

**Ninth Meeting of the Compliance Committee
9 – 11 October 2014
Auckland, New Zealand
Provisional Agenda**

1. Opening of meeting
 - 1.1. Welcome
 - 1.2. Adoption of Agenda
 - 1.3. Meeting Arrangements

2. Compliance with CCSBT Conservation and Management Measures

This agenda item relates to compliance with existing CCSBT conservation and management measures.

 - 2.1. Annual Reports from Members and Cooperating Non-members

Members and Cooperating Non-Members (CNMs) should submit their annual reports in accordance with the [Template for the Annual Report to the Compliance Committee and the Extended Commission](#). Members that have participated in a Quality Assurance Review (QAR) are encouraged to report any important outcomes raised within their QAR in section 1 (Summary of MCS Improvements) of the template. Note that completion of a QAR in its own right is an important step towards MCS improvement.

It is assumed that Members and CNMs will have read the submitted annual reports so there should be no need to present those items. This agenda item will therefore focus on questions and answers to key issues within the Annual Reports. It is expected that the question and answer session will be a detailed examination of Members' and CNMs' systems and performance.
 - 2.2. Report from Secretariat

The Secretariat's report will include an updated Compliance Table that summarises compliance of Members and CNMs with CCSBT management measures. This table will be updated in conjunction with Members and CNMs in advance of the meeting.
 - 2.3. Assessment of compliance with CCSBT management measures

The CCSBT Strategic plan requires that, in order to obtain accurate data on all fisheries, an annual audit of Members against a checklist of compliance measures be conducted by the Compliance Committee. In addition, at CCSBT 20, Australia invited Members to observe the 100 fish sampling method and transfers to grow-out pontoons. Members who participated in the observer activities may present their reports under this sub agenda item.

Information presented in the previous sub agenda items as well as in the reports mentioned above are expected to be the major contributor to this process. The present sub agenda item provides an opportunity to assess this information and to provide recommendations for any areas that require improvement.

This agenda item also provides the opportunity for the Compliance Committee to consider the performance of CNMs in complying with CCSBT management measures in order to assist the Extended Commission with its decision in relation to continuing the status of CNMs.

3. Implementation of the CCSBT Compliance Plan
 - 3.1. Implementation of the three-year Action Plan (2012-2014)

The following sub-agenda items relate to action planned for 2014.

 - 3.1.1 Enhance monitoring through bilateral arrangements and international networks

Following agreement at CC8, CCSBT became an Observer Member of the International Monitoring, Control and Surveillance (IMCS) Network in November 2013. The Secretariat will report on any developments from the IMCS Network. The Secretariat is also building compliance relationships with other RFMOs, particularly the IOTC. CCSBT Members should suggest any additional bilateral arrangements or international networks that CCSBT could build on/join in order to enhance compliance monitoring.

3.1.2 Identify and nominate non-Member States whose cooperation should be sought

The three-year Action Plan requires identification of non-member port and market States whose cooperation should be sought, together with nominations of these States to the Extended Commission.

CC8 discussed ways to encourage China to engage with the CCSBT, and recommended that Members meet with China at the WCPFC meeting in December 2013. Due to the time and logistical constraints associated with coordinating other Members, this meeting was held as a bilateral between Japan and China. Japan will report back on the outcome of this meeting which is described in Circular #2014/004.

3.2. New three-year Action Plan (2015-2017)

The three year Action Plan included within the CCSBT's Compliance Plan finishes at the end of 2014. The CCWG3 produced a draft revised Action Plan for 2015-2017. The Secretariat will present this draft revision, together with any additional action items identified intersessionally, to CC9 for further consideration.

4. Review of CCSBT MCS Measures

This agenda item is for review of existing MCS measures, with the intention of ensuring that these measures are operating effectively and efficiently, and are updated as appropriate.

4.1. Transshipment

CC8 commented that transshipments represent one area of significant compliance risk to the SBT fishery, and that revision of the CCSBT's Transshipment Resolution is necessary in order to minimise these risks. CCWG3 prepared a draft revised Transshipment Resolution, but it was agreed that some elements of the draft require further discussion before they can be finalised. These elements are highlighted and surrounded by square brackets within CCWG3's draft. The main outstanding matters include issues relating to exemptions for container vessels and monitoring of transshipments in port. Members agreed to reflect on these matters in advance of further discussions to be held at CC9.

CCWG3 further agreed that the Secretariat would make a variety of additional modifications to the revised draft Transshipment Resolution and submit these as a meeting document to CC9.

4.2. CDS and Authorised Vessel Resolutions

A series of potential changes to the CDS Resolution as well as one potential change to the Authorised Vessel Resolution (inclusion of IMO or Lloyd's Register number where available, for compatibility with the draft transshipment resolution, and CCAMLR, ICCAT, IOTC and WCPFC vessel records), will be proposed in the Secretariat's annual paper on the Operation of CCSBT Measures.

4.3. VMS

This agenda item will not be discussed if there is no new information to present or consider in relation to VMS.

