

**Provisional Agenda
Fifteenth Meeting of the Compliance Committee
8 - 10 October 2020
Sapporo, Japan**

1. Opening of Meeting

- 1.1 Welcome
- 1.2 Adoption of Agenda
- 1.3 Meeting Arrangements

2. Overview of Compliance with CCSBT Conservation and Management Measures

This agenda item relates to compliance with existing CCSBT conservation and management measures.

2.1 Report from the Secretariat

The Secretariat will present two papers, each including tables that summarise compliance of Members with key CCSBT conservation and management measures:

- *SBT-related measures, and*
- *ERS-related measures.*

2.2 Annual Reports from Members

Members should submit their annual reports in accordance with the revised [Template for the Annual Report to the Compliance Committee and the Extended Commission that was adopted at CCSBT 26](#). Furthermore, as agreed at CC14, transshipping Members are to report (in section 2.4iii of the template) on any irregularities reported by transshipment observers and on action taken to address such irregularities.

This agenda item will focus on questions and answers to key issues within the annual reports, including a detailed examination of Members' systems and performance. It is assumed that Members will have read all submitted annual reports so there should be no need to present full reports. Members should instead highlight any areas where there are issues with their implementation of and/or compliance with CCSBT measures, including addressing any areas noted in the Secretariat's papers presented under agenda item 2.1.

In addition:

- *Australia will report on its recreational fishing survey; and*
- *The EU participated in a Phase 1 Quality Assurance Review (QAR) during 2020 and will report on any important outcomes from its QAR and any future planned improvements (under section 1.2 of the revised template).*

2.3 Consideration of COVID-19 related issues

2.3.1 Action taken by Members

For consideration of the Compliance Committee, Members are requested to report on any departures from the usual operation of CCSBT Compliance measures that have resulted from the COVID-19 pandemic which have not been approved by the Extended Commission (EC).

2.3.2 Guidelines on principles and types of actions to be taken in relation to exceptional circumstances

As proposed in CCSBT Circular #2020/046, the Secretariat, with informal input from Members, will prepare a draft Compliance Policy Guideline containing the principles and types of actions to take in exceptional circumstances such as COVID-19. The Compliance Committee will consider this draft.

2.4 Assessment of compliance with CCSBT Management Measures

Information presented in the previous sub agenda items as well as in the reports mentioned above are expected to be the major contributor to this process.

2.4.1 Compliance of Members

The Compliance Committee (CC) will consider the performance of Members in complying with CCSBT management measures and provide recommendations for any areas that require improvement.

2.4.2 Application of the Corrective Actions Policy

The CC will consider any identified areas of non-compliance including any instances of TAC over-catches and paybacks, and recommend how any instances of non-compliance should be addressed under the CCSBT's Corrective Actions Policy.

3. Review of Progress on CC14's 2020 Workplan Items

Members and the Secretariat will report back on items from CC's 2020 Workplan (listed below), noting that more substantive items such as report backs by the three intersessional correspondence groups, on-line data submission and access updates, and development of a trial electronic Catch Documentation Scheme (eCDS) are discussed in separate items later in the agenda:

- *Results of a follow-up with the IOTC transshipment observer regarding the circumstances which led to suspected SBT being reported on board a Chinese-flagged Carrier Vessel/ transhipped from various Chinese fishing vessels (Secretariat);*
- *Check of ICCAT records for any newly reported SBT catch, if any (Secretariat);*
- *Feedback on the trade data discrepancies reported in paper CC/1910/10 (p4) (Australia, EU, Indonesia and South Africa);*
- *Provision of CDS support to South Africa as required (Australia and New Zealand); and*
- *Any developments with respect to the genetic identification of SBT, if available (Australia, South Africa and any other Members with new information); and*
- *Update on operationalisation of the transshipment MoC with the WCPFC.*

4. Operation of CCSBT Measures: Issues & Updates

Members and/or the Secretariat will provide updates or report on any problems with the operation of CCSBT Measures such as those listed below:

