



CCSBT-CC/1410/08

Draft Revised Minimum Performance Requirements (Compliance Policy 1)

Introduction and Background

The Secretariat has reviewed the existing Minimum Performance Requirements policy and notes that sections 1.2, 6.4 and 6.5 of this policy are now outdated. These sections do not reflect the current requirement that Members each submit one consolidated annual National Report to the Compliance Committee (CC) and Extended Commission (EC), instead of a Compliance Action Plan (CAP), as agreed at the Seventh Meeting of the Compliance Committee.

Therefore, the Secretariat has prepared revised drafts of sections 1.2 (Compliance Action Plans), 6.4 (National Report to the Extended Commission) and 6.5 (Annual Reporting of the Compliance Committee) of the Minimum Performance Requirements (MPRs) in order to reflect this change. These are provided for Members' consideration at **Attachments A, B and C**.

Revised Compliance Action Plans (including elements of Annual National Reports) Minimum Performance Requirements

Appendix 1. Minimum performance requirements

This appendix sets out minimum performance requirements for Members to meet their obligations relating to each Conservation and Management Measure. The Conservation and Management measures are grouped as follows:

- 1 Catch Management Measures
- 2 Authorisation Measures
- 3 MCS Measures
- 4 Science Measures
- 5 Measures Related to Ecologically Related Species
- 6 Routine Reporting Measures

1. Catch Management Measures

This section sets out minimum performance requirements for obligations relating to:

- Compliance with National Allocations (1.1)
- Compliance Action Plans (1.2)

[Section 1.1 Compliance with National Allocations (Decisions) would follow here as worded in the currently adopted MPRs]

1.2 Compliance Action Plans

Title: a) Resolution on action plans to ensure compliance with Conservation and Management Measures, and
b) Paragraph 26 (and Attachment 5) of the CC7 report:- Decision to adopt a new consolidated template for annual reports as agreed by CC7 and adopted at CCSBT19.

Link: a) http://www.ccsbt.org/userfiles/file/docs_english/operational_resolutions/Resolution_ComplianceActionPlans.pdf
b) http://www.ccsbt.org/userfiles/file/templates/Annual_CC-EC_Reporting_Template.doc

Notes: The Fifth meeting of the Compliance Committee agreed that: “Compliance Action Plans have provided a valuable source of information. The detail in these plans should continue to be improved and the plans should be kept up to date, and submitted to future annual meetings of the Compliance Committee”. Nevertheless, paragraphs 1 and 5~~Date-specific aspects of the~~is #Resolution on Action Plans are not listed as obligations below because these paragraphs have been completed and no longer apply that are in the past are not listed in the obligations. Some reporting elements of the Action Plans Resolution are superseded by the consolidated template for annual reports. Consequently, some obligations now refer to the National Report instead.

1.2 Compliance Action Plans	
Obligations	Minimum performance requirements
<p>i. Flag Members of pelagic longline vessels shall specify in their <u>National Reports</u> action plans improvement in at least 3 areas:</p> <ul style="list-style-type: none"> • Port state inspection of transshipment of SBT <ul style="list-style-type: none"> ➤ Members should designate foreign ports of transshipment of SBT for their vessels, prohibit such transshipment at other foreign ports and communicate with those designated port states to share relevant information required for effective inspection • Verification of catch data through scientific observers on fishing vessels of coverage of 10% in terms of effort. • Actual inspection of catches by authorities of flag Members and CNMs. • All the above measures should be implemented in a manner that will not interfere with legitimate commercial transaction of SBT. 	<p><u>1. Sections II(3)(b), II(4)(b) and II(5)(b) of the Annual National Report template must be completed in full in relation to this obligation.</u></p> <p><u>The following additional sections of the Annual National Report template should also be completed:</u></p> <ul style="list-style-type: none"> • <u>Section I(1): describe details of any MCS improvements achieved in the current fishing season;</u> • <u>Section I(2): describe details of any MCS improvements planned for future fishing seasons, including the expected implementation date, and</u> • <u>Section II(1)(d) – Scientific Observers (vii): describe plans to reach a scientific observer coverage of 10% of effort in cases where this has not been achieved.</u> <p><u>1. Compliance Action Plans must:</u></p> <ul style="list-style-type: none"> a. <u>specify foreign ports where their vessels may tranship or land SBT;</u> b. <u>prohibit transshipment or landing at other foreign ports;</u> c. <u>specify inspection requirements at:</u> <ul style="list-style-type: none"> i. <u>domestic ports</u> ii. <u>designated foreign ports;</u> d. <u>detail how catch is to be inspected and what information will be communicated to Port States of designated foreign ports to enable effective inspection (see Validation performance requirements, section 3.1(D)xx-xxii);</u> e. <u>Aid verification of catches by ensuring a scientific observer coverage of 10% of effort.</u>

