secretary is authorised to make transfers of up to 10 per cent of appropriations between items and sub-items. All such transfers must be reported by the Executive Secretary to the next annual meeting of the Commission in the revised budget submitted to the Commission each year. Transfers in excess of 10 per cent will require the authorisation of the Chair.

16.2. That Financial Regulation 9.1(a) be amended as follows:

   The Executive Secretary shall:
   - establish detailed operational financial rules and procedures to ensure effective financial administration and the exercise of economy in the use of funds – the rules and procedures to be notified to the Commission at each annual meeting;

16.3. That Financial Regulation 9.4 be amended as follows:

   Tenders in writing for equipment, supplies and other requirements shall be invited by advertisement, or by direct requests for quotation from at least three persons or firms able to supply the equipment, supplies, or other requirements, if such exist, in connection with all purchases or contracts,
the amounts of which exceed A$80,000 (Australian dollars). This rule, shall, however, not apply in the following cases:

(a) where it has been ascertained that only a single supplier exists and that fact is so certified by the Executive Secretary;

(b) in case of emergency, or where, for any other reason, these rules would not be in the best financial interests of the Commission, and that fact is so certified by the Executive Secretary.

16.4. That a new Financial Regulation 9.5 be inserted as follows:

For expenditure items less than $80,000 it is generally expected that the Executive Secretary will follow the principle of best value for money unless specifically directed by the Commission.

16.5. Note that the lack of credit card controls has not compromised the Commission’s financial position or integrity and the Executive Secretary has introduced new controls as explained in paper CCSBT-EC/0810/07.

16.6. Note that in future, the Executive Secretary will report as required by Financial Regulation 10.2(b).

16.7. Note that the budget reporting requirements recommended by the FAC in 2007 should be amended. It is recommended that before the 15th July each year, the Executive Secretary provide a mid-year financial report to Members out-of-session, including:

- expenditure at 30 June and estimates of expenditure for the balance of the year;
- an analysis of contributions paid and the likely end of year cash position; and
- a report on any budget variance and anomalies (including unpaid membership contributions).

16.8. Note that the FAC recommends that the Financial Rule adopted at the Resumed Third Annual Meeting of the Commission at Canberra on 18-22 February 1997, no longer has relevance and should be abolished. All matters covered in the Rule are covered elsewhere in Financial Regulations and Staff Regulations.

16.9. Note that the Executive Secretary has arranged to repay the incorrectly claimed GST and WET refunds from the Commission’s 2008 budget—an amount of approximately $3,000.

17. The FAC recommends that the Extended Commission adopt the 2007 financial statements and the auditors report as tabled and note that all recommendations made by the Auditor have been implemented.

3.6. Other administration

18. The FAC tabled a request to ensure consistency of numbering of documents for CC/EC to avoid duplication of the same documents for both meetings. The Executive Secretary will address this issue over the coming year.
19. The FAC noted that it would be useful to have a table containing consolidated outcomes/recommendations of each meeting to be circulated by the Executive Secretary after intercessional consultation with the Chair of that meeting. Such a list would identify responsibilities and deadlines.
### ANNEX ‘A’

**GENERAL BUDGET - 2008**

<table>
<thead>
<tr>
<th>INCOME</th>
<th>2008 APPROVED BUDGET</th>
<th>2008 REVISED BUDGET</th>
<th>VARIATION</th>
</tr>
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<tr>
<td>Contributions from members</td>
<td>$1,446,013</td>
<td>$1,569,677</td>
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<tr>
<td>Japan</td>
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<td>New Zealand</td>
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<td>Korea</td>
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<td>Fishing Entity of Taiwan</td>
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<td>Indonesia</td>
<td>$0</td>
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<td>Transfer from 2008 Special Budget</td>
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<td><strong>TOTAL GROSS INCOME</strong></td>
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<td><strong>$1,768,243</strong></td>
<td><strong>$121,693</strong></td>
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<td>EXPENDITURE</td>
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<td>2008 REVISED</td>
<td>VARIATION</td>
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<td><strong>ANNUAL MEETINGS - (CCSBT15)(CC3)</strong></td>
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## ANNEX ‘B’

### PROPOSED GENERAL BUDGET - 2009

#### INCOME

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<td>Insurance -worker's compensation/ travel/contents</td>
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<tr>
<td>Training</td>
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<tr>
<td>Home leave allowance</td>
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<td>Telephone/communications</td>
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**ANNEX ‘C’**

**INDICATIVE GENERAL BUDGET - 2010**

<table>
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<tr>
<th>Source</th>
<th>2009 Proposed Budget</th>
<th>2010 Indicative Budget</th>
<th>Variation</th>
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<td>Indonesia</td>
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<td><strong>$1,540,000</strong></td>
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Resolution on establishing the CCSBT Vessel Monitoring System

The Extended Commission for the Conservation of Southern Bluefin Tuna (CCSBT),

Recalling that, at its thirteenth annual meeting, the Extended Commission Members and Cooperating Non-Members agreed to develop and implement their Vessel Monitoring Systems (the 2006 VMS resolution);

Recognising the need for monitoring, control and surveillance measures to apply to all sectors of the global southern bluefin tuna fishery;

Recognising the importance of these Vessel Monitoring Systems as an integral part of an effective monitoring, control and surveillance regime for the southern bluefin tuna fishery, in particular to ensure the long-term sustainability of the stock;

Mindful that a vessel monitoring system was identified as one of the important monitoring, control and surveillance measures to deter illegal, unreported and unregulated fishing in the Course of Actions adopted at the Kobe Joint Meeting of Tuna Regional Fisheries Management Organisations from 22 – 26 January 2007;

Recognising the need to stipulate minimum standards for the Vessel Monitoring Systems;

Aware that some Members and other regional fisheries management organizations have established Vessel Monitoring Systems and that the experiences of such Members and organizations may be useful in developing and implementing a Commission for the Conservation of Southern Bluefin Tuna Vessel Monitoring System;

Agrees, in accordance with paragraph 3(b) of Article 8 of the Convention for the Conservation of Southern Bluefin Tuna, that:

1. The Members and Cooperating Non-Members of the Extended Commission shall adopt and implement satellite-linked Vessel Monitoring Systems (VMS) for vessels fishing for Southern Bluefin Tuna on the following basis:

   a. for such vessels fishing in the IOTC Area, in accordance with IOTC Resolution 06/03 On Establishing a Vessel Monitoring System Programme (including Annex 1 to that Resolution);

   b. for such vessels fishing in the WCPFC Area, in accordance with WCPFC Conservation and Management Measure 2006-06 “Commission Vessel Monitoring System” (including Annex 1 to that Measure);

   c. for such vessels fishing in the CCAMLR Area, in accordance with CCAMLR Conservation Measure 10-04 (2006) “Automated Satellite-
Linked Vessel Monitoring System (VMS)” (including Annex 10-04/A and Annex 10-04/B to that Measure);

d. for such vessels fishing in the ICCAT Area, in accordance with ICCAT Recommendation 03-14 “Recommendation by ICCAT concerning Minimum Standards for the Establishment of a Vessel Monitoring System in the ICCAT Convention Area”; and

e. for such vessels fishing in any other high seas area where there is no VMS, in accordance with IOTC Resolution 06/03 On Establishing a Vessel Monitoring System Programme (including Annex 1 to that Resolution).

2. The application of the VMS provided for in paragraph 1(a-e) shall be consistent with any modifications to those VMS that may be adopted by those respective Commissions from time to time.

3. a. The Members and Cooperating Non-Members of the Extended Commission shall provide VMS summary reports annually in advance of the Compliance Committee meeting and in the format approved by the Commission.

b. In relation to incidents concerning specific vessel(s) when the vessel(s) are suspected to have operated in contravention of CCSBT conservation and management measures, Members and Cooperating Non-Members of the Extended Commission may request another Member and Cooperating Non-Member of the Extended Commission which is the flag state/fishing entity of the vessel(s) to provide VMS data on the vessel(s) on a case by case basis. The Member and Cooperating Non-Member which receives such request shall:

(i) investigate the incidents and provide details of the investigation to the Member or Cooperating Non-Member which requested VMS data; or

(ii) provide VMS data on the vessel(s) to the requesting Member or Cooperating Non-Member, which will inform the results of its investigation to the Members or Cooperating Non-Member which is the flag state/fishing entity of the vessel(s).

4. The Extended Commission agrees to adopt the confidentiality and security provisions attached in Annex I in relation to the information provided pursuant to paragraph 3(b).

5. With the assistance of the Secretariat the Compliance Committee shall review and report to the Compliance Committee in 2009 on the implementation of this resolution and any possible measures to improve its effectiveness as a component of the monitoring, control and surveillance regime for the SBT fishery. Such review shall take account of any developments by other RFMOs, including development of a harmonised VMS across tuna RFMOs.
6. This resolution does not supersede the 2006 VMS resolution adopted at CCSBT 13.
Annex I – Confidentiality, Use and Security of VMS Data

Confidentiality and use of VMS Data

1. VMS data shall be confidential and may only be provided or used as permitted by this resolution.

2. Members and Cooperating Non-Members of the Extended Commission which receive VMS data from another Member or Cooperating Non-Member of the Extended Commission shall maintain the confidentiality of those data and shall not use the data except as specified in the resolution. In particular, Members and Cooperating Non-Members of the Extended Commission which receive VMS data may only provide those data to representatives and officials of the Member or Cooperating Non-Member for the purposes outlined in paragraph 3 of this Annex.

3. Members and Cooperating Non-Members of the Extended Commission may only use those VMS data to monitor compliance with CCSBT conservation and management measures.

Information technology security

4. Members and Cooperating Non-Members of the Extended Commission which receive VMS data shall adopt secure information technology systems to ensure that the confidentiality of VMS data is maintained.

