



CCSBT-CC/1209/09

IUU Vessel List Resolutions of Tuna RFMOs

Introduction

One of the recommendations from Kobe 3 was that tuna RFMOs should cooperate to harmonise IUU vessel listing criteria and procedures to the maximum extent possible, and move towards adopting principles, criteria, and procedures for cross-listing IUU vessels that are listed on the IUU list of other tuna RFMOs. The CCSBT is the only tuna RFMO without an IUU vessel list and the Compliance Committee will consider whether there is a need for such a list within the CCSBT.

To assist the Compliance Committee, this document provides copies of the resolutions on Illegal, Unregulated and Unreported (IUU) Vessels and associated IUU lists from all four tuna RFMOs (tRFMOs) that have adopted such measures.

Resolutions

Copies of the resolutions on Illegal, Unregulated and Unreported (IUU) vessels for the Indian Ocean Tuna Commission (IOTC), Western and Central Pacific Fisheries Commission (WCPFC), International Commission for Conservation of Atlantic Tunas (ICCAT) and Inter-American Tropical Tuna Commission (IATTC) are provided at Attachments A – D respectively.

Current IUU Vessel Lists

Copies of the current IUU vessel list for the IOTC, WCPFC, ICCAT and IATTC are provided at Attachments E – H respectively.

RESOLUTION 11/03
**ON ESTABLISHING A LIST OF VESSELS PRESUMED TO HAVE CARRIED OUT ILLEGAL,
UNREPORTED AND UNREGULATED FISHING IN THE IOTC AREA OF COMPETENCE**

The Indian Ocean Tuna Commission (IOTC),

RECALLING that the FAO Council adopted on 23 June 2001 an International Plan of Action to prevent, to deter and eliminate illegal, unreported and unregulated fishing (IPOA-IUU). This plan stipulates that the identification of the vessels carrying out IUU activities should follow agreed procedures and be applied in an equitable, transparent and non discriminatory way;

RECALLING that the IOTC adopted Resolution 01/07 concerning its support of the IPOA-IUU;

RECALLING that IOTC has already adopted measures against IUU fishing activities and, in particular, against large-scale tuna longline vessels engaged in IUU fishing;

RECALLING that the IOTC adopted Resolution 07/01 to promote compliance by nationals of Contracting Parties and Cooperating Non-contracting Parties with IOTC conservation and management measures;

RECALLING ALSO that the IOTC adopted Resolution 07/02 to enhance the implementation of IOTC conservation and management measures through establishing a Record of fishing vessels authorised to operate in the IOTC area of competence;

CONCERNED by the fact that IUU fishing activities in the IOTC area of competence continue, and these activities diminish the effectiveness of IOTC conservation and management measures;

FURTHER CONCERNED that there is evidence of a large number of vessel owners engaged in such fishing activities who have re-flagged their vessels to avoid compliance with IOTC management and conservation measures;

DETERMINED to address the challenge of an increase in IUU fishing activities by way of countermeasures to be applied in respect of the vessels engaged in IUU fishing, without prejudice to further measures adopted in respect of flag States under the relevant IOTC instruments;

CONSCIOUS of the need to address, as a matter of priority, the issue of large-scale fishing vessels conducting IUU fishing activities,

NOTING that the situation must be addressed in the light of all relevant international fisheries instruments and in accordance with the relevant rights and obligations established in the World Trade Organisation (WTO) Agreement;

ADOPTS, in accordance with paragraph 1 of Article IX of the IOTC Agreement, that:

Definition of IUU Fishing Activities

1. For the purposes of this resolution, fishing vessels are presumed to have carried out illegal, unreported and unregulated fishing activities in the IOTC area of competence, inter alia, when a Contracting Party or Cooperating non-Contracting Party (hereinafter referred to as "CPCs") presents evidence that such vessels:
 - a) Harvest tuna or tuna-like species in the IOTC area of competence and are neither registered on the IOTC Record of Vessels authorised to fish for tuna and tuna-like species in the IOTC area of competence, in accordance with Resolution 07/02, nor recorded in the Active list of Vessels of IOTC, or
 - b) Harvest tuna or tuna-like species in the IOTC area of competence, when their flag State is without sufficient quotas, catch limit or effort allocation under IOTC conservation and management measures where applicable, or



- c) Do not record or report their catches made in the IOTC area of competence in accordance with IOTC reporting requirements, or make false reports, or
- d) Take or land undersized fish in contravention of IOTC conservation measures, or
- e) Fish during closed fishing periods or in closed areas in contravention of IOTC conservation measures, or
- f) Use prohibited fishing gear in contravention of IOTC conservation measures, or
- g) Tranship with, or participate in joint operations such as re-supplying or re-fuelling, vessels included in the IUU Vessels List, or
- h) Harvest tuna or tuna-like species in the waters under the national jurisdiction of a coastal State in the IOTC area of competence without authorisation and/or infringe the coastal State's laws and regulations, (this is without prejudice to the sovereign rights of coastal States to take measures against such vessels), or
- i) Are without nationality and harvest tuna or tuna-like species in the IOTC area of competence, or
- j) Engage in fishing, including transshipping, re-supplying or re-fuelling, contrary to any other IOTC conservation and management measures.

Information on Alleged IUU Fishing Activities

2. CPCs shall transmit every year to the Secretary at least 70 days before the Annual Meeting, a list of the vessels presumed to have been carrying out IUU fishing activities in the IOTC area of competence during the current and previous year, accompanied by evidence supporting the presumption of IUU fishing activity. The IOTC Reporting Form for Illegal Activity (Annex I) shall be used.
3. This list and evidence shall be based on information collected by CPCs from all relevant sources including but not limited to:
 - a) Relevant resolutions of the IOTC, as adopted and amended from time to time;
 - b) Reports from CPCs Parties relating to IOTC conservation and management measures in force;
 - c) Trade information obtained on the basis of relevant trade statistics such as Food and Agriculture Organization of the United Nations (FAO) data, statistical documents and other national or international verifiable statistics; and
 - d) Any other information obtained from port States and/or gathered from the fishing grounds that is suitably documented.

Draft IUU Vessels List

4. On the basis of the information received pursuant to paragraph 2, the Secretary shall draw up a Draft IUU Vessels List. This list shall be drawn up in conformity with Annex II. The Secretary shall transmit it together with the current IUU Vessels List as well as all the evidence provided to CPCs and to non-Contracting Parties whose vessels are included on these lists at least 55 days before the Annual Meeting. CPCs and non-Contracting Parties will transmit any comments to the Secretary at least 15 days before the Annual Meeting of the IOTC, including evidence showing that the listed vessels have neither fished in contravention to IOTC conservation and management measures nor had the possibility of fishing tuna and tuna-like species in the IOTC area of competence.
5. The Flag State shall notify the owner of the vessels of their inclusion in the Draft IUU Vessels List and of the consequences that may result from their inclusion being confirmed in the IUU Vessels List adopted by the Commission.



6. Upon receipt of the Draft IUU Vessels list, CPCs shall closely monitor the vessels included in the Draft IUU Vessels List in order to determine their activities and possible changes of name, flag and or registered owner.

Provisional IUU Vessels List

7. On the basis of the information received pursuant to paragraph 2, the Secretary shall draw up a Provisional IUU Vessels List and transmit it two weeks in advance of the Commission Meeting to the CPCs and to the non-Contracting Parties concerned together with all the evidence and any comments provided. This list shall be drawn up in conformity with Annex II.
8. CPCs and non-Contracting Parties may at any time submit to the Secretary any additional information, which might be relevant to the establishment of the IUU Vessels List. The Secretariat shall circulate the information before the annual meeting to CPCs concerned, together with all the evidence provided.
9. The Compliance Committee shall examine each year the Provisional IUU Vessels List, as well as the information referred to in paragraphs 2, 3, 4, 7 and 8.
10. The Compliance Committee shall remove a vessel from the Provisional IUU Vessels List if the Flag State demonstrates that:
- a) The vessel did not take part in any IUU fishing activities described in paragraph 1, or
 - b) It has taken effective action in response to the IUU fishing activities in question, including, inter alia, prosecution and imposition of sanctions of adequate severity. CPCs will report any actions and measures they have taken in accordance with Resolution 07/01, in order to promote compliance by vessels of CPCs with IOTC conservation and management measures.
11. Where flag State evidence provided to support the details referred to in paragraphs 10a or 10b is submitted after the 15 day deadline referred to in paragraph 4 (including any submission of evidence made during the Compliance Committee's annual meeting) the vessel shall remain on the Provisional IUU List to allow consideration to occur by the relevant authorities inter-sessionally as described in paragraph 14. In cases where no evidence has been provided by the flag State, the Compliance Committee shall recommend to the Commission that the vessel be included on the IOTC IUU Vessel list.
12. Following the examination referred to in paragraph 9, at each IOTC Annual meeting, the IOTC Compliance Committee shall:
- a) Adopt a Provisional IUU Vessels List following consideration of the Draft IUU Vessels List and information and evidence circulated under paragraphs 4, 7 and 8.
 - b) Recommend to the Commission which, if any, vessels should be removed from the IUU Vessels List adopted at the previous IOTC Annual meeting, following consideration of that List, of the information and evidence circulated under paragraph 8 and the information supplied by flag States in accordance with paragraph 19.

