

**Provisional Agenda
Thirteenth Meeting of the Compliance Committee
11 – 13 October 2018
Noumea, New Caledonia**

1. Opening of Meeting

- 1.1 Welcome
- 1.2 Adoption of Agenda
- 1.3 Meeting Arrangements

2. Overview of Compliance with CCSBT Conservation and Management Measures

This agenda item relates to compliance with existing CCSBT conservation and management measures.

2.1 Report from the Secretariat

The Secretariat's report will include updated compliance tables that summarise compliance of Members with key CCSBT management measures. These tables will be updated in conjunction with Members in advance of the meeting.

2.2 Annual Reports from Members

Members should submit their annual reports in accordance with the [Template for the Annual Report to the Compliance Committee and the Extended Commission](#). This agenda item will focus on questions and answers to key issues within the annual reports, including a detailed examination of Members' systems and performance.

It is assumed that Members will have read the submitted annual reports so there should be no need to present those items.

- *Members that have participated in a Quality Assurance Review (QAR) are encouraged to report any important outcomes raised within their QAR in section I (Summary of MCS Improvements) of the template;*
- *Members should report on their implementation of the definition of the Attributable Catch¹ in accordance with section I(3) of the template.*

2.3 Assessment of compliance with CCSBT Management Measures

Information presented in the previous sub agenda items as well as in the reports mentioned above are expected to be the major contributor to this process.

2.3.1 Compliance of Members

The Compliance Committee (CC) will consider the performance of Members in complying with CCSBT management measures and provide recommendations for any areas that require improvement.

¹ CCSBT 21 agreed to the following definition of attributable catch: "A Member or CNM's attributable catch against its national allocation is the total Southern Bluefin Tuna mortality resulting from fishing activities within its jurisdiction or control¹ including, *inter alia*, mortality resulting from:

- commercial fishing operations whether primarily targeting SBT or not;
- releases and/or discards;
- recreational fishing;
- customary and/or traditional fishing; and
- artisanal fishing."

¹ Except where a vessel is chartered to a person or entity of another Member or CNM, and if a catch is attributable to that Member or CNM.

2.3.2 Application of the Corrective Actions Policy

The CC will consider identified areas of non-compliance and recommend how those instances of non-compliance should be addressed under the [CCSBT's Corrective Actions Policy](#).

3. Operation of CCSBT Measures: Issues & Updates

Members and/or the Secretariat will provide updates or report on any problems with the operation of CCSBT Measures such as those listed below:

- CDS;
- Authorised Vessel/Farm Resolutions;
 - *The Secretariat will provide information on revisions to the IMO's Ship Identification Number Scheme (Resolution A.1078(28)) with respect to fishing vessels.*
- VMS Resolution;
- Transshipment Resolution;
- Minimum Standards for Inspections in Port;
- IUU Vessel List Resolution
 - *Consider a draft IUU Vessel list as appropriate.*

4. CCSBT Resolutions: Review and Revision

This agenda item is for consideration of potential and proposed revisions to CCSBT Resolutions.

4.1 Catch Documentation Scheme (CDS)

With a view to developing a more efficient CDS, including potentially facilitating the development of an eCDS, CC10, CCWG4, CC11 and CC12 discussed but did not fully agree a revised CDS Resolution. It was instead agreed that the remaining issues could continue to be addressed intersessionally by Members during 2018.

This agenda item provides an opportunity for Members to address CAP items 3a and 3b, i.e. to:

- *Update the meeting on the results of any intersessional discussions conducted with respect to the draft revised CDS Resolution following CC12 (refer to Attachment A of paper CCSBT-CC/1710/08 -Rev.1);*
- *Discuss if they wish to reject the 2016 draft revised Resolution and/or potentially identify and incorporate any of the already agreed revisions (and/or any additional proposals) into a newly revised draft of the CDS Resolution for consideration; and*
- *Determine and document future work priorities with respect to the CDS Resolution, in particular how the CCSBT plans to reduce the cost of introducing an eCDS in future, and when to commence its introduction.*

4.2 Vessel Monitoring System (VMS) Resolution

The CAP (item 4a) tasks Members and the Secretariat with identifying information gaps where, enhanced CCSBT VMS arrangements are necessary to strengthen CCSBT's existing Conservation and Management Measures. The Secretariat will provide a summary document on this item.

4.3 Minimum Standards for Inspection in Port Resolution

The Secretariat will prepare a paper to address two aspects of the Port Inspection Resolution:

- *Consideration of the effectiveness of the Resolution as required by paragraph 31 of the Resolution. This review will focus on reconsidering the text in paragraphs 2 and 15; and*
- *Proposed addition of new fields to Annex B to monitor seabird mitigation measures.*

In addition:

- *The Secretariat will report back on CC12's request that it contact IOTC to determine whether IOTC is trialling the use of transshipment observers for collecting information on usage of seabird mitigation measures;*
- *Birdlife International has been analysing existing IOTC transshipment observer photographs to assess whether usage of seabird mitigation devices can be determined from these, and can report its findings to the CC; and*
- *Birdlife International will also be able to describe its port inspection research on mitigation measure usage to the CC.*

5. CCSBT Plans, Policies & Arrangements: Review, Revision & Progress Reports

Members and the Secretariat will consider any potential revisions to and/or progress reports on CCSBT policies and arrangements.