VMS Data Confidentiality Policies

5. Members and Cooperating Non-Members of the Extended Commission which propose to request VMS data shall prepare a VMS Data Confidentiality Policy and provide that Policy to the Secretariat and all other Members and Cooperating Non-Members of the Extended Commission. The VMS Data Confidentiality Policy shall outline all measures which the Member and Cooperating Non-Members of the Extended Commission proposes to implement to ensure it complies with the requirements in Annex I of this resolution.
Resolution on the Implementation of a CCSBT Catch Documentation Scheme

Referring to the principles adopted to guide the development of a catch documentation scheme (CDS) at CCSBT12 in 2005 and the ‘Resolution on the implementation of a CDS to record all catch of Southern Bluefin Tuna regardless of whether the Southern Bluefin tuna were traded’, adopted at CCSBT 13 in 2006;

Noting the need for Members and Cooperating Non-Members to provide for the tracking and validation of legitimate product flow from catch to the point of first sale on domestic or export markets;

Bearing in mind the need to achieve harmonisation of Catch Documentation Schemes across Regional Fisheries Management Organisations;

Emphasising that a CDS must be applied consistently and comprehensively across all sectors of the global SBT fishery to accurately confirm the SBT catch by each Member and Cooperating Non-member;

In accordance with Article 8.3(b) of the Convention on the Conservation of Southern Bluefin Tuna, the Extended Commission for the Conservation of Southern Bluefin Tuna (CCSBT) adopts the following measure to monitor compliance with the Commission’s conservation measures:

1. General Provisions and Application

1.1 All Members and Cooperating Non-Members shall implement the CCSBT CDS for southern bluefin tuna (SBT) to document the movement of all SBT as outlined in this resolution. The CCSBT CDS incorporates CCSBT CDS documentation and tagging of SBT.

1.2 For transhipments, landings of domestic product\(^1\), exports, imports and re-exports under the jurisdiction of a Member or Cooperating Non-Member, all SBT shall be accompanied by a document described in section 3 of this resolution. There is no waiver of this requirement. However, the exportation/import of fish parts other than the meat (i.e. head, eyes, roe, guts, tails) may be allowed without the document.

1.3 Transfers of SBT into and between farms under the jurisdiction of a Member or Cooperating Non-Member shall be documented on the Farm Stocking Form and Farm Transfer Form as applicable.

1.4 Members or Cooperating Non-Members that prohibit the sale of fish caught by recreational fishers may exempt their recreational fisheries from the requirements of the CCSBT CDS.

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\(^1\) The term ‘landing of domestic product’ means landing of SBT into the territory of a Member or Cooperating Non-Member caught by a vessel flagged to that Member or Cooperating Non-Member or on the register of fishing vessels of that Member or Cooperating Non-Member.

For farming operations, the term ‘landing of domestic product’ includes movement of SBT from a farm under the jurisdiction of a Member or Cooperating Non-Member directly to an exporting vessel in the vicinity of the authorised farm site.
1.5 The Commission shall request the cooperation of appropriate authorities of States other than Members and Cooperating Non-Members of the Extended Commission that are involved in catching, landing, transferring and/or farming of SBT in the implementation of this resolution.

1.6 Members and Cooperating Non-Members shall not permit the landing as domestic product, transhipment, import, export and/or re-export of SBT caught by vessels not authorised to catch SBT and (if SBT farming is conducted under their jurisdiction) the transfer of SBT to or between, and harvest of SBT from, farms not authorised to farm SBT.

1.7 Members and Cooperating Non-Members shall not permit whole SBT to be landed as domestic product, transhipped, exported, imported or re-exported without a tag, except that:

1.7.1 in the case of farming operations, the SBT may be landed without a tag provided a tag is attached within 30 hours of kill;

1.7.2 in exceptional circumstances, where a vessel on the CCSBT Record of Authorised Vessels does not have sufficient tags on board the vessel, the tag may be attached at landing;

1.7.3 in exceptional circumstances, where a vessel catches SBT as unexpected bycatch and has no or insufficient tags on board, the tag may be attached at landing.

1.8 In exceptional circumstances, where a tag becomes accidentally detached and cannot be reattached, a replacement tag shall be attached as soon as possible and no later than the time of landing, transhipment or export.

1.9 Members and Cooperating Non-Members shall report any exceptional circumstances referred to in 1.7.2, 1.7.3 or 1.8 to the Executive Secretary within 7 days of the landing. The report shall provide details of the exceptional circumstances, the number of SBT tagged and for 1.8, the old (where known) and new tag number(s).

1.10 Members and Cooperating Non-Members shall require that tags be retained on whole SBT to at least the first point of sale for landings of domestic product, and shall encourage the retention of tags on whole fish thereafter.

2. Registers Required

2.1 A record of farms is to be established and maintained by the Executive Secretary to identify authorised farms.

2.2 A record of vessels, maintained by the Executive Secretary, identifies all authorised vessels.

2.3 CCSBT CDS Documents that record information for vessels and/or farms not included on the above-mentioned authorised registers shall not be considered valid Documents for the purposes of this scheme.
3. Documents and Information Required

3.1 The CCSBT CDS Documents are:

3.1.1 Farm Stocking Form – records information on the catch, towing and farming of SBT

3.1.2 Farm Transfer Form – records information on the transfers of SBT between farms

3.1.3 Catch Monitoring Form – records information on the catch, landing, transhipment, export, and import of all SBT regardless of whether farmed or not, including unexpected catch

3.1.4 Catch Tagging Form – records information on individual fish tagged as part of the CDS

3.1.5 Re-export or Export after Landing of Domestic Product Form – records information on SBT already tracked on the Catch Monitoring Form to the initial point of landing of domestic product or import that is, either in full or part, exported or re-exported.

3.2 The information to be contained in the CCSBT CDS documentation referred to in 3.1, along with associated instruction sheets, is in Appendix 1 A – D.

3.3 Once approved forms are adopted, only minimal modifications, such as the addition of translations, may be made. No information field may be omitted from the standard form, except where the field is not applicable.

3.4 Any documentation modified, as described in 3.3, shall be provided to the Executive Secretary for distribution to other Members, Cooperating Non-Members, as well as Non-Members known to be involved in the landing, transhipment, import, export, or re-export of SBT.

3.5 Significant amendments to the forms and form content may be made only with the agreement of the Commission at its annual meeting based on recommendations from the CCSBT Compliance Committee.

3.6 CCSBT CDS documents must be uniquely numbered.

4. Tagging

4.1 Members and Cooperating Non-Members shall require that an SBT tag be attached to each whole SBT at the time of kill except:

4.1.1 in the case of farming operations, the tag may be attached within 30 hours of kill;

4.1.2 in exceptional circumstances, where a vessel on the CCSBT Record of Authorised Vessels does not have sufficient tags on board, the tag may be attached at landing;

4.1.3 in exceptional circumstances, where a vessel catches SBT as unexpected bycatch and has no, or insufficient, tags on board, the tag may be attached at landing.
4.2 As outlined at 3.1.4, a Catch Tagging Form records relevant tagging information for individual SBT. The Catch Tagging Form shall be filled in as soon as practicable after the time of kill. Length and weight measurements shall be conducted before the SBT is frozen. Where measurements cannot be accurately done on board the vessel, they may be made at the time of landing or transhipment, provided the measurements and the associated Catch Tagging Form are filled in before any further transfer of the SBT.

4.3 Completed Catch Tagging Forms shall be provided to the flag Members and Cooperating Non-Members which shall provide the information in the Catch Tagging Form to the Executive Secretary in an electronic format on a quarterly basis.

4.4 A tagging programme shall meet the minimum procedural and information standards set out in Appendix 2.

4.5 Members and Cooperating Non-Members shall prohibit the unauthorised use of SBT tags.

5. Validation

5.1 The CCSBT CDS documentation must be validated as applicable by:

5.1.1 for landings of domestic product, an official of the flag Member or Cooperating Non-Member of the catching vessel; and

5.1.2 for all SBT transhipments subject to CCSBT Resolution on Establishing a Program for Transshipment by Large-Scale Fishing Vessels, the observer required by that resolution; and

5.1.3 for all export or re-export of SBT, an official of the exporting or re-exporting Member or Cooperating Non-Member.

5.2 The authority to validate CDS documents may be delegated to an authorised person by an official of the relevant State/fishing entity. Members and Cooperating Non-Members who utilise delegated person/s shall submit a certified copy of such delegation/s to the Executive Secretary. The individual who certifies a CCSBT CDS Document shall not be the same person who validates the Document.

5.3 Members and Cooperating Non-Members shall provide to the Executive Secretary information on validation (including type of validation, name of the organization which validates the documents, title and name and signature of officials who validate the documents, sample impression of stamp or seal, and a list of all persons holding delegated authority to validate CCSBT CDS documentation prior to those officials and persons exercising the authority). Members and Cooperating Non-Members shall inform the Executive Secretary of any changes in a timely fashion.

5.4 The Executive Secretary will maintain and update the information specified in 5.3 and provide it to all Members and promptly circulate any changes.

5.5 Members and Cooperating Non-Members shall not validate any CCSBT CDS documentation referred to in 3.1 that is not complete, has obviously incorrect information, or has not been validated as required by this resolution.
5.6 No Member or Cooperating Non-Member shall accept any SBT for transhipment, landing of domestic product, export, import, or re-export where any or all required documents do not accompany the relevant consignment of SBT, where fields of information required on the form are not completed, or where the form has not been validated as required by this resolution.

5.7 Full or partial consignments of untagged whole SBT must not be validated or accepted for transhipment, landing of domestic product, export (including export after landing of domestic product), import or re-export (except where the tag is no longer required to be attached to the SBT because further processing has occurred, as outlined at Appendix 2).

5.8 Members and Cooperating Non-Members shall undertake an appropriate level of audit, including inspections of vessels, landings, and where possible markets, to the extent necessary to validate the information contained in the CDS documentation.

5.9 Members and Cooperating Non-Members shall include in their annual review of SBT fisheries, details on the level of coverage and type of audit undertaken, in accordance with 5.8, and the level of compliance.

