

## **Resolution on the Implementation of a CCSBT Catch Documentation Scheme**

*(revised at the Thirty-Second Annual meeting: 09 October 2025)*

*Referring* to the principles adopted to guide the development of a catch documentation scheme (CDS) at CCSBT12 in 2005 and the ‘Resolution on the implementation of a CDS to record all catch of Southern Bluefin Tuna regardless of whether the Southern Bluefin tuna were traded’, adopted at CCSBT 13 in 2006;

*Noting* the need for Members and Cooperating Non-Members to provide for the tracking and validation of legitimate product flow from catch to the point of first sale on domestic or export markets;

*Bearing in mind* the need to achieve harmonisation of Catch Documentation Schemes across Regional Fisheries Management Organisations;

*Emphasising that* a CDS must be applied consistently and comprehensively across all sectors of the global SBT fishery to accurately confirm the SBT catch by each Member and Cooperating Non-member;

*Recalling* the Commission’s commitment to move from a paper-based CDS to an electronic CDS to improve the accuracy and timeliness of reporting;

In accordance with Article 8.3(b) of the Convention on the Conservation of Southern Bluefin Tuna, the Extended Commission for the Conservation of Southern Bluefin Tuna (CCSBT) adopts the following measure to monitor compliance with the Commission’s conservation measures:

### **1. General Provisions and Application**

1.1 All Members and Cooperating Non-Members shall implement the CCSBT CDS for southern bluefin tuna (SBT) to document the movement of all SBT as outlined in this resolution. The CCSBT CDS incorporates CCSBT CDS documentation and tagging of SBT.

1.1.1 Commencing on 1 April 2027, all CDS documents shall be completed electronically within the CCSBT’s electronic Catch Documentation Scheme (eCDS), except for in the circumstances specified in Appendix 1 in which the paper forms included in Appendix 2 shall be used. From this date, any reference in this Resolution to a CDS document or a CDS form shall be understood to refer to documents and forms completed within the eCDS, except where paper forms are used in the limited circumstances specified in Appendix 1.

1.1.2 The instruction sheets associated with each CDS form included in Appendix 2 shall apply to forms completed in the eCDS and paper forms used in the limited circumstances specified in Appendix 1.

1.2 For transshipments, landings of domestic product<sup>1</sup>, exports, imports and re-exports under the jurisdiction of a Member or Cooperating Non-Member or

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<sup>1</sup> The term ‘landing of domestic product’ means a landing of SBT by a CCSBT-authorized fishing/carrier vessel into the territory of a Member or Cooperating Non-Member whose National Allocation the SBT was attributed against and which issued the CDS documents the SBT are recorded on.

Other State/Fishing Entity Cooperating in the CDS (OSEC<sup>2</sup>), all SBT shall be accompanied<sup>3</sup> by a document described in section 3 of this resolution. There is no waiver of this requirement. However, the exportation/import of fish parts other than the meat<sup>4</sup> (*i.e.* head, eyes, roe, guts, tails and fins) may be allowed without the document.

- 1.3 Transfers of SBT into and between farms under the jurisdiction of a Member or Cooperating Non-Member shall be documented on the Farm Stocking Form and Farm Transfer Form as applicable.
- 1.4 Members or Cooperating Non-Members that prohibit the sale of fish caught by recreational fishers may exempt their recreational fisheries from the requirements of the CCSBT CDS.
- 1.5 The Commission shall request the cooperation of appropriate authorities of States other than Members and Cooperating Non-Members of the Extended Commission that are involved in catching, landing, transferring and/or farming of SBT in the implementation of this resolution.
- 1.6 Members, Cooperating Non-Members and OSECs shall not permit the landing as domestic product, transshipment, import, export and/or re-export of SBT caught by vessels not authorised to catch SBT and (if SBT farming is conducted under their jurisdiction) the transfer of SBT to or between, and harvest of SBT from, farms not authorised to farm SBT.
- 1.7 Members, Cooperating Non-Members and OSECs shall not permit whole SBT to be landed as domestic product, transhipped, exported, imported or re-exported without a tag, except that:
  - 1.7.1 in the case of farming operations, the SBT may be landed without a tag provided a tag is attached within 30 hours of kill;
  - 1.7.2 in exceptional circumstances, where a vessel on the CCSBT Record of Authorised Vessels does not have sufficient tags on board the vessel, the tag may be attached at landing;
  - 1.7.3 in exceptional circumstances, where a vessel catches SBT as unexpected bycatch and has no or insufficient tags on board, the tag may be attached at landing.
- 1.8 In exceptional circumstances, where a tag becomes accidentally detached and cannot be reattached, a replacement tag shall be attached as soon as possible and no later than the time of landing, transshipment or export.
- 1.9 Members and Cooperating Non-Members shall report any exceptional circumstances referred to in 1.7.2, 1.7.3 or 1.8 annually in their National reports to the Compliance Committee (CC)/ Extended Commission (EC). The Member's National report shall provide details of the exceptional

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<sup>2</sup> The term 'Other State/Fishing Entity Cooperating in the CDS' will be abbreviated to 'OSEC' within this resolution and means a State/Fishing Entity that has expressed its commitment, in writing, to cooperate with this resolution.

<sup>3</sup> For the purposes of this Resolution, a CCSBT CDS document that is entered in the eCDS and validated and/or certified as required by this Resolution, shall be deemed to accompany the SBT recorded in that document.

<sup>4</sup> Any meat separated from fish parts is considered to be meat in this context.

circumstances, the number of SBT tagged and for 1.8, the old (where known) and new tag number(s).

- 1.10 Members and Cooperating Non-Members shall require that tags be retained on whole SBT to at least the first point of sale for landings of domestic product, and shall encourage the retention of tags on whole fish thereafter.

## **2. Registers Required**

- 2.1 A record of farms is to be established and maintained by the Executive Secretary to identify authorised farms.
- 2.2 A record of vessels, maintained by the Executive Secretary, identifies all authorised vessels.
- 2.3 CCSBT CDS Documents that record information for vessels and/or farms not included on the above-mentioned authorised registers shall not be considered valid Documents for the purposes of this scheme.

## **3. Documents and Information Required**

- 3.1 The CCSBT CDS Documents are:
  - 3.1.1 Farm Stocking Form – records information on the catch, towing and farming of SBT;
  - 3.1.2 Farm Transfer Form – records information on the transfers of SBT between farm;
  - 3.1.3 Catch Monitoring Form – records information on the catch, landing, transshipment, export, and import of all SBT regardless of whether farmed or not, including unexpected catch;
  - 3.1.4 Catch Tagging Form<sup>5</sup> – records information on individual fish tagged as part of the CDS;
  - 3.1.5 Re-export or Export after Landing of Domestic Product Form – records information on SBT already tracked on the Catch Monitoring Form to the initial point of landing of domestic product or import that is, either in full or part, exported or re-exported.
- 3.2 The information to be contained in the CCSBT CDS documentation referred to in 3.1, along with associated instruction sheets, is in Appendix 2.
- 3.3 No information field may be omitted from the standard form, except where the field is not applicable.
- 3.4 Amendments to the forms and form content may be made only with the agreement of the Commission at its annual meeting based on recommendations from the CCSBT Compliance Committee.
- 3.5 CCSBT CDS documents must be uniquely numbered.

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<sup>5</sup> The information that is required to be included in the Catch Tagging Form may be recorded in electronic format, including directly in the eCDS or by other means, by a Member or Cooperating Non-Member provided that all the required information from the Catch Tagging Form is included in the electronic record used. Additional information may be included in Catch Tagging Form or electronic records maintained by a Member or Cooperating Non-Member and at the discretion of the Member or Cooperating Non-Member.

## 4. Tagging

- 4.1 Members and Cooperating Non-Members shall require that an SBT tag be attached to each whole SBT at the time of kill except:
  - 4.1.1 in the case of farming operations, the tag may be attached within 30 hours of kill;
  - 4.1.2 in exceptional circumstances, where a vessel on the CCSBT Record of Authorised Vessels does not have sufficient tags on board, the tag may be attached at landing;
  - 4.1.3 in exceptional circumstances, where a vessel catches SBT as unexpected bycatch and has no, or insufficient, tags on board, the tag may be attached at landing.
- 4.2 As outlined at 3.1.4, a Catch Tagging Form records relevant tagging information for individual SBT. The Catch Tagging Form shall be filled in as soon as practicable after the time of kill. Length and weight measurements shall be conducted before the SBT is frozen. Where measurements cannot be accurately done on board the vessel, they may be made at the time of landing or transshipment, provided the measurements and the associated Catch Tagging Form are filled in before any further transfer of the SBT.
- 4.3 Flag Members and Cooperating Non-Members shall provide the information included in the Catch Tagging Form to the Executive Secretary in an electronic format.
- 4.4 A tagging programme shall meet the minimum procedural and information standards set out in Appendix 3.
- 4.5 Members and Cooperating Non-Members shall prohibit the unauthorised use of SBT tags.

