

Draft Provisional Agenda
Eighteenth Meeting of the Compliance Committee
5 - 7 October 2023
Busan, Korea

Key:

[...] - Discussion of blue-highlighted agenda/sub-agenda items will commence in a pre-meeting document.
There will be an opportunity to discuss any important matters arising from pre-meeting discussion during plenary.

1. Opening of Meeting

1.1 Welcome

1.2 Adoption of Agenda

1.3 Meeting Arrangements

2. Overview of Compliance with CCSBT Conservation and Management Measures

This agenda item relates to compliance with existing CCSBT conservation and management measures.

2.1 Report from the Secretariat

The Secretariat will present two papers, each including tables that summarise Members' compliance with and/or implementation of key CCSBT conservation and management measures:

- *SBT-related measures, and*
- *ERS-related measures.*

2.2 Operation of Compliance Policy Guideline 5 (CPG5)

2.2.1 Report on Notifications Received under CPG5

The Secretariat and Members will report on any notifications made with respect to CPG5 since CCSBT 29 in October 2022.

2.2.2 Upcoming Review of CPG5

Paragraph 6 of CPG5 notes that it, "should be reviewed after operational difficulties associated with the COVID-19 pandemic have been resolved to incorporate lessons learned during the crisis". Members should consider including this review in CC's 2024 Workplan.

2.2.3 Report on Any Unobserved SBT Transhipments at Sea and Actions Taken by Members

The Secretariat will report on any SBT transhipments-at-sea that occurred without a transhipment observer on board (if any) since CCSBT 29. Members will report on the additional compliance measures they took in such cases.

2.3 Annual Reports from Members

Members should submit their annual reports in accordance with the [Template for the Annual Report to the Compliance Committee and the Extended Commission that was adopted at CCSBT 28](#).

This agenda item will focus on questions and answers to key issues within the annual reports, including a detailed examination of Members' performance. Members should highlight any areas where there are issues with their implementation of and/or compliance with CCSBT measures.

CC16 agreed that Members with non-compliant issues outlined in the Secretariat's Compliance with Measures paper from the preceding CC meeting should report on progress with actions taken to rectify non-compliance in their national report (in section 1.3).

CC17's 2023 Workplan also noted that the following items should be reported on by the relevant Members:

- Indonesia: Provide an update on its efforts to achieve scientific observer coverage during the last quarter of 2022; and*
- Korea: Provide an update on development and roll-out of Korea's smart reporting application.*

2.4 Assessment of compliance with CCSBT Management Measures

Information presented in the previous sub agenda items as well as in the reports mentioned above are expected to be the major contributor to this process.

2.4.1 Compliance of Members

The Compliance Committee (CC) will consider the performance of Members in complying with CCSBT management measures and provide recommendations for any areas that require improvement.

2.4.2 Application of the Corrective Actions Policy

The CC will consider any identified areas of non-compliance including any instances of TAC over-catches and paybacks, and recommend how any instances of non-compliance should be addressed under the CCSBT's Corrective Actions Policy. In particular:

- CC18 will review Indonesia's implementation of its payback and management plans¹ to date;*
- South Africa will provide an update on the commitment it made to EC 29 in 2022 to respond to all its outstanding compliance matters including maintaining regular contact with the Secretariat during 2023 and providing a corrective plan of action by CCSBT 30.*

3. Report from the Technical Compliance Working Group (TCWG 4)

The TCWG/CC Chair will present an oral report of TCWG 4's meeting (focused on a review of compliance risks and proposed CAP action items to address the identified risks), including any recommendations and/or draft documents for the CC's consideration.

4. Compliance Action Plan (CAP)

The existing CAP is in Appendix 1 of CCSBT's Compliance Plan and is outdated², however at CC15 in 2020 it was agreed that revision of the CAP (to a 5-year plan) should not be considered until the next appropriate face-to-face meeting. Therefore, CAP considerations were placed on hold until 2023.

In October 2023, TCWG 4 focused on reviewing compliance risks and making recommendations to CC18 on proposed CAP action items (for 2024 to 2028) which both align with the CCSBT's draft updated Strategic Plan as well as address the identified list of compliance risks.

This agenda item provides an opportunity for Members to review the list of CAP compliance risks and action items proposed by TCWG 4 and finalise them for recommendation to the Extended Commission (EC).

It is suggested that a review/update of the Compliance Plan's over-arching goals, strategies and principles should also occur once CCSBT's revised Strategic Plan has been finalised, e.g. such a review/update could be included in the CC's 2024 Workplan.

¹ Indonesia's updated (in 2022) Management Plan to remain within its TAC for 2022 was provided in paper [CCSBT-CC/2210/23 \(Rev.1\)](#); its current Plan to pay back 91.3t of SBT per year between 2022 to 2026 inclusive is available at paragraph 69, Table 3 of the Report of [EC 28](#).

² The outdated CAP covers the period 2018 to 2020 inclusive

5. Consideration of Electronic Monitoring (EM)/ Systems

CC17 requested that an intersessional working group be convened and meet virtually to consider some of the key EM/S-related issues discussed at CC17, including data requirements for EM/S. A CCSBT EM/S workshop was held online on 17-18 May 2023 and agreed a set of High-Level EM/S Guiding Principles for CCSBT. CC18 is requested to consider endorsing these principles and to consider any feedback provided to CC18 by ESC on EM/S.

