

**CCSBT**  
**Southern Bluefin Tuna Statistical Document Program**

**1. Principle/General**

- 1.1 For importation into the territory of a Member, all southern bluefin tuna shall be accompanied by a CCSBT Southern Bluefin Tuna Statistical Document. There is no waiver of this requirement.
- 1.2 Implementation of this Program shall be in conformity with relevant international obligations.
- 1.3 The Commission will review the implementation of this Program periodically in a timely manner to ensure the effectiveness and practicability of this Program.
- 1.4 The Commission requests the appropriate authorities of exporting countries/fishing entities to make the requirements under this Program known to their exporters.

**2. Information Required**

- 2.1 A standard CCSBT Southern Bluefin Tuna Statistical Document form along with its Instruction Sheet is at **Annex 1**. Countries/Fishing Entities are requested to use this form. While minimal modifications such as addition of translations may be made, the standard form should be adopted as far as possible and no information item may be omitted from the standard form. The form must be completed and checked in accordance with the minimum standards at **Annex 5**.
- 2.2 The import of farmed tuna should be treated in the following way:
  - (a) The Southern Bluefin Tuna Statistical Document shall be validated by the authority of the country/fishing entity where the tuna was raised;
  - (b) In reporting import data collected by the Program under 5.2 to Members, the Executive Secretary shall record the amount of imported farmed fish separately from the rest of the imports for each country/fishing entity;
  - (c) When completing the CCSBT Southern Bluefin Tuna Statistical Document for farmed tuna, the name of the export country/fishing entity shall be indicated in item 1 of Export Section of each Document instead of “Flag Country/Fishing Entity of Capture Vessel”, and the name of the Tuna Farm shall be indicated in item 2 of Export Section of each Document instead of “Name of Vessel and Registration Number”; for item 6 (Description of Fish) of Export Section of each Document, “Gear Code” shall be “Farmed”, “Net Weight” shall be filled in with the raised products’ weight, and “Time of Harvest” and “Area of Catch” are not necessary to be filled in while other

columns shall be filled in as in the case of the normal Document;

- (d) Members shall maintain aggregated information on their tuna farms which raise exported tuna. The following information will be recorded: the name of the fishing vessel which caught the tuna for farming, the flag country/fishing entity of that fishing vessel, the gear code, the amount of original catch, the area of catch, the date of reception of tuna, and growth rate and mortality rate of fish through farming.
- (e) Members shall submit such information to the Secretariat every six months on average. Urgent requests for information outside this framework should be substantiated to the Commission by the requesting Member in order to minimise the burden of such requests.

### **3. Validation**

- 3.1 The CCSBT Southern Bluefin Tuna Statistical Document shall be validated, in principle, by an official of the flag country/fishing entity of the vessel that harvested the tuna.
- 3.2 The requirement for validation of the Southern Bluefin Tuna Statistical Document by an official under 3.1 with respect to any member of the Commission may be met by an entity duly delegated by the authority of the flag country/fishing entity. The Member which utilizes a delegated entity should submit a certified copy of such delegation to the Executive Secretary.

### **4. Exchange of Information**

- 4.1 If a Member modifies the standard Southern Bluefin Tuna Statistical Document form for its use, it shall provide to the Executive Secretary a copy of such modified form. The Executive Secretary shall provide the modified form to other Members and non-Members fishing and exporting southern bluefin tuna to Members.
- 4.2 Each Member shall provide to the Executive Secretary information on validation (e.g. type of validation, name of the organization which validates the documents, title of officials who validate the documents, sample impression of stamp or seal) and inform him of any change in a timely fashion. The Executive Secretary shall request information on validation from all non-Members fishing and exporting southern bluefin tuna to Members, and request them to inform him in a timely fashion of any change in the information provided.
- 4.3 The Executive Secretary shall maintain and update information specified in 4.1 and 4.2, and provide it to all Members and promptly circulate any changes.

### **5. Record and Report**

- 5.1 Members which import southern bluefin tuna shall retain all original Southern Bluefin Tuna Statistical Documents received by them. They shall forward to the Executive Secretary on a quarterly basis copies of all such Documents, but may

omit the Import Section other than the final point of import and the date of import. The Executive Secretary shall compile the raw data from those Documents into an electronic database.