## 5. Validation

- 5.1 The CCSBT CDS documentation must be validated (or include the name of the observer in the case of transshipments at sea<sup>6</sup>) as applicable by:
  - 5.1.1 for landings of domestic product, an official of the flag Member or Cooperating Non-Member of the catching vessel or, when the fishing vessel is operating under a charter arrangement, by a competent authority or institution of the chartering Member or Cooperating Non-Member; and
  - 5.1.2 for all SBT transshipments subject to CCSBT *Resolution on Establishing a Program for Transshipment by Large-Scale Fishing Vessels*, the CCSBT CDS documentation shall list the full name of the observer required by that resolution; and
  - 5.1.3 for all export of SBT, an official of the exporting Member or Cooperating Non-Member; and
  - 5.1.4 for all re-export of SBT, an official of the re-exporting Member, Cooperating Non-Member, or OSEC.

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<sup>6</sup> CDS documents completed for SBT caught prior to the implementation of the eCDS must also be signed by the observer.

- 5.2 The authority to validate CDS documents may be delegated to an authorised person by an official of the relevant State/fishing entity. Members, Cooperating Non-Members and OSECs who utilise delegated person/s shall submit a certified copy of such delegation/s to the Executive Secretary. The individual who certifies a CCSBT CDS Document shall not be the same person who validates the Document.
- 5.3 Members, Cooperating Non-Members and OSECs shall provide to the Executive Secretary information on validation (including type of validation, name of the organization which validates the documents, title and name and signature of officials who validate the documents, sample impression of stamp or seal, and a list of all persons holding delegated authority to validate CCSBT CDS documentation prior to those officials and persons exercising the authority). Members, Cooperating Non-Members and OSECs shall inform the Executive Secretary of any changes in a timely fashion.
- 5.4 The Executive Secretary will maintain and update the information specified in 5.3 and provide it to all Members, Cooperating Non-Members and OSECs and promptly circulate any changes.
- 5.5 Members, Cooperating Non-Members and OSECs shall not validate any CCSBT CDS documentation referred to in 3.1 that is not complete, has obviously incorrect information, or has not been validated as required by this resolution.
- 5.6 No Member or Cooperating Non-Member or OSEC shall accept any SBT for transshipment, landing of domestic product, export, import, or re-export where any or all required documents do not accompany the relevant consignment of SBT, where fields of information required on the form are not completed, or where the form has not been validated as required by this resolution.
- 5.7 Full or partial consignments of untagged whole SBT must not be validated or accepted for transshipment, landing of domestic product, export (including export after landing of domestic product), import or re-export (except where the tag is no longer required to be attached to the SBT because further processing has occurred, as outlined at Appendix 3).
- 5.8 Members and Cooperating Non-Members shall undertake an appropriate level of audit, including inspections of vessels, landings, and where possible markets, to the extent necessary to validate the information contained in the CDS documentation.
- 5.9 Members and Cooperating Non-Members shall include in their annual review of SBT fisheries, details on the level of coverage and type of audit undertaken, in accordance with 5.8, and the level of compliance.

## **6. Exchange of Information and Confidentiality of Data**

- 6.1 Any CCSBT CDS document that is entered into the eCDS and validated and/or certified, as required by this Resolution, shall be deemed to have been retained by the Member, Cooperating Non-Member or OSEC, and to have been forwarded to the Executive Secretary.

- 6.2 Members, Cooperating Non-Members and OSECs shall retain all original CCSBT CDS Documents (or scanned electronic copies of the original documents) received by them for a minimum of 3 years after the most recent signed date on the form. Members, Cooperating Non-Members and OSECs shall also retain a copy of any CCSBT CDS Documents issued by them for a minimum of 3 years after the most recent issuing state/entity signed date on the form. Copies of these CDS Documents (except the Catch Tagging Form<sup>7</sup>) shall be forwarded<sup>8</sup> to the Executive Secretary.
- 6.3 The Executive Secretary shall compile the raw data from CDS documentation into an electronic database. The Executive Secretary shall ensure the confidentiality of the raw data in its database and release to any State/fishing entity only the raw data directly relating to the CCSBT CDS Documents it validated, or in the case of imports, it is required to certify<sup>9</sup>. If a State/fishing entity requests CCSBT CDS Documents relating to another State/fishing entity, the Executive Secretary may release those data only with the latter's agreement.
- 6.4 The Executive Secretary shall report to the Extended Commission on and circulate to all Members and Cooperating Non-Members the data collected by the CCSBT CDS each year by 1 June for the period of 1 January - 31 December of the preceding year and by 1 December for the period of 1 January - 30 June of the current year. The information to be contained in the reports is specified in **Appendix 4**. The Executive Secretary shall provide an electronic copy of the report only to a designated authority of each Member and Cooperating Non-Member.
- 6.5 The Executive Secretary will post on the public area of the CCSBT web site a subset of the report comprising:
- Flag State/fishing entity;
  - Harvest year;
  - Product destination (including landings of domestic product);
  - Gear code;
  - Net weight;
  - Estimated whole weight (calculated by applying a conversion factor to the net weight).
- 6.6 On request by the Scientific Committee, Compliance Committee, or other subsidiary body of the Commission, the Executive Secretary shall, with the approval of the Commission, provide to that body data collected by the CCSBT CDS more frequently or at a greater level of detail than specified in 6.4.
- 6.7 The Executive Secretary shall analyse the data provided in 6.1 and notify the relevant Member(s) or Cooperating Non-Member(s) of any identified discrepancies.

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<sup>7</sup> Requirements to provide information in the Catch Tagging Form are set out in 4.3.

<sup>8</sup> Either as a copy of the original form or in electronic format containing all the information in the forms.

<sup>9</sup> The catching tagging information, product type, weight and length, is confidential data and is not required for import certification.

## **7. Verification of CDS Documentation**

- 7.1 Each Member and Cooperating Non-Member shall ensure that its competent authorities, or other authorised individual or institution, take steps to identify each consignment of SBT landed as domestic product in, imported into or exported or re-exported from its territory and examine the validated CCSBT CDS Documents for each consignment of SBT. These competent authorities, or authorised individuals or institutions, may also examine the content of the consignment to verify the information contained in the CCSBT CDS Document and in related documents and, where necessary, shall carry out verifications with the operators concerned.
- 7.2 Each Member and Cooperating Non-Member shall review information, and investigate and resolve any irregularities identified in relation to their information in the CDS reports, including any discrepancies identified during the comparison of data from the Executive Secretary. Among other matters, Members and Cooperating Non-Members shall cross-check the report provided by the Executive Secretary under 6.4 using information available to it.
- 7.3 Each Member, Cooperating Non-Member and OSEC shall, as soon as practicable, identify to the Executive Secretary and relevant Members, Cooperating Non-Members and OSECs, any consignments of SBT where there are:
- 7.3.1 doubts about the information contained in any associated CDS documentation; or
  - 7.3.2 incomplete, missing or unvalidated CCSBT CDS documentation.
- 7.4 Each Member and Cooperating Non-Member shall co-operate and take all necessary steps with relevant authorities, and within domestic law, to review, investigate and resolve any concerns identified in 7.1 and 7.2, and notify the Executive Secretary of the outcome of any such action for inclusion in its report to the Commission.
- 7.5 The Compliance Committee will consider the summary information compiled by the Executive Secretary in 6.4 and 6.5, including any irregularities and anomalies identified and the outcome of any investigations notified under 7.3.
- 7.6 The Commission, may, on the recommendation of the Compliance Committee, consider any action as may be required in relation to the findings and outcomes of any verification investigation. Such action may be, but is not limited to, a review of this or other relevant compliance measures.
- 7.7 Members, Cooperating Non-members and OSECs shall cooperate to ensure that CDS documents are not forged and/or do not contain misinformation.

## **8. Access to and Security of Information**

- 8.1 Subject to each Member's, Cooperating Non-Member's and OSEC's national law, the information produced from the CCSBT CDS shall be confidential and may only be used in support of CCSBT purposes or for any other purpose agreed by the Commission.

- 8.2 Where necessary, in support of catch verification procedures, Members, Cooperating Non-Members and OSECs agree to exchange the necessary supporting information and, where relevant, evidence as may be necessary to verify the integrity of the flow of CDS information and to reconcile any discrepancies.

## **9. Implementation and Review**

- 9.1 This resolution entered into force on 1 January 2010. From that date, it replaced the CCSBT Southern Bluefin Tuna Statistical Document Programme adopted by CCSBT on 1 June 2000.
- 9.2 The Compliance Committee will review this resolution regularly to identify any implementation issues, strengths, and weaknesses, and to recommend options to improve this resolution and its supporting procedures at the Extended Commission meeting. Reviews will include any concerns associated with the breakage or loss of tags and the extent of the use of exemptions in 1.8 and 1.9 as reported by Members and Cooperating Non-Members to the Executive Secretary.
- 9.3 The Executive Secretary will monitor available technology associated with electronic documentation and fish tags to assist the Compliance Committee in its reviews.

