



CCSBT-CC/2510/11

Progress Update on the CCSBT's eCDS Project

1. Introduction

This paper provides an update on the progress of the development of the CCSBT electronic Catch Documentation Scheme (eCDS). At CCSBT 31 Members endorsed a full implementation date of 1 April 2026, subject to the CDS Resolution being finalised at CCSBT 32. The paper focuses on eCDS implementation and what remains to be done in preparation for that. Previous decisions and developments during the trial eCDS phase can be found in paper [CCSBT-CC/2410/19](#) and earlier versions of that paper¹ from CC meetings prior to CC19.

There are four sections to this paper:

1. Current status of the eCDS software
2. Outstanding functionality and issues
3. Proposed workplan and budget
4. Recommendations

2. Current Status of the eCDS Software

Development of the eCDS has been completed with work now focussing on refinement and the adding of final features. It is currently hosted on a test server and is functional for all five CDS forms:

- Catch Monitoring Form (CMF)
- Catch Tagging Form (CTF)
- Re-Export / Export After Landing of Domestic Product Form (REEF)
- Farm Stocking Form (FSF)
- Farm Transfer Form (FTF)

The test server has recently been upgraded to a higher performance plan, similar to the final production eCDS, so that it will give a better user experience when being tested. Previously the application would enter sleep mode when not in use and would take a few minutes to wake up if someone tried to access it for testing and was not very responsive.

3. Outstanding Functionality and Issues

Since CC 19, the eCDS Working Group convened twice to work through outstanding issues². This section of the paper lists issues that still require Members attention.

Additional work remaining includes the following:

- Translations for languages other than English, Japanese, and traditional Chinese, if required.;
- Ongoing testing to confirm functionality / error checks and eliminate bugs;

¹ [CCSBT-CC/2310/16](#), [CCSBT-CC/2210/17](#), [CCSBT-CC/2110/15](#), [CCSBT-CC/2010/14](#)

² Draft summaries of these meetings can be found [eCDS Meeting Summary - 20 May 2025](#) and [eCDS Meeting Summary - 29 May 2025](#)

- Testing by Member eCDS Administrators (for Members to manage their own industry and government users);
- Implement cross-checking of CMF Transshipment information with transshipment data received from carrier vessels and other RFMOs;
- Updating user manuals, training videos and other resources;
- Industry training and support – Note that the Secretariat does not have the resources to train and provide support for industry users. The Secretariat has created a series of introductory videos in English and Japanese that are a useful resource, but Members will have to arrange their own training and support structure for their industry as is currently the case for the existing paper-based system. The Secretariat would also recommend involving a broad range of industry participants in the testing of the system to ensure that different sections of the industry are given time to test and become familiar with the eCDS.

There are four key issues that require input from Members:

1. Finalisation of CDS documents
2. How to handle system failure / lack of internet
3. Processed Codes to Replace ‘Other’ (OT)
4. Revision to the CDS Resolution to accommodate an eCDS

3.1. Issue 1 - Finalisation of CDS Documents

Attachment A contains the proposed approach for the procedures to finalise eCDS documents for Members’ consideration. This process incorporates the feedback received from Members during the eCDS working Group meetings during May 2025.

3.2. Issue 2 – How to Handle System Failure / Lack of Internet

The process for cases of system failure was discussed extensively during the two eCDS Working Group meetings and the draft process was made available to Members in the amended Resolution for further review and amendment after the working group meetings. The key concerns raised by Members include:

- Ensuring that the process does not unnecessarily impede SBT fishing and supply chain operations.
- The process needs to support continued operation when issues may occur outside of normal business hours.
- There needs to be a mechanism for authorities in importing Members that will not have access to the eCDS system to confirm the authenticity of offline eCDS forms.
- Verification by authorities that are not eCDS users should be through a push to verify (search on a form number) mechanism, rather than information being publicly available.
- Partially completed forms should be able to be printed and completed manually, rather than industry having to start the whole process from the beginning.
- Primary verification for import certification should be completed by eCDS users.
- The eCDS system should have an audit capability to allow for the monitoring of changes made to forms after certification or validation (whichever occurs last).

Following the eCDS Working Group meetings, the Secretariat has continued to work with Members to ensure that the draft process included in the updated CDS Resolution can best address these concerns. The current draft process is included as Appendix 1 to the draft updated CDS Resolution. The key updated elements of the proposed process are also described below:

- The Secretariat will provide offline document numbers for Members. These numbers will be “activated” in the eCDS when they are issued to members.
- The CCSBT Secretariat will report on the CCSBT website any global system outages (system failures and/or periods of unavailability).
- Member, CNM and OSEC competent authorities will be able to search Offline CDS Document Number/s to confirm that they are legitimate. An Offline CDS Document Number will be required to initiate the search.

- When an Offline CDS Document is issued, the issuing Member will ensure that when the details of the document are entered in the eCDS, the reason for the use of the offline form is also captured in the system.

Members are asked to please review the draft process included as Appendix 1 to the draft Resolution.

3.3. Issue 3 – Proposal for Processed Codes in the eCDS

This issue was also discussed extensively at the eCDS Working Group Meetings. Some Members raised broader compliance concerns around the use of conversion factors and suggested that this may require discussion at the Compliance Committee. Further discussion on the use of processed codes and the related conversion factors is included in paper [CCSBT-CC/2510/10](#).

To support the implementation of the eCDS, the Secretariat proposes that all currently used processed codes would be available in the eCDS, so that 'Other' would be unnecessary or used very rarely³. However, to simplify the interface, the Secretariat's proposes that it also works with Members to ensure that only those processed codes that it uses will be visible to its eCDS users. This will make it easier for eCDS users to find the required processed codes within the eCDS.

3.4. Issue 4 – Preparation of a Revised CDS Resolution

The Secretariat developed a draft revision of the CDS Resolution to facilitate the eCDS and circulated this to the eCDS Working Group in March 2022. A second version of the draft resolution, incorporating Members comments, was circulated to the Working Group in May 2022.

The draft resolution was then presented to Members at CC 17, where it was noted that it was close to being finalised and would be considered at CC 18. It was then considered at CC 18, but the meeting deferred the review of the resolution to CC 19. An updated CDS Resolution was again considered at CC 19. CC 19 requested that:

“the revised CDS resolution include the details of a paper-based system to be used in the case that the eCDS is not operational is attached as an appendix. It was further requested that when the eCDS is not operational there be notification on the CCSBT website”⁴.

The Secretariat further updated the CDS Resolution, which included those amendments already considered by Members since CC 17. The updated Resolution was discussed at the second eCDS working Group meeting and Members were taken through all of the proposed changes. The draft Amended CDS Resolution was made available to Members following the eCDS Working Group Meetings for further comment and amendment as required. Alongside this, the Secretariat continued to work with Members to progress key outstanding issues (such as the processes in cases of system failure or lack of internet).

The current amended version of the draft CDS Resolution is provided at **Attachment B** with all changes to the current Resolution text shows in tracked changes. The tracked changes to the “procedures to allow the issuance of paper CDS forms or printed eCDS forms” (Appendix 1) show the text from the Secretariat’s initially proposed process as the original text, with changes to this draft process proposed by Members, and changes following the Secretariat’s continued work with Members, shown in the tracked changes. Where Members have left comments, these have also been left in the document. There are links in the document to the updated CDS forms which will be included in the Appendices to the final updated CDS Resolution, consistent with Members request to retain the CDS documents in the Resolution.

³ Members had previously agreed to limit the use of ‘Other’ and to use the appropriate processed code in the eCDS instead.

⁴ CC 19 Report, Paragraph 115.

The Secretariat has also attached a CDS Resolution Update discussion document at **Attachment C**. This document was presented to the eCDS Working Group meeting explains the changes that have been proposed to the main text in the CDS Resolution to facilitate the eCDS implementation.

4. Proposed Workplan and Budget

4.1. Indicative Workplan

The following is an indicative schedule of the work remaining:

2025 (final quarter):

- Continuation of testing by the Secretariat, Members, and industry
- Modifications to the system as required
- Update and further development of resources to support implementation

2026:

- Quarter One – Continued update and further development of resources to support implementation
- Full implementation of the eCDS on April 1, 2026
- Development of reports for Members and the Secretariat
- Maintenance of the system

4.2. Budget

The development of the eCDS continues to be a relatively inexpensive project. At the end of 2025, it is expected that the total expenditure on the project (excluding Secretariat staff time) will be ~\$260,000. The approximate expected expenditure for future years is:

- 2026: \$40,000 (further modifications as required); and
- 2027: \$40,000 (full implementation and maintenance)

These budgets are subject to change based on Member decisions relating to additional functionality and reporting tools.

5. Recommendations

The Compliance Committee is invited to review, comment, and make necessary recommendations to EC on:

- The updated eCDS document finalisation processes included as **Attachment A**;
- The draft revision of the CDS Resolution to facilitate the eCDS at **Attachments B**;
- The Secretariat's proposal to include all processed codes that are currently used in the eCDS when it is implemented;
- The proposed workplan; and
- The proposed budget.

Prepared by the Secretariat

eCDS Document Finalisation

In the paper-based CDS, a form is essentially finalised when the form is sent from a Member to the Secretariat and has been entered into the CDS database. At this point, the Member has submitted the form, the data has been entered, and the Member cannot make any further changes to the form without involving the Secretariat. However, a form of interim finalisation also occurs after the form, or data, is provided to the issuing Member. At this stage, industry cannot change the form or data without the assistance of the issuing Member authorities.

A similar finalisation process is proposed to be established within the eCDS and this will occur automatically. There will be two stages of finalisation:

1. The first stage (interim finalisation) will occur at the timeframes specified in bullet points **i – iv** below. After interim finalisation, the CDS forms can only be altered by authorised users within Member government authorities (nominated by the Member) and/or the Secretariat.
2. The second stage (finalisation) will occur quarterly, consistent with current CDS document submission deadlines and CDS documents will be finalised at the end of the following quarter (e.g. quarter 1 CDS documents will be finalised at the end of 30 June). After this time, CDS documents cannot be changed without Secretariat involvement and Secretariat involvement should only occur in exceptional circumstances.

It is proposed that the interim finalisation process will be completed progressively for different sections included in the different CDS forms.

If a form, or section of a form, is validated then only the validator that completed that validation, authorised users within Member government authorities and/or the Secretariat may cancel the validation to allow the data to be amended and re-certified and re-validated.

If a form, or section of a form, is certified, but not validated, then only the user that certified that form or section of the form, authorised users within Member government authorities and/or the Secretariat may cancel the certification to allow the data to be amended and re-certified.

Once interim finalisation occurs, only authorised users within Member government authorities and/or the Secretariat will be able to remove the validation or certification to alter the applicable section of the form.

i. Farm Stocking Forms (FSFs)

The last step on this form is validation by a government authorised validator. Interim finalisation of FSFs will occur automatically 120 hours after this form is validated. After this time, only authorised users within Member government authorities and/or the Secretariat may cancel the validation to allow the data to be altered and re-validated.

ii. Farm Transfer Forms (FTFs)

The last step on this form is the certification by both the transferring and receiving farm. Interim finalisation will occur automatically 120 hours after the last of these certifications is completed. After this time, only authorised users within Member government authorities and/or the Secretariat may cancel the certification to allow the data to be altered and re-certified.

iii. Catch Monitoring Forms (CMFs) and Re-Export/Export After Landing of Domestic Product Forms (REEFs)

The interim finalisation of the harvest section of the CMF will automatically occur 120 hours after the harvest section of the form is validated. After this time, only authorised users within Member

government authorities and/or the Secretariat may cancel the validation to allow the data to be altered and re-validated.

For transshipments, the interim finalisation of the transshipment section will automatically occur 120 hours after the transshipment section of the form is certified. After this time, only authorised users within Member government authorities and/or the Secretariat may cancel the certification to allow the data to be altered and re-certified.

Currently the export and import sections of CMFs and REEFs are finalised at different times (when the forms are received from the exporting/importing Member and the data is entered in the CDS database). Interim finalisation of the export and import information on these forms will continue to occur separately. This will ensure that exporting Members are not dependent on importing Members to finalise forms that include their harvest and export data. It will also mean that forms for exports outside of CCSBT Members will not remain 'open'.

For exports, the last step on CMFs and REEFs for the exporting Member is the validation of the export section by a government authorised validator. Interim finalisation of the export sections of CMFs and REEFs will automatically occur 120 hours after the export section of the form is validated. After this time, only authorised users within Member government authorities and/or the Secretariat may cancel the validation to allow the data to be altered and re-validated

For landings of domestic product and imports by Members, Cooperating Non-Members, and Non-Members participating in the CDS (such as the US), the final step on these forms is a certification by the domestic receiver/purchaser or importer of the product (or a government official may certify the forms on behalf of the domestic receiver/purchaser or importer). Interim finalisation of the final product destination section on CMFs, and the import section of REEFs will automatically occur 120 hours after the final certification occurs. After this time, only authorised users within Member government authorities and/or the Secretariat may cancel the certification to allow the import data to be altered and re-certified.

iv. Catch Tagging Forms (CTFs)

Catch tagging data is no longer captured in a separate Catch Tagging Form but is associated with the applicable CMF on a Tags tab alongside the CMF. Interim finalisation of the catch tagging data would occur automatically when interim finalisation occurs to the harvest section of the CMF. After this time, only authorised users within Member government authorities and/or the Secretariat may cancel the certification to allow the tagging data to be altered and re-certified.

v. Alerts when Validation or Certification is Cancelled

Alerts will be created in the eCDS to notify the responsible Members when a validation or certification has been cancelled. Members will be able to monitor these alerts to ensure they can respond if, or as, needed.

Resolution on the Implementation of a CCSBT Catch Documentation Scheme

(revised at the ~~Twenty-Third~~^{Thirty-Eighth} ~~Second~~ Annual meeting: ~~13-09~~ October 202~~4~~⁵)

Referring to the principles adopted to guide the development of a catch documentation scheme (CDS) at CCSBT12 in 2005 and the 'Resolution on the implementation of a CDS to record all catch of Southern Bluefin Tuna regardless of whether the Southern Bluefin tuna were traded', adopted at CCSBT 13 in 2006;

Noting the need for Members and Cooperating Non-Members to provide for the tracking and validation of legitimate product flow from catch to the point of first sale on domestic or export markets;

Bearing in mind the need to achieve harmonisation of Catch Documentation Schemes across Regional Fisheries Management Organisations;

Emphasising that a CDS must be applied consistently and comprehensively across all sectors of the global SBT fishery to accurately confirm the SBT catch by each Member and Cooperating Non-member;

Recalling the Commission's commitment to move from a paper-based CDS to an electronic CDS to improve the accuracy and timeliness of reporting;

In accordance with Article 8.3(b) of the Convention on the Conservation of Southern Bluefin Tuna, the Extended Commission for the Conservation of Southern Bluefin Tuna (CCSBT) adopts the following measure to monitor compliance with the Commission's conservation measures:

1. General Provisions and Application

1.1 All Members and Cooperating Non-Members shall implement the CCSBT CDS for southern bluefin tuna (SBT) to document the movement of all SBT as outlined in this resolution. The CCSBT CDS incorporates CCSBT CDS documentation and tagging of SBT.

1.1.1 Commencing on 1 April 2026, all CDS documents shall be completed electronically within the CCSBT's electronic Catch Documentation Scheme (eCDS), except for in the circumstances specified in Appendix 1 in which the paper forms included in Appendix 2 shall be used. From this date, any reference in this Resolution to a CDS document or a CDS form shall be understood to refer to documents and forms completed within the eCDS, except where paper forms are used in the limited circumstances specified in Appendix 1.

~~1.1.1.1.2~~ 1.1.2 The instruction sheets associated with each CDS form included in Appendix 2 shall apply to forms completed in the eCDS and paper forms used in the limited circumstances specified in Appendix 1.