- 5.2 The Executive Secretary shall ensure the confidentiality of the raw data in its database and release to any country/fishing entity only the raw data relating to the Statistical Documents it validated. If a country/fishing entity requests raw data relating to another country/fishing entity, the Executive Secretary may release those data only with the latter's agreement.
- 5.3 The Executive Secretary shall report to the Commission on and circulate to all Members the data collected by the Program each year by 1 June for the period of 1 July - 31 December of the preceding year and by 1 December for the period of 1 January - 30 June of the current year. The formats of the reports are attached as **Annex 2** and **Annex 2a**. The Executive Secretary shall provide an electronic copy of the report only to a designated authority of each Member. The Secretariat will post on the CCSBT web site a subset of the report comprising:
  - Import country;
  - Flag country;
  - Harvest year;
  - Gear code;
  - Net weight.
- 5.4 On request by the Scientific Committee or another subsidiary body of the Commission, the Executive Secretary shall, with the approval of the Commission, provide to that body data collected by the Program more frequently or at a greater level of detail than specified in 5.3.
- 5.5 Upon receiving the import data mentioned in 5.3, Members that export SBT shall check their export statistics against them and report the results to the Commission. Where necessary, an exporting Member may obtain from the Secretariat a copy of any Statistical Document it validated, as forwarded to the Secretariat by the importing Member in accordance with 5.1.
- 5.6 The Commission instructs the Executive Secretary to request non-Members which are major importing countries/fishing entities of southern bluefin tuna to cooperate with implementation of the Program and to provide to the Commission data obtained from such implementation.
- 5.7 Subject to 5.9, exporting countries/fishing entities shall keep an electronic record of all SDP documents that they issue. For each SDP document issued, the electronic record will contain the:
  - Unique document number;
  - Whether the document was for captured or farmed SBT;
  - Date of export;
  - Total net weight of SBT exported;
  - Destination (import) country
  - It would also be useful to record the range of capture dates (e.g. Jan 2002 to Aug 2002) for captured SBT to provide more timely data for stock assessment purposes.

- 5.8 Subject to 5.9, exporting countries/fishing entities shall provide an electronic copy of the record from 5.7 to the Executive Secretary. The record shall be provided by 1 May for all exports for the period of 1 July to 31 December of the preceding year and by 1 October for all exports for the period of 1 January to 30 June of the current year.
- 5.9 Exporting countries/fishing entities shall be exempted from 5.7 and 5.8 if they fax copies of all SDP documents to the Executive Secretary. Faxed copies of SDP documents shall be sent within 30 days of the export.
- 5.10 The Executive Secretary shall compare data provided in 5.8 and 5.9 against SDP data received from importing members. The Executive Secretary shall notify the importing members of any missing documents and the importing Member shall attempt to locate and provide those documents. The Executive Secretary shall also notify the exporting country/fishing entity of any discrepancies identified during the comparison of data and the exporting country/fishing entity will attempt to resolve the discrepancies.

## **6. Re-export**

- 6.1 A Member may validate a CCSBT Southern Bluefin Tuna Re-export Certificate (a standard form is attached as **Annex 3**) for southern bluefin tuna imported by that Member, to which a CCSBT Southern Bluefin Tuna Statistical Document or CCSBT Southern Bluefin Tuna Re-export Certificate is attached. The CCSBT Southern Bluefin Tuna Re-export Certificate shall be validated by an official or by an entity duly delegated by the authority of a Member to validate the CCSBT Southern Bluefin Tuna Statistical Document under 3.2. A copy of the original Southern Bluefin Tuna Statistical Document accompanying the imported southern bluefin tuna must be attached to CCSBT Southern Bluefin Tuna Re-export Certificate. The copy of original Southern Bluefin Tuna Statistical Document so attached must be verified by that official or by that entity duly delegated by the authority of a Member which validate the CCSBT Southern Bluefin Tuna Statistical Document. When re-exported southern bluefin tuna is again re-exported, all copies of documents, including verified copy of a Statistical Document and Re-export Certificate which accompanied that southern bluefin tuna upon importation, must be attached to a new Re-export Certificate to be validated by a re-exporting Member. All copies of the Documents to be attached to that new Re-export Certificate must also be verified by an official or an entity duly delegated by the authority of a Member which validated the CCSBT Southern Bluefin Tuna Statistical Document.
- 6.2 Members which import southern bluefin tuna shall accept the Re-export Certificate set forth in 6.1 with attachments of all verified copies as required in 6.1.
- 6.3 Members that validate a Re-export Certificate in accordance with the procedure set forth in 6.1 shall require from the re-exporting southern bluefin tuna dealer necessary documents (e.g. written sales contracts) which are to certify that the southern bluefin tuna to be re-exported corresponds to the imported southern

bluefin tuna. Members which validate a Re-export Certificate shall provide the flag country/fishing entity and importing country with evidence of this correspondence upon their request.