IUU Vessels List

13. Taking into account the recommendations and the Provisional IUU Vessels List adopted by the Compliance Committee, and the information provided under paragraph 2, 3, 4, 7 and 8, the Commission shall adopt the IOTC IUU Vessels List.
14. If the Commission is unable to decide, on the basis of the information provided under paragraphs 2, 3, 4, 7 and 8, whether or not a vessel should be included on the IOTC IUU Vessels List, the Commission may suspend its decision and request that supplementary information or evidence be submitted both by the relevant States, including the CPC that transmitted evidence on presumed IUU fishing activities by that vessel and the flag State. The consideration of that vessel's inclusion on the IOTC IUU Vessels list shall continue inter-sessionally by electronic means as follows:



- a) Relevant CPC and the flag State are invited to submit supplementary information or evidence to the IOTC Secretary within 90 days;
 - b) Immediately following this period of 90 days, the Secretary will transmit the proposal to put the vessel on the IOTC IUU Vessels list to all CPCs, along with all the supplementary information or evidence received under paragraph 14(a);
 - c) The CPCs will examine the proposal and supplementary information or evidence to put the vessel on the IOTC IUU Vessels List and notify the Secretary, within 30 days following this transmission, whether or not they support the vessel being included on the IOTC IUU Vessels List;
 - d) At the end of the 30 days period, the Chairperson shall ascertain the outcome of the CPC's decision on the proposal in accordance with the following:
 - i) A majority of the Members of the Commission shall constitute the quorum
 - ii) If a two-thirds majority of the Members of those which have expressed their position and cast affirmative or negative votes are in favour of putting the vessel on the IOTC IUU Vessels List, the vessel shall be included on this list.
 - iii) If the two-thirds majority of the Members of those which have expressed their position and cast affirmative or negative votes is not met, the vessel should remain in the Provisional IUU Vessels List
 - e) The Secretary shall communicate the result of the decision, along with a copy of the amended IOTC IUU Vessels List or the confirmed Provisional IOTC Vessel List, to all CPCs, the flag State of the vessels (if is not a CPC), and any non-Contracting Party that may have an interest. The amended IOTC IUU Vessels List will have effect immediately after the Secretary communicates the result of the decision.
15. On adoption of the IOTC IUU Vessels List, the Secretary shall request CPCs, whose vessels appear on the list:
- a) To notify the owner of the vessel identified on the IUU Vessels List of its inclusion on the list and the consequences which result from being included on the list, as referred to in paragraph 16;
 - b) To take all the necessary measures to eliminate these IUU fishing activities, including if necessary, the withdrawal of the registration or of the fishing licences of these vessels, and to inform the Commission of the measures taken in this respect.
16. CPCs shall take all necessary measures, under their applicable legislation:
- a) So that the fishing vessels, the mother-ships and the cargo vessels flying their flag do not participate in any transshipment with vessels on the IUU Vessels list;
 - b) So that IUU vessels that enter ports voluntarily are not authorized to land, tranship, refuel, re-supply, or engage in other commercial transactions;
 - c) To prohibit the chartering of a vessel included on the IUU Vessels List;
 - d) To refuse to grant their flag to vessels included in the IUU Vessels List, except if the vessel has changed owner and the new owner has provided sufficient evidence demonstrating the previous owner or operator has no further legal, beneficial or financial interest in, or control of, the vessel; or having taken into account all relevant facts, the flag State determines that granting the vessel its flag will not result in IUU fishing;
 - e) To prohibit the imports, landing or transshipment, of tuna and tuna-like species from vessels included in the IUU Vessels List;
 - f) To encourage the importers, transporters and other sectors concerned, to refrain from transaction and transshipment of tuna and tuna-like species caught by vessels included in the IUU Vessels List;



- g) To collect and exchange with other Contracting Parties or Co-operating non-Contracting Parties any appropriate information with the aim of detecting, controlling and preventing false import/export certificates for tunas and tuna-like species from vessels included in the IUU Vessels List.
17. The Secretary will take any necessary measure to ensure publicity of the IUU Vessels List adopted by IOTC pursuant to paragraph 13 or 14, in a manner consistent with any applicable confidentiality requirements, and through electronic means, including placing it on the IOTC website. Furthermore, the Secretary will transmit the IUU Vessels List to other regional fisheries management organisations for the purposes of enhanced co-operation between IOTC and these organisations in order to prevent, deter and eliminate illegal, unreported and unregulated fishing.
18. Without prejudice to the rights of flag States and coastal States to take proper action consistent with international law, the CPCs should not take any unilateral trade measures or other sanctions against vessels provisionally included in the Draft IUU Vessels List, pursuant to paragraph 4, or which have been already removed from the Provisional IUU Vessels List, pursuant to paragraph 10, on the grounds that such vessels are involved in IUU fishing activities.

Deletion from the IUU Vessels List

19. A CPC whose vessel appears on the IUU Vessels List may request the removal of this vessel from the list during the inter-sessional period by providing the following information and supporting evidence:
- a) It has adopted measures such that the vessel conforms with all IOTC conservation measures;
 - b) It is and will continue to assume effectively its responsibilities with respect to this vessel in particular as regards the monitoring and control of the fishing activities executed by this vessel in the IOTC area of competence;
 - c) It has taken effective action in response to the IUU fishing activities in question including prosecution and imposition of sanctions of adequate severity;
 - d) The vessel has changed ownership and that the new owner can establish the previous owner no longer has any legal, financial or real interests in the vessel or exercises control over it and that the new owner has not participated in IUU fishing.

Inter Sessional removal of vessels from the IUU Vessels List

20. The CPC shall send its request for the removal of a vessel from the IUU Vessels List to the IOTC Secretary accompanied by the supporting information referred to in paragraph 19.
21. On the basis of the information received in accordance with paragraph 19, the Secretary will transmit the removal request, with all the supporting information to all CPCs within 15 days following the notification of the removal request.
22. The CPCs will examine the request to remove the vessel and notify the Secretariat of their conclusion to either remove the vessel from, or keep the vessel on, the IUU Vessels List, by mail within 30 days following the notification by the Secretary. At the end of the 30 day period, the Chairperson shall ascertain the outcome of the CPCs' decision on the proposal in accordance with the following:
- i) A majority of the Members of the Commission shall constitute the quorum
 - ii) If a two-thirds majority of the Members of those which have expressed their position and cast affirmative or negative votes are in favour of removing a vessel from the IOTC IUU Vessels List, the vessel shall be removed from this list.
 - iii) If the two-thirds majority of the Members of those which have expressed their position and cast affirmative or negative votes is not met, the vessel remains in the IOTC IUU Vessels list.

23. The Secretary shall communicate the result of the decision, along with a copy of the amended IOTC IUU Vessels List, to all CPCs, the flag State of the vessels (if is not a CPC), and any non-Contracting Party that may have an interest. The amended IOTC IUU Vessels List will have effect immediately after the Secretary communicates the result of the decision.
24. Where the Commission decides to remove a vessel from the IUU Vessels list pursuant to paragraph 23, the Secretary will take the necessary measures to remove the vessel concerned from the IOTC IUU Vessels List, as published on the IOTC website. Moreover, the Secretary will forward the decision of removal of the vessel to other regional fishery management organisations.
25. Resolution 09/03 *On Establishing A List Of Vessels Presumed To Have Carried Out Illegal, Unreported And Unregulated Fishing In The IOTC Area* is superseded by this Resolution.



SEVENTH REGULAR SESSION

Honolulu, Hawaii, USA

6-10 December 2010

**CONSERVATION AND MANAGEMENT MEASURE TO ESTABLISH
A LIST OF VESSELS PRESUMED TO HAVE CARRIED OUT
ILLEGAL, UNREPORTED AND UNREGULATED FISHING ACTIVITIES IN THE WCPO**

Conservation and Management Measure 2010-06¹

The Western and Central Pacific Fisheries Commission (WCPFC):

Recalling that the FAO Council adopted on 23 June 2001 an International Plan of Action to prevent, deter and eliminate illegal, unreported and unregulated fishing (IPOA-IUU). This plan stipulates that the identification of the vessels carrying out illegal, unreported and unregulated (IUU) fishing activities should follow agreed procedures and be applied in an equitable, transparent and non-discriminatory way.

Concerned that IUU fishing activities in the Convention Area undermine the effectiveness of the conservation measures adopted by the WCPFC.

Further concerned that there is a possibility that vessel owners engaged in such fishing activities may have re-flagged their vessels to avoid compliance with WCPFC measures.

Determined to address the challenge of an increase in IUU fishing activities by way of measures to be applied in respect to vessels, without prejudice to further measures adopted in respect of CCMs and non CCMs under the relevant WCPFC instruments.

Considering the action undertaken in other regional tuna fisheries organizations to address this issue;

Conscious of the need to address, as a matter of priority, the issue of vessels conducting IUU fishing activities;

Noting that efforts to prevent, deter and eliminate IUU fishing must be addressed in the light of all relevant international fisheries instruments and in accordance with other international obligations, including the rights and obligations established under the World Trade Organization (WTO) Agreement; and

Recalling Articles 23 and 25 of the WCPF Convention regarding the obligations of members of the Commission and provisions for compliance and enforcement;

Adopts the following conservation and management measures in accordance with Article 10 of the Convention:

¹ By adoption of this CMM 2010-06, which reflected Attachment T and U of the WCPFC7 Summary Report, the Commission rescinds CMM 2007-03 which has been revised and replaced.

Identification of IUU activities

1. At each annual meeting, the Commission will identify those vessels which have engaged in fishing activities for species covered by the Convention within the Convention Area in a manner which has undermined the effectiveness of the WCPF Convention and the WCPFC measures in force, and shall establish, and, as necessary, amend in subsequent years, a list of such vessels (the IUU Vessel List), in accordance with the procedures and criteria set out in this conservation measure.

2. This identification shall be suitably documented, *inter alia*, on reports from Members, Cooperating Non-Members and Participating Territories (collectively CCMs) relating to WCPFC Conservation measures in force, trade information obtained on the basis of relevant trade statistics such as Food and Agriculture Organization of the United Nations (FAO) data, statistical documents and other national or international verifiable statistics, as well as any other information obtained from port States and/or gathered from the fishing grounds that is suitably documented. Information from CCMs should be provided in the format approved by the Commission.

3. For the purposes of this conservation measure, vessels fishing for species covered by the WCPFC Convention are presumed to have carried out IUU fishing activities, as described in the IPOA on IUU fishing, in the Convention Area when a CCM presents suitably documented information that such vessels, *inter alia*:

- a. Harvest species covered by the WCPFC Convention in the Convention Area and are neither on the WCPFC record of authorized vessels nor a fishing vessel fishing exclusively in waters under the jurisdiction of its flag State, or
- b. Conduct fishing activities in waters under the jurisdiction of a coastal State, without permission of that State, or in contravention of its law and regulations, or
- c. Do not record or report their catches made in the Convention Area consistent with WCPFC measures, or make false reports, or
- d. Take and land undersized fish in a way that undermines WCPFC conservation measures, or
- e. Fish in a closed area or during a closed season in a way that undermines WCPFC conservation measures, or
- f. Use prohibited fishing gear in a way that undermines WCPFC conservation measures, or
- g. Tranship with, participate in joint fishing operations with, support or re-supply vessels included in the IUU Vessel List, or
- h. Are without nationality and harvest species covered by the WCPFC Convention in the Convention Area, or
- i. Engage in any other fishing activities that undermine the provisions of the WCPF Convention or any other WCPFC conservation measures, or
- j. Are under the control of the owner of any vessel on the WCPFC IUU Vessel List. (Procedures for applying this paragraph are attached as Annex A)

Information on alleged IUU fishing activities

4. At least 70 days before the annual meeting of the Technical and Compliance Committee (TCC), CCMs shall transmit to the Executive Director their list of vessels presumed to be carrying out IUU activities in the Convention Area during the current or the previous year, accompanied by suitably documented information, as provided in para 2, concerning the presumption of this IUU activity.