### **Procedures to allow the issuance of paper CDS forms or printed eCDS forms.**

1. The Secretariat will prepare a set of CMF and REEF document numbers and access codes that are issued electronically to each Member prior to the commencement of their SBT fishing year. These document numbers are referred to as “Offline eCDS Document Numbers”.
2. Members and Cooperating Non-Members (CNM) can issue the Offline eCDS Document Numbers to its fishers or fishing industry either proactively, before they are needed, or on an as needed basis.
3. The Offline eCDS Document Numbers can be added to paper CDS forms in cases of eCDS system failure or a lack of internet access. CDS documents issued this way are referred to as “Offline eCDS Documents”.
4. Each Offline eCDS Document Number must only be issued and used once.
5. Members shall maintain a single register to record the issue and use of Offline eCDS Document Numbers and develop processes to ensure that they are not issued or used more than once.
6. Members should ensure that they have processes in place to ensure that the appropriate Government Authority is notified when an Offline eCDS Document is used to facilitate a transshipment, landing of domestic product, export, import or re-export.
7. The Member that issues the Offline eCDS Document will ensure that, as soon as practicable after issuance, the details of the Offline eCDS Document/s are entered in the eCDS using the issued Offline eCDS Document Number/s. The Secretariat will assist with entering this data where the Member requests this or is unable to do so.
8. When an Offline CDS Document is issued, the issuing Member will ensure that when the details of the document are entered in the eCDS, the reason for the use of the offline form is also captured in the system.
9. The Offline CDS Document shall be used to accompany the SBT until the completion of the document certification at its final destination (included in the document and relating to the landing of domestic product or import) except where the eCDS user who commenced the Offline CDS Document is able to enter the details of this document in the eCDS prior to this time.
10. Where a CDS document has been partially completed in the eCDS before an issue causing system failure or a lack of internet access occurs, the partially completed eCDS form may be printed and completed as an Offline eCDS Document. In these cases, the Offline eCDS Document will use and display the Document Number and Access Code issued by the eCDS. The remaining sections of this printed Offline eCDS Document can then be manually completed (as needed) to facilitate any transshipment, landing of domestic product, export, import and re-export that occurs during eCDS system failure or lack of internet access.
11. When a CDS document that has been partially completed in the eCDS is printed as an Offline eCDS Document to facilitate any transshipment, landing of domestic product, export, import and re-export that occurs during a period of eCDS system failure or lack of internet access, the issuing Member will promptly provide the Secretariat with a summary of the issue preventing access to the eCDS and a list of the applicable CDS Document Numbers.

12. Where an Offline eCDS Document accompanies an export from, or import to, a Member, CNM or OSEC, scanned copies of the completed document/s will be provided to the Secretariat as soon as practicable after completion of the export validation and/or import certification.
13. When the system failure happened, and which members are being/ will be affected will be made public on the public section of the CCSBT website.
14. Member, CNM and OSEC competent authorities will be able to search Offline CDS Document Number/s to confirm that the Offline CDS Document Number/s on imports of SBT received are legitimate numbers that have been issued by the Member concerned. An Offline CDS Document Number will be required to initiate the search.
15. The CCSBT Secretariat will report on the CCSBT website any global eCDS system outages and the timeframes during which the system was unavailable.
16. An exporting Member or CNM may request, or authorise, the Secretariat to share any CDS document with another Member, CNM or OSEC to facilitate importation procedures.
17. The issuing Member, with the assistance of the Secretariat, should attempt to resolve issues that are preventing access to the eCDS as soon as practicable, and update the Secretariat when the issue preventing eCDS access is resolved.

#### *eCDS Transitional Measures*

1. An exporting Member or CNM shall use the Offline eCDS Re-export Form (REEF) where that REEF is preceded by a CMF that has not been entered or loaded into the CCSBT eCDS.
2. This transitional use of the Offline eCDS REEF will only apply when the preceding CMF/s are related to catch before 1st April 2027.
3. The Offline eCDS REEF may also be used in limited circumstances where the preceding document is an Offline CDS Document that has not been loaded into the eCDS, and where the Member or CNM that issued the CMF has attempted, but been unable, to get the preceding document entered or loaded in the eCDS.
4. Where a Member or CNM uses an Offline eCDS REEF during this transition period, the Member or CNM shall ensure that a copy of the preceding paper CMF/s are attached to the Offline eCDS REEF.
5. Where a CDS document has been partially completed prior to the implementation of eCDS, such document may be completed using previous paper-based format.

**Catch Documentation Scheme Forms**



**• CATCHING VESSEL SECTION**

|                         |                      |                                   |                           |
|-------------------------|----------------------|-----------------------------------|---------------------------|
| Name of Catching Vessel |                      | Registration Number               | Flag State/Fishing Entity |
| <input type="text"/>    |                      | <input type="text"/>              | <input type="text"/>      |
| Date Range of Fishing   |                      | CCSBT Statistical Area of Fishing |                           |
| First                   | Last                 |                                   |                           |
| <input type="text"/>    | <input type="text"/> |                                   |                           |

**• TOWING SECTION**

|                       |                      |                           |                      |
|-----------------------|----------------------|---------------------------|----------------------|
| Name of Towing Vessel | Registration Number  | Flag State/Fishing Entity | Date Tow Commenced   |
| <input type="text"/>  | <input type="text"/> | <input type="text"/>      | <input type="text"/> |
| <input type="text"/>  | <input type="text"/> | <input type="text"/>      | <input type="text"/> |
| <input type="text"/>  | <input type="text"/> | <input type="text"/>      | <input type="text"/> |
| <input type="text"/>  | <input type="text"/> | <input type="text"/>      | <input type="text"/> |

| Description of Mortalities during Towing |                      |                      |                       |                            |
|--|----------------------|----------------------|-----------------------|----------------------------|
| Number of tow cages                      | Date Range           |                      | Number of Mortalities | Weight of Mortalities (kg) |
|  | First Tow            | Last Tow             |                       |                            |
| <input type="text"/>                     | <input type="text"/> | <input type="text"/> | <input type="text"/>  | <input type="text"/>       |

**• FARM TRANSFER SECTION**

| Name of SBT Farm     | Date Range of Transfers |                      | Average Weight of Fish (kg) | Method of Weight Estimation | Total Weight (kg)    | Number of Fish       |
|----------------------|-------------------------|----------------------|-----------------------------|-----------------------------|----------------------|----------------------|
|                      | First                   | Last                 |                             |                             |                      |                      |
| <input type="text"/> | <input type="text"/>    | <input type="text"/> | <input type="text"/>        | <input type="text"/>        | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/>    | <input type="text"/> | <input type="text"/>        | <input type="text"/>        | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/>    | <input type="text"/> | <input type="text"/>        | <input type="text"/>        | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/>    | <input type="text"/> | <input type="text"/>        | <input type="text"/>        | <input type="text"/> | <input type="text"/> |

**• VALIDATION SECTION**

Certification **by Quota Holder**: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

|                      |                      |                      |
|----------------------|----------------------|----------------------|
| Name                 | Signature            | Date                 |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |

Validation **by Authority**: I validate that the above information is complete, true and correct to the best of my knowledge and belief.

|                      |                      |               |
|----------------------|----------------------|---------------|
| Name and Title       | Signature            | OFFICIAL SEAL |
| <input type="text"/> | <input type="text"/> |               |
| Date                 | <input type="text"/> |               |



# FARM STOCKING FORM

## INSTRUCTION SHEET

This form is to be completed by the authority of the State/Fishing Entity that holds the national quota allocation against which these SBT have been taken.

This form must be completed at the end of the fishing season and before the SBT are recorded on a Catch Monitoring Form.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document.

The Farm Stocking Form has 4 main sections: (1) Catching Vessel; (2) Towing; (3) Farm Transfer; and (4) Validation  
**All sections of this form must be completed**

### CATCHING VESSEL SECTION

**Name of Catching Vessel:** Enter the name of the catching vessel.

**Registration Number:** Enter the Registration Number of the catching vessel.

**Flag State / Fishing Entity:** Enter the Flag State or Fishing Entity of the catching vessel.

**Date Range of Fishing:** Enter dates for the First and Last day of fishing.

**CCSBT Statistical Area of Fishing:** Enter the area in which the southern bluefin tuna were caught using the main CCSBT Statistical Areas (1 to 10 and 14 to 15) or the other CCSBT Statistical Areas (areas 11 to 13) where there is no corresponding main area.

Where fish have been placed in a tow cage by more than one catching vessel, the number and weight of mortalities and the number and weight of SBT transferred to each farm are to be equally proportioned between the vessels.

### TOWING SECTION

**NOTE: One row shall describe one Towing Vessel.**

**Name of Towing Vessel:** Enter the name of the Towing vessel.

**Registration Number:** Enter the Registration Number of the Towing vessel.

**Flag State / Fishing Entity:** Enter the Flag State or Fishing Entity of the Towing vessel.

**Date Tow Commenced:** Enter the date on which the towing commenced.

#### Description of Mortalities during Towing

Information for the total mortalities that occurred during all tows should be recorded.

**Number of Tow Cages:** Enter the total number of tow cages.

**Date Range:** Enter the first and last dates of the tows.

**Number of mortalities:** Enter the total number of mortalities that occurred.

**Weight of mortalities (kg):** Enter the total weight (kg) of all mortalities that occurred.

\* Note that any SBT mortalities occurring during towing that are landed for commercial sale should not be recorded on this form, but instead, should be recorded on a Catch Monitoring Form as wild caught fish.

