

**Provisional Agenda  
Seventeenth Meeting of the Compliance Committee  
4 - 7 October 2022  
Online**

*Discussion of blue highlighted agenda/sub-agenda items will commence by correspondence in advance of the meeting. There will be an opportunity to discuss important matters arising from pre-meeting discussion during plenary.*

**1. Opening of Meeting**

1.1 Welcome

1.2 Adoption of Agenda

1.3 Meeting Arrangements

**2. Overview of Compliance with CCSBT Conservation and Management Measures**

*This agenda item relates to compliance with existing CCSBT conservation and management measures.*

**2.1 Report from the Secretariat**

*The Secretariat will present two papers, each including tables that summarise Members' compliance with and/or implementation of key CCSBT conservation and management measures:*

- *SBT-related measures, and*
- *ERS-related measures.*

**2.2 Operation of Compliance Policy Guideline 5 (CPG5) and COVID-19 Related Issues**

**2.2.1 Report on Notifications Received under CPG5**

*The Secretariat and Members will report on any notifications made with respect to CPG5 since CCSBT 28 in October 2021.*

**2.2.2 Report on the Number of Unobserved SBT Transshipments at Sea and Actions Taken by Members**

*The Secretariat will report on any SBT transshipments-at-sea since CCSBT 28 that occurred without a transshipment observer on board due to deployment issues associated with COVID-19. Members will report on the additional compliance measures they took in these cases.*

**2.3 Annual Reports from Members**

*Members should submit their annual reports in accordance with the revised [Template for the Annual Report to the Compliance Committee and the Extended Commission that was adopted at CCSBT 26](#).*

*This agenda item will focus on questions and answers to key issues within the annual reports, including a detailed examination of Members' performance. Members should highlight any areas where there are issues with their implementation of and/or compliance with CCSBT measures.*

*CC16 agreed that, Members with non-compliant issues outlined in the Secretariat's Compliance with Measures paper from the preceding CC meeting should report on progress with actions taken to rectify non-compliance in their national report (in section 1.3).*

*In addition, CC16's Workplan noted the following items be included in annual reports:*

- *Australia, Korea and Taiwan and any other relevant Members are to report in section 1.1. of 2022 national reports to CC/EC on the outcome of Members' trial implementation of agreed improvements relating to attachment of tags;*
- *The EU is to provide a report back on how DG-MARE and EU member States have responded to the EU QAR in its 2022 National report to the CC/EC; and*
- *Japan is to share information of its ERS assessments from other tuna RFMOs.*

## 2.4 Assessment of compliance with CCSBT Management Measures

*Information presented in the previous sub agenda items as well as in the reports mentioned above are expected to be the major contributor to this process.*

### 2.4.1 Compliance of Members

*The Compliance Committee (CC) will consider the performance of Members in complying with CCSBT management measures and provide recommendations for any areas that require improvement.*

### 2.4.2 Application of the Corrective Actions Policy

*The CC will consider any identified areas of non-compliance including any instances of TAC over-catches and paybacks, and recommend how any instances of non-compliance should be addressed under the CCSBT's Corrective Actions Policy. In particular:*

- *During 2019 and 2020, Indonesia over-caught its combined 2019 and 2020 SBT allocation by a total of 456.584t. CC17 will review Indonesia's implementation of its payback and management plans<sup>1</sup> to date and advise CCSBT 29 on the degree to which the implementation has been successful;*
- *CC16 requested that South Africa develop a plan together with timeframe for addressing the issues of non-compliance identified by the CC on a step-by-step basis. CC17 will review the plan submitted by South Africa and make any appropriate recommendations to CCSBT 29.*

## 3. Report from the Ecologically Related Species Working Group (ERSWG)

*The Secretariat will present a brief report of matters from the ERSWG14 (March 2022) meeting that are relevant to the CC.*

## 4. Report from the Technical Compliance Working Group (TCWG)

*The TCWG/CC Chair will present an oral report of TCWG3's meeting (focused on Electronic Monitoring), including any recommendations and/or draft documents for the CC's consideration.*

## 5. Operation of CCSBT Measures: Issues & Updates

*Members and/or the Secretariat will provide updates or report on any problems with the operation of CCSBT Measures such as those listed below:*

- *Authorised Vessel/Farm Resolutions*
  - *The Secretariat will propose a revision to the Authorised Vessel Resolution to collect information on whether vessels have freezing capacity;*
- *Transshipment Resolution*
  - *Japan will report back on any progress made in ICCAT on discussions and potential amendments to ICCAT's transshipment management scheme, and*
  - *Members who attended the FAO's Technical Consultation on Voluntary Guidelines for Transshipment in May/June 2022 are encouraged to provide information and observations regarding that consultation as part of this agenda item;*
- *Minimum Standards for Inspections in Port; and*
- *IUU Vessel List Resolution:*
  - *The Secretariat will provide a brief update on the cross-listing process and the current status of the CCSBT's IUU Vessel List as well as an update on the project initiated and funded by the IMCSN, and*
  - *A draft IUU Vessel list will be considered if appropriate.*

## 6. Attributable SBT Catch Definition and Depredation

*CCSBT 28 endorsed CC16's recommendation that it request the ESC to consider the issue of depredation as outlined in paper CCSBT-CC/2110/18 and provide advice to the EC on the potential impact of depredation on the stock assessment and Management Procedure to enable further consideration of this matter in the context of the definition of Attributable catch and its application. This agenda item is an opportunity to discuss/note any feedback from the ESC.*