5. Before or at the same time as transmitting a list of presumed IUU vessels to the Executive Director, the CCM shall notify, either directly or through the Executive Director, the relevant flag State of a vessel's inclusion on this list and provide a copy of the pertinent suitably documented information. The flag State shall promptly acknowledge receipt of the notification. If no acknowledgement is received within 10 days of the date of transmittal, the CCM shall retransmit the notification through an alternative means of communication.

Draft IUU Vessel List

6. The Executive Director shall draw up a draft IUU Vessel List incorporating the lists of vessels and suitably documented information received pursuant to para 4, and any other suitably documented information at his disposal, and shall transmit it, together with all the supporting information provided, to all CCMs, as well as to non-CCMs with vessels on the list, at least 55 days before the TCC's annual meeting.

7. The Executive Director shall request each CCM and non-CCM with vessels on the draft IUU Vessel List to notify the owner of the vessels of their inclusion in that list, and of the consequences of their inclusion being confirmed in the IUU Vessel List.

8. Upon receipt of the draft IUU Vessel List, CCMs shall closely monitor the vessels included in that list in order to follow their activities and possible changes of name, flag or registered owner.

9. As appropriate, CCMs and non-CCMs with vessels on the list should transmit, at least 10 days before the TCC's annual meeting, their comments to the Executive Director, including suitably documented information, showing that the vessels have fished in a manner consistent with WCPFC conservation measures or the laws and regulations of a State when fishing in waters under the jurisdiction of that State, or have fished exclusively for species not covered by the WCPFC Convention.

10. The Executive Director shall re-circulate the draft IUU Vessel List, 7 days in advance of the TCC's annual meeting, to the CCMs and the non-CCMs concerned, together with all the suitably documented information provided pursuant to paras 4 and 9 above.

11. CCMs and non-CCMs may at any time submit to the Executive Director any additional suitably documented information regarding any vessels on the draft IUU Vessel List. The Executive Director shall circulate this additional information to all CCMs and to the non-CCMs concerned immediately upon receipt of such information.

Provisional and current IUU Vessel List

12. The WCPFC's IUU Vessel List adopted during the previous year, as well as any new suitably documented information regarding this list, including intersessional amendments, shall be transmitted to CCMs and the non-CCMs concerned in conjunction with the draft IUU Vessel List and materials outlined in para 6.

13. CCMs and non-CCMs with vessels on the current WCPFC IUU Vessel List should transmit at least 30 days before the annual meeting of the TCC, but may submit at any time, to the Executive Director suitably documented information regarding any of the vessels on the current WCPFC IUU Vessel List, including, where appropriate, suitably documented information as provided for in paragraph 25. The Executive Director shall re-circulate the current WCPFC IUU Vessel List two weeks in advance of the annual meeting of the TCC to the CCMs and non-CCMs concerned, together with all the information provided pursuant to paragraph 12 and this paragraph.

14. At its annual meeting, the TCC shall:

- (i) following consideration of the draft IUU Vessel List and the suitably documented information circulated under paras 6, 10 and 11, adopt a Provisional IUU Vessel List; and
- (ii) following consideration of the current WCPFC IUU Vessel List and the suitably documented information circulated under paras 12 and 13, recommend to the Commission which, if any, vessels should be removed from the current WCPFC IUU Vessel List.

15. The TCC shall not include a vessel on the Provisional IUU Vessel List if the vessel's flag State demonstrates that:

- a. The vessel fished in a manner consistent with WCPFC Conservation Measures or the laws and regulations of a State when fishing in waters under the jurisdiction of that State, or have fished exclusively for species not covered by the WCPFC Convention, or
- b. Effective action has been taken in response to the IUU fishing activities in question, such as, *inter alia*, prosecution or the imposition of sanctions of adequate severity; or
- c. That the case regarding the vessel or vessels that conducted IUU fishing activities has been settled to the satisfaction of the CCM that originally submitted the vessel for listing and the flag State involved.

16. The TCC shall not include a vessel on the Provisional IUU Vessel List if the notifying CCM did not follow the provisions of para 5.

17. The TCC shall recommend removal of a vessel from the current WCPFC IUU Vessel List only if the vessel's flag State submits to the Executive Director the information provided in para 25 of this measure.

18. Following the examination referred to in para 14, the TCC shall submit the Provisional IUU Vessel List to the Commission for its consideration, and as appropriate, recommend any proposed changes to the current WCPFC IUU Vessel List.

19. The draft IUU Vessel List, Provisional IUU Vessel List, and the WCPFC IUU Vessel List shall contain the following details for each vessel:

- (i) name and previous names, if any;
- (ii) flag and previous flags, if any;
- (iii) owner and previous owners, including beneficial owners, if any;

- (iv) operator and previous operators, if any;
- (v) call sign and previous call signs, if any;
- (vi) Lloyds/IMO number;
- (vii) photographs, where available;
- (viii) date first included on the IUU Vessel List; and
- (ix) summary of activities which justify inclusion of the vessel on the list, together with references to all relevant documents informing of and evidencing those activities.

WCPFC IUU Vessel List

20. At its annual meeting the Commission shall review the Provisional IUU Vessel List, taking into account any new suitably documented information related to vessels on the Provisional IUU Vessel List, and any recommendations to amend the current WCPFC IUU Vessel List made pursuant to paragraph 18 above, and adopt a new WCPFC IUU Vessel List. To the maximum extent possible CCMs and non CCMs shall provide any new suitably documented information at least two weeks before the annual meeting of the Commission.

21. Upon adopting the new WCPFC IUU Vessel List, the Commission shall request CCMs and non-CCMs with vessels on the WCPFC IUU Vessel List to:

- a. notify the owner of the vessels of its inclusion on the WCPFC IUU Vessel List and the consequences that result from being included in the list, and
- b. take all the necessary measures to eliminate these IUU fishing activities, including, if necessary, the withdrawal of the registration or the fishing licenses of these vessels, and to inform the Commission of the measures taken in this respect.

22. CCMs shall take all necessary non-discriminatory measures under their applicable legislation, international law and each CCMs' international obligations, and pursuant to paras 56 and 66 of the IPOA-IUU to:

- a. ensure that fishing vessels, support vessels, mother ships or cargo vessels flying their flag do not participate in any transshipment or joint fishing operations with, support or re-supply vessels on the WCPFC IUU Vessel List;
- b. ensure that vessels on the WCPFC IUU Vessel List that enter ports voluntarily are not authorized to land, tranship, refuel or re-supply therein but are inspected upon entry;
- c. prohibit the chartering of a vessel on the WCPFC IUU Vessel List;
- d. refuse to grant their flag to vessels on the WCPFC IUU Vessel List in accordance with para 1f, Section A, in Conservation and Management Measure 2009-01;
- e. prohibit commercial transactions, imports, landings and/or transshipment of species covered by the WCPFC Convention from vessels on the WCPFC IUU Vessel List;

- f. encourage traders, importers, transporters and others involved, to refrain from transactions in, and transshipment of, species covered by the WCPFC Convention caught by vessels on the WCPFC IUU Vessel List;
- g. collect, and exchange with other CCMs, any appropriate information with the aim of searching for, controlling and preventing false import/export certificates for species covered by the WCPFC Convention from vessels on the WCPFC IUU Vessel List.

23. The Executive Director shall take any measure necessary to ensure publicity of the WCPFC IUU Vessel List, in a manner consistent with any applicable confidentiality requirements, including placing it on the WCPFC website. Furthermore, the Executive Director shall transmit the WCPFC IUU Vessel List to the FAO and to other regional fisheries organizations for the purposes of enhancing cooperation between the WCPFC and these organizations aimed at preventing, deterring and eliminating IUU fishing.

24. Without prejudice to the rights of CCMs and coastal states to take proper action, consistent with international law, including applicable WTO obligations, the CCMs shall not take any unilateral trade measures or other sanctions against vessels on the draft or Provisional IUU Vessel Lists, pursuant to paras 6 or 14, or that have been removed from the WCPFC IUU Vessel List, pursuant to paras 17 and 20, on the grounds that such vessels are involved in IUU fishing activities.

Modification of the WCPFC IUU Vessel List

25. CCMs and non-CCMs with a vessel on the WCPFC IUU Vessel List may request the removal of the vessel from the list at any time during the intersessional period by submitting to the Executive Director suitably documented information demonstrating that:

- a) it has adopted measures that will seek to ensure that the vessel complies with all WCPFC measures; and
- b) it will be able to assume effectively flag state duties with regards to the monitoring and control of the vessel's fishing activities in the Convention Area; and
- c) it has taken effective action in response to the IUU fishing activities that resulted in the vessel's inclusion in the WCPFC IUU Vessel List, including prosecution or the imposition of sanctions of adequate severity; or
- d) the vessel has changed ownership and that the new owner can establish that the previous owner no longer has any legal, financial or real interests in the vessel or exercises control over it, and that the new owner has not participated in IUU fishing activities, or
- e) the case regarding the vessel or vessels that conducted IUU fishing activities has been settled to the satisfaction of the CCM that originally submitted the vessel for listing and the flag State involved.

26. The Executive Director will transmit the removal request, with all the supporting information, to the CCMs within 15 days following the receipt of the removal request. CCMs shall promptly acknowledge receipt of the removal request. If no acknowledgement is received within 10 days of the date of transmittal, the Executive Director shall retransmit the removal request and shall use additional means available to ensure the request has been received.

27. Each Commission Member shall examine the removal request and notify the Executive Director in writing of its decision, and the rationale therefore, regarding the removal of the vessel within 40 days

following the notification by the Executive Director. Decisions on the request to remove the vessel shall be made in accordance with Rule 30 of the Rules of Procedure.

28. If Commission Members agree to the removal of the vessel from the WCPFC IUU Vessel List within the period stipulated in para 27, the Executive Director will inform CCMs, non-CCMs, FAO and other regional fisheries management organizations, and will remove the vessel from the WCPFC IUU Vessel List, as published on the WCPFC website.