6. Exchange of Information and Confidentiality of Data

6.1 Members and Cooperating Non-Members shall retain all original CCSBT CDS Documents received by them. Members and Cooperating Non-Members shall also retain a copy of any CCSBT CDS Documents issued by them. Copies of these CDS Documents (except the Catch Tagging Form\(^2\)) shall be forwarded\(^3\) to the Executive Secretary on a quarterly basis.

6.2 The Executive Secretary shall compile the raw data from CDS documentation into an electronic database. The Executive Secretary shall ensure the confidentiality of the raw data in its database and release to any State/fishing entity only the raw data relating to the CCSBT CDS Documents it validated. If a State/fishing entity requests CCSBT CDS Documents relating to another State/fishing entity, the Executive Secretary may release those data only with the latter’s agreement.

6.3 The Executive Secretary shall report to the Extended Commission on and circulate to all Members and Cooperating Non-Members the data collected by the CCSBT CDS each year by 1 June for the period of 1 July - 31 December of the preceding year and by 1 December for the period of 1 January - 30 June of the current year. The information to be contained in the reports is specified in Appendix 3. The Executive Secretary shall provide an electronic copy of the report only to a designated authority of each Member.

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\(^2\) Requirements to provide information in the Catch Tagging Form are set out in 4.3.

\(^3\) Either as a copy of the original form or in electronic format containing all the information in the forms.
6.4 The Executive Secretary will post on the public area of the CCSBT web site a subset of the report comprising:
  o Flag State/fishing entity;
  o Harvest year;
  o Product destination (including landings of domestic product);
  o Gear code;
  o Net weight.

6.5 On request by the Scientific Committee, Compliance Committee, or other subsidiary body of the Commission, the Executive Secretary shall, with the approval of the Commission, provide to that body data collected by the CCSBT CDS more frequently or at a greater level of detail than specified in 6.3.

6.6 The Executive Secretary shall analyse the data provided in 6.1 and notify the relevant Member(s) or Cooperating Non-Member(s) of any identified discrepancies.

7. Verification of CDS Documentation

7.1 Each Member and Cooperating Non-Member shall ensure that its competent authorities, or other authorised individual or institution, take steps to identify each consignment of SBT landed as domestic product in, imported into or exported or re-exported from its territory and examine the validated CCSBT CDS Documents for each consignment of SBT. These competent authorities, or authorised individuals or institutions, may also examine the content of the consignment to verify the information contained in the CCSBT CDS Document and in related documents and, where necessary, shall carry out verifications with the operators concerned.

7.2 Each Member and Cooperating Non-Member shall review information, and investigate and resolve any irregularities identified in relation to their information in the CDS reports, including any discrepancies identified during the comparison of data from the Executive Secretary. Among other matters, Members and Cooperating Non-Members shall cross-check the report provided by the Executive Secretary under 6.3 using information available to it.

7.3 Each Member and Cooperating Non-Member shall, as soon as practicable, identify to the Executive Secretary and relevant Members and Cooperating Non-Members, any consignments of SBT where there are:
  7.3.1 doubts about the information contained in any associated CDS documentation; or
  7.3.2 incomplete, missing or unvalidated CCSBT CDS documentation.

7.4 Each Member and Cooperating Non-Member shall co-operate and take all necessary steps with relevant authorities, and within domestic law, to review, investigate and resolve any concerns identified in 7.1 and 7.2, and notify the Executive Secretary of the outcome of any such action for inclusion in its report to the Commission.
7.5 The Compliance Committee will consider the summary information compiled by the Executive Secretary in 6.3 and 6.4, including any irregularities and anomalies identified and the outcome of any investigations notified under 7.3.

7.6 The Commission, may, on the recommendation of the Compliance Committee, consider any action as may be required in relation to the findings and outcomes of any verification investigation. Such action may be, but is not limited to, a review of this or other relevant compliance measures.

7.7 Members and Cooperating Non-members shall cooperate to ensure that CDS documents are not forged and/or do not contain misinformation.

8. Access to and Security of Information

8.1 Subject to each Member’s and Cooperating Non-Member’s national law, the information produced from the CCSBT CDS shall be confidential and may only be used in support of CCSBT purposes or for any other purpose agreed by the Commission.

8.2 Where necessary, in support of catch verification procedures, Members and Cooperating Non-Members agree to exchange the necessary supporting information and, where relevant, evidence as may be necessary to verify the integrity of the flow of CDS information and to reconcile any discrepancies.

9. Implementation and Review

9.1 This resolution will enter into force on 1 January 2010. From that date, it replaces the CCSBT Southern Bluefin Tuna Statistical Document Programme adopted by CCSBT on 1 June 2000. For SBT caught before 1 January 2010, the tagging requirements of the CDS may be exempted until 30 June 2010 and the CCSBT CDS Documents shall be completed in the most practicable manner.

9.2 The Compliance Committee will review this resolution no later than at its 2011 meeting to identify any implementation issues, strengths, and weaknesses, and to recommend options to improve this resolution and its supporting procedures at the Extended Commission meeting. This review will include any concerns associated with the breakage or loss of tags and the extent of the use of exemptions in 1.8 and 1.9 as reported by Members and Cooperating Non-Members to the Executive Secretary. The date for a subsequent review will also be agreed at this time.

9.3 The Executive Secretary will monitor available technology associated with electronic documentation and fish tags to assist the Compliance Committee in its reviews.

---

4 Japan may use its current tagging system until the end of its 2009-10 fishing season (31 March 2010).
# Appendix 1A

## Farm Stocking Form (example only)

<table>
<thead>
<tr>
<th>DOCUMENT NUMBER</th>
<th>CCSBT CDS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>FARM STOCKING FORM</td>
</tr>
</tbody>
</table>

### A. CATCHING VESSEL SECTION:

1. **NAME OF VESSEL**
   - REGISTRATION NUMBER
   - FLAG STATE/ FISHING ENTITY

   **PERIOD OF FISHING**
   - **AREA OF FISHING**

### B. TOWING SECTION:

2. **NAME OF VESSEL**
   - REGISTRATION NUMBER
   - FLAG STATE/ FISHING ENTITY
   - **DATE TOW COMMENCED**

### 3. DESCRIPTION OF MORTALITIES DURING TOWING

<table>
<thead>
<tr>
<th>Description</th>
<th>Number of tow cages</th>
<th>Date from – to</th>
<th>No of mortalities</th>
<th>Weight of mortalities</th>
</tr>
</thead>
</table>

### C. FARM TRANSFER SECTION:

<table>
<thead>
<tr>
<th>SBT Farm Entity</th>
<th>Period of transfers</th>
<th>Average weight of fish</th>
<th>Method of estimation</th>
<th>Whole weight (kg)</th>
<th>No. of fish</th>
</tr>
</thead>
</table>

### VALIDATION

**CERTIFICATION BY QUOTA HOLDER**

I certify that the information listed above is complete, true, and correct to the best of my knowledge and belief.

Name & Title: __________________________ Signature: __________________________ Date: ____________

**VALIDATION BY AUTHORITY**

I validate that the information listed above is complete, true, and correct to the best of my knowledge and belief.

Name & Title: __________________________ Signature: __________________________ Date: ____________
**Farm Stocking Form instruction sheet**

**Document number**

A unique document number allocated by the State/fishing entity of origin.

**Catching vessel section**

Enter flag State/fishing entity of catching vessel(s)
Enter name of catching vessel(s) and vessel registration number(s)
Enter period fishing took place
Enter fishing location(s) using Statistical Area of SBT (1 to 10 and 14 to 15) or Other Areas (11 to 13)

**Towing section**

Enter name of each vessel which undertakes a tow of fish caught by the catching vessel and its vessel registration number(s) and flag state

For each tow vessel enter the number of mortalities and estimated weight of mortalities that occurred during tow.

Where fish have been placed in a tow cage by more than one catching vessel the number of mortalities are to be equally proportioned between the vessels.

Any mortalities that occur during tow that are landed for commercial sale must be accompanied by CDS forms.

**Farm transfer section**

Enter the name of the farming entity.

Indicate the method of estimation used.

Details of fish received are to include verified information from the count.

**Validation**

Fill in full name and title of official signing the document. The official must be in the employment of the competent authority of the flag state/fishing entity where the farm sites are located.
# Farm Transfer Form

<table>
<thead>
<tr>
<th>DOCUMENT NUMBER</th>
<th>CCSBT CDS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>FARM TRANSFER FORM</td>
</tr>
</tbody>
</table>

## A. TRANSFERRING FARM:
1. SBT FARM ENTITY | FLAG STATE/ FISHING ENTITY

## B. TOWING SECTION:
2. NAME OF VESSEL | REGISTRATION NUMBER | FLAG STATE/ FISHING ENTITY | DATE OF TOW

| ESTIMATED NUMBER OF FISH | ESTIMATED WEIGHT OF FISH |

## C. RECEIVING FARM:
1. SBT FARM ENTITY | FLAG COUNTRY/ FISHING ENTITY

### VALIDATION

CERTIFICATION BY TRANSFERRING FARM
I certify that the information listed above is complete, true, and correct to the best of my knowledge and belief.

Name & Title | Signature | Date

CERTIFICATION BY RECEIVING FARM
I certify that the information listed above is complete, true, and correct to the best of my knowledge and belief.