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<sup>1</sup> See paragraphs 48-49, 89-92 and Attachments 8 and 9 of the Report of CCSBT 27

## 7. 2021 CCSBT Performance Review

*CCSBT 28 agreed that CCSBT's 2021 Performance Review will be provided to the 2022 meetings of CCSBT's subsidiary bodies (including the Compliance Committee) for them to consider any pertinent recommendations and provide advice to CCSBT 29 on any final recommendations relating to them. The CC will need to discuss the relevance and importance of these recommendations.*

*The Secretariat will provide a paper listing all Performance Review recommendations that have relevance to the Compliance Committee.*

## 8. Implementation of the CCSBT Compliance Plan

*This agenda item is for actions that had not yet been completed in the three-year Compliance Action Plan for 2018-2020<sup>2</sup> and/or are maintenance action items that are being addressed during 2022, and are not considered in other sections of this agenda.*

### 8.1 Quality Assurance Reviews (QARs)

*Item 9b of the Compliance Action Plan notes that CC should consider the future need for QARs by reviewing the value of the information obtained and any remedial actions taken by Members. The 2021 report back from the intersessional correspondence group on compliance assessment processes (paper CCSBT-CC/2110/17) suggested that any consideration of future QARs await the results of the 2021 CCSBT performance review to ensure budget considerations are considered and to avoid potential duplication. CC16 agreed that New Zealand would draft a paper for CC17 on the future operation of QARs in the CCSBT.*

### 8.2 Markets

#### 8.2.1 Update on Japanese market proposal

*The CCSBT's market consultant will provide a report on progress with the Japanese market study. In addition, Japan will present a proposal for implementation of both the tag management survey and the market survey by the CCSBT.*

#### 8.2.2 Analysis of tag survey data

*The Secretariat will repeat its 2021 analysis (CCSBT-CC/2110/16) of reported catch against tag data for the project described in CCSBT-EC/2010/19 taking into account suggestions of how to improve the analysis made by CC16.*

#### 8.2.3 Discussion of SBT markets other than Japan

*The Secretariat will again provide the additional trade tables agreed by CC15, highlighting any import markets that received more than 100t of SBT in both 2020 and 2021 as agreed by CC16.*

### 8.3 Standing Agenda Items

*The following standing agenda items will be discussed where updated information is available:*

- *Operationalisation of the transshipment MoC with the WCPFC;*
- *SBT trade summaries from the Secretariat including:*
  - *Identification of any significant changes in emerging markets/market activity; and*
  - *An update on any newly reported SBT catch from ICCAT's records;*
- *Updates on potential SBT IUU activity including any:*
  - *Ad hoc analyses requested of Trygg Mat Tracking;*
  - *Analyses of vessel location information (e.g. analyses of VMS or AIS data);*
- *Any developments with respect to the genetic identification of SBT, if available; and*
- *Ongoing identification and sharing of best practices for MCS systems.*

## 9. Compliance Action Plan (CAP)

*CC15 agreed that consideration of action items to be included in the next CAP will be placed on hold until a face-to-face meeting can be convened. Consideration of compliance risks and progress with regard to mitigation and better quantification of those risks is therefore also on hold. In the interim, this agenda item is a "placeholder" in case there are any action items or consideration of risks that Members wish to raise prior to the next face-to-face meeting.*

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<sup>2</sup> Carried over into 2022

## **10. Update on CCSBT's Compliance Relationships with other Organisations**

*The Secretariat will provide updates on any developments from the International Monitoring, Control and Surveillance Network (IMCSN) and its associated network groups<sup>3</sup>, as well as the Secretariat's compliance relationships with any other relevant organisations.*

## **11. eSBT Projects**

*"eSBT" is the interactive online system being developed for Members to enter and view some types of required CCSBT data.*

### **11.1 On-line Data Submission/ Data Access Project**

*The Secretariat will provide an update on the on-line data submissions and data access project in particular for monthly catch reporting, validation seals, and authorised validators, farms and vessels.*

### **11.2 Development of a Trial eCDS**

*This agenda item provides an update on eCDS development activities.*

#### **11.2.1 Update on eCDS Working Group Tasks**

*The Secretariat will provide an update and relevant documentation with respect to the eCDS working group's three tasks agreed by CC16 which were to:*

- Progress outstanding matters (in particular issues around validation and the attachment of catch tagging forms to catch monitoring forms);*
- Develop a user manual for industry in English and Japanese; and*
- Prepare a revised CDS Resolution to enable the move to an eCDS.*

#### **11.2.2 Update on eCDS 2021/22 Workplan**

*The Secretariat will report back on progress with its eCDS workplan for 2021/22<sup>4</sup>.*

## **12. The Secretariat's Capacity to Conduct AIS Analyses in Future**

*The Secretariat will report back on the exploratory work it undertook during 2022 to determine whether it has the capacity to conduct AIS analyses in the future.*

## **13. Project to enhance the implementation of seabird measures**

*CCSBT's newly appointed Seabird Project Manager will provide a progress report on this project.*

## **14. Work Program for 2023**

## **15. Other business**

## **16. Recommendations to the Extended Commission**

## **17. Conclusion**

17.1. Adoption of Meeting Report

17.2. Close of Meeting.

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<sup>3</sup> The Pan-Pacific Fisheries Compliance Network (PPFCN) and the Tuna Compliance Network (TCN)

<sup>4</sup> Refer to on p2 of paper [CCSBT-CC/2110/15](#)