1.2 For transshipments, landings of domestic product¹, exports, imports and re-exports under the jurisdiction of a Member or Cooperating Non-Member or Other

¹ The term 'landing of domestic product' means a landing of SBT by a CCSBT-authorised fishing/carrier vessel into the territory of a Member or Cooperating Non-Member whose National Allocation the SBT was attributed against and which issued the CDS documents the SBT are recorded on.

- State/Fishing Entity Cooperating in the CDS (OSEC²), all SBT shall be accompanied³ by a document described in section 3 of this resolution. There is no waiver of this requirement. However, the exportation/import of fish parts other than the meat⁴ (*i.e.* head, eyes, roe, guts, tails and fins) may be allowed without the document.
- 1.3 Transfers of SBT into and between farms under the jurisdiction of a Member or Cooperating Non-Member shall be documented on the Farm Stocking Form and Farm Transfer Form as applicable.
 - 1.4 Members or Cooperating Non-Members that prohibit the sale of fish caught by recreational fishers may exempt their recreational fisheries from the requirements of the CCSBT CDS.
 - 1.5 The Commission shall request the cooperation of appropriate authorities of States other than Members and Cooperating Non-Members of the Extended Commission that are involved in catching, landing, transferring and/or farming of SBT in the implementation of this resolution.
 - 1.6 Members, Cooperating Non-Members and OSECs shall not permit the landing as domestic product, transshipment, import, export and/or re-export of SBT caught by vessels not authorised to catch SBT and (if SBT farming is conducted under their jurisdiction) the transfer of SBT to or between, and harvest of SBT from, farms not authorised to farm SBT.
 - 1.7 Members, Cooperating Non-Members and OSECs shall not permit whole SBT to be landed as domestic product, transhipped, exported, imported or re-exported without a tag, except that:
 - 1.7.1 in the case of farming operations, the SBT may be landed without a tag provided a tag is attached within 30 hours of kill;
 - 1.7.2 in exceptional circumstances, where a vessel on the CCSBT Record of Authorised Vessels does not have sufficient tags on board the vessel, the tag may be attached at landing;
 - 1.7.3 in exceptional circumstances, where a vessel catches SBT as unexpected bycatch and has no or insufficient tags on board, the tag may be attached at landing.
 - 1.8 In exceptional circumstances, where a tag becomes accidentally detached and cannot be reattached, a replacement tag shall be attached as soon as possible and no later than the time of landing, transshipment or export.
 - 1.9 Members and Cooperating Non-Members shall report any exceptional circumstances referred to in 1.7.2, 1.7.3 or 1.8 annually in their National reports to the Compliance Committee (CC)/ Extended Commission (EC). The Member's National report shall provide details of the exceptional circumstances, the number of SBT tagged and for 1.8, the old (where known) and new tag number(s).

² The term 'Other State/Fishing Entity Cooperating in the CDS' will be abbreviated to 'OSEC' within this resolution and means a State/Fishing Entity that has expressed its commitment, in writing, to cooperate with this resolution.

³ For the purposes of this Resolution, a CCSBT CDS document that is entered in the eCDS and validated and/or certified as required by this Resolution, shall be deemed to accompany the SBT recorded in that document.

⁴ Any meat separated from fish parts is considered to be meat in this context.

- 1.10 Members and Cooperating Non-Members shall require that tags be retained on whole SBT to at least the first point of sale for landings of domestic product, and shall encourage the retention of tags on whole fish thereafter.

2. Registers Required

- 2.1 A record of farms is to be established and maintained by the Executive Secretary to identify authorised farms.
- 2.2 A record of vessels, maintained by the Executive Secretary, identifies all authorised vessels.
- 2.3 CCSBT CDS Documents that record information for vessels and/or farms not included on the above-mentioned authorised registers shall not be considered valid Documents for the purposes of this scheme.

3. Documents and Information Required

- 3.1 The CCSBT CDS Documents are :
- 3.1.1 Farm Stocking Form – records information on the catch, towing and farming of SBT
- 3.1.2 Farm Transfer Form – records information on the transfers of SBT between farms
- 3.1.3 Catch Monitoring Form – records information on the catch, landing, transshipment, export, and import of all SBT regardless of whether farmed or not, including unexpected catch
- 3.1.4 Catch Tagging Form⁵ – records information on individual fish tagged as part of the CDS
- 3.1.5 Re-export or Export after Landing of Domestic Product Form – records information on SBT already tracked on the Catch Monitoring Form to the initial point of landing of domestic product or import that is, either in full or part, exported or re-exported.
- 3.2 The information to be contained in the CCSBT CDS documentation referred to in 3.1, along with associated instruction sheets, is in Appendix 1 A – D.
- 3.3 ~~Once approved forms are adopted, only minimal modifications, such as the addition of translations, may be made⁶.~~ No information field may be omitted from the standard form, except where the field is not applicable.
- 3.4 ~~Any documentation modified, as described in 3.3⁷, shall be provided to the Executive Secretary for distribution to other Members, Cooperating Non-Members, as well as Non-Members known to be involved in the landing, transshipment, import, export, or re-export of SBT.~~

⁵ The information that is required to be included in the Catch Tagging Form may be recorded in electronic format, including directly in the eCDS or by other means, by a Member or Cooperating Non-Member provided that all the required information from the Catch Tagging Form is included in the electronic record used. Additional information may be included in Catch Tagging Form or electronic records maintained by a Member or Cooperating Non-Member and at the discretion of the Member or Cooperating Non-Member.

⁶ However the Catch Tagging Form may be amended to include additional information at the discretion of the Member or Cooperating Non-Member.

⁷ With the exception of additions to the Catch Tagging Form.

~~3.53.4~~ ~~Significant~~ amendments to the forms and form content may be made only with the agreement of the Commission at its annual meeting based on recommendations from the CCSBT Compliance Committee.

~~3.63.5~~ CCSBT CDS documents must be uniquely numbered.

4. Tagging

- 4.1 Members and Cooperating Non-Members shall require that an SBT tag be attached to each whole SBT at the time of kill except:
 - 4.1.1 in the case of farming operations, the tag may be attached within 30 hours of kill;
 - 4.1.2 in exceptional circumstances, where a vessel on the CCSBT Record of Authorised Vessels does not have sufficient tags on board, the tag may be attached at landing;
 - 4.1.3 in exceptional circumstances, where a vessel catches SBT as unexpected bycatch and has no, or insufficient, tags on board, the tag may be attached at landing.
- 4.2 As outlined at 3.1.4, a Catch Tagging Form records relevant tagging information for individual SBT. The Catch Tagging Form shall be filled in as soon as practicable after the time of kill. Length and weight measurements shall be conducted before the SBT is frozen. Where measurements cannot be accurately done on board the vessel, they may be made at the time of landing or transshipment, provided the measurements and the associated Catch Tagging Form are filled in before any further transfer of the SBT.
- 4.3 ~~Completed Catch Tagging Forms shall be provided to the~~ flag Members and Cooperating Non-Members ~~which shall provide the information~~ included in the Catch Tagging Form to the Executive Secretary in an electronic format ~~on a quarterly basis.~~
- 4.4 A tagging programme shall meet the minimum procedural and information standards set out in Appendix ~~23~~.
- 4.5 Members and Cooperating Non-Members shall prohibit the unauthorised use of SBT tags.

5. Validation

- 5.1 The CCSBT CDS documentation must be validated (or ~~signed~~ include the name of the observer in the case of transshipments at sea⁸) as applicable by:
 - 5.1.1 for landings of domestic product, an official of the flag Member or Cooperating Non-Member of the catching vessel or, when the fishing vessel is operating under a charter arrangement, by a competent authority or institution of the chartering Member or Cooperating Non-Member; and
 - 5.1.2 for all SBT transshipments subject to CCSBT *Resolution on Establishing a Program for Transshipment by Large-Scale Fishing Vessels*, the CCSBT CDS documentation shall list the full name of the observer required by that resolution; and

⁸ CDS documents completed for SBT caught prior to the implementation of the eCDS must also be signed by the observer.

- 5.1.3 for all export of SBT, an official of the exporting Member or Cooperating Non-Member; and
- 5.1.4 for all re-export of SBT, an official of the re-exporting Member, Cooperating Non-Member, or OSEC.
- 5.2 The authority to validate CDS documents may be delegated to an authorised person by an official of the relevant State/fishing entity. Members, Cooperating Non-Members and OSECs who utilise delegated person/s shall submit a certified copy of such delegation/s to the Executive Secretary. The individual who certifies a CCSBT CDS Document shall not be the same person who validates the Document.
- 5.3 Members, Cooperating Non-Members and OSECs shall provide to the Executive Secretary information on validation (including type of validation, name of the organization which validates the documents, title and name and signature of officials who validate the documents, sample impression of stamp or seal, and a list of all persons holding delegated authority to validate CCSBT CDS documentation prior to those officials and persons exercising the authority). Members, Cooperating Non-Members and OSECs shall inform the Executive Secretary of any changes in a timely fashion.
- 5.4 The Executive Secretary will maintain and update the information specified in 5.3 and provide it to all Members, Cooperating Non-Members and OSECs and promptly circulate any changes.
- 5.5 Members, Cooperating Non-Members and OSECs shall not validate any CCSBT CDS documentation referred to in 3.1 that is not complete, has obviously incorrect information, or has not been validated as required by this resolution.
- 5.6 No Member or Cooperating Non-Member or OSEC shall accept any SBT for transshipment, landing of domestic product, export, import, or re-export where any or all required documents do not accompany the relevant consignment of SBT, where fields of information required on the form are not completed, or where the form has not been validated as required by this resolution.
- 5.7 Full or partial consignments of untagged whole SBT must not be validated or accepted for transshipment, landing of domestic product, export (including export after landing of domestic product), import or re-export (except where the tag is no longer required to be attached to the SBT because further processing has occurred, as outlined at Appendix 23).
- 5.8 Members and Cooperating Non-Members shall undertake an appropriate level of audit, including inspections of vessels, landings, and where possible markets, to the extent necessary to validate the information contained in the CDS documentation.
- 5.9 Members and Cooperating Non-Members shall include in their annual review of SBT fisheries, details on the level of coverage and type of audit undertaken, in accordance with 5.8, and the level of compliance.

6. Exchange of Information and Confidentiality of Data

- 6.1 Any CCSBT CDS document that is entered into the eCDS and validated and/or certified, as required by this Resolution, shall be deemed to have been retained by the Member, Cooperating Non-Member or OSEC, and to have been forwarded to the Executive Secretary.

- ~~6.16.2~~ Members, Cooperating Non-Members and OSECs shall retain all original CCSBT CDS Documents (or scanned electronic copies of the original documents) received by them for a minimum of 3 years after the most recent signed date on the form. Members, Cooperating Non-Members and OSECs shall also retain a copy of any CCSBT CDS Documents issued by them for a minimum of 3 years after the most recent issuing state/entity signed date on the form. Copies of these CDS Documents (except the Catch Tagging Form⁹) shall be forwarded¹⁰ to the Executive Secretary ~~on a quarterly basis.~~
- ~~6.26.3~~ The Executive Secretary shall compile the raw data from CDS documentation into an electronic database. The Executive Secretary shall ensure the confidentiality of the raw data in its database and release to any State/fishing entity only the raw data directly relating to the CCSBT CDS Documents it validated, or in the case of imports, that it is required to certify. If a State/fishing entity requests CCSBT CDS Documents relating to another State/fishing entity, the Executive Secretary may release those data only with the latter's agreement.
- ~~6.36.4~~ The Executive Secretary shall report to the Extended Commission on and circulate to all Members and Cooperating Non-Members the data collected by the CCSBT CDS each year by 1 June for the period of 1 January - 31 December of the preceding year and by 1 December for the period of 1 January - 30 June of the current year. The information to be contained in the reports is specified in **Appendix 34**. The Executive Secretary shall provide an electronic copy of the report only to a designated authority of each Member and Cooperating Non-Member.
- ~~6.46.5~~ The Executive Secretary will post on the public area of the CCSBT web site a subset of the report comprising:
- Flag State/fishing entity;
 - Harvest year;
 - Product destination (including landings of domestic product);
 - Gear code;
 - Net weight;
 - Estimated whole weight (calculated by applying a conversion factor to the net weight);
- ~~○ Copies of all modified CDS forms provided in accordance with paragraph 3.4.~~
- ~~6.56.6~~ On request by the Scientific Committee, Compliance Committee, or other subsidiary body of the Commission, the Executive Secretary shall, with the approval of the Commission, provide to that body data collected by the CCSBT CDS more frequently or at a greater level of detail than specified in 6.4.
- ~~6.66.7~~ The Executive Secretary shall analyse the data provided in 6.1 and notify the relevant Member(s) or Cooperating Non-Member(s) of any identified discrepancies.

7. Verification of CDS Documentation

- 7.1 Each Member and Cooperating Non-Member shall ensure that its competent authorities, or other authorised individual or institution, take steps to identify each consignment of SBT landed as domestic product in, imported into or exported or re-exported from its territory and examine the validated CCSBT CDS Documents for

⁹ Requirements to provide information in the Catch Tagging Form are set out in 4.3.

¹⁰ Either as a copy of the original form or in electronic format containing all the information in the forms.

each consignment of SBT. These competent authorities, or authorised individuals or institutions, may also examine the content of the consignment to verify the information contained in the CCSBT CDS Document and in related documents and, where necessary, shall carry out verifications with the operators concerned.

- 7.2 Each Member and Cooperating Non-Member shall review information, and investigate and resolve any irregularities identified in relation to their information in the CDS reports, including any discrepancies identified during the comparison of data from the Executive Secretary. Among other matters, Members and Cooperating Non-Members shall cross-check the report provided by the Executive Secretary under 6.4 using information available to it.
- 7.3 Each Member, Cooperating Non-Member and OSEC shall, as soon as practicable, identify to the Executive Secretary and relevant Members, Cooperating Non-Members and OSECs, any consignments of SBT where there are:
 - 7.3.1 doubts about the information contained in any associated CDS documentation; or
 - 7.3.2 incomplete, missing or unvalidated CCSBT CDS documentation.
- 7.4 Each Member and Cooperating Non-Member shall co-operate and take all necessary steps with relevant authorities, and within domestic law, to review, investigate and resolve any concerns identified in 7.1 and 7.2, and notify the Executive Secretary of the outcome of any such action for inclusion in its report to the Commission.
- 7.5 The Compliance Committee will consider the summary information compiled by the Executive Secretary in 6.4 and 6.5, including any irregularities and anomalies identified and the outcome of any investigations notified under 7.3.
- 7.6 The Commission, may, on the recommendation of the Compliance Committee, consider any action as may be required in relation to the findings and outcomes of any verification investigation. Such action may be, but is not limited to, a review of this or other relevant compliance measures.
- 7.7 Members, Cooperating Non-members and OSECs shall cooperate to ensure that CDS documents are not forged and/or do not contain misinformation.

8. Access to and Security of Information

- 8.1 Subject to each Member's, Cooperating Non-Member's and OSEC's national law, the information produced from the CCSBT CDS shall be confidential and may only be used in support of CCSBT purposes or for any other purpose agreed by the Commission.
- 8.2 Where necessary, in support of catch verification procedures, Members, Cooperating Non-Members and OSECs agree to exchange the necessary supporting information and, where relevant, evidence as may be necessary to verify the integrity of the flow of CDS information and to reconcile any discrepancies.