Name & Title | Signature | Date
# Appendix 1B

## Catch Monitoring Form (example only)

<table>
<thead>
<tr>
<th>DOCUMENT NUMBER</th>
<th>CCSBT CDS CATCH MONITORING FORM</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>□ Wild Harvest □ Farmed</td>
</tr>
</tbody>
</table>

1. CATCH TAGGING FORM DOCUMENT NUMBER(S):
   - 
   - 
   - 

**CATCH SECTION:** (wild fishery only)
2. FLAG STATE/FISHING ENTITY: __________________________________________
3. NAME AND REGISTRATION NUMBER OF VESSEL: ________________________________

**FARMING SECTION:**
4. FARM AUTHORISATION NUMBER
5. FARM NAME AND LOCATION
6. DOCUMENT NUMBER(S) OF ASSOCIATED FARM STOCKING FORM(S)

**PRODUCT DESCRIPTION:**
7. DESCRIPTION OF FISH

<table>
<thead>
<tr>
<th>Product (a) F/FR</th>
<th>Type (b) RD/GG/DR/FL/OT</th>
<th>Time of Harvest (mm/yy)</th>
<th>Gear code</th>
<th>Area (c)</th>
<th>Net Weight (kg)</th>
<th>No. of Fish (when RD, GG or DR)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(a): F=Fresh, FR=Frozen  
(b): RD=Round, GG=Gilled and Gutted, DR=Dressed, FL=Fillet, OT=Other (describe the type of product and the conversion factor used; ____________________)
(c): Statistical Area of SBT (1 to 10 and 14 to 15) or Other Areas (11 to 13)

8. PROCESSING ESTABLISHMENT (if applicable)
   - NAME AND ADDRESS

**CATCH / HARVEST VALIDATION**
9. VALIDATION BY AUTHORITY (for transhipments, fill in only 10. Certification by master of fishing vessel)
   I validate that the information listed above is complete, true, and correct to the best of my knowledge and belief.
   - Name & Title
   - Signature
   - Date
   - Official Seal

10. FOR TRANSHIPMENTS ONLY: CERTIFICATION BY MASTER OF FISHING VESSEL
   I certify that the information listed above is complete, true, and correct to the best of my knowledge and belief.
   - Name & Title
   - Signature
   - Date
**CATCH MONITORING FORM**

**INTERMEDIATE PRODUCT DESTINATION:** (can select more than one if applicable)

11. TRANSSHIPMENT □

**A. TRANSSHIPMENT SECTION**

12. NAME AND REGISTRATION NUMBER OF RECEIVING VESSEL:

13. FLAG STATE / FISHING ENTITY OF RECEIVING VESSEL:

14. I certify that the above information is complete, true, and correct to the best of my knowledge and belief.

Name master of receiving vessel
Name Address Signature Date

**TRANSHIPMENT VALIDATION (for transhipments at sea)**

15. VALIDATION BY OBSERVER

I validate that the information listed above is complete, true, and correct to the best of my knowledge and belief.

Name & Title Signature Date

16. Record document number for the associated transhipment form:

**B. EXPORT SECTION**

17. DESCRIPTION OF FISH (omit if identical to section 7 above)

<table>
<thead>
<tr>
<th>Product (a)</th>
<th>Type (b)</th>
<th>Time of Harvest (mm/yy)</th>
<th>Gear code</th>
<th>Area (c)</th>
<th>Net Weight (kg)</th>
<th>No. of Fish (when RD, GG or DR)</th>
</tr>
</thead>
<tbody>
<tr>
<td>F/FR</td>
<td>RD/GR/DR</td>
<td>FL/OT</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(a): F=Fresh, FR=Frozen
(b): RD=Round, GG=Gilled and Gutted, DR=Dressed, FL=Fillet, OT=Other (describe the type of product and the conversion factor used; ________________.)
(c): Statistical Area of SBT (1 to 10 and 14 to 15) or Other Areas (11 to 13)

18. POINT OF EXPORT (CITY, STATE OR PROVINCE AND STATE/FISHING ENTITY)

19. EXPORTER CERTIFICATION

I certify that the information listed above is complete, true, and correct to the best of my knowledge and belief.

Name & Title Signature Date Licence number

**EXPORT VALIDATION**

20. VALIDATION BY AUTHORITY

I validate that the information listed above is complete, true, and correct to the best of my knowledge and belief.

Name & Title Signature Date Official Seal

**FINAL PRODUCT DESTINATION:**

21. LANDING OF DOMESTIC PRODUCT □

**A. LANDING OF DOMESTIC PRODUCT SECTION**

22. I certify that the above information is complete, true, and correct to the best of my knowledge and belief.
### Certification of domestic sale

<table>
<thead>
<tr>
<th>Name</th>
<th>Address</th>
<th>Signature</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Fill in if more than one buyer. If more than one buyer, record also the weight of SBT taken by each buyer:

<table>
<thead>
<tr>
<th>Name</th>
<th>Address</th>
<th>Signature</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
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<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### B. IMPORT SECTION

23. I certify that the above information is complete, true, and correct to the best of my knowledge and belief.

Importer Certification (Final Destination of Shipment)

<table>
<thead>
<tr>
<th>Name</th>
<th>Address</th>
<th>Signature</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Final Point of Import: City ___________________________ State or Province______________________

State/Fishing Entity ____________________________________________
Catch Monitoring Form instruction sheet

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document.

Document Number
A unique document number allocated by the State/fishing entity of origin.

1. Document number(s) of Catch Tagging Form(s)
Record the document number of the associated Catch Tagging Form or forms.

Catch Section

2. Enter flag State or fishing entity of catching vessel(s).

3. Enter name of catching vessel(s) and vessel registration number(s).

Farming Section

4. Farm Authorisation Number
Fill in the number of the farm as found on the CCSBT list of authorised farms.

5. Farm Name and Location
Fill in the name and location of the farm from which the southern bluefin tuna were harvested.

6. Document number(s) of the associated Farm Stocking Form(s)

Product Description

7. Description of Fish
The shipment of SBT must be described, to the highest degree of accuracy using the following information. NOTE: One row shall describe one product type.

(i) Product: Identify the type of product being shipped as either FRESH (F) or FROZEN (FR),

(ii) Type: Identify the type of product being shipped as either ROUND (RD), GILLED AND GUTTED (GG), DRESSED (DR), FILLET (FL) or OTHER form (OT); for OTHER, describe the type of product in the shipment,

Each Member or Co-operating Non-Member shall advise the Executive Secretary of the product states and conversion factors they are using.

(iii) Time of Harvest: Fill in the time of harvest (in month and year) of the southern bluefin tuna in the shipment; in case of farmed fish, this applies to the time of kill, not the time of initial harvest,

(iv) Gear code: Identify the gear type which was used to harvest the southern bluefin tuna using the list below; for OTHER TYPE, describe the type of gear; in case of farmed fish, write “Farmed”.

<table>
<thead>
<tr>
<th>GEAR CODE</th>
<th>GEAR TYPE</th>
</tr>
</thead>
<tbody>
<tr>
<td>BB</td>
<td>Baitboat</td>
</tr>
<tr>
<td>GILL</td>
<td>Gillnet</td>
</tr>
<tr>
<td>HAND</td>
<td>Handline</td>
</tr>
<tr>
<td>HARP</td>
<td>Harpoon</td>
</tr>
<tr>
<td>LL</td>
<td>Longline</td>
</tr>
</tbody>
</table>
Area of Catch: Identify the area in which the southern bluefin tuna was harvested using the CCSBT Statistical Areas. In case of farmed fish, it is not necessary to fill in this column,

Net Weight: Net product weight in kilograms; in case of farmed fish, fill in the raised product’s weight,

No. of Fish: If type is RD, GG or DR, fill in the number of fish.

Processing Establishment
Fill in the name and address of the processing establishment which processed the southern bluefin tuna in the shipment (if applicable).

Catch / Harvest Validation

Validation by Authority
Fill in the name and full title of the official signing the Document. The official must be in the employment of the competent authority of the flag state/fishing entity of the vessel that harvested the southern bluefin tuna appearing on the Document. This requirement with respect to any Member or Cooperating Non-Member may be met by an entity duly delegated by the authority of the flag State/fishing entity. The Member which utilizes a delegated entity shall submit a certified copy of such delegation to the Executive Secretary.

In the case of transhipments, the master of the fishing vessel shall fill in this section to validate that the form correctly records the fish that are being transferred to the receiving vessel.

Intermediate Product Destination

Indicate if the intermediate destination of the product is for transhipment and/or export.

A. Transhipment Section

The name and registration number of the receiving vessel is to be completed by the master of the vessel receiving the southern bluefin tuna.

The flag state/fishing entity to be entered by the master of the vessel receiving the southern bluefin tuna.

Master of the receiving vessel to complete name, address, signature and date to certify that the information provided as a record of transhipment is true and correct.
Transhipment Validation

15. Validation by Authority/Observer
If a transhipment is covered by the CCSBT Resolution on Establishing a Program for Transshipment by Large-Scale Fishing Vessels then the observer must complete this section.

16. Record the document number for the associated transhipment form

A. Export Section

17. Complete the description of fish in the same manner as specified for item 7 on this form. 
*Note: This item (17) of the form may be deleted from the final version of this form.*

18. Point of Export (City, State or Province and State/Fishing Entity)
Identify the City, State or Province and State/Fishing Entity from which the southern bluefin tuna is to be exported. If the tuna is to be sold on the domestic market, leave blank.

19. The exporter must provide his/her/its name, address, date and signature to certify the information provided in relation to the export shipment (i.e. that the form correctly records what is being exported).

Export Validation

20. Validation by Authority
Fill in the name and full title of the official signing the Document. The official must be in the employment of the competent authority of the flag state/fishing entity of the vessel that harvested the southern bluefin tuna appearing on the Document. This requirement with respect to any Member of the Commission may be met by an entity duly delegated by the authority of the flag State/fishing entity. The Member which utilizes a delegated entity shall submit a certified copy of such delegation to the Executive Secretary.

Final Product Destination

21. Indicate whether the final destination of the product is for landing of domestic product or export.

A. Landing of Domestic Product Section

22. The person or company that receives southern bluefin tuna from a domestic vessel for the purpose of domestic sale must provide his/her/its name, address, signature, and date on which the southern bluefin tuna was received. Each buyer must also provide his/her name, address, signature, and date on which the southern bluefin tuna was received. If more than one buyer, the weight of SBT taken by each buyer must also be recorded.