29. If Commission Members disagree with the request for the removal of the vessel from the IUU Vessel List, the vessel will be maintained on the WCPFC IUU Vessel List and the Executive Director will inform the CCMs and/or non-CCMs that made the removal request.

Review

30. This Conservation and Management Measure shall be subject to review and, as appropriate, revision by the TCC.

**PROCEDURES FOR APPLYING
PARAGRAPH 3(J) OF WCPFC CMM 2010-06**

These procedures are to be followed by the Commission in applying paragraph 3(j) of this CMM. The procedures must work in concert and not conflict with the procedures outlined in this CMM, and the rules and responsibilities of TCC and the Commission.

Ownership and control

1. For the purposes of these procedures, the legal or natural person(s) or entity/entities that own and control a vessel (the “owner(s) of record”) are those indicated on the WCPFC Record of Fishing Vessels or the WCPFC Interim Register of non-Member Carrier and Bunker Vessels. If a vessel is not on either of those lists, then the owner of record is the owner or owners as indicated on the vessel’s national registration document.

2. For the purposes of these procedures, a vessel shall be considered to have the same owner(s) of record where one or more of the legal or natural person(s) or entity/entities indicated on the WCPFC Record of Fishing Vessels or the WCPFC Interim Register of non-Member Carrier and Bunker Vessels is the same. If a vessel is not on either of those lists, then the owner(s) of record is/are the same where one or more of the legal or natural person/s or entity/entities indicated on the vessel’s national registration document is/are the same.

3. For the purpose of considering whether to add or remove a vessel or vessels from the Provisional WCPFC IUU Vessel List or the WCPFC IUU Vessel List pursuant to paragraph 3j and paragraph 25(d) of this CMM, the owner(s) of record will not be considered to have changed unless the new owner(s) of record provides suitably documented information demonstrating to the satisfaction of the Commission that the ownership of the vessel has changed, that the previous owner(s) of record no longer has any legal, financial or real interests in it, and that the new owner(s) of record has not participated in any IUU fishing activities.

Identification and nomination of vessels

4. For the purposes of these procedures, a vessel may be nominated by a CCM under paragraph 3(j) of this CMM if it meets the condition in paragraph (a) below, and the conditions in either paragraphs (b) or (c) below:

- a. The fishing vessel to be nominated:
 - i. is currently operating in the Convention Area; or
 - ii. has operated in the Convention Area at any time since the date of the infringement(s) that led to the listing of the underlying vessel(s) on the WCPFC IUU Vessel List (as defined below in paragraph (b)); and
 - iii. is, or was at any time since the date of infringement(s) that led to the listing of the underlying vessel(s) (as defined below in paragraph (b)) on the WCPFC IUU Vessel List, on the WCPFC Record of Fishing Vessels or the WCPFC Interim Register of non-Member Carrier and Bunker Vessels.
- b. The owner of record is the owner of record of three or more vessels currently on the WCPFC IUU Vessel List (hereafter “the underlying vessel(s)”).
- c. The owner of record has one or more vessels that have been included on the WCPFC

IUU Vessel List for the last two years or more (hereafter “the underlying vessels”).

5. For the purposes of these procedures, all additional vessels fully or partly owned by the same owner of record as the underlying vessel(s) that meet condition 4(a) shall be considered together and either all or none will be placed on the WCPFC IUU Vessel List. Similarly, all additional vessels fully or partly owned by the same owner of record as the underlying vessel(s) that meet condition 4(a) will be considered as one and either all or none will be removed from the WCPFC IUU Vessel List.

Information to be provided

6. CCMs shall submit suitably documented information demonstrating that the fishing vessels they wish to nominate under paragraph 3(j) of this CMM meet the criteria set out in paragraph 4 of these procedures. CCMs shall submit this information to the Executive Director 70 days before the annual meeting of the TCC along with the list of fishing vessels being nominated (hereinafter “3j” vessels).

7. Before or at the same time as transmitting a list of 3j vessels to the Executive Director, the CCM shall notify, either directly or through the Executive Director, the relevant flag State of the vessels’ inclusion on this 3j list, and provide a copy of the pertinent suitably documented information. The flag State shall promptly acknowledge receipt of the notification. If no acknowledgment is received within 10 days of the date of transmittal, the CCM shall retransmit the notification through an alternative means of communication.

Draft IUU Vessel List

8. The Executive Director shall include on the Draft IUU Vessel List, which is drawn up and circulated in accordance with the provisions of this CMM, those 3j vessels that have been nominated by CCMs in accordance with these procedures.

9. The Executive Director shall notify the relevant flag states of the inclusion of their 3j vessels on the draft IUU Vessel List and of the consequences of these vessels being confirmed on the IUU Vessel List.

10. As appropriate, relevant flag states with 3j vessels on the Draft IUU Vessel List may transmit to the Executive Director, at least 10 days before the TCC’s annual meeting, suitably documented information showing the 3j vessels do not meet the criteria outlined in paragraph 4 of these procedures. The Executive Director shall circulate this information to all CCMs immediately upon receipt of such information.

11. Small island developing CCMs may provide additional information to the Executive Director prior to TCC, or anytime before the Annual Commission meeting to advise that the proposed IUU listing of such 3j vessels would constrain the operation of domestic processing, transshipment facilities, or associated vessels of small island developing CCMs, or would undermine existing investment in FFA member countries. The Executive Director shall circulate this information to all CCMs immediately upon receipt of such information.

Provisional and current WCPFC IUU Vessel List

12. At its annual meeting, with respect to 3j vessels that are on the Draft IUU Vessel List, the TCC shall:

- a. consider suitably documented information, if any, provided by a CCM or a non-CCM, as well as any relevant information regarding the status of an investigation, judicial or administrative proceeding related to the underlying vessel(s) and the cooperation and

- b. responsiveness of the owner of record in such proceedings; consider information related to 3j vessels that may be submitted by small island developing CCMs pursuant to paragraph 11: and
- c. following consideration of this information, decide whether to include the nominated 3j vessels on the Provisional IUU Vessel List developed in accordance with the provisions of this CMM.

13. As appropriate, relevant flag states with 3j vessels on the current WCPFC IUU Vessel List may transmit, at least 20 days before the TCC's annual meeting, but may submit at any time, to the Executive Director suitably documented information showing the 3j vessels do not meet the criteria outlined in paragraph 4 of these procedures, or any other relevant information, including suitably documented information as provided for in paragraph 1. The Executive Director shall circulate this information to all CCMs immediately upon receipt of such information.

14. The TCC shall not include 3j vessels on the Provisional IUU Vessel List if suitably documented information is provided by any CCM that the vessels no longer have a common owner of record with the underlying vessel(s) that triggered the nomination under paragraph 4.

15. At its annual meeting, with respect to 3j vessels that are on the current WCPFC IUU Vessel List the TCC shall:

- a. consider suitably documented information, if any, provided by a CCM or non-CCM, as well as any relevant information regarding the status of an investigation, judicial or administrative proceeding related to the underlying vessel(s) and the cooperation and responsiveness of the owner of record in such proceedings; and
- b. following consideration of the suitably documented information, recommend to the Commission whether or not the 3j vessels should be removed from the WCPFC IUU Vessel List.

16. The TCC shall recommend removal of 3j vessels from the current WCPFC IUU Vessel List if suitably documented information:

- a. is provided that the vessels no longer have a common owner of record with the underlying vessel(s) that triggered the nomination under paragraph 4; or
- b. is provided that demonstrates that significant progress has been made to resolve the matter related to the underlying vessel(s) that triggered the nomination of the 3j vessels, and the CCM that originally submitted the 3j vessels for listing is satisfied.

WCPFC IUU Vessel List

17. Once 3j vessels are included on the Provisional IUU Vessel List, they shall be treated as part of that List and, where appropriate, the WCPFC IUU Vessel List, in accordance with paragraphs 20-24 of this CMM.

Modification of the WCPFC IUU Vessel List

18. Relevant flag states may request to remove 3j vessels from the WCPFC IUU Vessel List at any time during the intercessional period by submitting to the Executive Director suitably documented information that:

- a. the vessels no longer have a common owner of record with the underlying vessel(s)

- b. that triggered the nomination under paragraph 4; or
significant progress has been made to resolve the matter related to the underlying vessel(s) that triggered the nomination of the 3j vessels, and the CCM that originally submitted the 3j vessels for listing is satisfied.

19. Small island developing CCMs may also request removal of 3j vessels from the WCPFC IUU Vessel List at any time during the intercessional period by submitting to the Executive Director information that the listing of such 3j vessels has resulted in a disproportionate burden on the operation of domestic processing, transshipment facilities, or associated vessels of small island developing CCMs, or has undermined existing investment in FFA member countries.

20. Removal requests for 3j vessels shall be treated in accordance with paragraphs 26-29 of this CMM.

21. If the underlying vessel is removed from the WCPFC IUU List, all additional vessels fully or partly owned by the same owner of record as the underlying vessel(s) and listed pursuant to the 3j procedures contained herein will be automatically removed at the same time.

11-18

GEN

**RECOMMENDATION BY ICCAT FURTHER AMENDING RECOMMENDATION 09-10
ESTABLISHING A LIST OF VESSELS PRESUMED TO HAVE CARRIED OUT
ILLEGAL, UNREPORTED AND UNREGULATED FISHING ACTIVITIES IN
THE ICCAT CONVENTION AREA**

RECALLING that the FAO Council adopted on 23 June 2001 an International Plan of Action to prevent, to deter and eliminate illegal, unreported and unregulated fishing (IPOA-IUU). This plan stipulates that the identification of the vessels carrying out Illegal Unregulated and Unreported (IUU) activities should follow agreed procedures and be applied in an equitable, transparent and non-discriminatory way.

RECALLING that ICCAT has already adopted measures against IUU fishing activities and, in particular, against large-scale tuna longline vessels,

CONCERNED by the fact that IUU fishing activities in the ICCAT area continue, and these activities diminish the effectiveness of ICCAT conservation and management measures,

FURTHER CONCERNED that there is evidence of a large number of vessel owners engaged in such fishing activities which have re-flagged their vessels to avoid compliance with ICCAT management and conservation measures, and to evade the ICCAT-adopted non discriminatory trade measures,

DETERMINED to address the challenge of an increase in IUU fishing activities by way of counter-measures to be applied in respect to the vessels, without prejudice to further measures adopted in respect of flag States under the relevant ICCAT instruments.