9. Implementation and Review

- 9.1 This resolution ~~will entered~~ into force on 1 January 2010.¹¹ From that date, it ~~replaceds~~ the CCSBT Southern Bluefin Tuna Statistical Document Programme

¹¹~~Japan may use its current tagging system until the end of its 2009-10 fishing season (31 March 2010).~~

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adopted by CCSBT on 1 June 2000. ~~For SBT caught before 1 January 2010, the tagging requirements of the CDS may be exempted until 30 June 2010 and the CCSBT CDS Documents shall be completed in the most practicable manner.~~

- 9.2 The Compliance Committee will review this resolution ~~no later than at its 2011 meeting regularly~~ to identify any implementation issues, strengths, and weaknesses, and to recommend options to improve this resolution and its supporting procedures at the Extended Commission meeting. ~~This r~~Reviews will include any concerns associated with the breakage or loss of tags and the extent of the use of exemptions in 1.8 and 1.9 as reported by Members and Cooperating Non-Members to the Executive Secretary. ~~The date for a subsequent review will also be agreed at this time.~~
- 9.3 The Executive Secretary will monitor available technology associated with electronic documentation and fish tags to assist the Compliance Committee in its reviews.

Appendix 1

Procedures to allow the issuance of paper CDS forms or printed eCDS forms.

1. The Secretariat will prepare a set of CMF and REEF document numbers and access codes that are issued electronically to each Member prior to the commencement of the ~~calendar year~~ SBT fishing year. These document numbers are referred to as “Offline eCDS Document Numbers”.
2. Members and Cooperating Non-Members (CNM) can issue the Offline eCDS Document Numbers to its fishers or fishing industry either proactively, before they are needed, or on an as needed basis.
3. The Offline eCDS Document Numbers can be added to paper CDS forms in cases of eCDS system failure or a lack of internet access. CDS documents issued this way are referred to as “Offline eCDS Documents”.
4. ~~Industry can print the Offline eCDS Document and add the assigned Offline eCDS Document Number and access code and fill in the remainder of the form (as needed) manually.~~
- 5.4. Each Offline eCDS Document Number must only be issued and used once.
- 6.5. Members shall maintain a single register to record the issue and use of Offline eCDS Document Numbers and develop processes to ensure that they are not issued or used more than once.
- 7.6. Members should ensure that they have processes in place to ensure that the appropriate Government Authority is notified ~~prior to when~~ an Offline eCDS Document being is used to facilitate a transshipment, landing of domestic product, export, import or re-export.
7. ~~When a CDS document is created using an Offline eCDS Document Number, the applicable Member must promptly provide to the Secretariat~~
 - a. ~~a summary of the issue preventing access to the eCDS, the impacted vessel or farm name (as appropriate) if the document is a CMF;~~
 - b. ~~the Offline eCDS Document Numbers used and~~
 - c. ~~the date the issue commenced/commenced and, or~~
 - a-d. ~~dates the issue occurred (if it has been resolved).~~
8. ~~Upon receipt of this information, the Secretariat will activate the CDS document (using the Offline eCDS Document Numbers) in the eCDS and notify the issuing Member.~~
8. ~~After the activation of the Member that issues the Offline eCDS Document Number/s in the eCDS by the Secretariat, the issuing Member will ensure that, as soon as practicable after issuance, the details of the Offline eCDS Document/s are entered in the eCDS using the issued Offline eCDS Document Number/s. The Secretariat will assist with entering this data where the Member requests this or is unable to do so.~~
9. ~~When an Offline CDS Document is issued, the issuing Member will ensure that when the details of the document are entered in the eCDS, the reason for the use of the offline form is also captured in the system.~~
- 9.10. ~~The Offline CDS Document shall be used to accompany the SBT until the completion of the document certification at its final destination (included in the document and relating to the landing of domestic product or import) except where the eCDS user who commenced the Offline CDS Document is able to enter the details of this document in the eCDS prior to this time.~~
- 10.11. Where a CDS document has been partially completed in the eCDS before an issue causing system failure or a lack of internet access occurs, the partially completed eCDS form may be printed and completed as an Offline eCDS Document. In these

Commented [SEC1]: Members may also wish to consider if this should occur at the commencement of each fishing season.

Commented [GU1R2]: Japan's preference is at the commencement of each fishing season, which is similar to the current arrangement in paper CDS.

Commented [SEC2]: Small suggested amendment to clarify the intent of the term used here.

Commented [SEC3]: Recommended deletion of this paragraph as it is covered by other paragraphs and noting there Members may choose to implement this in different ways.

Commented [SEC4]: Slight amendment noting the AU comment regarding issues occurring outside of working hours.

Commented [SEC5]: The previous paragraph 7 has been replaced with new paragraph 9. This suggests a reason/issue that caused the use of the offline form is captured against all offline forms when they are entered in the eCDS.

Commented [SEC6]: AU requested that the Offline eCDS document Numbers are activated in the eCDS at the time that they are issued to Members. The developer has confirmed that this is possible so this paragraph covering Secretariat activation of the forms following notification by the Member is suggested for deletion, along with slight alterations to the following paragraph.

Commented [SEC7]: AU requested that the data entry of offline forms be completed by the Secretariat. We welcome further thoughts and comments from Members on this.

Commented [SEC8]: New Paragraph added based on AU's comments. It will be important that the Secretariat also receives import copies of Offline CDS Documents completed to verify that all copies have been received from, and reported by, the exporting Member.

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cases, the Offline eCDS Document will use and display the Document Number and Access Code issued by the eCDS. The remaining sections of this printed Offline eCDS Document can then be manually completed (as needed) to facilitate any transshipment, landing of domestic product, export, import and re-export that occurs during eCDS system failure or lack of internet access.

- 11.12. When a CDS document that has been partially completed in the eCDS is printed as an Offline eCDS Document to facilitate any transshipment, landing of domestic product, export, import and re-export that occurs during a period of eCDS system failure or lack of internet access, the issuing Member will promptly provide the Secretariat with a summary of the issue preventing access to the eCDS and a list of the applicable CDS Document Numbers.
- 12.13. Where an Offline eCDS Document accompanies an export from, or import to, a Member, CNM or OSEC, scanned copies of the completed document/s will be provided to the Secretariat as soon as practicable after completion of the export validation and/or import certification.
14. ~~To help facilitate importation processes using Offline eCDS Documents, the Secretariat will also make the details of the issue preventing use of the eCDS, the date/s the issue occurred, and the related document numbers and activation dates available to the Member, CNM and OSEC competent authorities. No other information from the scanned CDS document will be released apart from the document number. When the system failure happened, and which members are being/ will be affected will be made public on the public section of the CCSBT website.~~
15. ~~Member, CNM and OSEC competent authorities will be able to search Offline CDS Document Number/s to confirm that the Offline CDS Document Number/s on imports of SBT received are legitimate numbers that have been issued by the Member concerned. An Offline CDS Document Number will be required to initiate the search.~~
- 13.16. ~~The CCSBT Secretariat will report on the CCSBT website any global eCDS system outages and the timeframes during which the system was unavailable.~~
- 14.17. An exporting Member or CNM may request, or authorise, the Secretariat to share any CDS document with another Member, CNM or OSEC to facilitate importation procedures.
15. ~~Industry and Members can access the CDS document in the eCDS to enter information and use as normal when eCDS access is restored. The Secretariat can assist with the entry of the document details into the eCDS.~~
- 16.18. The issuing Member, with the assistance of the Secretariat, should attempt to resolve issues that are preventing access to the eCDS as soon as practicable, and update the Secretariat when the issue preventing eCDS access is resolved.

eCDS Transitional Measures

1. An exporting Member or CNM shall use the Offline eCDS Re-export Form (REEF) where that REEF is preceded by a CMF that has not been entered or loaded into the CCSBT eCDS.
2. This transitional use of the Offline eCDS REEF will only apply when the preceding CMF/s ~~are related to catch happened before 1st April 2026.~~ ~~were validated between 1 October 2025 and 31 March 2026.~~
- 2.3. The Offline eCDS REEF may also be used in limited circumstances where the preceding document is an Offline CDS Document that has not been loaded into the eCDS, and where the Member or CNM that issued the CMF has attempted, but been unable, to get the preceding document entered or loaded in the eCDS.

Commented [SEC9]: Currently Members are required to provide CDS documents quarterly. The current suggested text requires these paper forms (when used) to be provided to the Secretariat "as soon as practical". Members could also consider if this is sufficient or if it would be preferable to maintain a specific time period for submission of paper forms after use.

Commented [NZ9R2]: NZ preference is to keep it 'as soon as practical'

Commented [SEC11]: This text has been updated to remove the reference to the information being made publicly available. We welcome Members thoughts on further refining this text and the associated process.

Commented [GU12]: (JP) Japan would prefer a public area rather than closed area of CCSBT HP to post information related to system failure, as there are many custom branches and staffs in Japan so that managing secure site accounts would be quite an burdensome to Japan.
Japan can make compromise and will not require eCDS document number on the public site, if there is concern.
As an alternate, custom staffs need to check
-when the system failure happened
-which member is/ will be affected

Commented [SEC13]: Suggested for removal as this detail is covered elsewhere in the Appendix.

Commented [GU14]: (JP) For deep-sea fleets, switching by catch date would be straight forward rather than validation date.

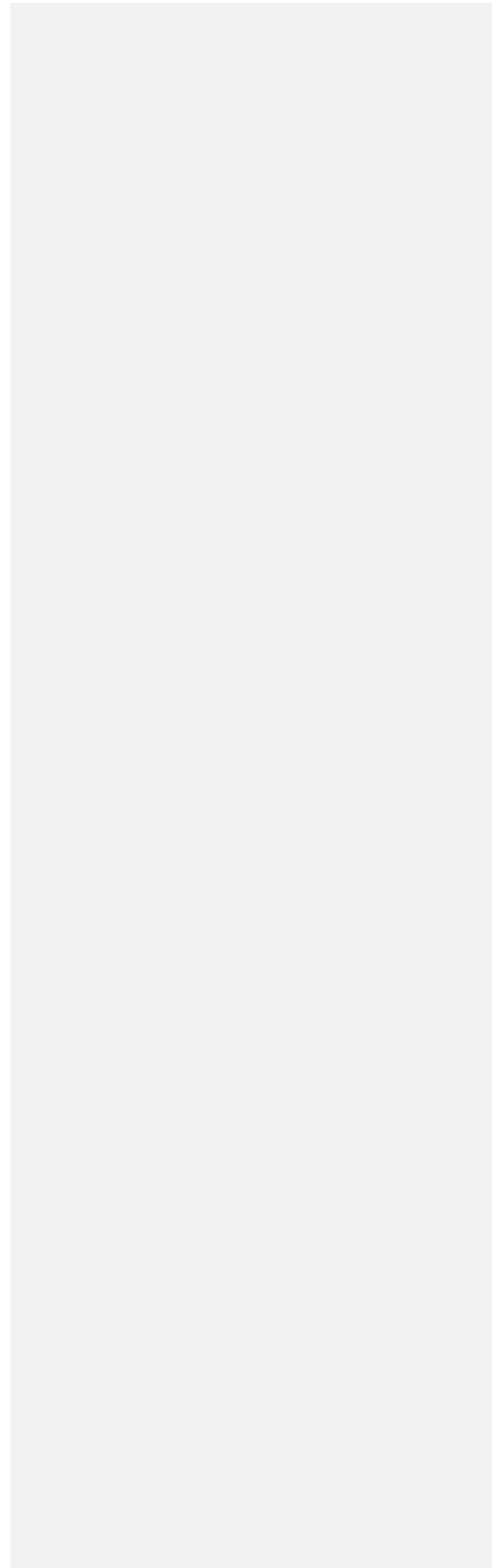
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4. Where a Member or CNM uses an Offline eCDS REEF during this transition period, the Member or CNM shall ensure that a copy of the preceding paper CMF/s are attached to the Offline eCDS REEF.
- 3.5. Where a CDS document has been partially completed prior to the implementation of eCDS, such document may be completed using previous paper-based format.

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Appendix 2

Catch Documentation Scheme Forms



CATCHING VESSEL SECTION

Name of Catching Vessel		Registration Number	Flag State/Fishing Entity	
Date Range of Fishing			CCSBT Statistical Area of Fishing	
First	Last			

TOWING SECTION

Name of Towing Vessel	Registration Number	Flag State/Fishing Entity	Date Tow Commenced

Description of Mortalities during Towing

Number of tow cages	Date Range		Number of Mortalities	Weight of Mortalities (kg)
	First Tow	Last Tow		

FARM TRANSFER SECTION

Name of SBT Farm	Date Range of Transfers		Average Weight of Fish (kg)	Method of Weight Estimation	Total Weight (kg)	Number of Fish
	First	Last				

VALIDATION SECTION

Certification by Quota Holder: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name	Signature	Date

Validation by Authority: I validate that the above information is complete, true and correct to the best of my knowledge and belief.

Name and Title	Signature	OFFICIAL SEAL
	Date	



FARM STOCKING FORM

INSTRUCTION SHEET

This form is to be completed by the authority of the State/Fishing Entity that holds the national quota allocation against which these SBT have been taken.

This form must be completed at the end of the fishing season and before the SBT are recorded on a Catch Monitoring Form.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document.

The Farm Stocking Form has 4 main sections: (1) Catching Vessel; (2) Towing; (3) Farm Transfer; and (4) Validation

All sections of this form must be completed

The top of the form contains 1 additional piece of information that must always be completed. This is - **Document Number:** Enter the unique document number that was allocated by the State/Fishing Entity of origin for this form.

Commented [SEC16]: Proposed for removal because the eCDS will automatically allocate form numbers as the FSF is entered by the Member. However this can be retained for offline use if/as required with clarification that the number will be assigned by the eCDS for forms completed in the system.

CATCHING VESSEL SECTION

Name of Catching Vessel: Enter the name of the catching vessel.

Registration Number: Enter the Registration Number of the catching vessel.

Flag State / Fishing Entity: Enter the Flag State or Fishing Entity of the catching vessel.

Date Range of Fishing: Enter dates for the First and Last day of fishing.

CCSBT Statistical Area of Fishing: Enter the area in which the southern bluefin tuna were caught using the main CCSBT Statistical Areas (1 to 10 and 14 to 15) or the other CCSBT Statistical Areas (areas 11 to 13) where there is no corresponding main area.

Where fish have been placed in a tow cage by more than one catching vessel, the number and weight of mortalities and the number and weight of SBT transferred to each farm are to be equally proportioned between the vessels.

TOWING SECTION

NOTE: One row shall describe one Towing Vessel.

Name of Towing Vessel: Enter the name of the Towing vessel.

Registration Number: Enter the Registration Number of the Towing vessel.

Flag State / Fishing Entity: Enter the Flag State or Fishing Entity of the Towing vessel.

Date Tow Commenced: Enter the date on which the towing commenced.

Description of Mortalities during Towing

Information for the total mortalities that occurred during all tows should be recorded.

Number of Tow Cages: Enter the total number of tow cages.

Date Range: Enter the first and last dates of the tows.

Number of mortalities: Enter the total number of mortalities that occurred.

Weight of mortalities (kg): Enter the total weight (kg) of all mortalities that occurred.

* Note that any SBT mortalities occurring during towing that are landed for commercial sale should not be recorded on this form, but instead, should be recorded on a Catch Monitoring Form as wild caught fish.

FARM TRANSFER SECTION

Name of SBT Farm: Enter the name of the SBT farm to which SBT were transferred.

Date Range of transfers: Enter dates for the First and Last days of transfer.

Average Weight (kg) of fish: Enter the average weight (kg) of all fish transferred.

Method of weight estimation: Enter the method used to estimate the average weight. Use 40FS for the 40 fish sampling method. For other methods, codes will be provided as they are developed.

Total Weight (kg): Enter the total verified weight (kg) for all fish transferred.

Number of Fish: Enter the total number of fish transferred, verified from the fish count.

Validation Section

Certification by Quota Holder: The Quota holder shall complete this section, with his/her full name, signature and date to certify that the form correctly records the fish that were transferred to the farm(s). **For forms completed in the eCDS, the certification will be recorded electronically, and no signature will display on the form.**

Validation by Authority: Enter the name and full title of the official¹² signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal. **For forms completed in the eCDS, the validation will be recorded electronically, with the validators signature and date automatically recorded on the form.**

¹² The official must be in the employment of, or delegated by, the competent authority of the Member, or Co-operating Non-Member where the farm sites are located. The Member or Co-operating Non-Member which utilizes a delegated entity shall submit a certified copy of such delegation to the Executive Secretary.