B. Import Section

23. The person or company that imports southern bluefin tuna must provide his/her/its name, address, signature, date on which the southern bluefin tuna was imported, and final point of import. This includes imports into intermediate State (if applicable). For fresh and chilled product, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.
Appendix 1C

Re-export or Export after Landing of Domestic Product Form (example only)

“Re-export” should be read to include export after landing of domestic product as appropriate.

<table>
<thead>
<tr>
<th>DOCUMENT NUMBER:</th>
<th>CCSBT CDS RE-EXPORT OR EXPORT AFTER LANDING OF DOMESTIC PRODUCT CERTIFICATE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Full shipment □ Partial shipment □</td>
</tr>
</tbody>
</table>

**FORM NUMBER OF PRECEDING DOCUMENT (i.e. Catch Monitoring Form, or Re-export or Export after Landing of Domestic Product Form):**

**RE-EXPORT SECTION:**

1. RE-EXPORTING STATE/FISHING ENTITY

2. POINT OF EXPORT (CITY, STATE OR PROVINCE AND STATE/FISHING ENTITY)

3. PROCESSING ESTABLISHMENT (if applicable)

   NAME AND ADDRESS

4. DESCRIPTION OF (IMPORTED) FISH

<table>
<thead>
<tr>
<th>Product (a)</th>
<th>Type (b)</th>
<th>Weight (kg)</th>
<th>Flag State/ Fishing Entity</th>
<th>Imported Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>F/FR</td>
<td>RD/GG/DR/FL/OT</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

   (a) F=Fresh, FR=Frozen
(b) RD=Round, GG=Gilled and Gutted, DR=Dressed, FL=Fillet, OT=Other (describe the type of product; ___________.)

5. TAG NUMBERING (if applicable) Attached □

6. DESCRIPTION OF RE-EXPORTING FISH

<table>
<thead>
<tr>
<th>Product (a)</th>
<th>Type (b)</th>
<th>Weight (kg)</th>
</tr>
</thead>
<tbody>
<tr>
<td>F/FR</td>
<td>RD/GG/DR/FL/OT</td>
<td></td>
</tr>
</tbody>
</table>

   (a) F=Fresh, FR=Frozen
(b) RD=Round, GG=Gilled and Gutted, DR=Dressed, FL=Fillet, OT=Other (describe the type of product; ___________.)

7. RE-EXPORTER CERTIFICATION I certify that the above information is complete, true, and correct to the best of my knowledge and belief.

   Name  Address  Signature  Date
8. VALIDATION BY AUTHORITY  
I validate that the information listed above is complete, true, and correct to the best of my knowledge and belief.

<table>
<thead>
<tr>
<th>Name &amp; Title</th>
<th>Signature</th>
<th>Date</th>
<th>Official Seal</th>
</tr>
</thead>
</table>

**IMPORT SECTION:**

9. IMPORT SECTION  
I certify that the above information is complete, true, and correct to the best of my knowledge and belief.

**Importer Certification (Final Destination of Shipment)**

<table>
<thead>
<tr>
<th>Name</th>
<th>Address</th>
<th>Signature</th>
<th>Date</th>
</tr>
</thead>
</table>

Final Point of Import:  
City  |  State or Province  |  State/Fishing Entity  

**NOTE 1:** The organization/person which validates the Re-export section shall verify the copy of the original CCSBT CDS Document. Such a verified copy of the original CCSBT CDS document must be attached to the Re-export or Export after Landing of Domestic Product (RELDP) Form. When southern bluefin tuna is re-exported more than twice, all verified copies of concerned RELDP Forms must be also attached to a RELDP Form.

**NOTE 2:** If a language other than English or Japanese is used in completing this form, please add the English or Japanese translation on this Document.
### Catch Tagging Form (example only)

<table>
<thead>
<tr>
<th>DOCUMENT NUMBER</th>
<th>CCSBT CDS CATCH TAGGING FORM</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>□ Wild Harvest     □ Farmed</td>
</tr>
</tbody>
</table>

**FORM NUMBER OF ASSOCIATED CATCH MONITORING FORM:**

**CATCH SECTION:**

1. FLAG STATE/FISHING ENTITY OF FISHING VESSEL OR FARM

___________________________________________________________________________________

2A NAME AND REGISTRATION NUMBER OF FISHING VESSEL

_______________________________________/____________________________________________

_______________________________________/____________________________________________

2B NAME AND AUTHORISATION NUMBER OF FARM

_______________________________________/____________________________________________

_______________________________________/____________________________________________

3. INFORMATION ON OTHER FORMS OF CAPTURE (eg. Trap)

4. TAG INFORMATION

<table>
<thead>
<tr>
<th>CCSBT TAG Number</th>
<th>WEIGHT (kg) and length (cm)</th>
<th>GEAR CODE (if applicable)</th>
<th>AREA OF CATCH (if applicable)</th>
<th>TIME OF HARVEST (mm/yy)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>
Appendix 2

Minimum Procedural and Information Standards for CCSBT Member and Cooperating Non-Member Tagging Programmes

General requirements of an SBT tagging system

1. As outlined in section 1.7 and 1.8 of the Resolution, the SBT tag shall remain on each individual fish while the fish carcass remains whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, gill plates and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.

2. Members and Cooperating Non-Members shall take steps to ensure that SBT tags cannot be reused.

Specifications for SBT tags

3. Each SBT tag shall meet the following minimum standards:
   a. have a unique pre-recorded tag number in an easily readable form;
   b. tag numbering shall include a unique flag state identifier and a fishing year identifier (e.g. NZ-2008-000001);
   c. be able to be securely fastened to SBT;
   d. be non-reusable, tamper-proof and secure from counterfeiting or replication;
   e. be able to withstand at least negative sixty (60) degrees Celsius temperatures, salt water and rough-handling; and
   f. be food safe.

General requirements for tag related information

4. Members and Cooperating Non-Members shall record the distribution of SBT tags to entities they authorise to fish for, or farm, SBT.

5. In relation to each tag, Members and Cooperating Non-Members shall ensure their vessels and operators, and the relevant authorities have reporting procedures and formats allowing the collection of the required tagging information which must include month, area, and method of capture, and weight and length for each SBT.

6. All requirements of the CCSBT CDS Resolution outlined in Sections 5 – 9 of this resolution apply to tagging documentation and information for Members and Cooperating Non-Members implementing tagging programmes.
Appendix 3

Content of the Executive Secretary’s Six Monthly Reports to the Extended Commission

(to be developed and then agreed by the Extended Commission)
Resolution on Establishing a Program for Transshipment by Large-Scale Fishing Vessels

The Commission for the Conservation of Southern Bluefin Tuna (CCSBT),

TAKING ACCOUNT of the need to combat illegal, unregulated and unreported (IUU) fishing activities because they undermine the effectiveness of the conservation and management measures already adopted by the CCSBT;

EXPRESSING GRAVE CONCERN that organized tuna laundering operations have been conducted and a significant amount of catches by IUU fishing vessels have been transshipped under the names of duly licensed fishing vessels;

IN VIEW THEREFORE OF THE NEED to ensure the monitoring of the transshipment activities by large-scale longline vessels in areas beyond national jurisdiction, including the control of their landings;

TAKING ACCOUNT of the need to collect catch data of such large scale long-line tuna to improve the scientific assessments of those stocks;

Agrees in accordance with paragraph 3(b) of Article 8 of the CCSBT Convention, that:

SECTION 1. PROGRAM TO MONITOR TRANSSHIPMENTS AT SEA

1. The Commission hereby establishes a program to monitor transshipment at sea which applies initially to tuna longline fishing vessels with freezing capacity (hereafter referred to as the “LSTLVs”) and to carrier vessels authorised to receive transshipments from these vessels at sea. The Commission shall at its 2010 Annual Meeting, review and, as appropriate, revise this Resolution.

2. Members and Cooperating Non-Members shall determine whether or not to authorize their LSTLVs to transship at sea. However, if the Members and Cooperating Non-Members authorizes the at-sea transshipment by its flag LSTLVs, such transshipment shall be conducted in accordance with the procedures defined in Sections 2, 3 and 4, and annexes 1 and 2 below.

SECTION 2. RECORD OF VESSELS AUTHORISED TO RECEIVE TRANSSHIPMENTS-AT-SEA IN AREAS BEYOND NATIONAL JURISDICTION

3. The Commission shall establish and maintain a CCSBT Record of Carrier Vessels authorized to receive SBT at sea from LSTLVs. For the purposes of this Resolution, carrier vessels not entered on the record are deemed not to be authorized to receive SBT in at-sea transshipment operations.

4. Each Member and Cooperating Non-Member shall submit, electronically where possible, to the CCSBT Secretary by 1 April 2009 the list of the carrier vessels that are authorized to receive at-sea transshipments from its LSTLVs. This list shall include the following information:
   1. The flag of the vessel
   2. Name of vessel, register number
   3. Previous name (if any)
   4. Previous flag (if any)
   5. Previous details of deletion from other registries (if any)
   6. International radio call sign
   7. Type of vessels, length, gross tonnage (GT) and carrying capacity
   8. Name and address of owner(s) and operator(s)
   9. Time period authorised for transshipping
5. Each Member and Cooperating Non-Member shall promptly notify the Executive Secretary, after the establishment of the initial CCSBT Record of Carrier Vessels, of any addition to, any deletion from and/or any modification of the CCSBT Record of Carrier Vessels, at any time such changes occur.

6. The Executive Secretary shall maintain the CCSBT Record of Carrier Vessels and take measures to ensure publicity of the record and through electronic means, including placing it on the CCSBT website, in a manner consistent with confidentiality requirements notified by Members and Cooperating Non-Members for their vessels.

7. Carrier vessels authorized for at-sea transshipment shall be required to install and operate a Vessel Monitoring System (VMS).

SECTION 3. AT-SEA TRANSSHIPMENT

8. Transshipments by LSTLVs in waters under the jurisdiction of the Members and Cooperating Non-Members are subject to prior authorization from the Coastal State / Fishing Entity concerned.