### FARM TRANSFER SECTION

**Name of SBT Farm:** Enter the name of the SBT farm to which SBT were transferred.

**Date Range of transfers:** Enter dates for the First and Last days of transfer.

**Average Weight (kg) of fish:** Enter the average weight (kg) of all fish transferred.

**Method of weight estimation:** Enter the method used to estimate the average weight. Use 40FS for the 40 fish sampling method. For other methods, codes will be provided as they are developed.

**Total Weight (kg):** Enter the total verified weight (kg) for all fish transferred.

**Number of Fish:** Enter the total number of fish transferred, verified from the fish count.

### Validation Section

**Certification by Quota Holder:** The Quota holder shall complete this section, with his/her full name, signature and date to certify that the form correctly records the fish that were transferred to the farm(s). For forms completed in the eCDS, the certification will be recorded electronically, and no signature will display on the form.

**Validation by Authority:** Enter the name and full title of the official<sup>1</sup> signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal. For forms completed in the eCDS, the validation will be recorded electronically, with the validators signature and date automatically recorded on the form.

<sup>1</sup> The official must be in the employment of, or delegated by, the competent authority of the Member, or Co-operating Non-Member where the farm sites are located. The Member or Co-operating Non-Member which utilizes a delegated entity shall submit a certified copy of such delegation to the Executive Secretary.



# FARM TRANSFER FORM

FT -

**• TRANSFERRING SECTION**

| CCSBT Farm Serial Number | Name of Transferring Farm | State/Fishing Entity |
|--------------------------|---------------------------|----------------------|
| <input type="text"/>     | <input type="text"/>      | <input type="text"/> |

**• TOWING SECTION**

| Name of Towing Vessel | Registration Number  | Flag State/Fishing Entity | Date of Tow          |
|-----------------------|----------------------|---------------------------|----------------------|
| <input type="text"/>  | <input type="text"/> | <input type="text"/>      | <input type="text"/> |

| Estimated Weight (kg) of Fish | Estimated Number of Fish |
|-------------------------------|--------------------------|
| <input type="text"/>          | <input type="text"/>     |

**• RECEIVING SECTION**

| CCSBT Farm Serial Number | Name of Receiving Farm | State/Fishing Entity |
|--------------------------|------------------------|----------------------|
| <input type="text"/>     | <input type="text"/>   | <input type="text"/> |

**• CERTIFICATION SECTION**

| Certification <b>by Transferring Farm</b> : I certify that the above information is complete, true and correct to the best of my knowledge and belief. |                      |                      |
|--|----------------------|----------------------|
| Name   | Signature            | Date                 |
| <input type="text"/>   | <input type="text"/> | <input type="text"/> |

| Certification <b>by Receiving Farm</b> : I certify that the above information is complete, true and correct to the best of my knowledge and belief. |                      |                      |
|---|----------------------|----------------------|
| Name  | Signature            | Date                 |
| <input type="text"/>  | <input type="text"/> | <input type="text"/> |



# FARM TRANSFER FORM

## INSTRUCTION SHEET

This form is to be issued by the State/Fishing Entity that holds the national quota allocation against which these SBT have been taken.

This form must accompany each transfer of SBT between farms and a copy of the form must be provided to the issuing State/Fishing Entity.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document.

The Farm Transfer Form has 4 main sections: (1) Transferring Section; (2) Towing Section; (3) Receiving Section; and (4) Certification Section. All sections of this form must be completed.

### TRANSFERRING SECTION

**CCSBT Farm Serial Number:** Enter the serial number of the farm as recorded on the CCSBT list of authorised farms.

**Name of Transferring Farm:** Enter the name of the SBT Farm that the fish are being transferred from.

**State / Fishing Entity:** Enter the State or Fishing Entity of the transferring farm.

### TOWING SECTION

**Name of Towing Vessel:** Enter the name of the towing vessel.

**Registration Number:** Enter the Registration Number of the towing vessel.

**State / Fishing Entity:** Enter the Flag State or Fishing Entity of the towing vessel.

**Date of Tow:** Enter the date of the tow (dd/mm/yyyy).

**Estimated Weight (kg) of Fish:** Enter the estimated weight (kg) of the fish being transferred.

**Estimated number of fish:** Enter the estimated number of fish being transferred during the tow.

### RECEIVING SECTION

**CCSBT Farm Serial Number:** Enter the serial number of the farm as recorded on the CCSBT list of authorised farms.

**Name of Receiving Farm:** Enter the name of the SBT Farm that is receiving the fish.

**Flag State / Fishing Entity:** Enter the State or Fishing Entity of the receiving farm.

### CERTIFICATION SECTION

**Certification by Transferring Farm:** The representative of the transferring farm shall provide , his/her full name, signature and date (dd/mm/yyyy) to certify that the form correctly records the fish that are being transferred to the receiving farm. For forms completed in the eCDS, the certification will be recorded electronically, and no signature will display on the form.

**Certification by Receiving Farm:** The representative of the receiving farm shall provide , his/her full name, signature and date (dd/mm/yyyy) to certify that the form correctly records the fish that are being received from the transferring farm. For forms completed in the eCDS, the certification will be recorded electronically, and no signature will display on the form.



**CATCH / HARVEST SECTION** - Tick and complete only one part

|                          |                         |                         |                     |                           |
|--------------------------|-------------------------|-------------------------|---------------------|---------------------------|
| <input type="checkbox"/> | <b>For Wild Fishery</b> | Name of Catching Vessel | Registration Number | Flag State/Fishing Entity |
|--------------------------|-------------------------|-------------------------|---------------------|---------------------------|

↑  
Or  
↓

|                          |                       |   |              |
|--------------------------|-----------------------|---|--------------|
| <input type="checkbox"/> | <b>For Farmed SBT</b> | CCSBT Farm Serial Number                                    | Name of Farm |
|                          |                       | Document Number(s) of associated Farm Stocking (FS) Form(s) |              |

| Description of Fish                      |                           |                                    |           |                           |                 |   |
|--|---------------------------|------------------------------------|-----------|---------------------------|-----------------|---|
| Product State<br>F (Fresh) / FR (Frozen) | Product Type <sup>1</sup> | Month of Catch/<br>Harvest (mm/yy) | Gear Code | CCSBT Statistical<br>Area | Net Weight (kg) | Total Number of whole Fish <sup>2</sup> |
|  |                           |                                    |           |                           |                 |   |
|  |                           |                                    |           |                           |                 |   |
|  |                           |                                    |           |                           |                 |   |
|  |                           |                                    |           |                           |                 |   |

|  |
|--|
| Name and Address of Processing Establishment (if applicable) |
|--|

|  |           |  |                  |
|--|-----------|--|------------------|
| Validation <b>by Authority (not required for exports transhipped at sea)</b> : I validate that the above information is complete, true and correct to the best of my knowledge and belief. |           |  | OFFICIAL<br>SEAL |
| Name and Title   | Signature |  |                  |
| Date   |           |  |                  |

**TRANSHIPMENT SECTION**

|                                   |                        |                            |                       |                           |
|-----------------------------------|------------------------|----------------------------|-----------------------|---------------------------|
| <b>Transhipment</b>               |                        |                            |                       |                           |
| Transhipment Date                 | CCSBT Statistical Area | Name of Receiving Vessel   | Registration Number   | Flag State/Fishing Entity |
| Master of Fishing Vessel          |                        | Master of Receiving Vessel | Transhipment Observer |                           |
| Transhipment Details Certified by |                        |                            | Date                  |                           |

**EXPORT SECTION**

|                        |                   |  |                         |
|------------------------|-------------------|--|-------------------------|
| <b>Point of Export</b> |                   |  | Expected Date of Export |
| City                   | State or Province |  | State/Fishing Entity    |

| Description of Fish Being Exported (if export product type is different to the Catch/Harvest Section) |             |                                   |
|---|-------------|-----------------------------------|
| Product Type <sup>1</sup>   | Weight (Kg) | Number of Whole Fish <sup>2</sup> |
|   |             |                                   |
|   |             |                                   |
|   |             |                                   |

|   |  |
|---|--|
| <b>Destination</b> (State/Fishing Entity) |  |
| Company Name of Importer                  |  |
| Address of Importer                       |  |

|   |                            |      |
|---|----------------------------|------|
| Certification <b>by Exporter</b> : I certify that the above information is complete, true and correct to the best of my knowledge and belief. |                            |      |
| Name  | Licence No. / Company Name | Date |
|   |                            |      |

|   |           |  |                  |
|---|-----------|--|------------------|
| Validation <b>by Authority</b> : I validate that the above information is complete, true and correct to the best of my knowledge and belief. <sup>3</sup> |           |  | OFFICIAL<br>SEAL |
| Name and Title  | Signature |  |                  |
| Date  |           |  |                  |

1. Product Type: RD/GGO/GGT/DRO/DRT/FL/OT

2. Number of Whole Fish - Including types: RD/GGO/GGT/DRO/DRT

3. The importer details are only required to be validated as complete, and do not need to be verified by the Authority completing the validation





# CATCH MONITORING FORM

## INSTRUCTION SHEET

This form is to be issued by the State/Fishing Entity that holds the national quota allocation against which these SBT have been taken.