CONSIDERING the results of the ICCAT *Ad Hoc* Working Group on Measures to Combat IUU Fishing, which was held in Tokyo from May 27 to 31, 2002,

CONSCIOUS of the urgent need to address the issue of large-scale fishing vessels as well as other vessels conducting IUU fishing and fishing related activities in support of IUU fishing,

NOTING that the situation must be addressed in the light of all relevant international fisheries instruments and in accordance with the relevant rights and obligations established in the World Trade Organization (WTO) Agreement.

**THE INTERNATIONAL COMMISSION FOR THE CONSERVATION
OF THE ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:**

Definition of IUU Activities

1. For the purposes of this recommendation, the fishing vessels flying the flag of a non-Contracting Party, or a Cooperating non-Contracting Party, Entity or Fishing Entity, or a Contracting Party are presumed to have carried out illegal, unreported and unregulated fishing activities in the ICCAT Convention area, *inter alia*, when a Contracting Party or a Cooperating non-Contracting Party, Entity or Fishing Entity (hereafter referred to as CPC) presents evidence that such vessels:
 - a) Harvest tunas and tuna-like species in the Convention area and are not registered on the ICCAT list of vessels authorized to fish for tuna and tuna-like species in the ICCAT Convention area;
 - b) Harvest tuna and tuna-like species in the Convention area, whose flag State is without quotas, catch limit or effort allocation under relevant ICCAT conservation and management measures;
 - c) Do not record or report their catches made in the ICCAT Convention area, or make false reports;
 - d) Take or land undersized fish in contravention of ICCAT conservation measures;
 - e) Fish during closed fishing periods or in closed areas in contravention of ICCAT conservation measures;

- f) Use prohibited fishing gear in contravention of ICCAT conservation measures;
- g) Transship with, or participate in joint operations such as re-supply or re-fuelling vessels included in the IUU vessels list;
- h) Harvest tuna or tuna-like species in the waters under the national jurisdiction of the coastal States in the Convention area without authorization and/or infringes its laws and regulations, without prejudice to the sovereign rights of coastal States to take measures against such vessels,
- i) Are without nationality and harvest tunas or tuna-like species in the ICCAT Convention area, and/or
- j) Engage in fishing activities contrary to any other ICCAT conservation and management measures.

Information on alleged IUU activities

2. CPCs shall transmit every year to the Executive Secretary at least 120 days before the annual meeting, the list of vessels flying the flag of a non-Contracting Party presumed to be carrying out IUU fishing activities in the Convention Area during the current and previous year, accompanied by the supporting evidence concerning the presumption of IUU fishing activity.

This list shall be based on the information collected by CPCs, *inter alia*, under relevant ICCAT recommendations and resolutions.

Draft IUU List

3. On the basis of the information received pursuant to paragraph 2, the ICCAT Executive Secretary shall draw up a Draft IUU List. This list shall be drawn up in conformity with **Annex 1**. The Secretary shall transmit it together with the current IUU List as well as all the evidence provided to CPCs, and to non-Contracting Parties whose vessels are included on these lists before at least 90 days before the annual meeting. CPCs and non-Contracting Parties, shall transmit their comments, as appropriate, including evidence showing that the listed vessels have neither fished in contravention to ICCAT conservation and management measures nor had the possibility of fishing tuna and tuna-like species in the Convention area, at least 30 days before the annual meeting of ICCAT.

The Commission shall request the flag State to notify the owner of the vessels of its inclusion in the Draft IUU List and of the consequences that may result from their inclusion being confirmed in the IUU list adopted by the Commission.

Upon receipt of the Draft IUU List, CPCs shall closely monitor these vessels included in the Draft IUU List in order to determine their activities and possible changes of name, flag and/or registered owner.

Provisional IUU List

4. On the basis of the information received pursuant to paragraph 3, the Executive Secretary shall draw up a Provisional List which he will transmit two weeks in advance to the Commission meeting to the CPCs and to the non-Contracting Parties concerned, together with all the evidence provided. This list shall be drawn up in conformity with **Annex 1**.
5. CPCs may at any time submit to the ICCAT Executive Secretary any additional information, which might be relevant for the establishment of the IUU list. The ICCAT Executive Secretary shall circulate the information, at latest before the annual meeting, to the CPCs and to the non-Contracting Parties concerned, together with all the evidence provided.
6. The Permanent Working Group for the Improvement of ICCAT Statistics and Conservation Measures (PWG) shall examine, each year, the Provisional List, as well as the information referred to in paragraphs 3 and 5. The results of this examination may, if necessary, be referred to the Conservation and Management Measures Compliance Committee.

The PWG shall remove a vessel from the Provisional List if the flag State demonstrates that:

- The vessel did not take part in any IUU fishing activities described in paragraph 1, or

- Effective action has been taken in response to the IUU fishing activities in question, including, *inter alia*, prosecution and imposition of sanctions of adequate severity.
7. Following the examination referred to in paragraph 6, at each ICCAT annual meeting, the PWG shall:
- i) Adopt a Provisional IUU Vessel List following consideration of the Draft IUU List and information and evidence circulated under paragraphs 3 and 5. The Provisional IUU Vessel List shall be submitted to the Commission for approval.
 - ii) Recommend to the Commission which, if any, vessels should be removed from the IUU Vessel List adopted at the previous ICCAT annual meeting, following consideration of that List, of the information and evidence circulated under paragraph 5 and the information received in accordance with paragraph 14.

IUU List

8. On adoption of the list, the Commission shall request non-Contracting Parties, whose vessels appear on the IUU List:
- To notify the owner of the vessel identified on the IUU Vessels List of its inclusion on the list and the consequences which result from being included on the list, as referred to in paragraph 9.
 - To take all the necessary measures to eliminate these IUU fishing activities, including if necessary, the withdrawal of the registration or of the fishing licenses of these vessels, and to inform the Commission of the measures taken in this respect.
9. CPCs shall take all necessary measures, under their applicable legislation:
- So that the fishing vessels, support vessels, refueling vessels, the mother-ships and the cargo vessels flying their flag do not assist in any way, engage in fishing processing operations or participate in any transshipment or joint fishing operations with vessels included on the IUU Vessels List;
 - So that IUU vessels are not authorized to land, tranship re-fuel, re-supply, or engage in other commercial transactions;
 - To prohibit the entry into their ports of vessels included on the IUU list, except in case of *force majeure*, unless vessels are allowed entry into port for the exclusive purpose of inspection and effective enforcement action;
 - To give priority to the inspection of vessels on the IUU list, if such vessels are otherwise found in their ports;
 - To prohibit the chartering of a vessel included on the IUU vessels list;
 - To refuse to grant their flag to vessels included in the IUU list, except if the vessel has changed owner and the new owner has provided sufficient evidence demonstrating the previous owner or operator has no further legal, beneficial or financial interest in, or control of, the vessel, or having taken into account all relevant facts, the flag CPC determines that granting the vessel its flag will not result in IUU fishing;
 - To prohibit the imports, or landing and/or transshipment, of tuna and tuna-like species from vessels included in the IUU list;
 - To encourage the importers, transporters and other sectors concerned, to refrain from transaction and transshipment of tuna and tuna-like species caught by vessels included in the IUU list;
 - To collect and exchange with other CPCs any appropriate information with the aim of searching for, controlling and preventing false import/export certificates regarding tunas and tuna-like species from vessels included in the IUU list.
10. The ICCAT Executive Secretary will take any necessary measure to ensure publicity of the IUU vessels list adopted by ICCAT pursuant to paragraph 7, in a manner consistent with any applicable confidentiality requirements, and through electronic means, by placing it on the ICCAT web site. Furthermore, the ICCAT Executive Secretary will transmit the IUU Vessels List to other regional fisheries organizations for the purposes of enhanced co-operation between ICCAT and these organizations in order to prevent, deter and eliminate illegal, unreported and unregulated fishing.

11. Upon receipt of the final IUU vessel list established by another regional fisheries management organization (RFMO) managing tuna or tuna-like species and supporting information considered by that RFMO, and any other information regarding the listing determination, the Executive Secretary shall circulate this information to the CPCs. Vessels that have been included on or deleted from the respective lists shall be included on or deleted from the ICCAT IUU Vessel List as appropriate, unless any Contracting Party objects to the inclusion on the final ICCAT IUU list within 30 days of the date of transmittal by the Executive Secretary on the grounds that:
- i) there is satisfactory information to establish that:
 - a) The vessel did not engage in the IUU fishing activities identified by the other RFMO, or
 - b) That effective action has been taken in response to the IUU fishing activities in question, including, *inter alia*, prosecution, and imposition of sanctions of adequate severity,

or
 - ii) There is insufficient supporting information and other information regarding the listing determination to establish that none of the conditions in sub-paragraph i) above have been met.

In the event of an objection to a vessel listed by another RFMO managing tuna or tuna-like species being included on the final ICCAT IUU Vessel List pursuant to this paragraph, such vessel shall be placed on the Draft IUU Vessel List and considered by the PWG pursuant to paragraph 6.

12. This recommendation shall apply to fishing vessels 12 meters or greater in length overall and, *mutatis mutandis*, fish processing vessels, tug and towing vessels, vessels engaged in transshipment, and support vessels. The Commission shall, at its annual meeting in 2013, review and, as appropriate, revise this recommendation with a view to its extension to other types of IUU fishing activities.
13. Without prejudice to the rights of flag States and coastal States to take proper action consistent with international law, CPCs shall not take any unilateral trade measures or other sanctions against vessels provisionally included in the Draft IUU List, pursuant to paragraph 3, or which have been already removed from the list, pursuant to paragraph 6, on the grounds that such vessels are involved in IUU fishing activities.

Deletion from the IUU vessels list

14. A non-Contracting Party whose vessel appears on the IUU List may request the removal of this vessel from the list during the inter-sessional period by providing the following information:
- It has adopted measures so that this vessel conforms with ICCAT conservation measures,
 - It is and will continue to assume effectively its responsibilities with respect to this vessel in particular as regards the monitoring and control of the fishing activities executed by this vessel in the ICCAT Convention area,
 - It has taken effective action in response to the IUU fishing activities in question including prosecution and imposition of sanctions of adequate severity; and/or
 - The vessel has changed ownership and that the new owner can establish the previous owner no longer has any legal, financial or real interests in the vessel or exercises control over it and that the new owner has not participated in IUU fishing.