• **TRANSFERRING SECTION**

CCSBT Farm Serial Number	Name of Transferring Farm	State/Fishing Entity

• **TOWING SECTION**

Name of Towing Vessel	Registration Number	Flag State/Fishing Entity	Date of Tow
Estimated Weight (kg) of Fish	Estimated Number of Fish		

• **RECEIVING SECTION**

CCSBT Farm Serial Number	Name of Receiving Farm	State/Fishing Entity

• **CERTIFICATION SECTION**

Certification by Transferring Farm: I certify that the above information is complete, true and correct to the best of my knowledge and belief.		
Name	Signature	Date

Certification by Receiving Farm: I certify that the above information is complete, true and correct to the best of my knowledge and belief.		
Name	Signature	Date



FARM TRANSFER FORM

INSTRUCTION SHEET

This form is to be issued by the State/Fishing Entity that holds the national quota allocation against which these SBT have been taken.

This form must accompany each transfer of SBT between farms and a copy of the form must be provided to the issuing State/Fishing Entity.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document.

The Farm Transfer Form has 4 main sections: (1) Transferring Section; (2) Towing Section; (3) Receiving Section; and (4) Certification Section. All sections of this form must be completed.

The top of the form contains 1 additional piece of information that must always be completed. This is -

Document Number: Enter the unique document number that was allocated by the State/Fishing Entity of origin for this form.

Commented [SEC18]: Proposed for removal because the eCDS will allocate form numbers as the FTF is entered. However this can be retained for offline use if/as required with clarification that the number will be assigned by the eCDS for forms completed in the system.

TRANSFERRING SECTION

CCSBT Farm Serial Number: Enter the serial number of the farm as recorded on the CCSBT list of authorised farms.

Name of Transferring Farm: Enter the name of the SBT Farm that the fish are being transferred from.

State / Fishing Entity: Enter the State or Fishing Entity of the transferring farm.

TOWING SECTION

Name of Towing Vessel: Enter the name of the towing vessel.

Registration Number: Enter the Registration Number of the towing vessel.

State / Fishing Entity: Enter the Flag State or Fishing Entity of the towing vessel.

Date of Tow: Enter the date of the tow (dd/mm/yyyy).

Estimated Weight (kg) of Fish: Enter the estimated weight (kg) of the fish being transferred.

Estimated number of fish: Enter the estimated number of fish being transferred during the tow.

RECEIVING SECTION

CCSBT Farm Serial Number: Enter the serial number of the farm as recorded on the CCSBT list of authorised farms.

Name of Receiving Farm: Enter the name of the SBT Farm that is receiving the fish.

Flag State / Fishing Entity: Enter the State or Fishing Entity of the receiving farm.

CERTIFICATION SECTION

Certification by Transferring Farm: The representative of the transferring farm shall provide , his/her full name, signature and date (dd/mm/yyyy) to certify that the form correctly records the fish that are being transferred to the receiving farm. **For forms completed in the eCDS, the certification will be recorded electronically, and no signature will display on the form.**

Certification by Receiving Farm: The representative of the receiving farm shall provide , his/her full name, signature and date (dd/mm/yyyy) to certify that the form correctly records the fish that are being received from the transferring farm. **For forms completed in the eCDS, the certification will be recorded electronically, and no signature will display on the form.**

CATCH / HARVEST SECTION - Tick and complete only one part

☐

For Wild Fishery

Name of Catching Vessel

Registration Number

Flag State/Fishing Entity

↑
Or
↓

☐

For Farmed SBT

CCSBT Farm Serial Number

Name of Farm

Document Number(s) of associated Farm Stocking (FS) Form(s)

Description of Fish						
Product State F (Fresh) / FR (Frozen)	Product Type ¹	Month of Catch/ Harvest (mm/yy)	Gear Code	CCSBT Statistical Area	Net Weight (kg)	Total Number of whole Fish ²

Name and Address of Processing Establishment (if applicable)

Validation **by Authority (not required for exports transhipped at sea)**: I validate that the above information is complete, true and correct to the best of my knowledge and belief.

Name and Title

Date

Signature

OFFICIAL SEAL

TRANSHIPMENT SECTION

Transshipment

Transshipment Date

CCSBT Statistical Area

Name of Receiving Vessel

Registration Number

Flag State/Fishing Entity

Master of Fishing Vessel

Master of Receiving Vessel

Transshipment Observer

Transshipment Details Certified by

Date

EXPORT SECTION

Point of Export

City

State or Province

Expected Date of Export

State/Fishing Entity

Description of Fish Being Exported (if export product type is different to the Catch/Harvest Section)		
Product Type ¹	Weight (Kg)	Number of Whole Fish ²

Destination (State/Fishing Entity)

Company Name of Importer

Address of Importer

Certification **by Exporter**: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name

Licence No. / Company Name

Date

Validation **by Authority**: I validate that the above information is complete, true and correct to the best of my knowledge and belief.³

Name and Title

Date

Signature

OFFICIAL SEAL

1. Product Type: RD/GGO/GGT/DRO/DRT/FL/OT

2. Number of Whole Fish - Including types: RD/GGO/GGT/DRO/DRT

3. The importer details are only required to be validated as complete, and do not need to be verified by the Authority completing the validation

• FINAL PRODUCT DESTINATION SECTION - Tick and complete only one destination

☐

Import

Final Point of Import

City

State or Province

State/Fishing Entity

Certification **by Importer:** I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name

Address

Date

Product Type¹

Weight (Kg)

Number of Whole Fish²

Or

☐

Landing of Domestic Product
for Domestic Sale

Certification of Domestic Sale: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name

Address

Date

Product Type¹

Weight (Kg)

Number of Whole Fish²

1. Product Type: RD/GGO/GGT/DRO/DRT/FL/OT

2. Number of Whole Fish - Including types: RD/GGO/GGT/DRO/DRT



CATCH MONITORING FORM

INSTRUCTION SHEET

This form is to be issued by the State/Fishing Entity that holds the national quota allocation against which these SBT have been taken.

This form (CMF) must accompany all transshipments, landings of domestic product, exports, imports and re-exports of SBT, and a copy of this form must be provided to the issuing State/Fishing Entity. The only exception is that exportation/import of fish parts other than the meat (i.e. head, eyes, roe, guts, tails and fins) may be allowed without this document. **This form may only be used to record exports where all catch included in the harvest section is exported in a single consignment. SBT reported as exported on the CMF may only be landed to facilitate an export without undue delay¹.** Please note that:

- For farms, Farm Stocking Form(s) must have been produced by the State/Fishing Entity for all SBT on the CMF and the document number of those Farm Stocking Form(s) must be recorded on the CMF.
- Catch Tagging Form(s) must have been completed for all SBT on the CMF and copies must be provided to the issuing State/Fishing Entity. **The document numbers of these Catch Tagging Form(s) must be recorded on the CMF.**

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document.

The Catch Monitoring Form has **34** main sections: (1) Catch/Harvest; (2) ~~Intermediate Product Destination Transshipment~~; and (3) ~~Export and (4) Final Product Destination~~. The Catch/Harvest and Final Product Destination sections must always be completed. However, the ~~Intermediate Product Destination Transshipment and Export~~ sections only needs to be completed if the product is being exported and/or transhipped.

The top of the form contains **2** additional pieces of information that must always be completed. **These are:**

Document Number: Enter the unique document number that was allocated by the State/Fishing Entity of origin for this form. This document number will be automatically assigned to documents created in the eCDS. **This document number will be automatically assigned to CMFs created in the eCDS.**

Catch Tagging Form Document numbers: Enter the unique document number of each Catch Tagging Form that is associated with this form. **If there is insufficient room to enter all document numbers, enter this information on a separate page and attach that page.**

Commented [SEC20]: CTF numbers are no longer used in the eCDS.

CATCH HARVEST SECTION - tick and complete only one part

Tick the box to specify whether the catch is for the Wild fishery or for Farmed SBT.

You must complete the part of the Catch Harvest section corresponding to the box that you ticked and then complete the remainder of the section.

For Wild Fishery – This should only be completed for the wild fishery (not for farmed SBT)

Name of Catching Vessel: Enter the name of the catching vessel.

Registration Number: Enter the Registration Number of the catching vessel.

Flag State / Fishing Entity: Enter the Flag State or Fishing Entity of the catching vessel.

For Farmed SBT – This should only be completed for farmed SBT

CCSBT Farm Serial Number: Enter the serial number of the farm as recorded on the CCSBT list of authorised farms.

Name of Farm: Enter the Name of the Farm.

Document number(s) of the Associated Farm Stocking (FS) Form(s): Enter the unique document number of each Farm Stocking Form that is associated with this form. The Farm Stocking Form Number(s) associated with this form should all be for fish stocked in the same fishing season. If there is insufficient room to enter all document numbers, enter this information on a separate page and attach that page.

Description of Fish

All SBT described in this section must be sent to the Final Product Destination. Split shipments are not permitted, so if SBT are to go to two or more different destinations, a separate Catch Monitoring Form must be completed for the catch sent to each destination.

The shipment of SBT must be described, to the highest degree of accuracy using the following information.

NOTE: One row shall describe one product type

Product: Enter the type of product being shipped as either FRESH (F) or FROZEN (FR).

Type: Enter the type code from the table below that most closely matches the type of the SBT. For OT, describe the type of product, and specify a conversion factor.

CODE	NAME	DESCRIPTION
RD	Round	SBT Without any processing.
GGO	Gilled and Gutted - Tail on	Processed with gills and gut removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed.
GGT		

¹ Where the SBT is not landed for export without undue delay, it should be landed as domestic product and any later export recorded on the REEF.

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DRO	Gilled and Gutted - Tail off	Processed with gills, gut and tail removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed.
DRT	Dressed - Tail on	Processed with gills, gut, operculae (gill plates/covers) and head removed. Dorsal, pelvic and anal fins may or may not be removed.
FL	Dressed - Tail off	Processed with gills, gut, operculae (gill plates/covers), head and tail removed. Dorsal, pelvic and anal fins may or may not be removed.
OT	Fillet	Processed further than DRT, with the trunk cut into fillets.
	Other	None of the above.

Month of Catch/Harvest (mm/yy): Enter the month and year of harvest of the southern bluefin tuna; in the case of farmed fish, this applies to the month of kill, not the month of initial harvest.

Gear code: Identify the gear type that was used to harvest the southern bluefin tuna using the list below; for OTHER TYPE, describe the type of gear. In the case of farmed fish, write "FARM".

GEAR CODE	GEAR TYPE
BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water Trawl
PS	Purse Seine
RR	Rod and Reel
SPHL	Sport Handline
SPOR	Sport Fisheries Unclassified
SURF	Surface Fisheries Unclassified
TL	Tended Line
TRAP	Trap
TROL	Troll
UNCL	Unspecified Method
OT	Other Type

CCSBT Statistical Area: Enter the area in which the southern bluefin tuna were harvested using the main CCSBT Statistical Areas (1 to 10 and 14 to 15) or the other CCSBT Statistical Areas (11 to 13) where there is no corresponding main area. In the case of farmed fish, it is not necessary to fill in this column. A map showing the statistical areas is provided on page 3 of these instructions.

Net Weight (kg): Enter the net product weight in kilograms. In the case of farmed SBT, this is the weight at the time of harvest from the farm (as opposed to when originally caught)

Total Number of whole Fish (including RD, GGO, GGT, DRO, DRT): Enter the number of fish that remain whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.

For Other (OT) Describe the type of product: If Product Type is Other (OT), then describe the product.

For Other (OT) Specify the Conversion Factor: If Product Type is Other (OT) then specify the Conversion Factor to be used to convert this weight to a whole weight equivalent.

Name and Address of Processing Establishment (if applicable): Enter the name and address of the establishment that processed the southern bluefin tuna (if applicable).

Address of Processing Establishment (if applicable): Enter the address of the establishment that processed the southern bluefin tuna (if applicable).

Validation

Validation by Authority (not required for exports transhipped at sea): If this is not an export being transhipped at sea, enter the name and full title of the official² signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal. *For forms completed in the eCDS, the validation will be recorded electronically, with the validators signature and date automatically recorded on the form.*

For SBT transhipped at sea and then landed domestically, validation should occur at the point of domestic landing (i.e. after transhipment).

INTERMEDIATE PRODUCT DESTINATION TRANSHIPMENT SECTION - only for transshipments (both in-port or at-sea) and/or exports- tick and complete all required part(s)

This section only needs to be completed if the product is being exported and/or transhipped.

Commented [SEC21]: For further Member consideration regarding the codes to replace OT in the eCDS.

Commented [SEC22]: Members agreed at the second eCDS meeting in 2025 to remove the gear codes deleted in tracked changes below.

Commented [SEC23]: As above. Members had agreed to stop using OT in the eCDS and to use codes related to the specific processed code for the product. But following discussion at the eCDS Working Group meetings in 2025, Members requested more time to consider this issue (including compliance implications). This has currently been removed from the form based on the Member agreement to stop using the OT code.

Commented [SEC24]: And again

Commented [SEC25]: Or where product is further processed for the purpose of immediate export or LDP.

² The official must be in the employment of, or delegated by, the competent authority of the Member, or Co-operating Non-Member that issued the Catch Monitoring Form. The Member or Co-operating Non-Member which utilizes a delegated entity shall submit a certified copy of such delegation to the Executive Secretary.

Attachment B

Tick the box to specify whether the product is being transhipped or exported. If this is both a transshipment and an export, then tick both boxes:

You must then complete all the required parts of the intermediate product destination transshipment section corresponding to the box(es) that you ticked.

Transshipment

Transshipment Date: Enter the date of the transshipment.

CCSBT Statistical Area: For transshipments at sea, enter the CCSBT Statistical Area in which the transshipment took place.

Certification by Master of Fishing Vessel: In the case of all transshipments, the master of the fishing vessel shall complete this section, with his/her full name, signature and date (dd/mm/yyyy) to certify that the form correctly records the catch/harvest information:

The next part should be completed by the Master of the vessel receiving the southern bluefin tuna:

Name of Receiving Vessel: Enter the name of the Receiving vessel.

Registration Number: Enter the Registration Number of the Receiving vessel.

Flag State / Fishing Entity: Enter the Flag State or Fishing Entity of the Receiving vessel.

Certification by Master of Receiving Vessel: The master of the receiving vessel shall complete this section, with his/her full name, signature and date (dd/mm/yyyy) to certify that the form correctly records the fish that were transferred to the receiving vessel:

Master of Fishing Vessel: Enter the name of the Master of Fishing Vessel.

Master of Receiving Vessel: Enter the name of the Master of Receiving Vessel.

Signature of Transshipment Observer (only for transshipments at sea): If a transshipment is covered by the CCSBT Resolution on Establishing a Program for Transshipment by Large-Scale Fishing Vessels (i.e. is transhipped at sea), then the observer must enter his/her full name of the observer must be entered here, signature and date (dd/mm/yyyy). If there were any discrepancies between the observed transshipment and the information recorded on the Catch Monitoring Form, the observer's transshipment report should document those discrepancies.

Transshipment Certification: Enter the name of the person certifying the transshipment and date. For forms completed in the eCDS, the certification will be recorded electronically.

EXPORT SECTION - only for product that is exported

Point of Export

Commented [SEC26]: To be updated based on transshipment area

Expected Date of Export: Enter the date on which the export is planned to leave the point of export.

City: Enter the City of Export.

State or Province: Enter the State or Province of Export.