5.1 Compliance Plan

The CAP (item 2) tasks Members and the Secretariat with reviewing the Compliance Plan during 2018 – 2019. The Secretariat will provide a draft revision of the Compliance Plan that updates the Plan in relation to the current Strategic Plan for Members' consideration. Members should consider whether additional changes should be made to the Plan.

5.2 CPG1: Minimum Performance Requirements (MPRs)

The Secretariat will present draft revised MPRs for the MPR 1.1: Compliance with National Allocations, which needs to be updated.

5.3 CPG3: Corrective Actions Policy

The CAP (item 10) tasks Members and the Secretariat with reviewing whether the Corrective Actions Policy should be further revised to publicly record instances of non-compliance identified (other than associated with the global SBT TAC) and the corrective action taken in response. The Secretariat will propose a set of revisions for consideration.

5.4 CPG4: MCS Information Collection and Sharing

The CAP (item 7) tasks Members and the Secretariat with reviewing CCSBT's MCS Information Collection and Sharing Policy to ensure that it includes an efficient process by which available information/ intelligence that may assist with the identification of IUU fishing can be shared in a timely and secure manner. The Secretariat will propose a set of revisions for consideration.

5.5 Quality Assurance Reviews (QARs)

The Quality Assurance Review (QAR) program for 2018 consists of a combined desktop and on-site QAR for South Africa. Discussion of any significant issues raised in South Africa's QAR report is expected to occur under agenda item 2.2. Discussions under the current agenda item are intended to focus on consideration of the overall findings of the 2018 QAR. The CC should confirm whether the QAR for the EU should be conducted in 2019 as planned.

5.6 Template for Annual Report to the CC and EC

The Secretariat will provide minor updates to the template.

5.7 Transshipment MoC with WCPFC

The CCSBT and WCPFC agreed a Transshipment Memorandum of Cooperation (MoC). The MoC was subsequently signed by the WCPFC Chair on 18 April 2017 and by the CCSBT Chair on 5 June 2017. The Secretariat will report on progress made on implementation of the agreed MoC.

5.8 International Networks and Bilateral Arrangements

The Secretariat will provide an update on developments from the International Monitoring, Control and Surveillance Network (IMCSN), the Tuna Compliance Network (TCN) and the Secretariat's compliance relationships with other RFMOs and RFBs, and/or any bilateral arrangements.

5.9 Compliance Committee Arrangements

As stated at SFMWG5, New Zealand will “develop a proposal regarding ad-hoc expert compliance meetings for Members to evaluate at CC 13 and CCSBT 25, and noted that such a proposal may ultimately reduce the technical burden on the Compliance Committee”.

6. Implementation of the CCSBT Compliance Plan

This agenda item is for actions in the three-year Compliance Action Plan (2018-2020) that were planned for 2018, and which are not already addressed in other sections of this agenda.

6.1 Review of Compliance Risks

CC12 requested the addition of this new annual standing agenda item for the Secretariat (refer to 2018 WorkPlan)/Members (refer to CAP item 1) to review the list of compliance risks on page 1 of the Compliance Action Plan (CAP), including reporting what has been done to mitigate or better quantify those risks.

6.2 Potential Formalised Compliance Assessment Process

The Secretariat will provide a preliminary report on its research and drafting of a potential CCSBT Compliance Assessment process and accompanying measure.

6.3 Standing Agenda Items

The following standing agenda items should be discussed when new information is available:

- *any significant changes in emerging markets/ market activity*
- *updates on SBT IUU activity*
- *non-member port and market States whose cooperation should be sought*
- *updates on developments and use of Electronic Monitoring Systems (EMS) in SBT fisheries - as offered at CCSBT 24, Australia will report back on the WCPFC's progress on the development of E-monitoring standards*
- *ongoing identification and sharing of best practices for MCS systems.*

7. Review of the implementation of the ERS Recommendation

At CCSBT 24, the EC agreed that the Secretariat would conduct a desktop review of the implementation of the ERS Recommendation which included sending a questionnaire to Members and compiling the results of the questionnaire for presentation to the EC through the CC. The Secretariat will present the outcome of the review to the Compliance Committee.

8. Proposed On-line Data Submission/ Data Access Project

The Secretariat will provide a project proposal to introduce on-line data submissions and data access to Members for CDS validator details, authorised vessels and/or monthly catch reports.

9. Work Program for 2019

10. Other business

10.1. Use of AIS data to assess risks of undeclared SBT transshipments at sea and of IUU fishing for SBT

The Secretariat is investigating the possibility of contracting out an AIS data analysis project to determine the risk/likelihood of:

- *Undeclared transshipments occurring; and*
- *IUU fishing activity involving SBT.*

The Secretariat is also investigating the possibility of establishing a relationship with a data analysis agency so that in future, this agency could be requested to examine the AIS (and other) data associated with any potentially suspicious activity in more detail around the time that this activity is occurring and/or afterwards.

The Secretariat will provide an update on its investigations, which may include a project proposal.

11. Recommendations to the Extended Commission

12. Conclusion

12.1. Adoption of Meeting Report

12.2. Close of Meeting.