Inter-sessional modification of the IUU Vessels List

15. The non-Contracting Party shall send its request for the removal of a vessel from the IUU Vessels List to the ICCAT Executive Secretary accompanied by the supporting information referred to in paragraph 14.
16. On the basis of the information received in accordance with paragraph 14, the ICCAT Executive Secretary will transmit the removal request, with all the supporting information to the Contracting Parties within 15 days following the notification of the removal request.

17. The Contracting Parties will examine the request to remove the vessel and arrive at a conclusion on either the removal from, or the maintenance of the vessel on the IUU Vessels List by mail within 30 days following the notification by the Executive Secretary. The result of the examination of the request by mail will be checked by the Executive Secretary at the end of the 30-day period following the date of the notification by the Executive Secretary referred to in paragraph 16.
18. The Executive Secretary will communicate the result of examination to all Contracting Parties.
19. If the result of the exercise indicates that there is a majority of the Contracting Parties in favor of removal of the vessel from the IUU List, the Chairperson of ICCAT, on behalf of ICCAT, will communicate the result to all the Contracting Parties and to the non-Contracting Party which requested the removal of its vessel from the IUU list. In the absence of a majority, the vessel will be maintained on the IUU List and the Executive Secretary will inform the non-Contracting Party accordingly.
20. The ICCAT Executive Secretary will take the necessary measures to remove the vessel concerned from the ICCAT IUU Vessels List, as published on the ICCAT web site. Moreover, the ICCAT Executive Secretary will forward the decision of removal of the vessel to other regional fishery organizations.

General dispositions

21. This recommendation replaces Recommendation 09-10.
22. This Recommendation shall apply *mutatis mutandis* to vessels referred to in paragraph 12 flying the flag of CPCs.

Annex 1

Information to be included in all IUU Lists (Draft, Provisional and Final)

The Draft IUU List, as well as the Provisional IUU List shall contain the following details, where available:

- i) Name of vessel and previous names;
- ii) Flag of vessel and previous flag;
- iii) Name and address of owner of vessel and previous owners, including beneficial owners, and owner's place of registration;
- iv) Operator of vessel and previous operators;
- v) Call sign of vessel and previous call sign;
- vi) Lloyds/IMO number;
- vii) Photographs of the vessel;
- viii) Date vessel was first included on the IUU List;
- ix) Summary of activities which justify inclusion of the vessel on the List, together with references to all relevant documents informing of and evidencing those activities

INTER-AMERICAN TROPICAL TUNA COMMISSION
COMISIÓN INTERAMERICANA DEL ATÚN TROPICAL

73RD MEETING

LANZAROTE (SPAIN)
20-24 JUNE 2005

RESOLUTION C-05-07

**RESOLUTION TO ESTABLISH A LIST OF VESSELS PRESUMED TO
HAVE CARRIED OUT ILLEGAL, UNREPORTED AND UNREGULATED
FISHING ACTIVITIES IN THE EASTERN PACIFIC OCEAN**

The Inter-American Tropical Tuna Commission (IATTC):

Recalling that the FAO Council adopted on 23 June 2001 an International Plan of Action to prevent, deter and eliminate illegal, unreported and unregulated fishing (IPOA-IUU). This plan stipulates that the identification of the vessels carrying out illegal, unreported and unregulated (IUU) fishing activities should follow agreed procedures and be applied in an equitable, transparent and non-discriminatory way.

Concerned that IUU fishing activities in the Convention area undermine the effectiveness of the IATTC conservation and management measures.

Further concerned that there is a possibility that vessel owners engaged in such fishing activities may have re-flagged their vessels to avoid compliance with IATTC management and conservation measures.

Determined to address the challenge of an increase in IUU fishing activities by way of counter-measures to be applied in respect to vessels, without prejudice to further measures adopted in respect of flag States under the relevant IATTC instruments.

Considering the action undertaken in other regional tuna fisheries organizations to address this issue;

Conscious of the need to address, as a matter of priority, the issue of vessels conducting IUU fishing activities; and

Noting that the situation must be addressed in the light of all relevant international fisheries instruments and in accordance with the relevant rights and obligations established in the World Trade Organization (WTO) Agreement;

Resolves as follows:

1. For the purposes of this resolution, vessels fishing for species covered by the IATTC Convention are presumed to have carried out IUU fishing activities in the EPO, *inter alia*, when an IATTC Party, cooperating non-Party, fishing entity or regional economic integration organization (collectively "CPCs") presents evidence that such vessels:
 - a. Harvest species covered by the IATTC Convention in the EPO and are not on the IATTC Regional Vessel Register, or
 - b. Do not record or report their catches made in the EPO, or make false reports, or
 - c. Take or land undersized fish in contravention of IATTC conservation measures, or
 - d. Fish during closures in contravention of IATTC conservation measures, or
 - e. Use prohibited fishing gear in contravention of IATTC conservation measures, or
 - f. Transship with vessels included in the IATTC IUU Vessel List, established by this resolution, or
 - g. Are without nationality and harvest species covered by the IATTC Convention in the EPO, or

- h. Engage in fishing activities contrary to any other IATTC conservation and management measures, or
 - i. Are under the control of the owner of any vessel on the IATTC IUU Vessel List.
2. Each CPC shall transmit to the Director, before 1 February of every year, a list of any vessels presumed to have carried out IUU fishing activities in the EPO during the current and previous years, accompanied by the evidence supporting the presumption of IUU fishing activity.

The IATTC IUU Vessel List shall be based on information collected by CPCs and from any other relevant sources. Information from CPCs should be provided in the format approved by the Parties.

3. On the basis of the information received pursuant to paragraph 2, the Director shall draw up a draft IATTC IUU Vessel List and shall transmit it, together with all the supporting evidence provided, to all CPCs, as well as to non-parties with vessels on the List, before 1 March of each year. CPCs and non-parties shall, before 15 April, transmit their comments to the Director, as appropriate, including evidence showing that the vessels neither have fished in contravention of IATTC conservation and management measures nor had the possibility of fishing for species covered by the IATTC Convention in the EPO.

Upon receipt of the draft IATTC IUU Vessel List, CPCs shall closely monitor the vessels included in the draft List in order to determine their activities and possible changes of name, flag and/or registered owner.

4. On the basis of the information received pursuant to paragraph 3, the Director shall draw up a provisional IATTC IUU Vessel List, and transmit it, two weeks in advance of the Annual Meeting of the Commission, to the CPCs and the non-parties concerned, together with all the evidence provided.
5. CPCs may at any time submit to the Director any additional information which might be relevant for the establishment of the IATTC IUU Vessel List. The Director shall circulate the information, together with all the evidence provided, to the CPCs and to the non-parties concerned, at least two weeks before the Annual Meeting of the Commission.
6. The IATTC-AIDCP Joint Working Group on Fishing by Non-Parties (Joint Working Group) shall each year examine the provisional IATTC IUU Vessel List, as well as the information referred to in paragraphs 3 and 5. The results of this examination may, if necessary, be referred to the Permanent Working Group on Compliance.

The Joint Working Group shall remove a vessel from the provisional IATTC IUU Vessel List if the vessel's flag State demonstrates that:

- a. The vessel did not engage in any of the IUU fishing activities described in paragraph 1, or
 - b. Effective action has been taken in response to the IUU fishing activities in question, including, *inter alia*, prosecution, and imposition of sanctions of adequate severity.
7. Following the examination referred to in paragraph 6, the Joint Working Group shall recommend that the Commission approve the provisional IATTC IUU Vessel List, as amended by the Joint Working Group.
 8. Once the provisional IATTC IUU Vessel List is adopted by the Commission, the Commission shall ask non-parties with vessels on the IATTC IUU Vessel List to take all the necessary measures to eliminate these IUU fishing activities, including, if necessary, the withdrawal of the registration or the fishing licenses of these vessels, and to inform the Commission of the measures taken in this respect.
 9. CPCs shall take all necessary measures, under their applicable legislation and pursuant to paragraphs 56 and 66 of the IPOA-IUU, to:

- a. ensure that vessels flying their flag do not transship with vessels on the IATTC IUU Vessel List;
 - b. ensure that vessels on the IATTC IUU Vessel List that enter ports voluntarily are not authorized to land or transship therein;
 - c. prohibit the chartering of a vessel on the IATTC IUU Vessel List;
 - d. refuse to grant their flag to vessels on the IATTC IUU Vessel List, unless the vessel has changed owner, and the new owner has provided sufficient evidence demonstrating that the previous owner or operator has no further legal, beneficial or financial interest in, or control of, the vessel or, having taken into account all relevant facts, the flag CPC determines that granting the vessel its flag will not result in IUU fishing;
 - e. prohibit commercial transactions, imports, landings and/or transshipment of species covered by the IATTC Convention from vessels on the IATTC IUU Vessel List;
 - f. encourage traders, importers, transporters and others involved, to refrain from transactions in, and transshipment of, species covered by the IATTC Convention caught by vessels on the IATTC IUU Vessel List;
 - g. collect, and exchange with other CPCs, any appropriate information with the aim of searching for, controlling and preventing false import/export certificates for species covered by the IATTC Convention from vessels on the IATTC IUU Vessel List.
10. The Director shall take any measure necessary to ensure publicity of the IATTC IUU Vessel List, in a manner consistent with any applicable confidentiality requirements, including placing it on the IATTC website. Furthermore, the Director shall transmit the IATTC IUU Vessel List to other regional fisheries organizations for the purposes of enhancing co-operation between the IATTC and these organizations aimed at preventing, deterring and eliminating IUU fishing.
 11. This resolution shall apply to any fishing vessel greater than 24 meters overall length.
 12. Without prejudice to the rights of CPCs and coastal states to take proper action, consistent with international law, the CPCs shall not take any unilateral trade measures or other sanctions against vessels on the draft or provisional IATTC IUU Vessel Lists, pursuant to paragraphs 3 or 4, or that have been removed from the IATTC IUU Vessel List, pursuant to paragraph 6, on the grounds that such vessels are involved in IUU fishing activities.
 13. This resolution replaces Resolution C-04-04.