4.4. Draft IUU Vessel List

A CCSBT IUU Vessel List Resolution was adopted by CCSBT20. The Secretariat will provide a Draft IUU Vessel List for Members' consideration based on information provided by Members and CNMs pursuant to paragraph 4 of the CCSBT IUU Vessel List Resolution, and any other suitably documented information available. The Secretariat will also propose a minor modification to the Resolution to include farms in one of the provisions.

4.5. Minimum Performance Requirements

The Secretariat has reviewed the existing Minimum Performance Requirements policy and notes that sections 1.2 and 6.5 of this policy is now outdated and do not reflect the current requirement for Members to submit annual national reports to the CCSBT instead of Compliance Action Plans (CAPs). The Secretariat will prepare a revised draft of sections 1.2 and 6.5 of the Minimum Performance Requirements which more accurately reflects the current situation regarding submission of annual national reports.

4.6. Quota Carry-Forward

CCWG3 agreed to a revision of the Resolution on Limited Carry-Forward of Unfished Annual Total Allowable Catch of SBT within Three Year Quota Blocks subject to confirmation from the Extended Scientific Committee (ESC) that the carry-forward of unfished allocations between three year quota blocks will not have a negative impact on the operation of the Management Procedure. This matter has been placed on the ESC's agenda for its consideration.

4.7. Quality Assurance Reviews

The 2014 Quality Assurance Reviews (QARs) will review the suitability of Members' systems and processes with regard to meeting CCSBT's Minimum Performance Requirements (MPRs) for National Allocations, the Catch Documentation Scheme (CDS) and Transshipments. Three QARs are being conducted in 2014. These are a desktop (Phase I) review of Taiwan, a Phase II (on-site) review of Australia, and a desktop plus on-site review of Indonesia.

Discussion of any significant issues raised in individual QAR reports is expected to occur under agenda item 2.1. Discussions under the current agenda item are intended to focus on consideration of the overall findings of the 2014 QARs, recommendations regarding 2015 QARs, and any other appropriate actions.

4.8. Review and analysis of publicly available trade data

CC7 requested that the Secretariat's analysis of trade data from the Global Trade Atlas, including a comparison with information from the CCSBT's CDS, be updated on a regular basis and that Members be asked to investigate any discrepancies revealed in this process. The Secretariat will produce a paper reporting on any significant updates with regard to emerging markets and trade data summaries/ discrepancies which have occurred since the Secretariat's report to CC8.

5. Discussion of new or enhanced MCS measures, including ongoing identification and sharing of best practise for MCS systems

5.1. Port State Measures

CCWG3 discussed Port State Measures (PSMs) in detail and produced revised draft PSMs, noting that some elements of the draft require further discussion before they can be finalised. Members undertook to give further consideration to these elements prior to CC9. CCWG3 agreed that the Secretariat would review the forms at Annex A and C of the draft prior to CC9 in order to clarify or revise any items in these forms that are currently unclear.

5.2. Common Definition of the Attributable Catch

The Attributable SBT Catch is the part of a Member's SBT fishing mortality that is counted against the Member's allocation of the SBT Total Allowable Catch. All Members and Cooperating Non-Members currently have different definitions of their Attributable SBT Catch.

Recognising uncertainties around all sources of unaccounted catch mortality, CCSBT 20 requested that the Compliance Committee develop a common definition by 2014, taking into account the importance of including all sources of mortality, and that Members consider and commit to a timetable for its implementation commencing in 2015 with annual reporting to the EC.

CCWG 3 had some preliminary discussions on this matter, however no agreement could be reached. Instead it was agreed that New Zealand will develop a paper focused on compliance and implementation issues associated with adopting a common definition of the Attributable SBT Catch based on all sources of mortalities.

5.3. Consideration of Concerns Regarding Implementation of a Web-based eCDS

The Secretariat presented a paper on the costs and benefits of a web based CCSBT eCDS to CC8. Members reached a consensus to delay final recommendations on an eCDS until CC9. It was agreed that this would allow time for CCSBT to learn from ICCAT's experience in trying to implement an eCDS. It would also allow time for the Secretariat to work on and present approaches to concerns raised in relation to implementation of an eCDS.

5.4. R & D on new technologies & tools to aid observers, certifiers and validators to identify SBT (in particular once processed)

Members are asked to prepare and present specific proposals for consideration by the meeting. The Compliance Committee will discuss any proposals and make recommendations to the Extended Commission in relation to support and/or funding of such projects as is appropriate.

It was agreed that the Secretariat would produce a consolidated review/summary paper of current R&D technological developments and tools available to assist certifiers and validators to identify SBT.

5.5. Ongoing identification and sharing of best practise for MCS systems

Members are invited to present information on developments in relation to best practise with MCS systems. This could include new systems developed by the Member or systems/practises developed elsewhere.

6. Work Program for 2015
7. Other business
8. Recommendations to the Extended Commission
9. Conclusion
 - 9.1. Adoption of meeting report
 - 9.2. Close of meeting