This form (CMF) must accompany all transshipments, landings of domestic product, exports, imports and re-exports of SBT, and a copy of this form must be provided to the issuing State/Fishing Entity. The only exception is that exportation/import of fish parts other than the meat (i.e. head, eyes, roe, guts, tails and fins) may be allowed without this document. This form may only be used to record exports where all catch included in the harvest section is exported in a single consignment. SBT reported as exported on the CMF may only be landed to facilitate an export without undue delay<sup>1</sup>. Please note that:

- For farms, Farm Stocking Form(s) must have been produced by the State/Fishing Entity for all SBT on the CMF and the document number of those Farm Stocking Form(s) must be recorded on the CMF.
- Catch Tagging Form(s) must have been completed for all SBT on the CMF and copies must be provided to the issuing State/Fishing Entity.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document.

The Catch Monitoring Form has 4 main sections: (1) Catch/Harvest; (2) Transshipment; (3) Export and (4) Final Product Destination. The Catch/Harvest and Final Product Destination sections must always be completed. However, the Transshipment and Export sections only need to be completed if the product is being exported and/or transhipped.

The top of the form contains an additional piece of information that must always be completed. This is:

**Document Number:** Enter the unique document number that was allocated by the State/Fishing Entity of origin for this form. This document number will be automatically assigned to CMFs created in the eCDS.

### CATCH HARVEST SECTION - tick and complete only one part

Tick the box to specify whether the catch is for the Wild fishery or for Farmed SBT.

You must complete the part of the Catch Harvest section corresponding to the box that you ticked and then complete the remainder of the section.

#### For Wild Fishery – This should only be completed for the wild fishery (not for farmed SBT)

**Name of Catching Vessel:** Enter the name of the catching vessel.

**Registration Number:** Enter the Registration Number of the catching vessel.

**Flag State / Fishing Entity:** Enter the Flag State or Fishing Entity of the catching vessel.

#### For Farmed SBT – This should only be completed for farmed SBT

**CCSBT Farm Serial Number:** Enter the serial number of the farm as recorded on the CCSBT list of authorised farms.

**Name of Farm:** Enter the Name of the Farm.

**Document number(s) of the Associated Farm Stocking (FS) Form(s):** Enter the unique document number of each Farm Stocking Form that is associated with this form. The Farm Stocking Form Number(s) associated with this form should all be for fish stocked in the same fishing season. If there is insufficient room to enter all document numbers, enter this information on a separate page and attach that page.

### Description of Fish

All SBT described in this section must be sent to the Final Product Destination. Split shipments are not permitted, so if SBT are to go to two or more different destinations, a separate Catch Monitoring Form must be completed for the catch sent to each destination.

The shipment of SBT must be described, to the highest degree of accuracy using the following information.

**NOTE: One row shall describe one product type**

**Product:** Enter the type of product being shipped as either FRESH (F) or FROZEN (FR).

**Type:** Enter the type code from the table below that most closely matches the type of the SBT. For OT, describe the type of product, and specify a conversion factor.

| CODE | NAME                         | DESCRIPTION   |
|------|------------------------------|---|
| RD   | Round                        | SBT Without any processing.   |
| GGO  | Gilled and Gutted - Tail on  | Processed with gills and gut removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed.          |
| GGT  | Gilled and Gutted - Tail off | Processed with gills, gut and tail removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed.    |
| DRO  | Dressed - Tail on            | Processed with gills, gut, operculae (gill plates/covers) and head removed. Dorsal, pelvic and anal fins may or may not be removed.       |
| DRT  | Dressed - Tail off           | Processed with gills, gut, operculae (gill plates/covers), head and tail removed. Dorsal, pelvic and anal fins may or may not be removed. |
| FL   | Fillet                       | Processed further than DRT, with the trunk cut into fillets.  |
| OT   | Other                        | None of the above.  |

<sup>1</sup> Where the SBT is not landed for export without undue delay, it should be landed as domestic product and any later export recorded on the REEF.

**Month of Catch/Harvest (mm/yy):** Enter the month and year of harvest of the southern bluefin tuna; in the case of farmed fish, this applies to the month of kill, not the month of initial harvest.

**Gear code:** Identify the gear type that was used to harvest the southern bluefin tuna using the list below; for OTHER TYPE, describe the type of gear. In the case of farmed fish, write "FARM".

| <b>GEAR CODE</b> | <b>GEAR TYPE</b> |
|------------------|------------------|
| BB               | Baitboat         |
| GILL             | Gillnet          |
| HAND             | Handline         |
| LL               | Longline         |
| MWT              | Mid-water Trawl  |
| PS               | Purse Seine      |
| RR               | Rod and Reel     |
| TROL             | Troll            |
| OT               | Other Type       |

**CCSBT Statistical Area:** Enter the area in which the southern bluefin tuna were harvested using the main CCSBT Statistical Areas (1 to 10 and 14 to 15) or the other CCSBT Statistical Areas (11 to 13) where there is no corresponding main area. In the case of farmed fish, it is not necessary to fill in this column. A map showing the statistical areas is provided on page 3 of these instructions.

**Net Weight (kg):** Enter the net product weight in kilograms. In the case of farmed SBT, this is the weight at the time of harvest from the farm (as opposed to when originally caught)

**Total Number of whole Fish (including RD,GGO,GGT,DRO,DRT):** Enter the number of fish that remain whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.

**For Other (OT) Describe the type of product:** If Product Type is Other (OT), then describe the product.

**For Other (OT) Specify the Conversion Factor:** If Product Type is Other (OT) then specify the Conversion Factor to be used to convert this weight to a whole weight equivalent.

**Name and Address of Processing Establishment (if applicable):** Enter the name and address of the establishment that processed the southern bluefin tuna (if applicable).

### Validation

**Validation by Authority (not required for exports transhipped at sea):** If this is not an export being transhipped at sea, enter the name and full title of the official<sup>2</sup> signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal. For forms completed in the eCDS, the validation will be recorded electronically, with the validators signature and date automatically recorded on the form.

For SBT transhipped at sea and then landed domestically, validation should occur at the point of domestic landing (i.e. after transhipment).

### TRANSHIPMENT SECTION - only for transhipments (both in-port or at-sea) tick and complete all required parts

This section only needs to be completed if the product is transhipped.

You must then complete all the required parts of the transhipment section.

#### Transhipment

**Transhipment Date:** Enter the date of the transhipment.

**CCSBT Statistical Area:** For transhipments at sea, enter the CCSBT Statistical Area in which the transhipment took place.

**Name of Receiving Vessel:** Enter the name of the Receiving vessel.

**Registration Number:** Enter the Registration Number of the Receiving vessel.

**Flag State / Fishing Entity:** Enter the Flag State or Fishing Entity of the Receiving vessel.

**Master of Fishing Vessel:** Enter the name of the Master of Fishing Vessel.

**Master of Receiving Vessel:** Enter the name of the Master of Receiving Vessel.

**Transhipment Observer (only for transhipments at sea):** If a transhipment is covered by the CCSBT Resolution on Establishing a Program for Transhipment by Large-Scale Fishing Vessels (i.e. is transhipped at sea), then the full name of the observer must be entered here. If there were any discrepancies between the observed transhipment and the information recorded on the Catch Monitoring Form, the observer's transhipment report should document those discrepancies.

**Transhipment Certification:** Enter the name of the person certifying the transhipment and date. For forms completed in the eCDS, the certification will be recorded electronically.

### EXPORT SECTION - only for product that is exported

#### Point of Export

**Expected Date of Export:** Enter the date on which the export is planned to leave the point of export.

**City:** Enter the City of Export.

**State or Province:** Enter the State or Province of Export.

<sup>2</sup> The official must be in the employment of, or delegated by, the competent authority of the Member, or Co-operating Non-Member that issued the Catch Monitoring Form. The Member or Co-operating Non-Member which utilizes a delegated entity shall submit a certified copy of such delegation to the Executive Secretary.

**State/Fishing Entity:** Enter the State/Fishing Entity of Export

**Description of Fish Being Exported:** Complete this section with the product type, weight and number of fish, if the product type (processed state) has changed from what is recorded in the harvest section.

#### **Destination**

**State/Fishing Entity:** Enter the State/Fishing Entity to which the southern bluefin tuna is being exported.

**Company Name of Importer:** Enter the name of the importer (consignee) for the shipment. This should be a company name, unless there is no company associated with the import.

**Address of Importer:** Enter the address of the importer (consignee) for the shipment.

**Certification by Exporter:** The Exporter<sup>3</sup> must provide his/her name, signature, date (dd/mm/yyyy) and either the exporter license number or the exporter company name to certify the information provided in relation to the export shipment (i.e. that the form correctly records what is being exported). If the exporter does not have a licence number or exporter company name, then they should write their own name in this field. For forms completed in the eCDS, the certification will be recorded electronically, and no signature will display on the form.

**Validation by Authority:** The validation does not include confirming that the name and address of the importer are correct, only that these sections have been completed. Enter the name and full title of the official<sup>1</sup> signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal. For forms completed in the eCDS, the validation will be recorded electronically, with the validators signature, seal and date automatically recorded on the form.