IOTC IUU VESSELS LIST (APRIL 2012)

Current name of vessel (previous names)	Current flag (previous flags)	Date first included on IOTC IUU Vessels List	Lloyds/IMO number	Photo	Call sign (previous call signs)	Owner / beneficial owners (previous owners)	Operator (previous operators)	Summary of IUU activities
Ocean Lion	Unknown (Equatorial Guinea)	June 2005	7826233					Contravention of IOTC Resolution 02/04, 02/05, 03/05.
Yu Maan Won	Unknown (Georgia)	May 2007						
Gunuar Melyan 21	Unknown	June 2008						
Hoom Xiang 11	Unknown (Malaysia)	March 2010		Yes. Refer to report IOTC-S14-CoC13-add1[E]		Hoom Xiang Industries Sdn. Bhd.		Contravention of IOTC Resolution 09/03

WCPFC IUU VESSEL LIST FOR 2012
As at 30 March 2012 (effective from 30 May 2012, 60 days after WCPFC8)

Note: Information provided in this list is in accordance with CMM 2010-06 para 19

Current name of vessel (previous names)	Current flag (previous flags)	Date first included on WCPFC IUU Vessel List	Flag State Registration Number/ IMO Number	Call Sign (previous call signs)	Owner/beneficial owners (previous owners)	Notifying CCM	IUU activities
Neptune	Georgia	10 Dec. 2010	C-00545	4LOG	Space Energy Enterprises Co. Ltd.	France	Fishing on the high seas of the WCPF Convention Area without being on the WCPFC Record of Fishing Vessels (CMM 2007-03-para 3a)
Fu Lien No 1	Georgia	10 Dec. 2010	IMO No 7355662	4LIN2	Fu Lien Fishery Co., Georgia	United States	Is without nationality and harvested species covered by the WCPF Convention in the Convention Area (CMM 2007-03, para 3h)
Jinn Feng Tsair No.1	Chinese Taipei	7 Dec. 2007	CT4-2444	BJ4444	Hung Ching Chin, Pingtung, Chinese Taipei	Federated States of Micronesia	Fishing in the Exclusive Economic Zone of the Federated States of Micronesia without permission and in contravention of Federated States of Micronesia's laws and regulations. (CMM 2007-03, para 3b)
Yu Fong 168	Chinese Taipei	11 Dec. 2009		BJ4786	Chang Lin Pao-Chun, 161 Sanmin Rd., Liouciuo Township, Pingtung County 929, Chinese Taipei	Marshall Islands	Fishing in the Exclusive Economic Zone of the Republic of the Marshall Islands without permission and in contravention of Republic of the Marshall Islands's laws and regulations. (CMM 2007-03, para 3b)

ICCAT Number	Vessel Name	Current Flag	Owner Name	Owner Address	Operator Name	Operator Address	Call Sign	Date Added	Gear	Region	Activity	Reference	Reference Date
20040005	BRAVO	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	T8AN3	2004		Atlantic unclassified	JAPAN - sighting of tuna longliner in the Convention area, not on ICCAT Record of Vessels	1788	24/08/2004
20040006	OCEAN DIAMOND	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2004		Atlantic unclassified	JAPAN - Reefer company provided documents showing frozen tuna had been transhipped.	PWG-122	16/11/2004
20040007	MADURA 2	UNKNOWN	(P.T. PROVISIT)	(Indonesia)	(P.T. PROVISIT)	(Indonesia)	NO INFO	2004		Atlantic unclassified	JAPAN - Communication between fishing vessel and reefer company indicated tuna species had been taken in the Atlantic	PWG-122	16/11/2004
20040008	MADURA 3	UNKNOWN	(P.T. PROVISIT)	(INDONESIA)	(P.T. PROVISIT)	(INDONESIA)	NO INFO	2004		No info	JAPAN - Communication between fishing vessel and reefer company indicated tuna species had been taken in the Atlantic	PWG-122	16/11/2004
20050001	SOUTHERN STAR 136	UNKNOWN	KUO JENG MARINE SERVICES LIMITED	PORT OF SPAIN TRINIDAD & TOBAGO	KUO JENG MARINE SERVICES LIMITED	PORT OF SPAIN TRINIDAD & TOBAGO	NO INFO	2005		Atlantic unclassified	BRAZIL - fishing in Brazilian waters with no licence	1615	8/03/2005
20060001	BIGEYE	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	FN 003883	2006		No info	SOUTH AFRICA - vessel had no VMS, suspected of having no tuna licence and of possible at-sea transhipments	2431	23/10/2006
20060002	MARIA	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	FN 003882	2006		No info	SOUTH AFRICA - vessel had no VMS, suspected of having no tuna licence and of possible at-sea transhipments	2431	23/10/2006
20060003	NO. 101 GLORIA	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2006		Mediterranean Sea	EU - Vessel greater than 24m not included in ICCAT Record of Vessels. Seen fishing in the MED during closed season	2259	16/10/2006
20060004	MELILLA NO. 103	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2006		Mediterranean Sea	EU - Vessel greater than 24m not included in ICCAT Record of Vessels. Seen fishing in the MED during closed season	2259	16/10/2006
20060005	MELILLA NO. 101	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2006		Mediterranean Sea	EU - Vessel greater than 24m not included in ICCAT Record of Vessels. Seen fishing in the MED during closed season	2259	16/10/2006
20060007	LILA NO. 10	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2006		Mediterranean Sea	EU - Vessel greater than 24m not included in ICCAT Record of Vessels. Seen fishing in the MED during closed season	2259	16/10/2006
20060008	No 2 CHOYU	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2006		Mediterranean Sea	EU - Vessel greater than 24m not included in ICCAT Record of Vessels. Seen fishing in the MED during closed season	2259	16/10/2006
20060009	ACROS NO. 3	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2006		Mediterranean Sea	EU - Vessel greater than 24m not included in ICCAT Record of Vessels. Seen fishing in the MED during closed season	2259	16/10/2006
20060010	ACROS NO. 2	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2006		Mediterranean Sea	EU - Vessel greater than 24m not included in ICCAT Record of Vessels. Seen fishing in the MED during closed season	2259	16/10/2006
20060011	No. 3 CHOYU	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2006		Mediterranean Sea	EU - Vessel greater than 24m not included in ICCAT Record of Vessels. Seen fishing in the MED during closed season	2259	16/10/2006
20060012	ORIENTE No.7	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2006		Mediterranean Sea	EU - Vessel greater than 24m not included in ICCAT Record of Vessels. Seen fishing in the MED during closed season	2259	16/10/2006
20080001	DANIAA	Guinée Rep	ALPHA CAMARA (Guinean company)	NO INFO	ALPHA CAMARA (Guinean company)	NO INFO	3X07QMC	2008	Longline	Atlantic including Mediterranean Sea	Japan- Bluefin tuna caught and exported without quota	COC-311/2008 and Circular 767/10	14/11/2008
20080004	SHARON 1	UNKNOWN	MANARAT AL SAHIL Fishing Company	AL DAHRS. Ben Walid Street	MANARAT AL SAHIL Fishing Company	AL DAHRS. Ben Walid Street	NO INFO	2008	Purse seine	Mediterranean Sea	ICCAT Chairman information	1226	27/06/2008
20080005	GALA 1	UNKNOWN	MANARAT AL SAHIL Fishing Company	AL DAHRS. Ben Walid Street	MANARAT AL SAHIL Fishing Company	AL DAHRS. Ben Walid Street	NO INFO	2008	Purse seine	Mediterranean Sea	ICCAT Chairman information	1226	27/06/2008
20090001	OCEAN LION	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2009		Indian Ocean	IOTC. Contravention of IOTC Resolutions 02/04, 02/05 and 03/05	E09-1304	13/04/2009
20090002	YU MAAN WON	UNKNOWN	No info	No info	No info	No info	No info	2009		Indian Ocean	IOTC. Contravention of IOTC Resolution 07/02	E09-1304	13/04/2009
20090003	GUNUAR MELYAN 21	UNKNOWN	No info	No info	No info	No info	No info	2009		Indian Ocean	IOTC. Contravention of IOTC Resolution 07/02	E09-1304	13/04/2009
20100004	HOOM XIANG 11	UNKNOWN	Hoom Xiang Industries Sdn. Bhd.	NO INFO	Hoom Xiang Industries Sdn. Bhd.	NO INFO	NO INFO	2010		No info	IOTC. Contravention of IOTC Resolution 09/03	E10-2860	7/07/2010
20110001	Mar Cantabrico	Bolivia	Ocean Pacofic Fishing Company, Inc	Urb. Obarrio Edificio Marfil, Panamá	Ocean Pacofic Fishing Company, Inc	Urb. Obarrio Edificio Marfil, Panamá	CPA-554	2011	Purse seine	Pacific Ocean	IATTC	E11-5762	30/08/2011
20110002	Marta Lucia R	Colombia	Tuna Atlantic LTDA	NO INFO	Tuna Atlantic LTDA	NO INFO	NO INFO	2011	Purse seine	Pacific Ocean	IATTC	E11-5762	30/08/2011
20110003	Neptune	Georgia	Space Energy Enterprise Company, LTD	NO INFO	Space Energy Enterprise Company, LTD	NO INFO	4LOG	2011		Pacific Ocean	IATTC	E11-5762	30/08/2011
20110004	Bhineka	Indonesia	NO INFO	NO INFO	NO INFO	NO INFO	YGJY	2011	Longline	Pacific Ocean	IATTC	E11-5762	30/08/2011
20110005	Hiroyoshi 17	Indonesia	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2011	Longline	Pacific Ocean	IATTC	E11-5762	30/08/2011
20110006	Jimmy Wijaya 35	Indonesia	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2011	Longline	Pacific Ocean	IATTC	E11-5762	30/08/2011
20110007	Permata 1	Indonesia	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2011	Longline	Pacific Ocean	IATTC	E11-5762	30/08/2011
20110008	Permata 2	Indonesia	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2011	Longline	Pacific Ocean	IATTC	E11-5762	30/08/2011
20110009	Permata 6	Indonesia	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2011	Longline	Pacific Ocean	IATTC	E11-5762	30/08/2011
20110010	Permata 8	Indonesia	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2011	Longline	Pacific Ocean	IATTC	E11-5762	30/08/2011
20110011	Bhaskara No. 10	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2011	Longline	Pacific Ocean	IATTC	E11-5762	30/08/2011
20110012	Bhaskara No.9	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2011	Longline	Pacific Ocean	IATTC	E11-5762	30/08/2011
20110013	Camelot	UNKNOWN	NO INFO	NO INFO	NO INFO	NO INFO	NO INFO	2011	Longline	Pacific Ocean	IATTC	E11-5762	30/08/2011
20110014	Chia Hao No. 66	UNKNOWN	Song Maw Fishery S.A.	Calle 78E Casa No. 30 Loma alegre, San Francisco,Panamá	Song Maw Fishery S.A.	Calle 78E Casa No. 30 Loma alegre, San Francisco,Panamá	V3IN2	2011	Longline	Pacific Ocean	IATTC	E11-5762	30/08/2011