State/Fishing Entity: Enter the State/Fishing Entity of Export. For transshipments on the high seas, enter the CCSBT Statistical Area where the transshipment occurred and leave other fields blank:

Description of Fish Being Exported: Complete this section with the product type, weight and number of fish, if the product type (processed state) has changed from what is recorded in the harvest section.

Destination

State/Fishing Entity: Enter the State/Fishing Entity to which the southern bluefin tuna is being exported.

Company Name of Importer: Enter the name of the importer (consignee) for the shipment. This should be a company name, unless there is no company associated with the import.

Address of Importer: Enter the address of the importer (consignee) for the shipment.

Certification by Exporter: The Exporter³ must provide his/her name, signature, date (dd/mm/yyyy) and either the exporter license number or the exporter company name to certify the information provided in relation to the export shipment (i.e. that the form correctly records what is being exported). If the exporter does not have a licence number or exporter company name, then they should write their own name in this field. For forms completed in the eCDS, the certification will be recorded electronically, and no signature will display on the form.

Validation by Authority: The validation does not include confirming that the name and address of the importer are correct, only that these sections have been completed. Enter the name and full title of the official¹ signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal. For forms completed in the eCDS, the validation will be recorded electronically, with the validators signature, seal and date automatically recorded on the form.

FINAL PRODUCT DESTINATION SECTION - tick and complete only one Destination

Tick the box to specify whether the final destination of the product is for landing of domestic product or for import.

You must then complete the part of the final product destination section corresponding to the box that you ticked.

Import

Final Point of Import

City: Enter the City of Import.

State or Province: Enter the State or Province of Import.

State/Fishing Entity: Enter the State/Fishing Entity of Final Import.

³ The individual certifying as 'Exporter' must be an appropriate authority approved by the exporting company to make this declaration on the company's behalf, but it must not be the same individual as the authority validating the export.

Attachment B

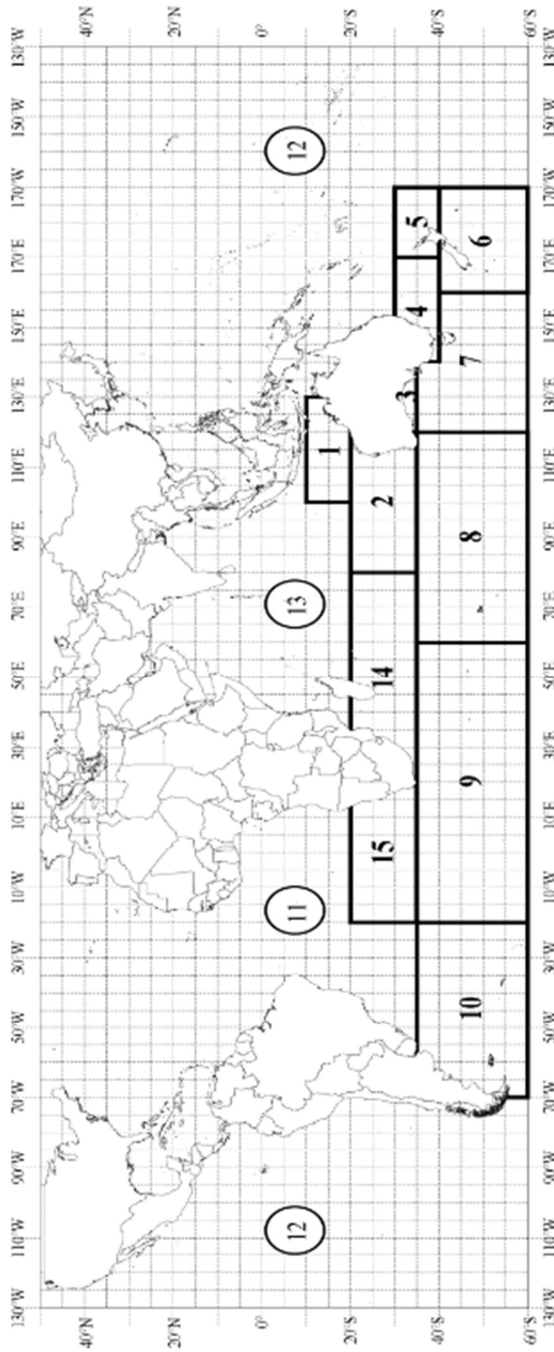
Certification by Importer: The person or company that imports southern bluefin tuna must provide his/her/its name, address, date (dd/mm/yyyy) on which the southern bluefin tuna was imported, signature, type⁴, and weight (kg). For fresh and chilled product, the signature of the importer may be substituted by an authorised government official in the State or Fishing Entity in which the import is received, or a person of a customs clearance company when the authority for signature is properly accredited to it by the importer. The number of whole fish is also required for SBT received in product types RD, GGO, GGT, DRO and DRT. For forms completed in the eCDS, the certification will be recorded electronically, and no signature will display on the form.

Landing of Domestic Product for Domestic Sale

Certification of domestic sale: The initial person or company that receives southern bluefin tuna from a domestic vessel for the purpose of domestic sale must provide his/her/its name, address, date (dd/mm/yyyy) on which the southern bluefin tuna was landed/received, signature, type³, **and** weight (kg) of the complete domestic landing of SBT. The number of whole fish is also required for SBT received in product types RD, GGO, GGT, DRO and DRT. For forms completed in the eCDS, the certification will be recorded electronically, and no signature will display on the form.

⁴ A list of types is provided in the 'Description of Fish' section.

Map of CCSBT Statistical Areas



☐ Re-Export

← Or →

☐ Export after Landing of Domestic Product (tick only one)

Within this form, the term “Export” includes both exports and re-exports

☐ Full Shipment

← Or →

☐ Partial Shipment (tick only one)

Form Number of Preceding Document (Catch Monitoring Form,
or Re-Export/Export After Landing of Domestic Product Form)

• **EXPORT SECTION**

Exporting State/Fishing Entity	Point of Export		
	City	State or Province	State/Fishing Entity

Name and Address of Processing Establishment (if applicable)

Description of Fish Being Exported			
Product State: F (Fresh) / FR (Frozen)	Product Type ¹	Weight (Kg)	Total Number of whole Fish ²

Destination (State/Fishing Entity)	
Company Name of Importer	
Address of Importer	

Certification **by Exporter:** I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name	Date	Licence No. / Company Name

Validation by Authority: I validate that the above information is complete, true and correct to the best of my knowledge and belief. ³				OFFICIAL SEAL
Name, Title and Date		Signature		

• **IMPORT SECTION**

Final Point of Import		
City	State or Province	State/Fishing Entity

Certification **by Importer:** I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name	Address	Date	Product Type ¹	Weight (Kg)	Number of Whole Fish ²

NOTE: The organization/person which validates the Export section shall verify the copy of the original CCSBT CDS Document. Such a verified copy of the original CCSBT CDS document must be attached to the Re-export/Export after Landing of Domestic Product (RE) Form. When SBT is Exported, all verified copies of concerned forms must be attached.

1. Product Type: RD/GGO/GGT/DRO/DRT/FL/OT
2. Number of Whole Fish - Including types: RD/GGO/GGT/DRO/DRT
3. The importer details are only required to be validated as complete, and do not need to be verified by the Authority completing the validation



RE-EXPORT/EXPORT AFTER LANDING OF DOMESTIC PRODUCT FORM

INSTRUCTION SHEET

This form must accompany all re-exports of SBT and all exports of SBT that have previously been landed as domestic product, and a copy must be provided to the issuing State/Fishing Entity.

One REEF Form must be issued for:

- Each CMF that was previously landed as domestic product but is now being exported, OR
- Each REEF shipment that was imported and is being re-exported, together with a copy of its previously associated REEF(s) and CMF(s).

In addition, each REEF form must be accompanied by a copy of the associated Catch Monitoring Form and copies of any previously issued Re-Export/Export after Landing of Domestic Product Forms for the SBT being exported.

This form is not required for the “first” export of the particular SBT if those SBT are being landed for the sole purpose of exporting. In this circumstance, only a Catch Monitoring Form needs to be created and accompany the product.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document.

The Re-export/Export after Landing of Domestic Product Form has 2 main sections: (1) Export; and (2) Import.

Within this form, the term “Export” includes both Exports and Re-exports.

The top of the form contains 4 additional pieces of information that must always be completed. These are -

Document Number: Enter the unique document number that was allocated by the State/Fishing Entity of origin for this form.

For forms completed in the eCDS, this document number will be automatically assigned by the system.

Re-Export or Export after Landing of Domestic Product: Tick only one box to indicate whether this is a Re-Export, or an Export after Landing of Domestic Product.

Full Shipment or Partial Shipment: Tick only one box to specify whether this Information is for a full or partial shipment. A Full shipment is where all the SBT in the preceding document are being exported.

Form number of Preceding Document: Enter the unique Document Number of the CDS form that precedes this. (Catch Monitoring Form or Re-Export/Export after Landing of Domestic Product Form).

Commented [SEC28]: REEFs completed in the eCDS will automatically have the preceding documents associated with them when the preceding form numbers are entered. However this text has been left unchanged noting that some Members will still require printed forms for imports of SBT.

EXPORT SECTION

Exporting State/Fishing Entity: Enter the Exporting State/Fishing Entity.

Point of Export: Enter the City, State or Province, and State/Fishing Entity of the point of Export.

Name and Address of Processing Establishment (If applicable): Enter the full name and address of the Processing establishment (only required if further processing has taken place since the preceding CDS form).

Address of Processing Establishment (If applicable): Enter the full Address of the Processing establishment (only required if further processing has taken place since the preceding GDS form).

Catch Tagging Form Document Number(s) (if applicable): Enter the Document number of any Catch Tagging Forms that are associated with this form. This will be a subset of the Catch Tagging Forms that were recorded on the previous GDS document. The subset should include the Catch Tagging Forms for all whole SBT (including RD, GG, DR etc) that are being exported on this form. If no whole SBT are being exported, this field can be left empty.

Commented [SEC29]: Catch tagging form numbers no longer exist in the eCDS, but this data is directly associated with the CMF. So this text is proposed for removal.

Description of Fish from previous GDS Document

This section must describe all the SBT from the previous GDS document.

Flag State/ Fishing Entity: Enter the original catch/harvest Flag State/Fishing Entity.

Date of previous Import/Landing: Enter the date (dd/mm/yyyy) of the import or landing from the previous GDS document.

NOTE: Each row shall describe only one product type:

Product: Enter the product as either FRESH (F) or FROZEN (FR).

Type: Enter the type code from the table below that most closely matches the type of the SBT. For OT, describe the type of product, and specify a conversion factor.

Commented [SEC30]: This is no longer completed in the REEF as the information is available in the eCDS on the attached preceding CMF/REEF.

CODE	NAME	DESCRIPTION
RD	Round	SBT Without any processing
GGG	Gilled and Gutted – Tail on	Processed with gills and gut removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed.
GGT	Gilled and Gutted – Tail off	Processed with gills, gut and tail removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed.
DRG	Dressed – Tail on	Processed with gills, gut, operculae (gill plates/covers) and head removed. Dorsal, pelvic and anal fins may or may not be removed.
DRT	Dressed – Tail off	Processed with gills, gut, operculae (gill plates/covers), head and tail removed. Dorsal, pelvic and anal fins may or may not be removed.
FL	Fillet	Processed further than DRT, with the trunk cut into fillets
		None of the above.

Attachment B

OT Other

Weight(kg): Enter the weight (kg) of the fish:

Total Number of whole Fish (including RD,GGO,GGT,DRO,DRT): Enter the number of fish that remain whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining:

Other: Describe the type of product (if type is Other):

Description of Fish being Exported

This Export of SBT must be described, the highest degree of accuracy using the following information.

NOTE: Each row shall describe only one product type.

Product: Enter the product being exported as either FRESH (F) or FROZEN (FR).

Type: Enter the type code from the table of 'Types' below in the previous section of these instructions that most closely matches the type of the SBT. For OT, describe the type of product, and specify a conversion factor.

Weight(kg): Enter the weight (kg) of the fish being Exported:

Total Number of whole Fish (including RD,GGO,GGT,DRO,DRT): Enter the number of fish that remain whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining:

Other: Describe the type of product (if type is Other):

Destination (State/Fishing Entity): Enter the State/Fishing Entity to which the southern bluefin tuna is being exported:

CODE	NAME	DESCRIPTION
RD	Round	SBT Without any processing
GGO	Gilled and Gutted - Tail on	Processed with gills and gut removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed.
GGT	Gilled and Gutted - Tail off	Processed with gills, gut and tail removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed.
DRO	Dressed - Tail on	Processed with gills, gut, operculae (gill plates/covers) and head removed. Dorsal, pelvic and anal fins may or may not be removed.
DRT	Dressed - Tail off	Processed with gills, gut, operculae (gill plates/covers), head and tail removed. Dorsal, pelvic and anal fins may or may not be removed.
FL	Fillet	Processed further than DRT, with the trunk cut into fillets
OT	Other	None of the above.

Commented [SEC31]: Moved from above and may be dependent on further discussion on the use of the OT code.

Weight(kg): Enter the weight (kg) of the fish being Exported.

Total Number of whole Fish (including RD,GGO,GGT,DRO,DRT): Enter the number of fish that remain whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.

Other: Describe the type of product (if type is Other):

Destination (State/Fishing Entity): Enter the State/Fishing Entity to which the southern bluefin tuna is being exported.

Company Name of Importer: Enter the name of the importer (consignee) for the shipment. This should be a company name, unless there is no company associated with the import.

Address of Importer: Enter the address of the importer (consignee) for the shipment.

Commented [SEC32]: As above

Certification and Validation

Certification by Exporter: The Exporter¹⁷ must provide his/her name, signature, date (dd/mm/yyyy) and either the exporter license number or the exporter company name to certify the information provided in relation to the export shipment (i.e. that the form correctly records what is being exported). If the exporter does not have a licence number or exporter company name, then they should write their own name in this field. For forms completed in the eCDS, the certification will be recorded electronically, and no signature will display on the form.

Validation by Authority: The validation does not include confirming that the name and address of the importer are correct, only that these sections have been completed. Enter the name and full title of the official¹⁸ signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal. For forms completed in the eCDS, the validation will be recorded electronically, with the validators signature, seal and date automatically recorded on the form.

Final Point of Import

City: Enter the City of Import.

State or Province: Enter the State or Province of Import.

¹⁷ The individual certifying as 'Exporter' must be an appropriate authority approved by the exporting company to make this declaration on the company's behalf, but it must not be the same individual as the authority validating the export.

¹⁸ The official must be in the employment of, or delegated by, the competent authority of the State/Fishing Entity that exported the SBT appearing on the document. The Member, Co-operating Non-Member or Other State/Fishing Entity Cooperating in the CDS which utilizes a delegated entity shall submit a certified copy of such delegation to the Executive Secretary.

State/Fishing Entity: Enter the State/Fishing Entity of Final Import

Certification

Certification by Importer: The person or company that imports southern bluefin tuna must provide his/her/its name, address, signature, date (dd/mm/yyyy) on which the southern bluefin tuna was imported. For fresh and chilled product, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer. An authorised government official in the State or Fishing Entity in which the import is received may also complete the certification on behalf of the importer.

Catch Tagging Form Import - Single CMF

Document Number of Associated Catch Monitoring Form

CMCCXXXXXXXXX

TAG INFORMATION

[illegible]



CATCH TAGGING FORM

INSTRUCTION SHEET

This form is to be issued by the State/Fishing Entity that holds the national quota allocation against which these SBT have been taken.

This form must be completed and provided to the issuing State/Fishing Entity when completing the associated Catch Monitoring Form.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document. Completed Catch Tagging Forms shall be provided to the Flag State/Fishing Entity, who shall provide the information in the Catch Tagging Form to the CCSBT Executive Secretary in an electronic format on no later than a quarterly basis.

The Catch Tagging Form has one main section (1) Catch.