### **FINAL PRODUCT DESTINATION SECTION - tick and complete only one Destination**

Tick the box to specify whether the final destination of the product is for landing of domestic product or for import. You must then complete the part of the final product destination section corresponding to the box that you ticked.

#### **Import**

##### **Final Point of Import**

**City:** Enter the City of Import.

**State or Province:** Enter the State or Province of Import.

**State/Fishing Entity:** Enter the State/Fishing Entity of Final Import.

**Certification by Importer:** The person or company that imports southern bluefin tuna must provide his/her/its name, address, date (dd/mm/yyyy) on which the southern bluefin tuna was imported, signature, type<sup>4</sup>, and weight (kg). For fresh and chilled product, the signature of the importer may be substituted by an Authorised Government official in the State or Fishing Entity in which the import is received, or a person of a customs clearance company when the authority for signature is properly accredited to it by the importer. The number of whole fish is also required for SBT received in product types RD, GGO, GGT, DRO and DRT. For forms completed in the eCDS, the certification will be recorded electronically, and no signature will display on the form.

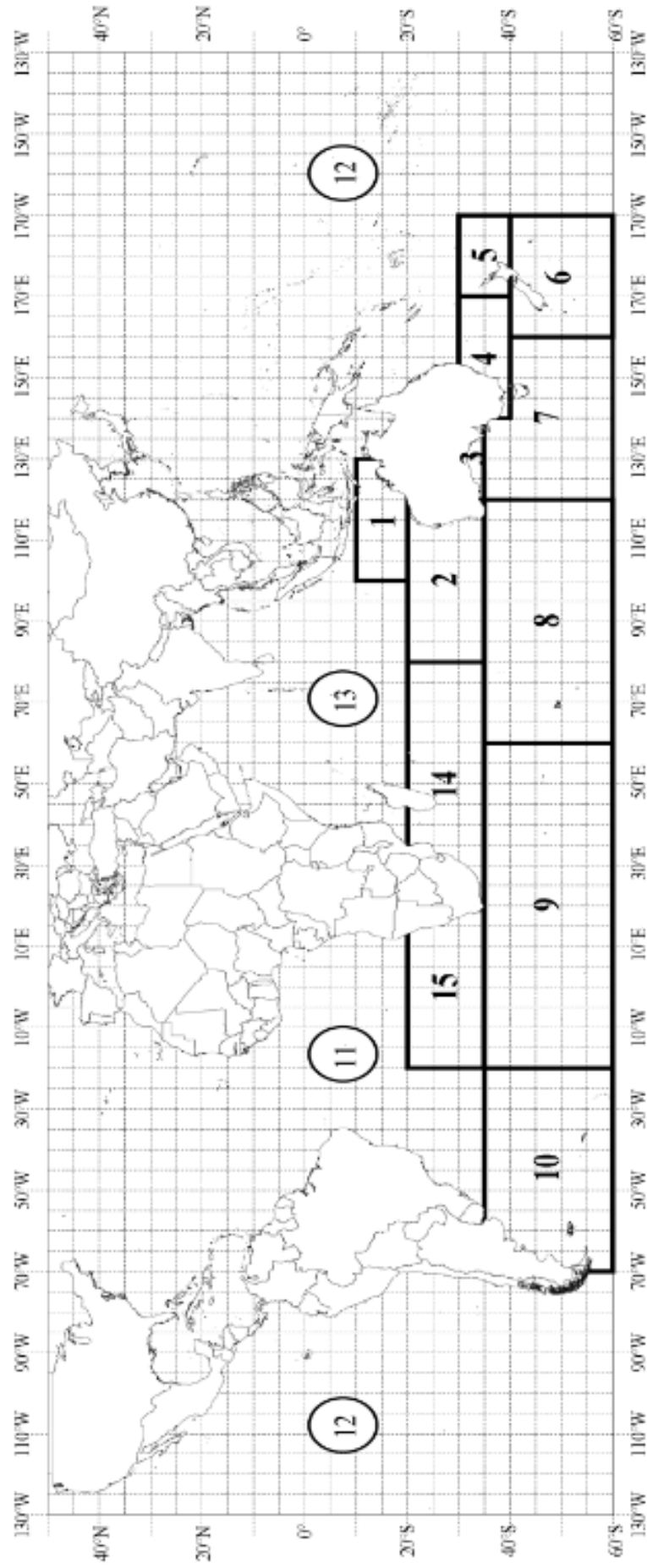
#### **Landing of Domestic Product for Domestic Sale**

**Certification of domestic sale:** The initial person or company that receives southern bluefin tuna from a domestic vessel for the purpose of domestic sale must provide his/her/its name, address, date (dd/mm/yyyy) on which the southern bluefin tuna was landed/received, signature, type<sup>3</sup>, weight (kg) of the complete domestic landing of SBT. The number of whole fish is also required for SBT received in product types RD, GGO, GGT, DRO and DRT. For forms completed in the eCDS, the certification will be recorded electronically, and no signature will display on the form.

<sup>3</sup> The individual certifying as 'Exporter' must be an appropriate authority approved by the exporting company to make this declaration on the company's behalf, but it must not be the same individual as the authority validating the export.

<sup>4</sup> A list of types is provided in the 'Description of Fish' section.

# Map of CCSBT Statistical Areas








Re-Export   ← Or →    Export after Landing of Domestic Product   (tick only one)

Within this form, the term "Export" includes both exports and re-exports

Full Shipment   ← Or →    Partial Shipment   (tick only one)

|   |                      |
|---|----------------------|
| Form Number of Preceding Document (Catch Monitoring Form, or Re-Export/Export After Landing of Domestic Product Form) | <input type="text"/> |
|---|----------------------|

**EXPORT SECTION**

| Exporting State/Fishing Entity | Point of Export      |                      |                      |
|--------------------------------|----------------------|----------------------|----------------------|
|                                | City                 | State or Province    | State/Fishing Entity |
| <input type="text"/>           | <input type="text"/> | <input type="text"/> | <input type="text"/> |

|  |
|--|
| Name and Address of Processing Establishment (if applicable) |
| <input type="text"/>   |

**Description of Fish Being Exported**

| Product State: F (Fresh) / FR (Frozen) | Product Type <sup>1</sup> | Weight (Kg)          | Total Number of whole Fish <sup>2</sup> |
|--|---------------------------|----------------------|---|
| <input type="text"/>                   | <input type="text"/>      | <input type="text"/> | <input type="text"/>                    |
| <input type="text"/>                   | <input type="text"/>      | <input type="text"/> | <input type="text"/>                    |
| <input type="text"/>                   | <input type="text"/>      | <input type="text"/> | <input type="text"/>                    |
| <input type="text"/>                   | <input type="text"/>      | <input type="text"/> | <input type="text"/>                    |
| <input type="text"/>                   | <input type="text"/>      | <input type="text"/> | <input type="text"/>                    |

|   |                      |
|---|----------------------|
| <b>Destination</b> (State/Fishing Entity) | <input type="text"/> |
| Company Name of Importer                  | <input type="text"/> |
| Address of Importer                       | <input type="text"/> |

**Certification by Exporter:** I certify that the above information is complete, true and correct to the best of my knowledge and belief.

| Name                 | Date                 | Licence No. / Company Name |
|----------------------|----------------------|----------------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/>       |

**Validation by Authority:** I validate that the above information is complete, true and correct to the best of my knowledge and belief.<sup>3</sup>

| Name, Title and Date | Signature            |
|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> |

OFFICIAL SEAL

**IMPORT SECTION**

| Final Point of Import |                      |                      |
|-----------------------|----------------------|----------------------|
| City                  | State or Province    | State/Fishing Entity |
| <input type="text"/>  | <input type="text"/> | <input type="text"/> |

**Certification by Importer:** I certify that the above information is complete, true and correct to the best of my knowledge and belief.

| Name                 | Address              | Date                 | Product Type <sup>1</sup> | Weight (Kg)          | Number of Whole Fish <sup>2</sup> |
|----------------------|----------------------|----------------------|---------------------------|----------------------|-----------------------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/>      | <input type="text"/> | <input type="text"/>              |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/>      | <input type="text"/> | <input type="text"/>              |

NOTE: The organization/person which validates the Export section shall verify the copy of the original CCSBT CDS Document. Such a verified copy of the original CCSBT CDS document must be attached to the Re-export/Export after Landing of Domestic Product (RE) Form. When SBT is Exported, all verified copies of concerned forms must be attached.

1. Product Type: RD/GGO/GGT/DRO/DRT/FL/OT

2. Number of Whole Fish - Including types: RD/GGO/GGT/DRO/DRT

3. The importer details are only required to be validated as complete, and do not need to be verified by the Authority completing the validation



# RE-EXPORT/EXPORT AFTER LANDING OF DOMESTIC PRODUCT FORM

## INSTRUCTION SHEET

This form must accompany all re-exports of SBT and all exports of SBT that have previously been landed as domestic product, and a copy must be provided to the issuing State/Fishing Entity.

One REEF Form must be issued for:

- Each CMF that was previously landed as domestic product but is now being exported, OR
- Each REEF shipment that was imported and is being re-exported, together with a copy of its previously associated REEF(s) and CMF(s).