IATTC IUU List

IATTC Vessel Number	Name	Gear	Flag
1	<u>Marta Lucia R.</u>	PS	COL
2	<u>Neptune</u>	LL	GEO
3	<u>Bhaskara No. 10</u>	LL	UNK
4	<u>Bhaskara No. 9</u>	LL	UNK
5	<u>Camelot</u>	LL	UNK
6	<u>Chia Hao No. 66</u>	LL	UNK
7	<u>Dragon III</u>	LL	UNK
8	<u>Goidau Ruey No. 1</u>	LL	UNK
9	<u>Jyi Lih 88</u>	LL	UNK
10	<u>Orca</u>	LL	UNK
11	<u>Reymar 6</u>	LL	UNK
12	<u>Ta Fu 1</u>	LL	UNK
13	<u>Tching Ye No. 6</u>	LL	UNK
14	<u>Wen Teng No. 688</u>	LL	UNK

Flag	Description
UNK	Unknown
COL	Colombia
GEO	Georgia

IATTC IUU List**Marta Lucia R.****Not Authorized to fish**

<i>IATTC Vessel Number:</i>	9435	
<i>Flag:</i>	Colombia	
<i>Gear:</i>	Purse seine	
<i>Dimensions(m)</i>	<i>Length:</i>	76.34
	<i>Beam:</i>	13.65
	<i>Depth:</i>	8.06
<i>Technical characteristics</i>	<i>Fish hold volume (m³):</i>	1603
	<i>Fish hold volume confirmation date:</i>	17 Jun 2005
	<i>Carrying capacity (t):</i>	1367
	<i>Gross tonnage:</i>	1771
	<i>Engine power (HP):</i>	4000
<i>Year built:</i>	2003	
<i>Shipyard:</i>	Astilleros Marco Chilena Limitada	
<i>Company:</i>	Tuna Atlantic LTDA.	
<i>Business Address:</i>	Colombia	

Neptune**Not Authorized to fish**

<i>IATTC Vessel Number:</i>	14613	
<i>Flag:</i>	Georgia	
<i>Gear:</i>	Longline	
<i>Port of registration:</i>	Batumi	
<i>Registration number:</i>	C-00545	
<i>Call sign:</i>	4LOG	
<i>Dimensions(m)</i>	<i>Length:</i>	44
	<i>Beam:</i>	8.6
	<i>Depth:</i>	3.65
<i>Technical characteristics</i>	<i>Fish hold volume (m³):</i>	
	<i>Fish hold volume confirmation date:</i>	
	<i>Carrying capacity (t):</i>	250
	<i>Gross tonnage:</i>	575
	<i>Engine power (HP):</i>	1000
<i>Year built:</i>	1980	
<i>Shipyard:</i>		
<i>Company:</i>	Space Energy Enterprises Company, LTD	

Bhaskara No. 10**Not Authorized to fish**

<i>IATTC Vessel Number:</i>	12290
<i>Flag:</i>	Unknown
<i>Gear:</i>	Longline
<i>Previous flag:</i>	Indonesia - Date changed: 30 Jan 2009 - Vessel name at time of change: Bhaskara No. 10

Bhaskara No. 9**Not Authorized to fish**

IATTC IUU List

<i>IATTC Vessel Number:</i>	12291
<i>Flag:</i>	Unknown
<i>Gear:</i>	Longline
<i>Previous flag:</i>	Indonesia - Date changed: 30 Jan 2009 - Vessel name at time of change: Bhaskara No. 9

Camelot**Not Authorized to fish**

<i>IATTC Vessel Number:</i>	9407
<i>Flag:</i>	Unknown
<i>Gear:</i>	Longline

Chia Hao No. 66**Not Authorized to fish**

<i>IATTC Vessel Number:</i>	125	
<i>Flag:</i>	Unknown	
<i>Gear:</i>	Longline	
<i>Registration number:</i>	280020064	
<i>Call sign:</i>	V3IN2	
<i>Dimensions(m)</i>	<i>Length:</i>	47.7
	<i>Beam:</i>	8.8
	<i>Depth:</i>	3.75
<i>Technical characteristics</i>	<i>Fish hold volume (m³):</i>	
	<i>Fish hold volume confirmation date:</i>	
	<i>Carrying capacity (t):</i>	320
	<i>Gross tonnage:</i>	669
	<i>Engine power (HP):</i>	
<i>Year built:</i>	1979	
<i>Shipyard:</i>	Kanasashi Shipyard Co. Ltd.	
<i>Company:</i>	Song Maw Fishery S.A.	
<i>Business Address:</i>	Calle 78E Casa No. 30 Loma Alegre, San Francisco Panama Panama	
<i>Previous flag:</i>	Belize - Date changed: 5 Feb 2006 - Vessel name at time of change: Chia Hao No. 66	

Dragon III**Not Authorized to fish**

<i>IATTC Vessel Number:</i>	6163	
<i>Flag:</i>	Unknown	
<i>Gear:</i>	Longline	
<i>Registration number:</i>	7742-PP	
<i>Dimensions(m)</i>	<i>Length:</i>	23.8
	<i>Beam:</i>	6.8
	<i>Depth:</i>	3.25
<i>Technical characteristics</i>	<i>Fish hold volume (m³):</i>	
	<i>Fish hold volume confirmation date:</i>	
	<i>Carrying capacity (t):</i>	
	<i>Gross tonnage:</i>	105
	<i>Engine power (HP):</i>	400

IATTC IUU List

<i>Year built:</i>	1997
<i>Company:</i>	Reino De Mar S.A.
<i>Business Address:</i>	125 metros al Oeste de Sardimar cocal de Puntarenas Puntarenas Costa Rica

Goidau Ruey No. 1**Not Authorized to fish**

<i>IATTC Vessel Number:</i>	6591	
<i>Flag:</i>	Unknown	
<i>Gear:</i>	Longline	
<i>Call sign:</i>	HO-2508	
<i>Dimensions(m)</i>	<i>Length:</i>	25.52
	<i>Beam:</i>	5
	<i>Depth:</i>	2
<i>Technical characteristics</i>	<i>Fish hold volume (m³):</i>	
	<i>Fish hold volume confirmation date:</i>	
	<i>Carrying capacity (t):</i>	
	<i>Gross tonnage:</i>	99
<i>Engine power (HP):</i>		
<i>Company:</i>	Goidau Ruey Industrial, S.A.	
<i>Business Address:</i>	1 Fl, No. 101 Ta-She Road Ta She Hsiang Kaohsiung Taiwan ROC	
<i>Previous flag:</i>	Panama - Date changed: 1 Apr 2010 - Vessel name at time of change: Goidau Ruey No. 1	
<i>Previous name:</i>	Goidau Ruey 1 - Date changed: 11 Sep 2002 - Flag at time of change: Unknown	

Jyi Lih 88**Not Authorized to fish**

<i>IATTC Vessel Number:</i>	9505
<i>Flag:</i>	Unknown
<i>Gear:</i>	Longline

Orca**Not Authorized to fish**

<i>IATTC Vessel Number:</i>	11369
<i>Flag:</i>	Unknown
<i>Gear:</i>	Longline
<i>Previous flag:</i>	Belize - Date changed: 31 Dec 2004 - Vessel name at time of change: Orca

Reymar 6**Not Authorized to fish**

<i>IATTC Vessel Number:</i>	95
<i>Flag:</i>	Unknown
<i>Gear:</i>	Longline
<i>Previous flag:</i>	Belize - Date changed: 13 Dec 2004 - Vessel name at time of change: Reymar 6

IATTC IUU List**Ta Fu 1****Not Authorized to fish**

<i>IATTC Vessel Number:</i>	9405
<i>Flag:</i>	Unknown
<i>Gear:</i>	Longline

Tching Ye No. 6**Not Authorized to fish**

<i>IATTC Vessel Number:</i>	13568	
<i>Flag:</i>	Unknown	
<i>Gear:</i>	Longline	
<i>Port of registration:</i>	Costa Rica	
<i>Registration number:</i>	490810002	
<i>Call sign:</i>	V3GN	
<i>Dimensions(m)</i>	<i>Length:</i>	24.5
	<i>Beam:</i>	5.36
	<i>Depth:</i>	3.25
<i>Technical characteristics</i>	<i>Fish hold volume (m³):</i>	73
	<i>Fish hold volume confirmation date:</i>	
	<i>Carrying capacity (t):</i>	60
	<i>Gross tonnage:</i>	118
	<i>Engine power (HP):</i>	400
<i>Year built:</i>	1997	
<i>Shipyard:</i>	Tecnonaval S.A.	
<i>Business Address:</i>	Costado Este de UCR El Cocal Puntarenas Costa Rica	
<i>Previous flag:</i>	Belize - Date changed: 8 Jun 2009 - Vessel name at time of change: Tching Ye No. 6	
<i>Previous name:</i>	El Diria I - Date changed: 8 Oct 2008 - Flag at time of change: Costa Rica	

Wen Teng No. 688**Not Authorized to fish**

<i>IATTC Vessel Number:</i>	129	
<i>Flag:</i>	Unknown	
<i>Gear:</i>	Longline	
<i>Registration number:</i>	280110095	
<i>IMONO</i>	8994295	
<i>Call sign:</i>	V3TK4	
<i>Dimensions(m)</i>	<i>Length:</i>	25.8
	<i>Beam:</i>	5.3
	<i>Depth:</i>	2.2
<i>Technical characteristics</i>	<i>Fish hold volume (m³):</i>	70
	<i>Fish hold volume confirmation date:</i>	

IATTC IUU List

	<i>Carrying capacity (t):</i>	
	<i>Gross tonnage:</i>	119
	<i>Engine power (HP):</i>	
<i>Year built:</i>	2001	
<i>Shipyard:</i>	Lien Fong Shipbuilding Co., Ltd.	
<i>Business Address:</i>	No. 32 Hai Shan 4th Road Hsiao Kang District Kaohsiung Taiwan	
<i>Previous flag:</i>	Belize - Date changed: 14 Feb 2006 - Vessel name at time of change: Wen Teng No. 688	
<i>Notes:</i>	Apparently changed name to Mahkoia Abadi No. 196	