

Provisional Agenda
Sixteenth Meeting of the Compliance Committee
5 - 8 October 2021
Online

Discussion of blue highlighted agenda/sub-agenda items will commence by correspondence in advance of the meeting.

1. Opening of Meeting

1.1 Welcome

1.2 Adoption of Agenda

1.3 Meeting Arrangements

2. Overview of Compliance with CCSBT Conservation and Management Measures

This agenda item relates to compliance with existing CCSBT conservation and management measures.

2.1 Report from the Secretariat

The Secretariat will present two papers, each including tables that summarise compliance of Members with key CCSBT conservation and management measures:

- *SBT-related measures, and*
- *ERS-related measures.*

2.2 Consideration of COVID-19 Related Issues

Consideration of the operation of Compliance Policy Guideline 5 (CPG5): Guideline on principles for action and steps to be taken in relation to extraordinary circumstances.

2.2.1 Report on Notifications Received under CPG5

The Secretariat and Members will report on any notifications made with respect to CPG5 since its adoption at CCSBT 27 in October 2020.

2.2.2 Report on the Number of Unobserved SBT Transshipments at Sea and Actions Taken by Members

The Secretariat will report on any SBT transshipments-at-sea that occurred without a transshipment observer on board since CCSBT 27 due to deployment issues associated with COVID-19. Members will report on the additional compliance measures they took in these cases.

2.2.3 Application of CPG5 in Future Fishing Seasons

The meeting will consider how policy CPG5 will be applied with respect to potential COVID-19 associated issues in future fishing seasons.

2.3 Annual Reports from Members

Members should submit their annual reports in accordance with the revised [Template for the Annual Report to the Compliance Committee and the Extended Commission that was adopted at CCSBT 26](#).

CC15 agreed that, Members with non-compliant issues outlined in paper CCSBT-CC/2010/04 relating to vessel authorisation, CDS and port inspection reports are to report in their national report (section 1.1) on progress with actions taken to rectify non-compliance. This report should include progress on: corrective actions for overcatch; missing and late CDS submissions (export, import, re-export, tagging forms); unauthorised vessels and validators; numbers of incomplete/inaccurate CDS forms; replicated tag numbers; and large discrepancies between CDS and reported catch data.

This agenda item will focus on questions and answers to key issues within the annual reports, including a detailed examination of Members' performance. It is assumed that Members will have read all submitted annual reports so there should be no need to present full reports. Members should instead highlight any areas where there are issues with their implementation of and/or compliance with CCSBT measures, including addressing any areas noted in the Secretariat's papers presented under agenda item 2.1.

2.4 Assessment of compliance with CCSBT Management Measures

Information presented in the previous sub agenda items as well as in the reports mentioned above are expected to be the major contributor to this process.

2.4.1 Compliance of Members

The Compliance Committee (CC) will consider the performance of Members in complying with CCSBT management measures and provide recommendations for any areas that require improvement.

2.4.2 Application of the Corrective Actions Policy

The CC will consider any identified areas of non-compliance including any instances of TAC over-catches and paybacks, and recommend how any instances of non-compliance should be addressed under the CCSBT's Corrective Actions Policy.

In particular, during 2019 and 2020, Indonesia over-caught its combined 2019 and 2020 SBT allocation by a total of 456,584t. CC16 will review Indonesia's implementation of its payback plan¹ and advise CCSBT 28 on the degree to which the implementation has been successful.

3. Report from the Technical Compliance Working Group (TCWG)

The TCWG/CC Chair will present an oral report of TCWG2's meeting including any recommendations or draft documents for the CC's consideration.

4. Review of Progress on CC15's 2021 Workplan Items

Members and the Secretariat will report back on items from CC's 2021 Workplan (listed below), noting that more substantive items such as report backs by the various intersessional correspondence groups, on-line data submission and access updates, and development of a trial electronic Catch Documentation Scheme (eCDS) are discussed in separate items later in the agenda:

- *Update on operationalisation of the transshipment MoC with the WCPFC;*
- *Report from South Africa on its investigation of the trade data discrepancies reported on page 4 of paper CC/1910/10;*
- *Report from Indonesia on its further investigation into discrepancies between COMTRADE and CDS data (e.g. as reported in page 4 of paper CC/1910/10);*
- *Provision by the EU and Indonesia of their best available annual trade data summaries (for 2018, 2019 and 2020) from their own national databases to the Secretariat; and*
- *Provision of support to South Africa as required to help resolve CDS issues (Australia and New Zealand).*

5. Operation of CCSBT Measures: Issues & Updates

Members and/or the Secretariat will provide updates or report on any problems with the operation of CCSBT Measures such as those listed below:

- *Authorised Vessel/Farm Resolutions;*
- *Transshipment Resolution*
- *Minimum Standards for Inspections in Port; and*
- *IUU Vessel List Resolution:*
 - *The Secretariat will provide a brief update on the cross-listing process and the current status of the CCSBT's IUU Vessel List, and*
 - *A draft IUU Vessel list will be considered if appropriate.*

6. Attributable SBT Catch Definition and Depredation

CC15 agreed that depredation had not been contemplated when the current definition of the Attributable SBT Catch was adopted and that it is uncertain as to whether depredation should be included in the definition. CC15 further agreed that there should be consistency amongst Members. New Zealand volunteered to lead an intersessional discussion group to address this item. New Zealand will report to CC16 on any progress and/or remaining issues identified during the intersessional group's discussions.

¹ See paragraphs 48-49, 89-92 and Attachments 8 and 9 of the Report of CCSBT 27

7. Implementation of the CCSBT Compliance Plan

This agenda item is for actions that had not yet been completed in the three-year Compliance Action Plan for 2018-2020² and/or are maintenance action items that are being addressed during 2021, and are not considered in other sections of this agenda.