The top of the form contains 3 additional pieces of information that must always be completed. These are –

Document Number: Enter the unique document number that was allocated by the State/Fishing Entity of origin for this form. The unique document number is not required when the tagging data is entered or uploaded directly into the eCDS.

Wild Harvest or Farmed: Tick only one box to specify whether this information is from a Wild Capture, or from a Farm. Where the information is entered directly into the eCDS, this information will be captured when the Catch Monitoring Form is started and will be automatically recorded on the tagging data.

Document number of Associated Catch Monitoring Form: Enter the unique Document Number of the Catch Monitoring Form that relates to this form. This document number will not need to be separately recorded when it is entered in the eCDS. Tagging data will be automatically associated with the Catch Monitoring Form in the eCDS.

Commented [SEC34]: Not currently included in CTF data on eCDS, but is automatically associated with the tagging data when this is specified at the time the CMF is started.

CATCH SECTION

Name of Fishing Vessel (or Farm): For farmed SBT, enter the name of the farm from which the SBT were harvested. For other SBT enter the name of the catching vessel.

Vessel Registration Number (or CCSBT Farm Serial Number): Enter the Registration Number of the Catching Vessel (or the CCSBT Farm Serial Number from the CCSBT Record of Authorised Farms).

Flag State/Fishing Entity: Enter the State or Fishing Entity of the Vessel or Farm.

Information on Other Forms of Capture: Enter any relevant information on the form of capture (eg. Trap)

Commented [SEC35]: Removal suggested. The other forms of capture field is not used by Members so is not included in the eCDS.

Tag Information

The Tagging information for each fish must be recorded.

NOTE: One row shall describe one tagged southern bluefin tuna.

CCSBT Tag Number: Enter the unique tag number of the tag that has been inserted into the fish.

Type: Enter the type code from the table below that most closely matches the type of the SBT.

CODE	NAME	DESCRIPTION
RD	Round	SBT Without any processing
GGO	Gilled and Gutted - Tail on	Processed with gills and gut removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed
GGT	Gilled and Gutted - Tail off	Processed with gills, gut and tail removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed
DRO	Dressed - Tail on	Processed with gills, gut, operculae (gill plates/covers) and head removed. Dorsal, pelvic and anal fins may or may not be removed
DRT	Dressed - Tail off	Processed with gills, gut, operculae (gill plates/covers), head and tail removed. Dorsal, pelvic and anal fins may or may not be removed

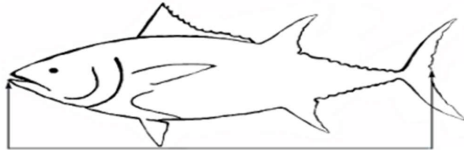
Weight (kg): Enter the weight (kg) of the fish.

Fork Length (cm): Enter the fork length of the fish, rounded to the nearest whole centimetre.

Attachment B

In cases where SBT can be measured at the time of kill: measure the straight line horizontal (not curved over body) length of the fish from the closed mouth to the fork of the tail before freezing and tailing as shown in the diagram below.

In cases where length cannot be measured immediately after the time of kill, but is instead measured upon landing, and after tailing and before freezing: Measure the straight line horizontal (not curved over body) length of the fish from the closed mouth up to the point where the tail was removed, and then apply an appropriate conversion factor to this length measurement to convert it to a fork length measurement.



Gear code (if applicable): Identify the gear type that was used to harvest the southern bluefin tuna using the list below; for OTHER TYPE, describe the type of gear. In the case of farmed fish, write "FARM".

GEAR CODE	GEAR TYPE
BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water Trawl
PS	Purse Seine
RR	Rod and Reel
SPHL	Sport Handline
SPOR	Sport Fisheries Unclassified
SURF	Surface Fisheries Unclassified
TL	Tended Line
TRAP	Trap
TROL	Troll
UNCL	Unspecified Method
OT	Other Type

Commented [SEC36]: Members agreed at the second eCDS meeting in 2025 to remove the gear codes deleted in tracked changes below

CCSBT Statistical Area of Catch (if applicable): Enter the area in which the southern bluefin tuna were harvested using the main CCSBT Statistical Areas (1 to 10 and 14 to 15) or the other CCSBT Statistical Areas (areas 11 to 13) where there is no corresponding main area. In the case of farmed fish, it is not necessary to fill in this column.

Month of Harvest (mm/yy): Enter the month and year of harvest of the southern bluefin tuna tagged; in case of farmed fish, this applies to the time of kill, not the time of initial harvest.

Certification and Validation

Certification: An appropriate authority should complete this section, with his/her full name, signature, date and title to certify that the form correctly records the tagging information. For forms completed in the eCDS, the certification will be recorded electronically, and no signature will display on the form.

Appendix 23

Minimum Procedural and Information Standards for CCSBT Member and Cooperating Non-Member Tagging Programmes

General requirements of an SBT tagging system

1. As outlined in section 1.7 and 1.8 of the Resolution, the SBT tag shall remain on each individual fish while the fish carcass remains whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.
2. Members and Cooperating Non-Members shall take steps to ensure that SBT tags cannot be reused.

Specifications for SBT tags

3. Each SBT tag shall meet the following minimum standards:
 - a. have a unique pre-recorded tag number in an easily readable form;
 - b. tag numbering shall include a unique flag state identifier and a fishing year identifier (e.g. NZ-2008-000001);
 - c. be able to be securely fastened to SBT;
 - d. be non-reusable, tamper-proof and secure from counterfeiting or replication;
 - e. be able to withstand at least negative sixty (60) degrees Celsius temperatures, salt water and rough-handling; and
 - f. be food safe.

General requirements for tag related information

4. Members and Cooperating Non-Members shall record the distribution of SBT tags to entities they authorise to fish for, or farm, SBT.
5. In relation to each tag, Members and Cooperating Non-Members shall ensure their vessels and operators, and the relevant authorities have reporting procedures and formats allowing the collection of the required tagging information which must include month, area, and method of capture, and weight and length for each SBT.
6. All requirements of the CCSBT CDS Resolution outlined in Sections 5 – 9 of this resolution apply to tagging documentation and information for Members and Cooperating Non-Members implementing tagging programmes.

Content of the Executive Secretary's Six Monthly/Annual Reports to the Extended Commission

The reports in this Appendix are only to be provided to a designated authority of each Member.

With this Appendix, the following abbreviations are used to refer to different form types:

- FSF – Farm Stocking Form
- FTF – Farm Transfer Form
- CMF – Catch Monitoring Form
- REEF – Re-Export/Export after Landing of Domestic Product Form
- CTF – Catch Tagging Form

~~During the first year of the CDS, there will not be sufficient information from the CDS for all reports to be produced. It is also accepted that there will be delays in producing reports during the first year of the CDS while the Secretariat is in the process of developing the CDS database and associated reporting mechanisms.~~

Production Reports

Two production reports are to be produced.

(1) Production summary and reported catch comparison report

This report uses data from the CMF together with mortalities from the FSF to estimate the quota year catch of each Member and Cooperating Member. This should only be conducted for completed quota years and where sufficient CDS data is available. Processed weights from the CDS should be converted to whole weights using conversion factors supplied by each Member if available and the “best” alternative conversion factor where the Member has not provided a conversion factor to use. All conversion factor values used in the report should be listed. The report should also provide the reported quota year catch from the Member/Cooperating Non-Member (if that is available) for comparative purposes.

- Flag State/Fishing Entity;
- Quota year¹;
- Total estimated whole weight of SBT by fishing gear from the CDS;
- Total whole weight of SBT by fishing gear as reported by the Member (if available); and
- Comments²

(2) Detailed production summary report

This report is similar to the Annex 2 report from the TIS. It uses data from CMF and CTF to provide the following information:

- Flag Member/Cooperating Non-Member;
- Captured, farm harvest, or farm catch/towing mortality
- Product (F/FR);

¹ Members have different quota years, so the actual quota year period of each Member should be used and these periods should be shown in the report to prevent confusion. This report should also contain a history of recent quota years (with any updated estimates from both CDS and Member's reports) for which there is sufficient CDS data.

² Comments may be required to provide additional information for interpreting the figures, for example, to indicate that a Member's report includes catches (such as recreational catch) that is not covered by the CDS, or that the CDS data may not be fully up to date for the most recent year etc.

- Processed Type (RD/GGO/GGT/DRO/DRT//FL/OT etc.).
- Month and year of harvest;
- Gear code;
- Statistical area;
- Point of export (only if exported);
- Month and year of export (only if exported);
- State/Fishing Entity at final destination;
- Month and year at arrival at final destination;

and within the above groupings, the following quantities

- Number of SBT; and
- Net weight of SBT.

REEF Reports

Two reports relating to the REEF form are to be produced.

(1) Detailed REEF summary report

This report is similar to the Annex 4 report from the TIS. This report uses data from both the CMF and REEF to provide the information below:

- Original catching State/Fishing Entity
- Currently exporting State/Fishing Entity³;
- Point of export;
- Year and month of export⁴
- Importing State/Fishing Entity;
- Product exported (F/FR);
- Type exported (RD/GGO/GGT/DRO/DRT//FL/OT); and

within the above groupings, the

- Net weight and Number of SBT exported.

(2) REEF discrepancy report

This report should examine all REEF forms and associated CMFs, and produce a list of any CMF forms that have been “over utilised⁵” in subsequent exports and re-exports. The list should identify:

- The document number, flag, product type(s) and weight(s) in each over utilised CMF form;
- The document number, exporting flag, product type(s) and weight(s) of the associated REEF form(s); and
- Other information agreed by the Compliance Committee.

Tagging Reports:

One tagging report is to be produced.

(1) Tag summary report

This report uses data from both the CTF and CMF to provide the following information

- Flag State/Fishing Entity;
- Total number and net weight of SBT tagged; and
- Total number and net weight of SBT reported on associated CMF's.

Transshipment Reports

Two transshipment reports are to be produced.

³ This is the exporting State/Fishing Entity on the REEF form in question, not from preceding REEF forms or the CMF.

⁴ As determined by the export certification date.

⁵ An over-utilised CMF is where subsequent exports/re-exports of fish from the CMF have exceeded the original quantity of fish reported on the CMF.

(1) Transhipment summary report

This report uses data from the CMF together with transhipment declarations and observer reports to provide the following summary information for transhipments of SBT.

- Flag of catching vessel;
- Month and year of transhipment;
- Flag of transhipment vessel;
- State/Fishing Entity at final destination;

and within the above groupings, the following quantities

- Number of transhipments;
- Number of SBT according to the CMF;
- Net weight of SBT according to the CMF;
- Net weight of SBT according to the transhipment declaration; and
- Net weight of SBT according to the transhipment observer report.

(2) Transhipment discrepancy report

This report produces details of each transhipment in which the weight of SBT in the CMF differs from the weight of SBT in either the transhipment declaration, or the transhipment observer report.

- Flag, name and registration number of catching vessel;
- Date of transhipment;
- Flag, name and registration number of transhipment vessel;
- Net weight and Type of SBT according to the CMF;
- Net weight and Type of SBT according to the transhipment declaration; and
- Net weight and Type of SBT according to the transhipment observer report.

Farm Reports

Two farm reports are to be produced. These reports should be produced to cover a catching and farming period that covers the usual “season” for the relevant State/Fishing Entity.

(1) Farm summary report

This report uses data from the FSF and CMF to provide the following aggregate information for farmed SBT from each Flag State/Fishing Entity. This report is similar to the 6 monthly farms reports produced by Australia as part of the Trade Information Scheme.

- Flag and total number of catching vessels;
- Date range of capture;
- Statistical area(s) of capture;
- Total number and weight of mortalities during towing;
- Date range of transfers to farms;
- Total number and weight of SBT transferred into farms;
- Minimum, maximum and median of the average weights over all transfers;
- Date range of harvest from farms; and
- Total number and weight of SBT harvested from farms.

(2) Farm discrepancy report

This report produces details for each farm in which the number of SBT transferred into the farm (according to the FSF and adjusted according to the FTF) is greater than the number of SBT harvested from that farm according to the CMF.

- Flag, and name of the farm;
- Date range of transfers to this farm from initial tow cages;

Attachment B

- Total number and weight of SBT transferred into this farm from initial tow cages;
- Date range of transfers to/from this farm from/to other farms;
- Total number and weight of SBT transferred to/from this farm from/to other farms;
- Date range of harvest from this farm; and
- Total number and weight of SBT harvested from this farm.

Reconciliation Reports

~~CDS documentation will be sent to the Secretariat on a quarterly basis from multiple sources. The same document may be sent to the Secretariat at different times. For example, when SBT are exported or transhipped, and again when the same SBT are imported or landed as domestic product. The Secretariat will run regular reconciliation reports on the CDS data received.~~ The reconciliation reports should be designed to provide aggregate information concerning the number and types of documents that are expected, but that have yet to be received from each State/Fishing Entity. These reports should also identify if any discrepancies occur between the figures in the forms from the different sources. ~~The Secretariat should design and produce these reports after the CDS has been in operation for 12 months.~~

Resolution on the Implementation of a CCSBT Catch Documentation Scheme

(revised at the ~~Twenty-Third-Eighth-Second~~ Annual meeting: ~~13-09~~ October 202~~4~~5)

Referring to the principles adopted to guide the development of a catch documentation scheme (CDS) at CCSBT12 in 2005 and the ‘Resolution on the implementation of a CDS to record all catch of Southern Bluefin Tuna regardless of whether the Southern Bluefin tuna were traded’, adopted at CCSBT 13 in 2006;

Noting the need for Members and Cooperating Non-Members to provide for the tracking and validation of legitimate product flow from catch to the point of first sale on domestic or export markets;

Bearing in mind the need to achieve harmonisation of Catch Documentation Schemes across Regional Fisheries Management Organisations;

Emphasising that a CDS must be applied consistently and comprehensively across all sectors of the global SBT fishery to accurately confirm the SBT catch by each Member and Cooperating Non-member;

Recalling the Commission’s commitment to move from a paper-based CDS to an electronic CDS to improve the accuracy and timeliness of reporting;

In accordance with Article 8.3(b) of the Convention on the Conservation of Southern Bluefin Tuna, the Extended Commission for the Conservation of Southern Bluefin Tuna (CCSBT) adopts the following measure to monitor compliance with the Commission’s conservation measures:

1. General Provisions and Application		
1.1 All Members and Cooperating Non-Members shall implement the CCSBT CDS for southern bluefin tuna (SBT) to document the movement of all SBT as outlined in this resolution. The CCSBT CDS incorporates CCSBT CDS	<u>1.1</u> All Members and Cooperating Non-Members shall implement the CCSBT CDS for southern bluefin tuna (SBT) to document the movement of all SBT as outlined in this resolution. The CCSBT CDS incorporates CCSBT CDS	It is suggested that Paragraph 1.1. is retained as it currently is at the start of the Resolution, as this sets the requirement for all Members to implement the CDS, which is foundational for the paragraphs that follow. The additional text in the second sub-paragraph

Commented [SEC1]: Column 1 contains the current CDS Resolution text.
Column 2 contains any proposed revisions to the current CDS Resolution. Only paragraphs that are proposed for amendment are included in this column and all proposed changes are tracked.
Column 3 contains the Secretariat explanation of the suggested amendments.

documentation and tagging of SBT.	documentation and tagging of SBT. <u>1.1.1 Commencing on 1 April 2026, all CDS documents shall be completed electronically within the CCSBT's electronic Catch Documentation Scheme (eCDS), except for in the circumstances specified in Appendix 1 in which the paper forms included in Appendix 2 shall be used. From this date, any reference in this Resolution to a CDS document or a CDS form shall be understood to refer to documents and forms completed within the eCDS, except where paper forms are used in the limited circumstances specified in Appendix 1.</u> <u>1.1.2 The instruction sheets associated with each CDS form included in Appendix 2 shall apply to forms completed in the eCDS and paper forms used in the limited circumstances specified in Appendix 1.</u>	captures the text previously provided by Members, however it proposes moving the circumstances in which paper forms can be used to a separate Appendix paragraph rather than in a footnote as was previously proposed. This would mean that the circumstances in which paper forms are used can be defined alongside the processes for their use. Members have previously suggested the circumstances where paper forms can be used include: • where the SBT has been caught prior to the full implementation of the eCDS; • trade between Members and non-Members without authorisation to enter eCDS information; and • where technical problems prevent access to the eCDS. In the previous draft CDS Resolution there was a paragraph that stated “The structure and content of documents in the eCDS differ slightly from those in Appendix 1 of this Resolution. When using the eCDS, the data required in each field contained within the documents of the system, is deemed to be the information that must be provided.” It is now proposed that the forms in the Appendices will be printed copies of the eCDS forms and this,
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Commented [SEC2]: The proposed requirements and process that will be included as Appendix 1 will be discussed in the upcoming technical meeting.