- 6.4 The Executive Secretary shall report on and circulate to all Members the data obtained from Re-export Certificates each year by 1 April for the period of 1 July - 31 December of the preceding year and by 1 October for the period of 1 January - 30 June of the current year. The format of the report is attached as **Annex 4**.
- 6.5 Members may accept a Re-export Certificate validated by a non-Member which has established a scheme substantially identical to the CCSBT Southern Bluefin Tuna Statistical Document Program and implements it in accordance with the requirements of the Program.
- 6.6 The provisions of 5.1 and 5.2 apply also to any Re-export Certificate required to be attached to a Southern Bluefin Tuna Statistical Document.

DOCUMENT NUMBER		CCSBT SOUTHERN BLUEFIN TUNA STATISTICAL DOCUMENT				
		<input type="checkbox"/> Captuer			<input type="checkbox"/> Farmed	
<b>EXPORT SECTION:</b>						
1. FLAG COUNTRY/FISHING ENTITY OF CAPTURE VESSEL						
2. NAME OF VESSEL AND REGISTRATION NUMBER (when available)						
3. INFORMATION ON OTHER FORMS OF CAPTURE (eg. Trap)						
4. PROCESSING ESTABLISHMENT (if applicable)						
NAME AND ADDRESS						
5. POINT OF EXPORT (CITY, STATE OR PROVINCE AND COUNTRY/FISHING ENTITY)						
6. DESTINATION OF PRODUCT (COUNTRY/FISHING ENTITY)						
7. DESCRIPTION OF FISH						
Product (a)	Type (b)	Time of Harvest	Gear Code (c)	Area of catch (d)	Net Weight	No. of Fish
F/FR	RD/GG/DR/FL/OT	(mm/yy)			(kg)	(when RD, GG or DR)
(a): F=Fresh, FR=Frozen						
(b): RD=Round, GG=Gilled and Gutted, DR=Dressed, FL=Fillet, OT=Other (describe the type of product; _____.)						
(c): If the Gear Code is OT, describe the type of gear; _____.						
(d): Statistical Area of SBT (1 to 10 and 14 to 15) or Other Areas (11 to 13)						
8. EXPORTER CERTIFICATION <u>I certify that the above information is complete, true, and correct to the best of my knowledge and belief.</u>						
Name	Address		Signature	Date	Licence Number (if applicable)	
9. VALIDATION BY AUTHORITY <u>I validate that the information listed above is complete, true, and correct to the best of my knowledge and belief.</u>						
Name & Title		Signature	Date	Official Seal		
<b>IMPORT SECTION:</b>						
IMPORT SECTION <u>I certify that the above information is complete, true, and correct to the best of my knowledge and belief.</u>						
Importer Certification (Intermediate Country) (if applicable)						
Name	Address		Signature	Date	Licence No (if applicable)	
Importer Certification (Intermediate Country) (if applicable)						
Name	Address		Signature	Date	Licence No (if applicable)	
Importer Certification (Final Destination of Shipment)						
Name	Address		Signature	Date	Licence No (if applicable)	
Final Point of Import: City _____ State or Province _____ Country/Fishing Entity _____						

NOTE1 : If a language other than English is used in completing this form, please add the English translation on this document.

NOTE 2: In case of farmed fish, please refer to the italic part in the instruction sheet.

## Southern Bluefin Tuna Statistical Document Instruction Sheet

If a language other than English is used in completing the form, please add the English translation on the Document.

### Document Number

Fill in the document number allocated by the exporting country/fishing entity.

### Title

Tick the appropriate box.

### Export Section

#### 1. Flag Country/Fishing Entity of Capture Vessel

Fill in the name of the country/fishing entity of the registration of the vessel that harvested the southern bluefin tuna in the shipment. This should be the same country/fishing entity as issued this Document. *In case of farmed fish, the name of exporting country/fishing entity should be filled in instead of the name of the country/fishing entity of the vessel.*

#### 2. Name of Vessel and Registration Number (when available)

Fill in the name and registration number of the vessel that harvested the southern bluefin tuna in the shipment. *In case of farmed fish, the name of tuna farm should be filled in instead of the name and registration number of the vessel.*

#### 3. Information of Other Forms of Capture (eg. Trap)

If the southern bluefin tuna in the shipment was caught by means other than the vessel (eg. Trap), fill in the means.