In addition, each REEF form must be accompanied by a copy of the associated Catch Monitoring Form and copies of any previously issued Re-Export/Export after Landing of Domestic Product Forms for the SBT being exported.

This form is not required for the “first” export of the particular SBT if those SBT are being landed for the sole purpose of exporting. In this circumstance, only a Catch Monitoring Form needs to be created and accompany the product.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document.

The Re-export/Export after Landing of Domestic Product Form has 2 main sections: (1) Export; and (2) Import.

**Within this form, the term “Export” includes both Exports and Re-exports.**

The top of the form contains 4 additional pieces of information that must always be completed. These are -

**Document Number:** Enter the unique document number that was allocated by the State/Fishing Entity of origin for this form.

For forms completed in the eCDS, this document number will be automatically assigned by the system.

**Re-Export or Export after Landing of Domestic Product:** Tick only one box to indicate whether this is a Re-Export, or an Export after Landing of Domestic Product.

**Full Shipment or Partial Shipment:** Tick only one box to specify whether this Information is for a full or partial shipment. A Full shipment is where all the SBT in the preceding document are being exported.

**Form number of Preceding Document:** Enter the unique Document Number of the CDS form that precedes this. (Catch Monitoring Form or Re-Export/Export after Landing of Domestic Product Form).

### EXPORT SECTION

**Exporting State/Fishing Entity:** Enter the Exporting State/Fishing Entity.

**Point of Export:** Enter the City, State or Province, and State/Fishing Entity of the point of Export.

**Name and Address of Processing Establishment (if applicable):** Enter the full name and address of the Processing establishment (only required if further processing has taken place since the preceding CDS form).

### Description of Fish being Exported

This Export of SBT must be described, the highest degree of accuracy using the following information.

**NOTE: Each row shall describe only one product type.**

**Product:** Enter the product being exported as either FRESH (F) or FROZEN (FR).

**Type:** Enter the type code from the table of ‘Types’ below that most closely matches the type of the SBT. For OT, describe the type of product, and specify a conversion factor.

| CODE | NAME                         | DESCRIPTION   |
|------|------------------------------|---|
| RD   | Round                        | SBT Without any processing  |
| GGO  | Gilled and Gutted - Tail on  | Processed with gills and gut removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed.          |
| GGT  | Gilled and Gutted - Tail off | Processed with gills, gut and tail removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed.    |
| DRO  | Dressed - Tail on            | Processed with gills, gut, operculae (gill plates/covers) and head removed. Dorsal, pelvic and anal fins may or may not be removed.       |
| DRT  | Dressed - Tail off           | Processed with gills, gut, operculae (gill plates/covers), head and tail removed. Dorsal, pelvic and anal fins may or may not be removed. |
| FL   | Fillet                       | Processed further than DRT, with the trunk cut into fillets   |
| OT   | Other                        | None of the above.  |

**Weight(kg):** Enter the weight (kg) of the fish being Exported.

**Total Number of whole Fish (including RD,GGO,GGT,DRO,DRT):** Enter the number of fish that remain whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.

**Other:** Describe the type of product (if type is Other).

**Destination (State/Fishing Entity):** Enter the State/Fishing Entity to which the southern bluefin tuna is being exported.

**Company Name of Importer:** Enter the name of the importer (consignee) for the shipment. This should be a company name, unless there is no company associated with the import.

**Address of Importer:** Enter the address of the importer (consignee) for the shipment.

### Certification and Validation

**Certification by Exporter:** The Exporter<sup>1</sup> must provide his/her name, signature, date (dd/mm/yyyy) and either the exporter license number or the exporter company name to certify the information provided in relation to the export shipment (i.e. that the form correctly records what is being exported). If the exporter does not have a licence number or exporter company name, then they should write their own name in this field. For forms completed in the eCDS, the certification will be recorded electronically, and no signature will display on the form.

**Validation by Authority:** The validation does not include confirming that the name and address of the importer are correct, only that these sections have been completed. Enter the name and full title of the official<sup>2</sup> signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal. For forms completed in the eCDS, the validation will be recorded electronically, with the validators signature, seal and date automatically recorded on the form.

### Final Point of Import

**City:** Enter the City of Import.

**State or Province:** Enter the State or Province of Import.

**State/Fishing Entity:** Enter the State/Fishing Entity of Final Import

### Certification

**Certification by Importer:** The person or company that imports southern bluefin tuna must provide his/her/its name, address, signature, date (dd/mm/yyyy) on which the southern bluefin tuna was imported. For fresh and chilled product, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer. An Authorised Government official in the State or Fishing Entity in which the import is received may also complete the certification on behalf of the importer.

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<sup>1</sup> The individual certifying as 'Exporter' must be an appropriate authority approved by the exporting company to make this declaration on the company's behalf, but it must not be the same individual as the authority validating the export.

<sup>2</sup> The official must be in the employment of, or delegated by, the competent authority of the State/Fishing Entity that exported the SBT appearing on the document. The Member, Co-operating Non-Member or Other State/Fishing Entity Cooperating in the CDS which utilizes a delegated entity shall submit a certified copy of such delegation to the Executive Secretary.





# CATCH TAGGING FORM

## INSTRUCTION SHEET

This form is to be issued by the State/Fishing Entity that holds the national quota allocation against which these SBT have been taken.

This form must be completed and provided to the issuing State/Fishing Entity when completing the associated Catch Monitoring Form.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document. Completed Catch Tagging Forms shall be provided to the Flag State/Fishing Entity, who shall provide the information in the Catch Tagging Form to the CCSBT Executive Secretary in an electronic format on no later than a quarterly basis.

The Catch Tagging Form has one main section (1) Catch.

The top of the form contains 3 additional pieces of information that must always be completed. These are –

**Document Number:** Enter the unique document number that was allocated by the State/Fishing Entity of origin for this form. The unique document number is not required when the tagging data is entered or uploaded directly into the eCDS.

**Wild Harvest or Farmed:** Tick only one box to specify whether this information is from a Wild Capture, or from a Farm. Where the information is entered directly into the eCDS, this information will be captured when the Catch Monitoring Form is started and will be automatically recorded on the tagging data.

**Document number of Associated Catch Monitoring Form:** Enter the unique Document Number of the Catch Monitoring Form that relates to this form. This document number will not need to be separately recorded when it is entered in the eCDS.

### CATCH SECTION

**Name of Fishing Vessel (or Farm):** For farmed SBT, enter the name of the farm from which the SBT were harvested. For other SBT enter the name of the catching vessel.

**Vessel Registration Number (or CCSBT Farm Serial Number):** Enter the Registration Number of the Catching Vessel (or the CCSBT Farm Serial Number from the CCSBT Record of Authorised Farms).

**Flag State/Fishing Entity:** Enter the State or Fishing Entity of the Vessel or Farm.

### Tag Information

The Tagging information for each fish must be recorded.

**NOTE: One row shall describe one tagged southern bluefin tuna.**

**CCSBT Tag Number:** Enter the unique tag number of the tag that has been inserted into the fish.

**Type:** Enter the type code from the table below that most closely matches the type of the SBT.

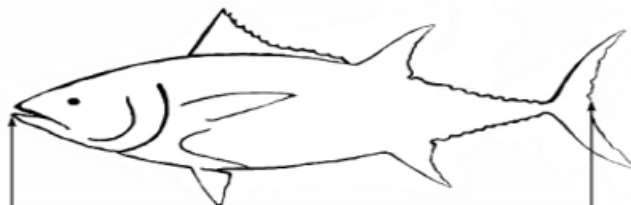
| CODE | NAME                         | DESCRIPTION  |
|------|------------------------------|--|
| RD   | Round                        | SBT Without any processing   |
| GGO  | Gilled and Gutted - Tail on  | Processed with gills and gut removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed          |
| GGT  | Gilled and Gutted - Tail off | Processed with gills, gut and tail removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed    |
| DRO  | Dressed - Tail on            | Processed with gills, gut, operculae (gill plates/covers) and head removed. Dorsal, pelvic and anal fins may or may not be removed       |
| DRT  | Dressed - Tail off           | Processed with gills, gut, operculae (gill plates/covers), head and tail removed. Dorsal, pelvic and anal fins may or may not be removed |

**Weight (kg):** Enter the weight (kg) of the fish.

**Fork Length (cm):** Enter the fork length of the fish, rounded to the nearest whole centimetre.

In cases where SBT can be measured at the time of kill: measure the straight line horizontal (not curved over body) length of the fish from the closed mouth to the fork of the tail before freezing and tailing as shown in the diagram below.

In cases where length cannot be measured immediately after the time of kill, but is instead measured upon landing, and after tailing and before freezing: Measure the straight line horizontal (not curved over body) length of the fish from the closed mouth up to the point where the tail was removed, and then apply an appropriate conversion factor to this length measurement to convert it to a fork length measurement.