9. Members and Cooperating Non-Members shall take the necessary measures to ensure that LSTLVs flying their flag comply with the following conditions:

   **Flag State / Fishing Entity Authorization**

10. LSTLVs are not authorized to transship at sea, unless they have obtained prior authorization from their Flag State / Fishing Entity.

**Notification obligations**

   **Fishing vessel:**

11. To receive the prior authorization mentioned in paragraph 10 above, the master and/or owner of the LSTLV must notify the following information to its Flag State / Fishing Entity authorities at least 24 hours in advance of an intended transshipment:

   a) the name of the LSTLV and its number in the CCSBT Authorised Vessel List,
   b) the name of the carrier vessel and its number in the CCSBT Record of Carrier Vessels authorized to receive transshipments at sea, and the product to be transshipped,
   c) the tonnage by product to be transshipped,
   d) the date and location of transshipment,
   e) the geographic location of the SBT catches.

12. The LSTLV concerned shall complete and transmit to its flag State / Fishing Entity, not later than 15 days after the transshipment, the CCSBT transshipment declaration, along with its number in the CCSBT Authorised Vessel List, in accordance with the format set out in Annex 1.

   **Receiving carrier vessel:**

13. The master of the receiving carrier vessel shall complete and transmit the CCSBT transshipment declaration to the CCSBT Secretariat and the flag Member or Cooperating Non-Member of the LSTLV, along with its number in the CCSBT Record of Carrier Vessels authorized to receive transshipment at sea, within 24 hours of the completion of the transshipment.

14. The master of the receiving carrier vessel shall, 48 hours before landing, transmit a CCSBT transshipment declaration, along with its number in the CCSBT Record of Carrier Vessels authorized
to receive transshipment at sea, to the competent authorities of the State / Fishing Entity where the landing takes place.

**Regional Observer Program**

15. Each Member and Cooperating Non-Member shall ensure that all carrier vessels transshipping at sea have on board a CCSBT observer, not later than 1 April 2009, in accordance with the CCSBT Regional Observer Program in Annex 2. The CCSBT observer shall observe the compliance with this Resolution, and notably that the transshipped quantities are consistent with the reported catch in the CCSBT transshipment declaration.

16. Vessels shall be prohibited from commencing or continuing at-sea transshipping at sea without a CCSBT regional observer on board, except in cases of ‘force majeure’ duly notified to the Executive Secretary.

**SECTION 4. GENERAL PROVISIONS**

17. To ensure the effectiveness of the CCSBT conservation and management measures pertaining to the Catch Documentation System (CDS):  

   a) In validating the necessary CCSBT CDS documentation, as required by the CDS, Flag Members and Cooperating Non-Members of LSTLVs shall ensure that transshipments are consistent with the reported catch amount by each LSTLV.

   b) The Flag Member or Cooperating Non-Member of LSTLVs shall validate the necessary CCSBT CDS documentation for the transshipped fish, as required by the CDS, after confirming that the transshipment was conducted in accordance with this Resolution. This confirmation shall be based on the information obtained through the CCSBT Regional Observer Program.

   c) Members and Cooperating Non-Members shall require that SBT caught by LSTLVs, when imported into the territory of a Contracting Party, be accompanied by necessary CCSBT CDS documentation validated for the vessels on the CCSBT Authorised Vessel List and a copy of the CCSBT transshipment declaration.

18. The Members and Cooperating Non-Members shall report to the Executive Secretary 6 weeks prior to the Annual Meeting of the Commission:

   a) The quantities of SBT transshipped during the previous year.

   b) The list of the LSTLVs registered in the CCSBT Authorised Vessel List which have transshipped during the previous year.

   c) A comprehensive report assessing the content and conclusions of the reports of the observers assigned to carrier vessels which have received transshipment from their LSTLVs.

19. All SBT landed or imported into the Members and Cooperating Non-Members either unprocessed or after having been processed on board and which are transshipped, shall be accompanied by the CCSBT transshipment declaration until the first sale has taken place.

20. Each year, the Executive Secretary shall present a report on the implementation of this Resolution to the annual meeting of the Commission which shall review compliance with this Resolution.

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1 Until the CDS enters into force, this resolution shall apply as if “CCSBT CDS documentation” means “Trade Information Scheme documentation”. 

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21. These provisions shall be applicable from 1 April 2009.

22. The transshipment resolution adopted by CCSBT13 (2006) is superseded by this Resolution.

23. To avoid the duplication of the same measures, ICCAT or IOTC observers on transshipment vessels on the CCSBT Record of Carrier Vessels may be deemed to be participating in the CCSBT transshipment program, provided these observers meet the standards established in this Resolution and the CCSBT Secretariat is informed. The CCSBT Secretariat shall liaise with the ICCAT and IOTC with respect to any information submitted to those organizations regarding SBT. The CCSBT Secretariat shall also exchange information on transshipment and observer standards with other RFMO Secretariats.
# ANNEX 1 - CCSBT TRANSSHIPMENT DECLARATION

<table>
<thead>
<tr>
<th>Carrier Vessel</th>
<th>Fishing Vessel</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Name of the Vessel and Radio Call Sign:</strong></td>
<td><strong>Name of the Vessel and Radio Call Sign:</strong></td>
</tr>
<tr>
<td><strong>Flag:</strong></td>
<td><strong>Flag:</strong></td>
</tr>
<tr>
<td><strong>Flag state / fishing entity license number:</strong></td>
<td><strong>Flag state / fishing entity license number:</strong></td>
</tr>
<tr>
<td><strong>National Register Number, if available:</strong></td>
<td><strong>National Register Number, if available:</strong></td>
</tr>
<tr>
<td><strong>CCSBT Register Number, if available:</strong></td>
<td><strong>CCSBT Register Number, if available:</strong></td>
</tr>
</tbody>
</table>

| Departure | Day Month Hour || Year | Agent’s name: | Master’s name of LSTLV: | Master’s name of Carrier: |
|-----------|-----------------|-------|----------------|-------------------------|--------------------------|
| Return    | From            |       | Signature:     | Signature:              |                          |
| Transshipment |              |       |                |                         |                          |

Indicate the weight in kilograms or the unit used (e.g. box, basket) and the landed weight in kilograms of this unit: [___] kilograms TRANSSHIPMENT

<table>
<thead>
<tr>
<th>Location of Species</th>
<th>Port</th>
<th>Sea</th>
<th>Whole</th>
<th>Gutted</th>
<th>Headed</th>
<th>Filleted</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

If transhipment effected at sea, CCSBT Observer Name and Signature:
ANNEX 2 - CCSBT REGIONAL OBSERVER PROGRAM

1. Each Member and Cooperating Non-Member shall require carrier vessels included in the CCSBT Record of Carrier Vessels authorised to receive transshipments at sea and which transship at sea, to carry a CCSBT observer during each transshipment operation at sea.

2. The Executive Secretary shall appoint the observers and shall place them on board the carrier vessels authorized to receive transshipments at sea from LSTLVs flying the flag of Members and Cooperating Non-Members that implement the CCSBT Regional Observer Program.

Designation of the observers

3. The designated observers shall have the following qualifications to accomplish their tasks:
   a) sufficient experience to identify species and fishing gear;
   b) satisfactory knowledge of the CCSBT conservation and management measures;
   c) the ability to observe and record information accurately;
   d) a satisfactory knowledge of the language of the flag of the vessel observed.

Obligations of the observer

4. Observers shall:
   a) have completed the technical training required by the guidelines established by CCSBT, or the guidelines established by IOTC or ICCAT providing that the observers have also been trained in relation to paragraphs 3(a) – (c);
   b) to the extent possible, not be nationals of the flag State / Fishing Entity of the receiving carrier vessel;
   c) be capable of performing the duties set forth in point 5 below;
   d) be included in the list of observers maintained by the Secretariat of the Commission;
   e) not be a crew member of an LSTLV or an employee of an LSTLV company.

5. The observer tasks shall be in particular to:
   a) while on the Fishing Vessel intending to transship to the carrier vessel and before the transshipment takes place:
      i) check the validity of the fishing vessel’s authorisation or licence to fish for SBT;
      ii) check and note the total quantity of catch on board, and the quantity to be transferred to the carrier vessel;
      iii) check that the VMS is functioning and examine the logbook;
iv) verify whether any of the catch on board resulted from transfers from other vessels, and check documentation on such transfers;
v) in the case of an indication that there are any violations involving the fishing vessel, immediately report the violations to the carrier vessel master; and
vi) report the results of these duties on the fishing vessel in the observer’s report.

b) monitor the carrier vessel’s compliance with the relevant conservation and management measures adopted by the Commission. In particular the observers shall:
   i. record and report upon the transshipment activities carried out;
   ii. verify the position of the vessel when engaged in transshipping;
   iii. observe and estimate products transshipped;
   iv. verify and record the name of the LSTLV concerned and its CCSBT Authorised Vessel List number;
   v. verify the data contained in the transshipment declaration;
   vi. certify the data contained in the transshipment declaration;
   vii. countersign the transshipment declaration;

   c) issue a daily report of the carrier vessel’s transshipping activities;
   d) establish general reports compiling the information collected in accordance with this paragraph and provide the captain the opportunity to include therein any relevant information.
   e) submit to the Secretariat the aforementioned general report within 20 days from the end of the period of observation.
   f) exercise any other functions as defined by the Commission.

6. Observers shall treat as confidential all information with respect to the fishing operations of the LSTLVs and of the LSTLVs owners and accept this requirement in writing as a condition of appointment as an observer;

7. Observers shall comply with requirements established in the laws and regulations of the flag State / Fishing Entity which exercises jurisdiction over the vessel to which the observer is assigned.

8. Observers shall respect the hierarchy and general rules of behavior which apply to all vessel personnel, provided such rules do not interfere with the duties of the observer under this program, and with the obligations of vessel personnel set forth in paragraph 9 of this program.