#### 4. Processing Establishment

Fill in the name and address of the processing establishment which processed the southern bluefin tuna in the shipment (if applicable). If it is the same as the exporter, write "same as exporter".

#### 5. Point of Export (City, State or Province and Country/Fishing Entity)

Identify the City, State or Province and Country/Fishing Entity from which the southern bluefin tuna was exported.

#### 6. Destination of Product

Fill in the Country/Fishing Entity to which the southern bluefin tuna is being exported.

#### 7. Description of Fish

The exporter must provide, to the highest degree of accuracy, the following information. NOTE: One row should describe one product type.

- (1) product: Identify the type of product being shipped as either FRESH (F) or FROZEN (FR),
- (2) type: Identify the type of product being shipped as either ROUND (RD), GILLED AND GUTTED (GG), DRESSED (DR), FILLET (FL) or OTHER form (OT); for OTHER, describe the type of products in the shipment,

- (3) time of harvest: Fill in the time of harvest (in month and year) of the southern bluefin tuna in the shipment; *in case of farmed fish, it is not necessary to fill in this column,*
- (4) gear code: Identify the gear type which was used to harvest the southern bluefin tuna using the list below; for OTHER TYPE, describe the type of gear; *in case of farmed fish, write "Farmed",*

<u>GEAR CODE</u>	<u>GEAR TYPE</u>
BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water Trawl
PS	Purse Seine
RR	Rod and Reel
SPHL	Sport Handline
SPOR	Sport Fisheries Unclassified
SURF	Surface Fisheries Unclassified
TL	Tended Line
TRAP	Trap
TROL	Troll
UNCL	Unspecified Method
OT	Other Type

- (5) area of catch: Identify the area in which the southern bluefin tuna was harvested using numbers from 1 to 15 (see the map attached); *in case of farmed fish, it is not necessary to fill in this column,*
- (6) net weight: Net product weight in kilograms; *in case of farmed fish, fill in the raised product's weight,*
- (7) no. of fish: If type is RD, GG or DR, fill in the number of fish.

#### 8. Exporter Certification

The person or company exporting the southern bluefin tuna shipment must provide his/her/its name, address, signature, date the shipment was exported, and dealer licence number (if applicable).