7.1 Compliance Assessment Processes and QARs

7.1.1 Report Back from Intersessional Correspondence Group

During 2020 Australia convened an intersessional correspondence group to consider the further development of appropriate compliance assessment tools and processes, including Quality Assurance Reviews (QARs). CC15 agreed that this group should continue its discussions on the potential development of a more formalised Compliance Assessment Process during 2021, and that Australia would delay its report back on the progress and recommendations of this group until CC16.

7.1.2 Quality Assurance Reviews (QARs)

The EU participated in a Phase 1 Quality Assurance Review (QAR) during 2020. The draft of that report was completed by the QAR Review team and provided to the EU in November 2020. The EU provided comments on this draft and the finalised QAR was provided to the EU on 25 June 2021. This agenda item provides an opportunity to consider the EU QAR.

As noted at item 9b of the Compliance Action Plan, CC16 should consider the future need for QARs by reviewing the value of the information obtained and any remedial actions taken by Members. CC16 should also consider any relevant recommendations of the intersessional correspondence group (agenda item 7.1.1), as well as considering the possibility of conducting future QARs, e.g. of specific CCSBT Members, systems and/or measures.

7.2 Market Research Proposal

7.2.1 Update on Japanese market proposal

Japan will present an update on progress with the Compliance Committee related items of this proposal including information on whether the data are available.

7.2.2 Pilot analysis with tag survey data

The Secretariat will provide a pilot analysis of reported catch against tag data for the project described in CCSBT-EC/2010/19.

7.2.3 Discussion of SBT markets other than Japan

The Secretariat will provide the additional trade tables agreed by CC15. Members should present any papers and/or updates on SBT markets other than Japan.

7.3 Proposed Revisions to the CCSBT's CDS Resolution

7.3.1 Paragraph 9

CC15 requested that the Secretariat develop a proposal for a possible modification of the CDS Resolution (paragraph 1.9) to change the 7-day notification requirement to report annually in CC/EC National reports. The Secretariat has proposed some draft revisions accordingly.

7.3.2 Appendix 2

Consideration of any proposed revisions to the CDS Resolution with respect to tag specifications that may have been recommended by TCWG2 as part of the market research proposal work.

² Carried over into 2021

7.4 Standing Agenda Items

The following standing agenda items will be discussed where updated information is available:

- *SBT trade summaries from the Secretariat, identifying any significant changes in emerging markets/market activity together with any newly reported SBT catch from ICCAT's records;*
- *Updates on potential SBT IUU activity including any:*
 - *Ad hoc analyses requested of Trygg Mat Tracking, including making a recommendation regarding the \$20,000 contingency fund currently in place to access Trygg Mat Tracking services if and when needed, and*
 - *Analyses of vessel location information (e.g. analyses of VMS or AIS data);*
- *Non-Member port and market States whose cooperation should be sought including any updates regarding the letter sent to the Russian Federation;*
- *Discussion on developments and use of Electronic Monitoring Systems (EMS) for vessel monitoring, e.g. of catch, bycatch, ERS interactions and transshipments including in circumstances where human observers are not able to be deployed, etc. Members are encouraged to provide examples from their own fleets where relevant. Discuss how EMS could be considered for utilisation within the SBT fisheries context in future;*
- *Any developments with respect to the genetic identification of SBT, if available; and*
- *Ongoing identification and sharing of best practices for MCS systems.*

8. CCSBT Plans, Policies & Arrangements: Review, Revision & Progress Reports

Members and the Secretariat will consider any potential revisions to and/or progress reports on CCSBT policies and arrangements.

8.1 CPG1: Minimum Performance Requirements (MPRs)

The Secretariat will present draft revised MPRs for MPR 5: Measures Relating to Ecologically Related Species, which need to be updated to take into account the Resolution to Align CCSBT's Ecologically Related Species measures with those of other tuna RFMOs.

8.2 Update of the Compliance Action Plan (CAP)

CC15 agreed that consideration of action items to be included in the next CAP will be placed on hold until a face-to-face meeting can be convened. Consideration of compliance risks and progress with regard to mitigation and better quantification of those risks is therefore also on hold. In the interim, this agenda item is a "placeholder" in case there are any action items or consideration of risks that Members wish to raise prior to the next face-to-face meeting.

8.3 Update on CCSBT's Compliance Relationships with other Organisations

The Secretariat will provide updates on any developments from the International Monitoring, Control and Surveillance Network (IMCSN) and its associated network groups, as well as the Secretariat's compliance relationships with any other relevant organisations.

9. eSBT Projects

"eSBT" is the interactive online system being developed for Members to enter and view certain required data for the CCSBT. This includes data such as monthly catch reports, vessel authorisations, validator authorisations and the eCDS.

9.1 On-line Data Submission/ Data Access Project

The Secretariat will provide an update on the on-line data submissions and data access project.

9.2 Development of a Trial eCDS

The Secretariat will provide an update on progress with the trial eCDS development work based on the current CDS Resolution for consideration by CC16. The Secretariat will also propose some changes to the Resolution to support the eCDS.

10. Proposal to enhance the implementation of seabird measures

CC15 agreed that the intersessional seabird correspondence group for this project would continue during 2021 to further refine the project activities and develop the budget and prepare for the project commencing at the end of 2021 or start of 2022. BirdLife International will provide an update on progress with the proposal.

11. Work Program for 2022

12. **Other business**

13. **Recommendations to the Extended Commission**

14. **Conclusion**

14.1. Adoption of Meeting Report

14.2. Close of Meeting.