- *Authorised Vessel/Farm Resolutions;*
- *Transshipment Resolution*
- *Minimum Standards for Inspections in Port; and*
- *IUU Vessel List Resolution:*
 - *The Secretariat will provide a brief update on the cross-listing process and the current status of the CCSBT's IUU Vessel List, and*
 - *A draft IUU Vessel list will be considered if appropriate.*

5. Implementation of the CCSBT Compliance Plan

This agenda item is for actions in the three-year Compliance Action Plan (2018-2020) that were planned for 2020, and which are not addressed in other sections of this agenda.

5.1 Potential Formalised Compliance Assessment Process

As agreed at CC14, during 2020 Australia convened an intersessional correspondence group to consider the further development of appropriate compliance assessment tools and processes, including Quality Assurance Reviews (QARs). Australia will report back on the progress and recommendations of this group, including any recommendations concerning the QAR programme.

5.2 Standing Agenda Items

The following standing agenda items will be discussed if updated information is available:

- *Updates on potential SBT IUU activity including any:
 - *ad hoc analyses requested of Trygg Mat Tracking, including making a recommendation regarding the \$20,000 contingency fund currently in place to access Trygg Mat Tracking services if and when needed, and*
 - *analyses of vessel location information (e.g. analyses of VMS or AIS data);**
- *Editorial update to the new (2019) template for annual reports to the CC/EC for correction and clarification purposes;*
- *Non-Member port and market States whose cooperation should be sought;*
- *Updates on developments and use of Electronic Monitoring Systems (EMS) in SBT fisheries; and*
- *Ongoing identification and sharing of best practices for MCS systems.*

6. CCSBT Plans, Policies & Arrangements: Review, Revision & Progress Reports

Members and the Secretariat will consider any potential revisions to and/or progress reports on CCSBT policies and arrangements.

6.1 Compliance Action Plan (CAP): Review of Risks and Consideration of a CAP for 2021 to 2025

- *The CC will review the list of current compliance risks - this is a standing agenda item agreed by CC12, and*
- *For the CC's consideration, the Secretariat will report back on progress made by the CAP intersessional correspondence group on its 2020 Workplan items, i.e.:
 - a) *Consideration of CCSBT's progress with regard to mitigation and better quantification of current compliance risks, and*
 - b) *Development of a new (five-year) CCSBT Compliance Action Plan (CAP), including proposed action items and timeframes.**

6.2 Update on CCSBT's Compliance Relationships with other Organisations

The Secretariat will provide updates on any developments from the International Monitoring, Control and Surveillance Network (IMCSN), the Tuna Compliance Network (TCN) and the Secretariat's compliance relationships with any other relevant organisations.

7. On-line Data Submission/ Data Access Project and Development of a Trial eCDS

The Secretariat will provide an update on the on-line data submissions and data access project, as well a progress report on the development of a trial eCDS based on the current (2014¹ revised in 2019) CDS Resolution as agreed by CCSBT 26.

8. Proposal to enhance the implementation of seabird measures

CC14 agreed that the intersessional seabird correspondence group would continue during 2020 to further develop the project proposal for enhancing education on and implementation of Ecologically Related Species seabird measures within CCSBT fisheries into specific funding proposals. This group, under the leadership of BirdLife International, has progressed the proposal for further consideration by the Compliance Committee.

9. Work Program for 2021

10. Other business

11. Recommendations to the Extended Commission

¹ CCSBT 26 (paragraph 43 of the EC's report), "... supported developing a trial eCDS based on the current (2014) CDS Resolution", however a revised 2019 version of the CDS Resolution was agreed at CCSBT 26. The only revision in the 2019 CDS Resolution is to the definition of Landing of Domestic Product. This will not affect the development of an eCDS as operational practices have not changed.

12. Conclusion

12.1. Adoption of Meeting Report

12.2. Close of Meeting.