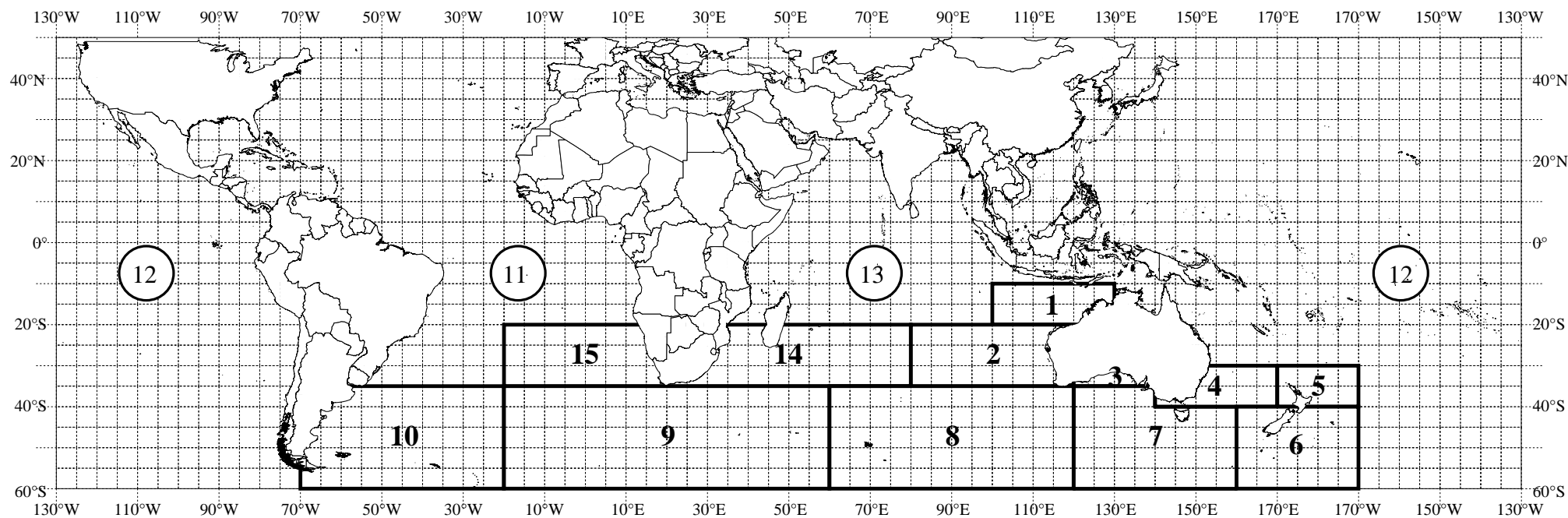
#### 9. Validation by Authority

Fill in the name and full title of the official signing the Document. The official must be in the employment of the competent authority of the flag state/fishing entity of the vessel that harvested the southern bluefin tuna appearing on the Document. This requirement with respect to any Member of the Commission may be met by an entity duly delegated by the authority of the flag country/fishing entity. The Member which utilizes a delegated entity should submit a certified copy of such delegation to the Executive Secretary.

### Import Section

The person or company that imports southern bluefin tuna must provide his/her/its name, address, signature, date on which the southern bluefin tuna was imported, licence number (if applicable) and final point of import. This includes imports into intermediate country (if applicable). For fresh and chilled product, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

Note: Instructions relating to farmed fish are written in italic letters.



NOTE: Statistical areas 14 and 15 are "new" statistical areas for the Statistical Document Program. These statistical areas must be used for reporting catches in these areas from 1 April 2007. Prior to this date, catches in statistical areas 14 and 15 should be reported as being from statistical areas 13 and 11 respectively.

## Half-yearly Report of the CCSBT Southern Bluefin Tuna Statistical Document

Period \_\_\_\_ to \_\_\_\_, \_\_\_\_  
 month month year

Import Country \_\_\_\_\_

Flag Country/ Fishing Entity	Area Code	Time of Harvest	Gear Code	Point of Export	Product F/FR	Type RD/GG/DR/FL/OT	Product Weight (kg)	No. of Fish

GEAR CODE

BB  
GILL  
HAND  
HARP  
LL  
MWT  
PS  
RR  
SPHL  
SPOR  
SURF  
TL  
TRAP  
TROL  
UNCL  
OT

GEAR TYPE

Baitboat  
Gillnet  
Handline  
Harpoon  
Longline  
Mid-water Trawl  
Purse Seine  
Rod and Reel  
Sport Handline  
Sport Fisheries Unclassified  
Surface Fisheries Unclassified  
Tended Line  
Trap  
Troll  
Unspecified Method  
Other Type (indicate the type of gear): \_\_\_\_\_

Product

F Fresh  
FR Frozen

Type

RD Round  
GG Gilled and Guttet  
DR Dressed  
FL Filet  
OT Other

Area Code

1 to 10 SBT Statistical Areas  
11 to 13 Other areas (the Atlantic, the Pacific  
and Indian Ocean, respectively)

# Supplimental half-yearly Report of the Southern Bluefin Tuna Statistical Document

Export Period: \_\_\_\_ to \_\_\_\_, \_\_\_\_  
 month month, year

Import Country: \_\_\_\_\_

Flag Country/ Fishing Entity	Captured or Farmed	<b>Net Weight</b> <i>as per SDP document from importers</i>	<b>Net Weight</b> <i>as per SDP records from exporters</i>	<b>Estimated Net weight</b> <i>SDP documents from importers + weights from exporters where import documentation is not yet available</i>