**Obligations of the Flag State / Fishing Entities of carrier vessels**

9. The responsibilities regarding observers of the flag State / Fishing Entities of the carrier vessels and their captains shall include the following, notably:
a) Observers shall be allowed access to the vessel personnel and to the gear and equipment;
b) Upon request, observers shall also be allowed access to the following equipment, if present on the vessels to which they are assigned, in order to facilitate the carrying out of their duties set forth in paragraph 5:
   i) satellite navigation equipment;
   ii) radar display viewing screens when in use;
   iii) electronic means of communication;
c) Observers shall be provided accommodation, including lodging, food and adequate sanitary facilities, equal to those of officers;
d) Observers shall be provided with adequate space on the bridge or pilot house for clerical work, as well as space on deck adequate for carrying out observer duties; and
e) The flag State / Fishing Entities shall ensure that captains, crew and vessel owners do not obstruct, intimidate, interfere with, influence, bribe or attempt to bribe an observer in the performance of his/her duties.

10. The Executive Secretary, in a manner consistent with any applicable confidentiality requirements, is requested to provide to the flag State / Fishing Entity of the carrier vessel under whose jurisdiction the vessel transshipped and to the Flag Member or Cooperating Non-Member of the LSTLV, copies of all raw data, summaries, and reports pertaining to the trip.

Obligations of LSTLV during transshipment
11. Observers shall be allowed to visit the fishing vessel if the observer’s safety can be reasonably assured given the weather and sea conditions, and access shall be granted to personnel and areas of the vessel necessary to carry out their duties set forth in paragraph 5.

12. The Executive Secretary shall submit the observer reports to the Compliance Committee and to the Scientific Committee.

Observer fees
13. The costs of implementing this program shall be financed by the flag Members and Cooperating Non-Members of LSTLVs wishing to engage in transshipment operations. The fee shall be calculated on the basis of the total costs of the program. This fee shall be paid into a special account of the CCSBT Secretariat and the CCSBT Secretary shall manage the account for implementing the program.

14. No observer shall be assigned to a vessel for which the fees, as required under paragraph 13, have not been paid.
Resolution on the Establishment of a Record of Authorised Farms

The Extended Commission for the Conservation of Southern Bluefin Tuna,

Noting that the Resolution on “Illegal, Unregulated and Unreported Fishing (IUU) and Establishment of a CCSBT Record of Vessels over 24 meters Authorized to Fish for Southern Bluefin Tuna” was adopted at its 10th annual meeting in 2003 and for all vessels at its meeting on 2004;

Further Noting that substantial amounts of Southern Bluefin Tuna (SBT) have been and are caught for farming.

Agrees, in accordance with paragraph 3(b) of Article 8 of the CCSBT Convention, that:

1. The Extended Commission shall establish and maintain a CCSBT record of farming facilities authorized to operate for farming of SBT (hereafter referred to as authorised farms). For the purposes of this resolution, SBT farms not entered into the record are deemed not to be authorized to operate for farming of SBT.

2. Each Member or Cooperating Non-Member under whose jurisdiction SBT farms are located shall submit electronically, where possible, to the Executive Secretary by 31 December 2008 the list of its SBT farms that are authorized to operate for farming of SBT. This list shall include the following information:
   - name and registration number of the authorised farm,
   - previous name(s) (if any),
   - names and addresses of owner(s) and operator(s),
   - location (including longitude and latitude),
   - farming capacity (in tonnes)
   - the time period for which the farm will be authorized for SBT farming

3. Each Member or Cooperating Non-Member shall notify the Executive Secretary, after the establishment of the CCSBT record of authorised farms, of any addition to, any deletion from and/or any modification of the CCSBT record of authorised farms at any time such changes occur.

4. The Executive Secretary shall maintain the CCSBT record of authorised farms, and take any measure to ensure publicity of the record through electronic means, including placing it on the CCSBT website, in a manner consistent with confidentiality policies of the Commission.
6. The Members or Cooperating Non-Members under whose jurisdiction authorised farms are located shall take the necessary measures to ensure that their authorised farms comply with relevant CCSBT measures.

7. Members and Cooperating Non-members shall take measures, under their applicable legislation, not to permit landings of domestic product, exports, imports, and/or re-exports of SBT into and from farms which are not registered on the CCSBT record of authorised farms.

8. To ensure the effectiveness of the CCSBT conservation and management measures pertaining to the CCSBT CDS:

   i) Members and Cooperating Non-members shall validate CDS documents only for the farming facilities on the CCSBT record of authorised farms;

   ii) Members and Cooperating Non-members that farm SBT shall require that farmed SBT, when sold to first point of domestic sale within their jurisdictions shall be accompanied by CDS documents validated only for farming facilities on the CCSBT record of authorised farms; and

   iii) Members and Cooperating Non-members shall require that farmed SBT, when imported into the territory of a Member or Cooperating Non-Member be accompanied by CDS documents validated only for the farming facilities on the CCSBT record of authorised farms.
Resolution on amendment of the Resolution on “Illegal, Unregulated and Unreported Fishing (IUU) and Establishment of a CCSBT Record of Vessels over 24 meters Authorized to Fish for Southern Bluefin Tuna” adopted at the CCSBT15 in 2008

(adopted at the Fifteenth Annual Meeting – 14 -17 October 2008)
Resolution on amendment of the Resolution on “Illegal, Unregulated and Unreported Fishing (IUU) and Establishment of a CCSBT Record of Vessels over 24 meters Authorized to Fish for Southern Bluefin Tuna” adopted at the CCSBT15 in 2008

The Extended Commission for the Conservation of Southern Bluefin Tuna,

Noting that the Resolution on “Illegal, Unregulated and Unreported Fishing (IUU) and Establishment of a CCSBT Record of Vessels over 24 meters Authorized to Fish for Southern Bluefin Tuna (hereinafter referred to as “the original Resolution”) ” was adopted at its 10th annual meeting in 2003;

Further Noting that substantial amount of Southern Bluefin Tuna has been and are harvested by vessels less than 24 meters operating under flags of non-members to the CCSBT which are not covered by the original Resolution;

Considering the urgent need to take further comprehensive approach to deter IUU fishing activities; and

Recognizing technical difficulties for import states to establish effective inspection schemes on import of fresh tuna products.

Agrees, in accordance with paragraph 3(b) of Article 8 of the CCSBT Convention, that:

The provision regarding vessel size limitation in the original Resolution which applies to those more than 24 meters is removed, and the original Resolution is amended as follows:

1. The Contracting Parties, Member of the Extended Commission and Cooperating Non-Members shall:
   a. ensure that all vessels under their registry do not carry out IUU fishing activities for southern bluefin tuna;
   b. take every possible action, consistent with relevant law, to prevent, deter and eliminate IUU fishing; and
   c. review progress on the issue of IUU fishing for SBT and the implementation of its IUU measures including adopting further measures as required on a regular basis.
2. The Extended Commission shall establish and maintain an CCSBT Record of fishing vessels (hereinafter referred to as "fishing vessels" or "FVs") authorized to fish for SBT. For the purpose of this recommendation, FVs not entered into the Record are deemed not to be authorized to fish for, retain on board, tranship or land SBT.

3. Each Member of the Extended Commission (hereinafter referred to as “Member”), and Co-operating Non-member shall submit electronically, where possible, to the Executive Secretary by 1 July 2005, the list of FVs flying its flag that are authorized to fish for SBT. This list shall include the following information:
   - Name of vessel(s), register number(s);
   - Previous name(s) (if any);
   - Previous flag(s) (if any);
   - Previous details of deletion from other registries (if any);
   - International radio call sign(s) (if any);
   - Type of vessel(s), length and gross registered tonnage (GRT);
   - Name and address of owner(s) and operator(s);
   - Gear(s) used; and
   - Time period authorized for fishing and/or transhipping.

The Members and Co-operating Non-members shall indicate, when initially submitting their list of vessels according to this paragraph, which vessels are newly added or meant to replace vessels currently on their list submitted to the Executive Secretary. The initial CCSBT record shall consist of all the lists submitted under this paragraph.

4. Each Member and Co-operating Non-member shall promptly notify, after the establishment of the initial CCSBT Record, the Executive Secretary of any addition to, any deletion from and/or any modification of the CCSBT Record at any time such changes occur.

5. The Executive Secretary shall maintain the CCSBT Record, and take any measure to ensure publicity of the Record and through electronic means, including placing it on the CCSBT website, in a manner consistent with confidentiality requirements noted by the Members and Co-operating Non-members.

6. The flag Members and Co-operating Non-members of the vessels on the record
shall:

a) authorize their FVs to fish for SBT only if they are able to fulfill in respect of these vessels the requirements and responsibilities under the CCSBT Convention and its conservation and management measures;

b) take necessary measures to ensure that their FVs comply with all the relevant CCSBT conservation and management measures;

c) take necessary measures to ensure that their FVs on the CCSBT Record keep on board valid certificates of vessel registration and valid authorization to fish and/or tranship;

d) affirm that if those vessels have record of IUU fishing activities, the owners have provided sufficient evidence demonstrating that they will not conduct such activities any more;

e) ensure, to the extent possible under domestic law, that the owners and operators of their FVs on the CCSBT Record are not engaged in or associated with fishing activities for SBT conducted by FVs not entered into the CCSBT Record;

f) take necessary measures to ensure, to the extent possible under domestic law, that the owners of the FVs on the CCSBT Record are citizens or legal entities within the flag Members and Co-operating Non-members so that any control or punitive actions can be effectively taken against them.

7. The Members and Co-operating Non-members shall review their own internal actions and measures taken pursuant to paragraph 5, including punitive and sanction actions and in a manner consistent with domestic law as regards disclosure, report the results of the review to the Extended Commission at its 2005 meeting and annually thereafter. In consideration of the results of such review, the Extended Commission shall, if appropriate, request the flag Members and Co-operating Non-members of FVs on the CCSBT Record to take further action to enhance compliance by those vessels to CCSBT conservation and management measures.