6. Operation of CCSBT Measures: Issues & Updates

Members and/or the Secretariat will provide updates or report on any problems with the operation of CCSBT Measures such as those listed below:

- *Authorised Vessel/Farm Resolutions*
 - *The Secretariat will give a brief report back on whether freezing capacity information has been provided for all CCSBT fishing vessels authorised since 1 January 2023; and*
 - *The Secretariat will propose a revision to the Authorised Vessel Resolution to collect information on whether vessels are authorised to fish outside waters under the national jurisdiction of the Flag State which can in turn be used to determine whether a vessel can obtain an IMO number;*
- *Transshipment Resolution*
 - *proposals to amend this Resolution are discussed at agenda item 7;*
- *Minimum Standards for Inspections in Port; and*
- *IUU Vessel List Resolution:*
 - *The Secretariat will provide a brief update on the current status of the CCSBT's IUU Vessel List, and*
 - *A draft IUU Vessel list will be considered if appropriate.*

7. Review and Revision of CCSBT Policies & Resolutions

7.1 Review of CCSBT's Corrective Actions Policy (CPG3)

CC17 requested that the Secretariat review CCSBT's Corrective Actions Policy and propose new tools that can be added to the policy to incentivise better compliance amongst Members. The Secretariat has prepared a discussion paper which includes a proposed revised Corrective Actions Policy for Members' consideration.

7.2 Transshipment Resolution

7.2.1 Strengthening of the Resolution

The Secretariat will present its discussion paper reviewing and summarising the recent amendments that both ICCAT and the IOTC have made to strengthen their respective Transshipment measures. The Secretariat will also provide a draft revised CCSBT Transshipment Resolution which includes new requirements consistent with those recently adopted by ICCAT and the IOTC as requested by CC17. The FAO's Voluntary Guidelines for Transshipment will also be considered.

7.2.2 Transshipment Proposal from Indonesia

Indonesia has indicated it will submit a proposal to CC18 to revise the CCSBT's Transshipment Resolution. Any revised proposal will need to take into consideration the strengthening amendments proposed in agenda item 7.2.1. The Secretariat was tasked³ with presenting some background analyses to better clarify the scope and implications of Indonesia's transshipment proposal and will present its analyses to CC18.

8. Implementation of the CCSBT Compliance Plan

This agenda item is for actions that had not yet been completed in the three-year Compliance Action Plan for 2018-2020⁴ and/or are maintenance action items addressed during 2023 and not considered in other sections of this agenda.

³ Refer to the report of EC29 paragraph 77

⁴ Carried over into 2022

8.1 Quality Assurance Reviews (QARs)

CC17 agreed that the QAR process has been very beneficial as a compliance tool for the CCSBT and recommended that the EC 30 and SFMWG 6 take the value of QARs into consideration when developing a revised CCSBT Strategic plan (including Implementation Plan of Performance Review recommendations). CC17 also tasked Members with providing comments and suggestions on QAR options to the Secretariat for consideration at CC18. The Secretariat will collate Member comments and present a QAR options paper for Members' consideration taking into account any draft Strategic Plan for 2024 – 2028 agreed by SFMWG 6 or other guidance provide by SFMWG 6.

8.2 Markets

8.2.1 Update on Japan's Market Proposal

As noted in CC 17 workplan and agreed at EC 29, Japan circulated a detailed market proposal⁵ intersessionally and it was discussed at SFMWG 6. Japan will provide a progress report on its proposal to CC18, including the outcome from the SFMWG 6 discussions.

8.2.2 Analysis of tag survey data

The Secretariat will repeat, update and present its analysis of tag survey data using data from 2010 to 2023 inclusive (in 2022 analysis results were presented in paper CCSBT-CC/2210/13).

8.3 Standing Agenda Items

The following standing agenda items will be discussed where updated information is available:

- Operationalisation of the transshipment MoC with the WCPFC;
- SBT trade summaries from the Secretariat including:
 - Identification of any significant changes in emerging markets/market activity; and
 - An update on any newly reported SBT catch from ICCAT's records;
- Updates on potential SBT IUU activity including any:
 - Ad hoc analyses requested of Trygg Mat Tracking;
 - Analyses of vessel location information (e.g. analyses of VMS or AIS data);
- Any developments with respect to the genetic identification of SBT, if available; and
- Ongoing identification and sharing of best practices for MCS systems.

9. Update on CCSBT's Compliance Relationships with other Organisations

The Secretariat will provide updates on any developments from the International Monitoring, Control and Surveillance Network (IMCSN) and its associated network groups⁶, as well as the Secretariat's compliance relationships with any other relevant organisations.

10. Development of a Trial eCDS

This agenda item provides an update on:

- Continuing eCDS development activities, and
- Remaining eCDS workplan items including the following:
 - Development of a user manual for industry in English and Japanese, and
 - Finalisation of a revised CDS Resolution to enable the move to an eCDS including a simplified certification process.

The meeting will also discuss:

- Any outstanding implementation issues that need to be resolved, and
- A timeline for the phased implementation of eCDS.

⁵ Which take into consideration the advice provided in the external expert's report on verification of all Members' catch through monitoring of SBT product distribution ([CCSBT-CC/2210/19 - Rev.1](#))

⁶ The Pan-Pacific Fisheries Compliance Network (PPFCN) and the Tuna Compliance Network (TCN)

11. Project to Enhance the Implementation of Seabird Measures

The CCSBT Seabird Project Manager will provide a progress report on the Project for Enhancing the Implementation of Ecologically Related Species Seabird Measures within CCSBT fisheries including activities of the Seabird Project Working Group.

12. Work Program for 2024

13. Other business

14. Recommendations to the Extended Commission

15. Conclusion

14.1. Adoption of Meeting Report

14.2. Close of Meeting.