### Annex 3

DOCUMENT NUMBER	CCSBT SOUTHERN BLUEFIN TUNA RE-EXPORT CERTIFICATE			
<b>RE-EXPORT SECTION:</b>				
1. RE-EXPORTING COUNTRY/FISHING ENTITY				
2. POINT OF EXPORT (CITY, STATE OR PROVINCE AND COUNTRY/FISHING ENTITY)				
3. PROCESSING ESTABLISHMENT (if applicable) NAME AND ADDRESS				
4. DESCRIPTION OF IMPORTED FISH				
Product (a) F/FR	Type (b) RD/GG/DR/FL/OT	Weight (kg)	Flag Country/ Fishing Entity	Imported Date
(a) F=Fresh, FR=Frozen (b) RD=Round, GG=Gilled and Guttled, DR=Dressed, FL=Fillet, OT=Other (describe the type of product; _____)				
5. DESCRIPTION OF RE-EXPORTING FISH				
Product (a) F/FR	Type (b) RD/GG/DR/FL/OT	Weight (kg)		
(a) F=Fresh, FR=Frozen (b) RD=Round, GG=Gilled and Guttled, DR=Dressed, FL=Fillet, OT=Other (describe the type of product; _____)				
6. RE-EXPORTER CERTIFICATION I certify that the above information is complete, true, and correct to the best of my knowledge and belief.				
Name	Address	Signature	Date	Licence Number (if applicable)
7. VALIDATION BY AUTHORITY I validate that the information listed above is complete, true, and correct to the best of my knowledge and belief.				
Name & Title	Signature	Date	Official Seal	
<b>IMPORT SECTION:</b>				
IMPORT SECTION I certify that the above information is complete, true, and correct to the best of my knowledge and belief.				
Importer Certification (Intermediate Country) (if applicable)				
Name	Address	Signature	Date	Licence no (if applicable)
Importer Certification (Intermediate Country) (if applicable)				
Name	Address	Signature	Date	Licence No (if applicable)
Importer Certification (Final Destination of Shipment)				
Name	Address	Signature	Date	Licence No (if applicable)
Final Point of Import: City _____ State or Province _____ Country/Fishing Entity _____				

NOTE 1: The organization/person which validates the Re-export Certificate should verify the copy of original CCSBT Southern Bluefin Tuna Statistical Document. Such a verified copy of original Southern Bluefin Tuna Statistical Document must be attached to the Re-export Certificate. When southern bluefin tuna is re-exported more than twice, all verified copies of concerned Re-export Certificates must be also attached to a Re-export Certificate.

NOTE 2: If a language other than English is used in completing this form, please add the English translation on this Document.

**Half-yearly Report of the CCSBT Southern Bluefin Tuna Re-export Certificate**Period \_\_\_\_ to \_\_\_\_, \_\_\_\_  
month month year

Import Country \_\_\_\_\_

Flag Country/ Fishing Entity	Re-export Country	Point of Export	Product F/FR	Type RD/GG/DR/FL/OT	Product Weight (kg)

## **Minimum Standards for completion of CCSBT Statistical Documents**

### **1. Responsibility of Exporter and Exporting Country/Fishing Entity**

- 1.1. The exporter is responsible for completing the export section of the statistical document (except for item 9 - validation) and the source of the product (captured or farmed).
- 1.2. The exporting country/fishing entity is responsible for enforcing correct completion of each statistical document. The export section (items 1-9), the document number and the source of the product (captured or farmed) must be correctly completed in accordance with the instruction sheet of Annex 1.
- 1.3. The exporting country/fishing entity must ensure that the validator is responsible for checking that the statistical document is fully completed and is correct. The validation section of the statistical document should only be completed and the export approved when this check has been conducted and the statistical document has been determined to be complete and correct.

### **2. Responsibility of Importer and Importing Country/Fishing Entity**

- 2.1. The importer is responsible for completing the import section of the statistical document.
- 2.2. The importing country/fishing entity is responsible for enforcing correct completion of the import section of each statistical document. The import section must be correctly completed in accordance with the instruction sheet of Annex 1.
- 2.3. If any information specified in 1.2 is missing, illegible or incorrect, the importing member country/fishing entity should report such deficiencies to the Secretariat. The reports will be provided to the Secretariat on a quarterly basis. The report will include the document number in question and identify the missing, illegible and/or incorrect information.

### **3. Responsibility of the Executive Secretary**

- 3.1. The Executive Secretary will monitor the accuracy and completeness of all statistical documents received.
- 3.2. When information on SDP documents is found to be missing or inaccurate, the Executive Secretary will contact the countries/fishing entities involved<sup>1</sup> to advise them of what they are required to provide and to request that the missing/inaccurate information be provided or corrected<sup>2</sup>. If the requested information is not supplied within an appropriate time frame, or if information is repeatedly omitted by a country/fishing entity, the Executive Secretary will refer the matter to the Extended Commission for consideration.

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<sup>1</sup> This will be the export country/fishing entity for all items of information except the import section, which will be the import country.

<sup>2</sup> It is expected that the exporting country/fishing entity would take appropriate action against the exporting company/validators if information is repeatedly omitted.