8. a) The Members and Co-operating Non-members shall take measures, under their applicable legislation, to prohibit the fishing for, the retaining on board, the transhipment and landing of SBT by the FVs which are not entered into the CCSBT Record.

b) To ensure the effectiveness of the CCSBT conservation and management measures pertaining to CCSBT Catch Documentation Scheme:

i) flag Members and Co-operating Non-members shall validate CDS documents
only for the FVs on the CCSBT Record,

ii) the Members and Co-operating Non-members shall require that SBT caught by FVs, when transhipped, landed as domestic product, exported, imported or re-exported within their jurisdictions, shall be accompanied by CDS documents validated for the vessels on the CCSBT Record and,

iii) the Members and Co-operating Non-members shall co-operate to ensure that CDS documents are not forged or do not contain misinformation.

9. Each Member and Co-operating Non-member shall notify the Executive Secretary of any factual information showing that there are reasonable grounds for suspecting FVs not on the CCSBT record to be engaged in fishing for and/or transhipment of SBT.

10. a) If a vessel mentioned in paragraph 8 is flying the flag of a Member and Co-operating Non-member, the Executive Secretary shall request that Member and Co-operating Non-member to take measures necessary to prevent the vessel from fishing for SBT.

b) If the flag of a vessel mentioned in paragraph 8 cannot be determined or is of a non-Member without cooperating status, the Executive Secretary shall compile such information for future consideration by the Extended Commission.

11. The Extended Commission and the Members and Co-operating Non-members concerned shall communicate with each other, and make the best effort with FAO and other relevant regional fishery management bodies to develop and implement appropriate measures, where feasible, including the establishment of records of a similar nature in a timely manner so as to avoid adverse effects upon other tuna resources in other oceans. Such adverse effects might consist of excessive fishing pressure resulting from a shift of the IUU FVs from fishing for SBT to other fisheries.

12. Before the Extended Commission decides to implement measures specified in paragraph 8, the Extended Commission and the Members shall contact all the relevant countries to inform of this resolution and consult with them, and give them sufficient time to adopt themselves to this resolution. They shall continue to encourage non-Contracting Parties to become Members or Co-operating non-Members.
13. This resolution repeals the previous amendment to the resolution on “Illegal, Unregulated and Unreported Fishing (IUU) and Establishment of a CCSBT Record of Vessels over 24 meters Authorized to Fish for Southern Bluefin Tuna” adopted at the Eleventh Annual Meeting from 19-22 October 2004.
Commission for the Conservation of Southern Bluefin Tuna

Report of the Thirteenth Meeting of the Scientific Committee

5 - 12 September 2008
Rotorua, New Zealand
Australia’s clarification in relation to its measuring of SBT in the tagging component of the CDS

Australia has some difficulty with the concept of reporting both length and weight in the tagging report associated with the CDS. We would note that we have submitted to the CCSBT the independent expert advice that the taking of the weights and lengths of farm fish at harvest has no value to the stock assessment. In terms of compliance it does not provide anything additional to the provision of the individual weight of a fish.

Compliance with this aspect of the resolution will cost Australian industry many hundreds of thousands of dollars per year. This additional cost arises from the fact that the speed of the processing lines will be significantly reduced.

However, in recognition of the importance of the CDS Resolution being a key component of the MCS measures required to be implemented by the Commission, Australia has agreed that length and weight data form part of the reporting forms, including the SBT farm harvests.

Australia notes that as part of the review process of the CDS system, the practicalities of providing the data will be considered. We will report back to the Commission on this issue and would seek members understanding of the practical difficulties involved.
Terms of Reference
Strategy and Fisheries Management Working Group

In response to the recommendations of the performance review of the Extended Commission completed in 2008, the Extended Commission has agreed the following Terms of Reference for a Strategy and Fisheries Management Working Group (SFMWG).

Composition
1. The SFMWG shall consist of the Commissioners from members of the Extended Commission, the Secretariat, and as appropriate, other experts in fisheries management and fisheries science. Numbers of participants will be kept to a minimum.

2. The first meeting will be chaired by Japan.

Functions
3. The broad purpose of the SFMWG shall be:
   i. To develop a draft Strategic Plan (SP) which sets out a common view of the strategic direction of the Extended Commission in managing the SBT fishery.
   ii. To develop a draft Fisheries Management Plan (FMP) for Southern Bluefin Tuna (SBT) comprising management objectives for the SBT stock and ecologically related species consistent with modern standards of international fisheries management.
   iii. In relation to ii, develop:
       • a rebuild strategy for SBT that is consistent with the UN Fish Stocks Agreement and the precautionary approach
       • other technical measures for managing the SBT stock, as appropriate

4. The SFMWG shall take into account any relevant advice from the subsidiary bodies of the Extended Commission.

Workplan
5. The first meeting of the SFMWG will focus on item iii outlined above.

6. The first meeting SFMWG will be held in Tokyo, Japan, from 14-17 April 2009 and any necessary follow up work to the SFMWG meeting will be completed intersessionally before CCSBT16.

7. The recommendations of the SFMWG will be considered by the Extended Commission at CCSBT16.
Recommendation to Mitigate the Impact on Ecologically Related Species of Fishing for Southern Bluefin Tuna

The Extended Commission for the Conservation of Southern Bluefin Tuna,

Concerned that some seabird species, notably albatrosses and petrels, are threatened with global extinction,

Mindful that fishing for southern bluefin tuna can also cause incidental harm to other species such as sea turtles and sharks,

Recalling the definition of ecologically related species in Article 2 of the Convention for the Conservation of Southern Bluefin Tuna,

Further recalling the requirement in Article 5(2) of the Convention for the Conservation of Southern Bluefin Tuna that the Parties shall expeditiously provide to the Commission for the Conservation of Southern Bluefin Tuna data on, inter alia, ecologically related species.

Determined to mitigate incidental harm to ecologically related species caused by fishing for southern bluefin tuna,

Noting the importance of harmonising conservation and management measures with other organisations responsible for managing international fisheries, as agreed at the Kobe Meeting of Joint Tuna RFMOs on 26 January 2007,

Reaffirming the recommendation at the seventh meeting of the Ecologically Related Species Working Group (ERSWG) held in Tokyo from 3 to 6 July 2007, that Members and Cooperating Non-Members will provide national reports on their interactions with ecologically related species in southern bluefin tuna fisheries to the ERSWG,

Recommends that:

1. Members and Cooperating Non-Members will, to the extent possible, implement the International Plan of Action for Reducing Incidental Catches of Seabirds in Longline Fisheries (IPOA-Seabirds), the International Plan of Action for the Conservation and Management of Sharks (IPOA-Sharks), and the FAO Guidelines to reduce sea turtle mortality in fishing operations (FAO-Sea turtles), if they have not already done so.

2. Members and Cooperating Non-Members will comply with all current binding and recommendatory measures aimed at the protection of ecologically related species, including seabirds, sea turtles and sharks, from fishing, which are adopted from time to time:

   a) by the Indian Ocean Tuna Commission, when fishing in its Convention area, and
b) by the Western and Central Pacific Fisheries Commission, when fishing in its Convention area,

irrespective of whether the Member or Cooperating Non-Member concerned is a member of the relevant Commission or otherwise cooperates with it.

3. Members and Cooperating Non-Members will collect and report data on ecologically related species to the Extended Commission and/or its subsidiary bodies as appropriate, including the Ecologically Related Species Working Group. Further, the undertaking described in paragraph 2 will include a commitment to comply with measures adopted by the Indian Ocean Tuna Commission and the Western and Central Pacific Fisheries Commission on the collection and reporting of data in relation to ecologically related species. Data confidentiality shall be protected under the rules that apply in those Commissions.

4. Members and Cooperating Non-Members will report annually to the Compliance Committee of the Extended Commission on the action they have taken pursuant to paragraphs 1, 2 and 3 of this recommendation.

5. The Secretariat of the CCSBT is authorised to collect and exchange relevant data concerning ecologically related species with the Secretariat of the Indian Ocean Tuna Commission and the Secretariat of the Western and Central Pacific Fisheries Commission.

6. The Extended Commission will review the operation of this Recommendation with a view to enhancing the protection of ecologically related species from the impacts of fishing for southern bluefin tuna.

7. The Extended Commission and/or its subsidiary bodies as appropriate will undertake an assessment of the risks to ecologically related species posed by fishing for southern bluefin tuna. The Extended Commission will consider how these risks are mitigated by the adoption of measures described at section 2, and will consider whether any additional measures to mitigate risk are required.
**CCSBT WORKPLAN 2008-9**

This workplan does not include ongoing routine work of the Secretariat.

<table>
<thead>
<tr>
<th>Date</th>
<th>Stock Assessment</th>
<th>Database Activity</th>
<th>Tag Recapture Program</th>
<th>CCSBT Catch Reporting</th>
<th>CCSBT Performance Review</th>
<th>Monitoring Control Surveillance</th>
<th>Trade Information Scheme</th>
<th>Authorised Vessel List</th>
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<tbody>
<tr>
<td>2008</td>
<td>Nov</td>
<td>Data Exchange</td>
<td>Ongoing development of database, loading of updated and new data from members, other providers, TIS scheme, tagging project, and production of necessary data extracts/reports</td>
<td>Continue efforts to obtain and record tag recaptures</td>
<td>Monthly catch reporting by flag, and reporting of initial quota allocations and final catches by vessel/company</td>
<td>Implement decisions taken at CCSBT15 on PR recommendations</td>
<td>Develop all processes to enable agreed commencement dates to be achieved VMS October 2008 CDS January 2010 Transhipment April 2009</td>
<td>Manage scheme and maintain data records pending CDS implementation</td>
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<td>Maintain vessel list including admission of fishing vessels and transhipment vessels of members and cooperating non members</td>
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