Commented [SEC3]: It is suggested that the CDS forms be moved to Appendix 2, to accommodate the new proposed Appendix 1 that outlines the “Procedures to allow the issuance of paper or printed eCDS forms”.

Commented [SEC4]: It is recommended that this second bullet point is not included in Appendix 4, because in these circumstances the form should still be created in the eCDS (unless the system is unavailable), and may then be printed (as required) from the eCDS to accompany the trade. No import information will be collected, but this is what currently occurs with trade with non-Members (except for the US).

		along with the proposed text, would make this previous paragraph no longer required.
1.2 For transshipments, landings of domestic product ¹ , exports, imports and re-exports under the jurisdiction of a Member or Cooperating Non-Member or Other State/Fishing Entity Cooperating in the CDS (OSEC ²), all SBT shall be accompanied by a document described in section 3 of this resolution. There is no waiver of this requirement. However, the exportation/import of fish parts other than the meat ³ (<i>i.e.</i> head, eyes, roe, guts, tails and fins) may be allowed without the document.	1.2 For transshipments, landings of domestic product ¹ , exports, imports and re-exports under the jurisdiction of a Member or Cooperating Non-Member or Other State/Fishing Entity Cooperating in the CDS (OSEC), all SBT shall be accompanied ³ by a document described in section 3 of this resolution. There is no waiver of this requirement. However, the exportation/import of fish parts other than the meat ⁴ (<i>i.e.</i> head, eyes, roe, guts, tails and fins) may be allowed without the document. <u>New Proposed Footnote 3: For the purposes of this Resolution, a CCSBT CDS document that is entered in the eCDS and validated and/or certified as required by this</u>	It is proposed that this Paragraph be retained as it is, with the addition of a footnote that includes the text listed below the current Paragraph text. The proposed footnote text would not impact Members import requirements should these specify that a completed full CDS document must accompany any SBT shipment. However if Members would prefer, an addition to the end of this footnote could further clarify this point. This text may state something like: <i>“Nothing in this Resolution shall prejudice the rights of any Member, Cooperating Non-Member or OSEC to require that additional documentation, beyond that required by this Resolution, accompany any SBT imports or exports.”</i>

¹ The term ‘landing of domestic product’ means a landing of SBT by a CCSBT-authorized fishing/carrier vessel into the territory of a Member or Cooperating Non-Member whose National Allocation the SBT was attributed against and which issued the CDS documents the SBT are recorded on.

² The term ‘Other State/Fishing Entity Cooperating in the CDS’ will be abbreviated to ‘OSEC’ within this resolution and means a State/Fishing Entity that has expressed its commitment, in writing, to cooperate with this resolution.

^{3,4} Any meat separated from fish parts is considered to be meat in this context.

		<u>Resolution, shall be deemed to accompany the SBT recorded in that document.</u>	
1.3	Transfers of SBT into and between farms under the jurisdiction of a Member or Cooperating Non-Member shall be documented on the Farm Stocking Form and Farm Transfer Form as applicable.		No change required because of the proposed text in Paragraph 1.1.1 that “any reference in this Resolution to a CDS document or a CDS form shall be understood to refer to documents and forms completed within the eCDS”,
1.4	Members or Cooperating Non-Members that prohibit the sale of fish caught by recreational fishers may exempt their recreational fisheries from the requirements of the CCSBT CDS.		No change required.
1.5	The Commission shall request the cooperation of appropriate authorities of States other than Members and Cooperating Non-Members of the Extended Commission that are involved in catching, landing, transferring and/or farming of SBT in the implementation of this resolution.		No change required.
1.6	Members, Cooperating Non-Members and OSECs shall not		No change required.

permit the landing as domestic product, transshipment, import, export and/or re-export of SBT caught by vessels not authorised to catch SBT and (if SBT farming is conducted under their jurisdiction) the transfer of SBT to or between, and harvest of SBT from, farms not authorised to farm SBT.		
1.7 Members, Cooperating Non-Members and OSECs shall not permit whole SBT to be landed as domestic product, transhipped, exported, imported or re-exported without a tag, except that: 1.7.1 in the case of farming operations, the SBT may be landed without a tag provided a tag is attached within 30 hours of kill; 1.7.2 in exceptional circumstances, where a vessel on the CCSBT Record of Authorised Vessels does not have sufficient tags on board the vessel, the tag may be attached at landing; 1.7.3 in exceptional circumstances,		No change required.

	where a vessel catches SBT as unexpected bycatch and has no or insufficient tags on board, the tag may be attached at landing.		
1.8	In exceptional circumstances, where a tag becomes accidentally detached and cannot be reattached, a replacement tag shall be attached as soon as possible and no later than the time of landing, transshipment or export.		No change required.
1.9	Members and Cooperating Non-Members shall report any exceptional circumstances referred to in 1.7.2, 1.7.3 or 1.8 annually in their National reports to the Compliance Committee (CC)/ Extended Commission (EC). The Member's National report shall provide details of the exceptional circumstances, the number of SBT tagged and for 1.8, the old (where known) and new tag number(s).		No change required.
1.10	Members and Cooperating Non-Members shall require that tags be retained on whole SBT to at least		No change required.

the first point of sale for landings of domestic product, and shall encourage the retention of tags on whole fish thereafter.		
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2. Registers Required

2.1	A record of farms is to be established and maintained by the Executive Secretary to identify authorised farms.		No change required.
2.2	A record of vessels, maintained by the Executive Secretary, identifies all authorised vessels.		No change required.
2.3	CCSBT CDS Documents that record information for vessels and/or farms not included on the above-mentioned authorised registers shall not be considered valid Documents for the purposes of this scheme.		No change required.

3. Documents and Information Required

3.1 The CCSBT CDS Documents are: 3.1.1 Farm Stocking Form – records information on the catch, towing and farming of SBT 3.1.2 Farm Transfer Form – records information on the transfers of SBT between farms 3.1.3 Catch Monitoring Form – records information on the catch, landing, transshipment, export, and import of all SBT regardless of whether farmed or not, including unexpected catch 3.1.4 Catch Tagging Form – records information on individual fish tagged as part of the CDS 3.1.5 Re-export or Export after Landing of Domestic Product Form – records information on SBT already tracked on the Catch Monitoring Form to the initial point of landing of domestic product or import that is, either in full or part, exported or re-exported.	3.1.4 Catch Tagging Form⁵ – records information on individual fish tagged as part of the CDS. <u>New Proposed Footnote 5: The information that is required to be included in the Catch Tagging Form may be recorded in electronic format, including directly in the eCDS or by other means, by a Member or Cooperating Non-Member provided that all the required information from the Catch Tagging Form is included in the electronic record used. Additional information may be included in Catch Tagging Form or electronic records maintained by a Member or Cooperating Non-Member and at the discretion of the Member or Cooperating Non-Member.</u>	No change needed, except for a proposed additional footnote related to the catch tagging form. This is intended to reflect current practice by some members where the required CTF data is captured electronically. This is also intended to accommodate the capturing of this information directly in the eCDS, because in the eCDS there are, technically, no longer any CTFs, but the tags are associated directly with a CMF. It is also proposed that the previous footnote 4 (associated with Paragraph 3.3) that related to Members discretion to include additional information in the CTF, be included in this footnote also, as the related text has been proposed for deletion from Paragraph 3.3. Some Members have expressed an interest in using an abbreviated Export Form and others have stated that imports to the Member would still require an accompanying full printed CDS document. The Export Form has not been added to the CCSBT CDS documents because, due to proposed changes to the Resolution text above (specifically the proposed footnote 3), in cases where a Member chooses to use an Export Form, the accompanying CDS document would be considered to be the complete form within the eCDS. This would be the case whether the eCDS
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		form is printed or not, and regardless of whether an Export Form was used (if Members agreed to the Export Form).
3.2 The information to be contained in the CCSBT CDS documentation referred to in 3.1, along with associated instruction sheets, is in Appendix 1 A – D.	3.2 The information to be contained in the CCSBT CDS documentation referred to in 3.1, along with associated instruction sheets, is in Appendix 1 <u>2</u> A – D.	No change required to the text, apart from an update to the Appendix number. But it is proposed that these forms be offline versions of forms from the eCDS to ensure consistency.
3.3 Once approved forms are adopted, only minimal modifications, such as the addition of translations, may be made ⁴ . No information field may be omitted from the standard form, except where the field is not applicable.	3.3 Once approved forms are adopted, only minimal modifications, such as the addition of translations, may be made. No information field may be omitted from the standard form, except where the field is not applicable.	It is recommended to delete the first sentence of this Paragraph. The ability to make minor modifications was applicable when paper forms were used. However, any modification of forms used within the eCDS will require technical support and there will be costs associated. The Secretariat suggests that any Member that requires minor modification to the eCDS forms are considered and incorporated as soon as possible and before eCDS implementation.
3.4 Any documentation modified, as described in 3.3 ⁵ , shall be provided to the Executive Secretary for distribution to other Members, Cooperating Non-Members, as	Any documentation modified, as described in 3.3, shall be provided to the Executive Secretary for distribution to other Members, Cooperating Non-Members, as well	It is recommended that this paragraph be deleted as it is not applicable to the eCDS. As explained above, any changes to eCDS forms will require development support and there will be costs

⁴ ~~However the Catch Tagging Form may be amended to include additional information at the discretion of the Member or Cooperating Non-Member.~~

⁵ ~~With the exception of additions to the Catch Tagging Form.~~

	well as Non-Members known to be involved in the landing, transshipment, import, export, or re-export of SBT.	as Non Members known to be involved in the landing, transshipment, import, export, or re-export of SBT.	associated with this. Minor additions, such as translations, can be incorporated in the eCDS system.
3.5	Significant amendments to the forms and form content may be made only with the agreement of the Commission at its annual meeting based on recommendations from the CCSBT Compliance Committee.	3.4 Significant a Amendments to the forms and form content may be made only with the agreement of the Commission at its annual meeting based on recommendations from the CCSBT Compliance Committee.	A minor adjustment to this paragraph is recommended to accommodate the changes discussed above and adjust the paragraph numbering, if Members agree to the deletion of the current Paragraph 3.4. It is suggested that due to the costs associated with amendments to the eCDS forms, that all changes to the CDS forms will require the agreement of the Commission.
3.6	CCSBT CDS documents must be uniquely numbered.		No change required, except for paragraph numbering if Members agree to the deletion of the current Paragraph 3.4.

4. Tagging

4.1	Members and Cooperating Non-Members shall require that an SBT tag be attached to each whole SBT at the time of kill except: 4.1.1 in the case of farming operations, the tag may be		No change required.
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attached within 30 hours of kill; 4.1.2 in exceptional circumstances, where a vessel on the CCSBT Record of Authorised Vessels does not have sufficient tags on board, the tag may be attached at landing; 4.1.3 in exceptional circumstances, where a vessel catches SBT as unexpected bycatch and has no, or insufficient, tags on board, the tag may be attached at landing.		
4.2 As outlined at 3.1.4, a Catch Tagging Form records relevant tagging information for individual SBT. The Catch Tagging Form shall be filled in as soon as practicable after the time of kill. Length and weight measurements shall be conducted before the SBT is frozen. Where measurements cannot be accurately done on board the vessel, they may be made at the time of landing or transshipment, provided the measurements and the associated Catch Tagging Form are		No change required, based on the additional proposed footnote to Paragraph 3.1.4 which will allow for the electronic capture of CTF data.

	filled in before any further transfer of the SBT.		
4.3	Completed Catch Tagging Forms shall be provided to the flag Members and Cooperating Non-Members which shall provide the information in the Catch Tagging Form to the Executive Secretary in an electronic format on a quarterly basis.	4.3 Completed Catch Tagging Forms shall be provided to the flag Members and Cooperating Non-Members which shall provide the information included in the Catch Tagging Form to the Executive Secretary in an electronic format on a quarterly basis.	Catch tagging form data may be entered directly into the eCDS, so it is proposed to remove the reference of this having to be provided to Members and only retaining the Member obligation to provide this information in an electronic format to the Executive Secretary.
4.4	A tagging programme shall meet the minimum procedural and information standards set out in Appendix 2.	4.4 A tagging programme shall meet the minimum procedural and information standards set out in Appendix 2 <u>3</u> .	No change required, apart from an update to the Appendix number.
4.5	Members and Cooperating Non-Members shall prohibit the unauthorised use of SBT tags.		No change required.

Commented [SEC5]: This may require update regarding the timeliness of CTF data submission based on Member discussions in the technical meeting.

CTF data will be provided to the Executive Secretary as it is entered and certified in the eCDS.

Members to also discuss this further in the eCDS Resolution discussions if and as required.

5. Validation			
5.1	The CCSBT CDS documentation must be validated (or signed in the case of transshipments at sea) as applicable by:	<u>5.1</u> The CCSBT CDS documentation must be validated (or signed <u>include the name of the observer</u> ⁸ in the case of transshipments at sea) as applicable by:	A small change is proposed to remove the requirement for the transshipment to be signed by the observer, as this is no longer required in the eCDS. However, it is recommended that a footnote be added to the Resolution to require
5.1.1	for landings of domestic		

product, an official of the flag Member or Cooperating Non-Member of the catching vessel or, when the fishing vessel is operating under a charter arrangement, by a competent authority or institution of the chartering Member or Cooperating Non-Member; and 5.1.2 for all SBT transshipments subject to <i>CCSBT Resolution on Establishing a Program for Transshipment by Large-Scale Fishing Vessels</i>, the observer required by that resolution; and 5.1.3 for all export of SBT, an official of the exporting Member or Cooperating Non-Member; and 5.1.4 for all re-export of SBT, an official of the re-exporting Member, Cooperating Non-Member, or OSEC.	<u>New Proposed Footnote 8: CDS documents completed for SBT caught prior to the implementation of the eCDS must also be signed by the observer.</u> 5.1.2 for all SBT transshipments subject to <i>CCSBT Resolution on Establishing a Program for Transshipment by Large-Scale Fishing Vessels</i>, the <u>CCSBT CDS documentation shall list the full name of the</u> observer required by that resolution; and	CDS documents for SBT caught prior to the implementation of the eCDS to still be signed by the observer to reflect current practice. In the eCDS the observer details will need to be captured in the CMF, and it is proposed that the transshipment details recorded in the CMF will be reconciled with transshipment reporting provided by the member/ROP provider under the Transshipment Resolution. There is a requirement for the transshipment declaration (required under the Transshipment Resolution) to be signed by the observer so the observer signature will still be captured in the transshipment declaration. It is therefore recommended that Paragraph 5.1.2 be retained but specify that the full name of the observer be captured in the CDS document for all SBT transshipments subject to <i>CCSBT Resolution on Establishing a Program for Transshipment by Large-Scale Fishing Vessels</i>,.
5.2 The authority to validate CDS documents may be delegated to an authorised person by an official of the relevant State/fishing entity.		No change required.