**Gear code (if applicable):** Identify the gear type that was used to harvest the southern bluefin tuna using the list below; for OTHER TYPE, describe the type of gear. In the case of farmed fish, write "FARM".

| <b>GEAR CODE</b> | <b>GEAR TYPE</b> |
|------------------|------------------|
| BB               | Baitboat         |
| GILL             | Gillnet          |
| HAND             | Handline         |
| LL               | Longline         |
| MWT              | Mid-water Trawl  |
| PS               | Purse Seine      |
| RR               | Rod and Reel     |
| TROL             | Troll            |
| OT               | Other Type       |

**CCSBT Statistical Area of Catch (if applicable):** Enter the area in which the southern bluefin tuna were harvested using the main CCSBT Statistical Areas (1 to 10 and 14 to 15) or the other CCSBT Statistical Areas (areas 11 to 13) where there is no corresponding main area. In the case of farmed fish, it is not necessary to fill in this column.

**Month of Harvest (mm/yy):** Enter the month and year of harvest of the southern bluefin tuna tagged; in case of farmed fish, this applies to the time of kill, not the time of initial harvest.

### **Certification and Validation**

**Certification:** An appropriate authority should complete this section, with his/her full name, signature, date and title to certify that the form correctly records the tagging information. For forms completed in the eCDS, the certification will be recorded electronically, and no signature will display on the form.

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**Minimum Procedural and Information Standards for CCSBT Member and Cooperating Non-Member Tagging Programmes**

*General requirements of an SBT tagging system*

1. As outlined in section 1.7 and 1.8 of the Resolution, the SBT tag shall remain on each individual fish while the fish carcass remains whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.
2. Members and Cooperating Non-Members shall take steps to ensure that SBT tags cannot be reused.

*Specifications for SBT tags*

3. Each SBT tag shall meet the following minimum standards:
  - a. have a unique pre-recorded tag number in an easily readable form;
  - b. tag numbering shall include a unique flag state identifier and a fishing year identifier (e.g. NZ-2008-000001);
  - c. be able to be securely fastened to SBT;
  - d. be non-reusable, tamper-proof and secure from counterfeiting or replication;
  - e. be able to withstand at least negative sixty (60) degrees Celsius temperatures, salt water and rough-handling; and
  - f. be food safe.

*General requirements for tag related information*

4. Members and Cooperating Non-Members shall record the distribution of SBT tags to entities they authorise to fish for, or farm, SBT.
5. In relation to each tag, Members and Cooperating Non-Members shall ensure their vessels and operators, and the relevant authorities have reporting procedures and formats allowing the collection of the required tagging information which must include month, area, and method of capture, and weight and length for each SBT.
6. All requirements of the CCSBT CDS Resolution outlined in Sections 5 – 9 of this resolution apply to tagging documentation and information for Members and Cooperating Non-Members implementing tagging programmes.

### Content of the Executive Secretary's Six Monthly/Annual Reports to the Extended Commission

The reports in this Appendix are only to be provided to a designated authority of each Member.

With this Appendix, the following abbreviations are used to refer to different form types:

- FSF – Farm Stocking Form
- FTF – Farm Transfer Form
- CMF – Catch Monitoring Form
- REEF – Re-Export/Export after Landing of Domestic Product Form
- CTF – Catch Tagging Form

#### Production Reports

Two production reports are to be produced.

##### (1) Production summary and reported catch comparison report

This report uses data from the CMF together with mortalities from the FSF to estimate the quota year catch of each Member and Cooperating Member. This should only be conducted for completed quota years and where sufficient CDS data is available. Processed weights from the CDS should be converted to whole weights using conversion factors supplied by each Member if available and the “best” alternative conversion factor where the Member has not provided a conversion factor to use. All conversion factor values used in the report should be listed. The report should also provide the reported quota year catch from the Member/Cooperating Non-Member (if that is available) for comparative purposes.

- Flag State/Fishing Entity;
- Quota year<sup>1</sup>;
- Total estimated whole weight of SBT by fishing gear from the CDS;
- Total whole weight of SBT by fishing gear as reported by the Member (if available); and
- Comments<sup>2</sup>

##### (2) Detailed production summary report

This report is similar to the Annex 2 report from the TIS. It uses data from CMF and CTF to provide the following information:

- Flag Member/Cooperating Non-Member;
- Captured, farm harvest, or farm catch/towing mortality
- Product (F/FR);
- Processed Type (RD/GGO/GGT/DRO/DRT//FL/OT etc.).
- Month and year of harvest;
- Gear code;
- Statistical area;

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<sup>1</sup> Members have different quota years, so the actual quota year period of each Member should be used and these periods should be shown in the report to prevent confusion. This report should also contain a history of recent quota years (with any updated estimates from both CDS and Member's reports) for which there is sufficient CDS data.

<sup>2</sup> Comments may be required to provide additional information for interpreting the figures, for example, to indicate that a Member's report includes catches (such as recreational catch) that is not covered by the CDS, or that the CDS data may not be fully up to date for the most recent year etc.

- Point of export (only if exported);
  - Month and year of export (only if exported);
  - State/Fishing Entity at final destination;
  - Month and year at arrival at final destination;
- and within the above groupings, the following quantities
- Number of SBT; and
  - Net weight of SBT.

## **REEF Reports**

Two reports relating to the REEF form are to be produced.

### (1) Detailed REEF summary report

This report is similar to the Annex 4 report from the TIS. This report uses data from both the CMF and REEF to provide the information below:

- Original catching State/Fishing Entity
  - Currently exporting State/Fishing Entity<sup>3</sup>;
  - Point of export;
  - Year and month of export<sup>4</sup>
  - Importing State/Fishing Entity;
  - Product exported (F/FR);
  - Type exported (RD/GGO/GGT/DRO/DRT//FL/OT); and
- within the above groupings, the
- Net weight and Number of SBT exported.

### (2) REEF discrepancy report

This report should examine all REEF forms and associated CMFs, and produce a list of any CMF forms that have been “over utilised<sup>5</sup>” in subsequent exports and re-exports. The list should identify:

- The document number, flag, product type(s) and weight(s) in each over utilised CMF form;
- The document number, exporting flag, product type(s) and weight(s) of the associated REEF form(s); and
- Other information agreed by the Compliance Committee.

## **Tagging Reports:**

One tagging report is to be produced.

### (1) Tag summary report

This report uses data from both the CTF and CMF to provide the following information

- Flag State/Fishing Entity;
- Total number and net weight of SBT tagged; and
- Total number and net weight of SBT reported on associated CMF’s.

## **Transshipment Reports**

Two transshipment reports are to be produced.

### (1) Transshipment summary report

This report uses data from the CMF together with transshipment declarations and observer reports to provide the following summary information for transshipments of SBT.

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<sup>3</sup> This is the exporting State/Fishing Entity on the REEF form in question, not from preceding REEF forms or the CMF.

<sup>4</sup> As determined by the export certification date.

<sup>5</sup> An over-utilised CMF is where subsequent exports/re-exports of fish from the CMF have exceeded the original quantity of fish reported on the CMF.

- Flag of catching vessel;
- Month and year of transshipment;
- Flag of transshipment vessel;
- State/Fishing Entity at final destination;

and within the above groupings, the following quantities

- Number of transshipments;
- Number of SBT according to the CMF;
- Net weight of SBT according to the CMF;
- Net weight of SBT according to the transshipment declaration; and
- Net weight of SBT according to the transshipment observer report.

## (2) Transshipment discrepancy report

This report produces details of each transshipment in which the weight of SBT in the CMF differs from the weight of SBT in either the transshipment declaration, or the transshipment observer report.

- Flag, name and registration number of catching vessel;
- Date of transshipment;
- Flag, name and registration number of transshipment vessel;
- Net weight and Type of SBT according to the CMF;
- Net weight and Type of SBT according to the transshipment declaration; and
- Net weight and Type of SBT according to the transshipment observer report.

## **Farm Reports**

Two farm reports are to be produced. These reports should be produced to cover a catching and farming period that covers the usual “season” for the relevant State/Fishing Entity.

### (1) Farm summary report

This report uses data from the FSF and CMF to provide the following aggregate information for farmed SBT from each Flag State/Fishing Entity. This report is similar to the 6 monthly farms reports produced by Australia as part of the Trade Information Scheme.

- Flag and total number of catching vessels;
- Date range of capture;
- Statistical area(s) of capture;
- Total number and weight of mortalities during towing;
- Date range of transfers to farms;
- Total number and weight of SBT transferred into farms;
- Minimum, maximum and median of the average weights over all transfers;
- Date range of harvest from farms; and
- Total number and weight of SBT harvested from farms.

### (2) Farm discrepancy report

This report produces details for each farm in which the number of SBT transferred into the farm (according to the FSF and adjusted according to the FTF) is greater than the number of SBT harvested from that farm according to the CMF.

- Flag, and name of the farm;
- Date range of transfers to this farm from initial tow cages;
- Total number and weight of SBT transferred into this farm from initial tow cages;
- Date range of transfers to/from this farm from/to other farms;
- Total number and weight of SBT transferred to/from this farm from/to other farms;
- Date range of harvest from this farm; and

- Total number and weight of SBT harvested from this farm.

### **Reconciliation Reports**

The Secretariat will run regular reconciliation reports on the CDS data received. The reconciliation reports should be designed to provide aggregate information concerning the number and types of documents that are expected, but that have yet to be received from each State/Fishing Entity. These reports should also identify if any discrepancies occur between the figures in the forms from the different sources.