	Members, Cooperating Non-Members and OSECs who utilise delegated person/s shall submit a certified copy of such delegation/s to the Executive Secretary. The individual who certifies a CCSBT CDS Document shall not be the same person who validates the Document.		
5.3	Members, Cooperating Non-Members and OSECs shall provide to the Executive Secretary information on validation (including type of validation, name of the organization which validates the documents, title and name and signature of officials who validate the documents, sample impression of stamp or seal, and a list of all persons holding delegated authority to validate CCSBT CDS documentation prior to those officials and persons exercising the authority). Members, Cooperating Non-Members and OSECs shall inform the Executive Secretary of any changes in a timely fashion.		No change required.

5.4	The Executive Secretary will maintain and update the information specified in 5.3 and provide it to all Members, Cooperating Non-Members and OSECs and promptly circulate any changes.		No change required.
5.5	Members, Cooperating Non-Members and OSECs shall not validate any CCSBT CDS documentation referred to in 3.1 that is not complete, has obviously incorrect information, or has not been validated as required by this resolution.		No change required.
5.6	No Member or Cooperating Non-Member or OSEC shall accept any SBT for transshipment, landing of domestic product, export, import, or re-export where any or all required documents do not accompany the relevant consignment of SBT, where fields of information required on the form are not completed, or where the form has not been validated as required by this resolution.		No change required.

5.7	Full or partial consignments of untagged whole SBT must not be validated or accepted for transshipment, landing of domestic product, export (including export after landing of domestic product), import or re-export (except where the tag is no longer required to be attached to the SBT because further processing has occurred, as outlined at Appendix 2).	5.7 Full or partial consignments of untagged whole SBT must not be validated or accepted for transshipment, landing of domestic product, export (including export after landing of domestic product), import or re-export (except where the tag is no longer required to be attached to the SBT because further processing has occurred, as outlined at Appendix 23).	No change required, apart from an update to the Appendix number.
5.8	Members and Cooperating Non-Members shall undertake an appropriate level of audit, including inspections of vessels, landings, and where possible markets, to the extent necessary to validate the information contained in the CDS documentation.		No change required.
5.9	Members and Cooperating Non-Members shall include in their annual review of SBT fisheries, details on the level of coverage and type of audit undertaken, in accordance with 5.8, and the level of compliance.		No change required.

6. Exchange of Information and Confidentiality of Data		
6.1	Members, Cooperating Non-Members and OSECs shall retain all original CCSBT CDS Documents (or scanned electronic copies of the original documents) received by them for a minimum of 3 years after the most recent signed date on the form. Members, Cooperating Non-Members and OSECs shall also retain a copy of any CCSBT CDS Documents issued by them for a minimum of 3 years after the most recent issuing state/entity signed date on the form. Copies of these CDS Documents (except the Catch Tagging Form ⁶) shall be forwarded ⁷ to the Executive Secretary on a quarterly basis.	5.1 6.1 Any CCSBT CDS document that is entered into the eCDS and validated and/or certified, as required by this Resolution, shall be deemed to have been retained by the Member, Cooperating Non-Member or OSEC, and to have been forwarded to the Executive Secretary. 6.2 Members, Cooperating Non-Members and OSECs shall retain all original CCSBT CDS Documents (or scanned electronic copies of the original documents) received by them for a minimum of 3 years after the most recent signed date on the form. Members, Cooperating Non-Members and OSECs shall also retain a copy of any CCSBT CDS Documents issued by them for a minimum of 3 years after the most recent issuing state/entity signed date on the form. Copies of these Minor changes required to ensure that any document entered into the eCDS is recognised as having been retained by the Member. It is proposed that copies of paper forms, that may be used in specific circumstances, will still need to be retained by the Member for three years. But it is recommended that the requirement for the document to be forwarded to the Executive Secretary will be outlined in the Appendix, alongside the circumstances when these paper forms may be used and the process to be followed.

Commented [SEC6]: Members may also wish to consider and discuss if this requirement for Members to retain CDS documents for three years is still essential after the transition to the eCDS..

⁶ Requirements to provide information in the Catch Tagging Form are set out in 4.3.

⁷ Either as a copy of the original form or in electronic format containing all the information in the forms.

	CDS Documents (except the Catch Tagging Form ⁶) shall be forwarded ⁷ to the Executive Secretary on a <u>quarterly basis</u> .	
6.2 The Executive Secretary shall compile the raw data from CDS documentation into an electronic database. The Executive Secretary shall ensure the confidentiality of the raw data in its database and release to any State/fishing entity only the raw data relating to the CCSBT CDS Documents it validated. If a State/fishing entity requests CCSBT CDS Documents relating to another State/fishing entity, the Executive Secretary may release those data only with the latter's agreement.	6.3 The Executive Secretary shall compile the raw data from CDS documentation into an electronic database. The Executive Secretary shall ensure the confidentiality of the raw data in its database and release to any State/fishing entity only the raw data <u>directly</u> relating to the CCSBT CDS Documents it validated <u>or, in the case of imports, that it is required to certify</u> . If a State/fishing entity requests CCSBT CDS Documents relating to another State/fishing entity, the Executive Secretary may release those data only with the latter's agreement.	Apart from a change to the paragraph number to allow for the new paragraph 6.1, only one small change is proposed to allow for the release of CDS data related to imports of SBT that are coming into, or that have come into, that State/fishing entity. Because the eCDS will display data from the 'database', the existing Resolution text included in this paragraph does not allow importing Members to view in the eCDS information relevant to imports of SBT that are coming into, or that have come into, that State/fishing entity (as is current practice with importing Members being able to view this on the paper CDS documents received). This is because the current text is limited to CDS Documents the Member validated, but importing Members do not validate CDS forms, but will still need to see this data to certify the import section. It is proposed that the text "directly" also be added to the existing text "relating to the CCSBT CDS Documents" to strengthen the provisions around what data may be able to be provided.
6.3 The Executive Secretary shall	6.4 The Executive Secretary shall report	No change required, except to update the

Commented [SEC7]: This may require update regarding the timeliness of CDS document completion/provision, based on Member discussions in the technical meeting.

CDS documents will be forwarded to the Executive Secretary as they are completed in the eCDS. But there will be some forms that will be completed outside of the CDS initially. So there may be benefit in defining a timeframe for submission of these forms?

Members will also discuss this issue further in the eCDS Resolution discussions if and as required.

report to the Extended Commission on and circulate to all Members and Cooperating Non-Members the data collected by the CCSBT CDS each year by 1 June for the period of 1 January - 31 December of the preceding year and by 1 December for the period of 1 January - 30 June of the current year. The information to be contained in the reports is specified in Appendix 3 . The Executive Secretary shall provide an electronic copy of the report only to a designated authority of each Member and Cooperating Non-Member.	to the Extended Commission on and circulate to all Members and Cooperating Non-Members the data collected by the CCSBT CDS each year by 1 June for the period of 1 January - 31 December of the preceding year and by 1 December for the period of 1 January - 30 June of the current year. The information to be contained in the reports is specified in Appendix 34 . The Executive Secretary shall provide an electronic copy of the report only to a designated authority of each Member and Cooperating Non-Member.	paragraph number to 6.4 to allow for the inclusion of the new paragraph 6.1. The Appendix number is also proposed to be updated to allow for the new proposed Appendix 1.
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6.4 The Executive Secretary will post on the public area of the CCSBT web-site a subset of the report comprising: ○ Flag State/fishing entity; ○ Harvest year; ○ Product destination (including landings of domestic product); ○ Gear code; ○ Net weight; ○ Estimated whole weight (calculated by applying a conversion factor to the net weight); ○ Copies of all modified CDS forms provided in accordance with paragraph 3.4.	6.5 The Executive Secretary will post on the public area of the CCSBT web-site a subset of the report comprising: ○ Flag State/fishing entity; ○ Harvest year; ○ Product destination (including landings of domestic product); ○ Gear code; ○ Net weight; ○ Estimated whole weight (calculated by applying a conversion factor to the net weight);⁵ ○ Copies of all modified CDS forms provided in accordance with paragraph 3.4.	No change required, except for an update to the paragraph number and the proposed removal of the last sub-bullet point related to paragraph 3.4 which is recommended for deletion.
6.5 On request by the Scientific Committee, Compliance Committee, or other subsidiary body of the Commission, the Executive Secretary shall, with the approval of the Commission, provide to that body data collected by the CCSBT CDS more		No change required except to update the paragraph number to 6.6.

	frequently or at a greater level of detail than specified in 6.3.		
6.6	The Executive Secretary shall analyse the data provided in 6.1 and notify the relevant Member(s) or Cooperating Non-Member(s) of any identified discrepancies.		No change required except to update the paragraph number to 6.7.

7. Verification of CDS Documentation			
7.1	Each Member and Cooperating Non-Member shall ensure that its competent authorities, or other authorised individual or institution, take steps to identify each consignment of SBT landed as domestic product in, imported into or exported or re-exported from its territory and examine the validated CCSBT CDS Documents for each consignment of SBT. These competent authorities, or authorised individuals or institutions, may also examine the content of the consignment to verify the information contained in the CCSBT CDS Document and in		No change required.

	related documents and, where necessary, shall carry out verifications with the operators concerned.		
7.2	Each Member and Cooperating Non-Member shall review information, and investigate and resolve any irregularities identified in relation to their information in the CDS reports, including any discrepancies identified during the comparison of data from the Executive Secretary. Among other matters, Members and Cooperating Non-Members shall cross-check the report provided by the Executive Secretary under 6.3 using information available to it.		No change required.
7.3	Each Member, Cooperating Non-Member and OSEC shall, as soon as practicable, identify to the Executive Secretary and relevant Members, Cooperating Non-Members and OSECs, any consignments of SBT where there are:		No change required.
7.3.1	doubts about the information		

7.3.2	contained in any associated CDS documentation; or incomplete, missing or unvalidated CCSBT CDS documentation.		
7.4	Each Member and Cooperating Non-Member shall co-operate and take all necessary steps with relevant authorities, and within domestic law, to review, investigate and resolve any concerns identified in 7.1 and 7.2, and notify the Executive Secretary of the outcome of any such action for inclusion in its report to the Commission.		No change required.
7.5	The Compliance Committee will consider the summary information compiled by the Executive Secretary in 6.3 and 6.4, including any irregularities and anomalies identified and the outcome of any investigations notified under 7.3.	7.5. The Compliance Committee will consider the summary information compiled by the Executive Secretary in 6.36-34 and 6.46-45 , including any irregularities and anomalies identified and the outcome of any investigations notified under 7.3	No change required, other than to update the references for the Secretariat reporting to allow for the new proposed Paragraph 6.1.
7.6	The Commission, may, on the recommendation of the Compliance Committee, consider		No change required.

	any action as may be required in relation to the findings and outcomes of any verification investigation. Such action may be, but is not limited to, a review of this or other relevant compliance measures.		
7.7	Members, Cooperating Non-members and OSECs shall cooperate to ensure that CDS documents are not forged and/or do not contain misinformation.		No change required.

8. Access to and Security of Information			
8.1	Subject to each Member's, Cooperating Non-Member's and OSEC's national law, the information produced from the CCSBT CDS shall be confidential and may only be used in support of CCSBT purposes or for any other purpose agreed by the Commission.		No change required.
8.2	Where necessary, in support of catch verification procedures,		No change required.

Members, Cooperating Non-Members and OSECs agree to exchange the necessary supporting information and, where relevant, evidence as may be necessary to verify the integrity of the flow of CDS information and to reconcile any discrepancies.		
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9. Implementation and Review

9.1 This resolution will enter into force on 1 January 2010. ⁸ From that date, it replaces the CCSBT Southern Bluefin Tuna Statistical Document Programme adopted by CCSBT on 1 June 2000. For SBT caught before 1 January 2010, the tagging requirements of the CDS may be exempted until 30 June 2010 and the CCSBT CDS Documents shall be completed in the most practicable manner.	9.1 This resolution will entered ^{ed} into force on 1 January 2010. ⁹ From that date, it replaces ds the CCSBT Southern Bluefin Tuna Statistical Document Programme adopted by CCSBT on 1 June 2000. For SBT caught before 1 January 2010, the tagging requirements of the CDS may be exempted until 30 June 2010 and the CCSBT CDS Documents shall be completed in the most practicable manner.	These changes are consistent with the updates included in the previous version of the Resolution circulated to, and amended by, Members.
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⁸ Japan may use its current tagging system until the end of its 2009-10 fishing season (31 March 2010).

9.2 The Compliance Committee will review this resolution no later than at its 2011 meeting to identify any implementation issues, strengths, and weaknesses, and to recommend options to improve this resolution and its supporting procedures at the Extended Commission meeting. This review will include any concerns associated with the breakage or loss of tags and the extent of the use of exemptions in 1.8 and 1.9 as reported by Members and Cooperating Non-Members to the Executive Secretary. The date for a subsequent review will also be agreed at this time.	9.2 The Compliance Committee will review this resolution no later than at its 2011 meeting regularly to identify any implementation issues, strengths, and weaknesses, and to recommend options to improve this resolution and its supporting procedures at the Extended Commission meeting. This <u>Review</u>s will include any concerns associated with the breakage or loss of tags and the extent of the use of exemptions in 1.8 and 1.9 as reported by Members and Cooperating Non-Members to the Executive Secretary. The date for a subsequent review will also be agreed at this time.	These changes are consistent with the updates included in the previous version of the Resolution circulated to, and amended by, Members.
9.3 The Executive Secretary will monitor available technology associated with electronic documentation and fish tags to assist the Compliance Committee in its reviews.		No change required.

Appendices		
<u>Proposed Addition:</u> <u>Appendix 1 - Procedures to allow the issuance of paper CDS forms or printed eCDS forms.</u>		Included in the Draft Resolution.
Appendix 1 - Catch Documentation Scheme Forms	Appendix 1 <u>2</u> - Catch Documentation Scheme Forms	Moved to Appendix 2 and updated to reflect eCDS Working Group discussions and eCDS implementation requirements.
Appendix 2 - Minimum Procedural and Information Standards for CCSBT Member and Cooperating Non-Member Tagging Programmes	Appendix 2 <u>3</u> - Minimum Procedural and Information Standards for CCSBT Member and Cooperating Non-Member Tagging Programmes	No changes proposed apart from the Appendix number.
Appendix 3 - Content of the Executive Secretary's Six Monthly/Annual Reports to the Extended Commission.	Appendix 3<u>4</u> - Content of the Executive Secretary's Six Monthly/Annual Reports to the Extended Commission. All text to remain except for the following recommended changes. Deletion of the third paragraph that currently reads: <i>During the first year of the CDS, there will not be sufficient information from the CDS for all reports to be produced. It is also</i>	Minor amendments suggested to update the Appendix number and remove text that is no longer applicable. The reconciliation process is suggested to continue, however the eCDS will provide the Secretariat with the capability to provide this reporting to Members more regularly. The text in this paragraph has suggested updates to ensure that current reconciliation information provision is maintained, whilst also allowing the Secretariat to develop different ways of delivering this

	<i>accepted that there will be delays in producing reports during the first year of the CDS while the Secretariat is in the process of developing the CDS database and associated reporting mechanisms.</i> Update of the last paragraph in this appendix as below: CDS documentation will be sent to the Secretariat on a quarterly basis from multiple sources. The same document may be sent to the Secretariat at different times. For example, when SBT are exported or transhipped, and again when the same SBT are imported or landed as domestic product. The Secretariat will run regular reconciliation reports on the CDS data received. The reconciliation reports should be designed to provide aggregate information concerning the number and types of documents that are expected, but that have yet to be received from each State/Fishing Entity. These reports should also identify if any discrepancies occur between the figures in the forms from the different sources. The Secretariat should design and produce these reports after the CDS has been in operation for 12 months.	information to members, such as through live dashboards.
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