1.2 Compliance Action Plans	
Obligations	Minimum performance requirements
ii. Members farming SBT shall implement in the 2011 fishing season commercial trials of stereo video systems for monitoring 10% of the SBT transferred to their cages and, if the systems prove successful, adopt them in the following season as the systems for ongoing monitoring.	<ol style="list-style-type: none">1. SVM adopted for monitoring of SBT transferred to cages once the technology has been proved financially viable.2. The results of such monitoring should be reported to the relevant CCSBT meetings, including the Scientific Committee and the Compliance Committee.

Revised Minimum Performance Requirements for Annual Reporting to the Extended Commission

6.4 National Report to the Extended Commission (Decision)

Title: Annual Review of Fisheries for the Annual Commission Meeting

Notes: This review must also be submitted to the Compliance Committee, which meets prior to the Extended Commission.

6.4 National Report to the Extended Commission	
Obligations	Minimum performance requirements
i. Prior to the annual meeting of the Extended Commission, each Member shall submit the annual review of fisheries in accordance with the agreed <u>Annual National Report template format</u> for the annual review (Attachment A) . ¹⁵	1. Submit report electronically to Executive Secretary at least 4 weeks before annual meeting of Compliance Committee (<i>which precedes the annual EC meeting</i>), with responses provided for every section of the [revised and agreed] template.

¹⁵ ~~The reporting template can be found at the following link: http://www.ccsbt.org/userfiles/file/templates/Annual_CC-EC_Reporting_Template.doc Attachments A and B are expected to be reviewed and replaced once this policy is finalised. The Annual Review and Compliance Action Plan would be replaced with a single report. The new report format should be an enhancement of the existing compliance action plan template. The Secretariat will revise the reporting template in accordance with the final decisions on this policy.~~

Revised Minimum Performance Requirements for Annual Reporting to the Compliance Committee

6.5 Annual Reporting to the Compliance Committee (Suite of Decisions/Resolutions/Recommendations)

Title: Template for the Annual Report to the Compliance Committee and Extended Commission¹⁶

~~This is a compilation of reporting requirements to the Compliance Committee (CC), so it does not have an official title.~~

Links: The following points provide the source of the associated obligations within this measure:

- i. Procedural Rule 10 of the Terms of Reference of the Compliance Committee: -
http://www.ccsbt.org/userfiles/file/docs_english/basic_documents/terms_of_reference_for_subsidary_bodies.pdf
- ii. ~~Paragraph 7(f) of the CC5 report~~
Paragraph 26 (and Attachment 5) of the CC7 report:-
http://www.ccsbt.org/userfiles/file/docs_english/meetings/meeting_reports/ccsbt_17/report_of_CC5.pdf
http://www.ccsbt.org/userfiles/file/templates/Annual_CC-EC_Reporting_Template.doc
- iii. Paragraph 3 (a) of the Resolution on establishing the CCSBT vessel monitoring system:-
http://www.ccsbt.org/userfiles/file/docs_english/operational_resolutions/Resolution_VMS.pdf
- iv. Paragraph 18 of the Resolution on establishing a program for transshipment by large-scale fishing vessels:-
http://www.ccsbt.org/userfiles/file/docs_english/operational_resolutions/Resolution_Transshipment.pdf
- v. Paragraph 4 of the Recommendation to mitigate the impact on ecologically related species of fishing for southern bluefin tuna
http://www.ccsbt.org/userfiles/file/docs_english/operational_resolutions/Recommendation_ERS.pdf
- vi. Paragraph 66 of the EC20 report (Attributable Catch):-
http://www.ccsbt.org/userfiles/file/docs_english/meetings/meeting_reports/ccsbt_20/report_of_CCSBT20.pdf
- vii. Paragraph 25 (and Attachment 5) of the CC7 report (best estimates of all sources of mortality):-
http://www.ccsbt.org/userfiles/file/docs_english/meetings/meeting_reports/ccsbt_19/report_of_CC7.pdf

¹⁶ The reporting template can be found at the following link: http://www.ccsbt.org/userfiles/file/templates/Annual_CC-EC_Reporting_Template.doc

6.5 Annual Reporting to the Compliance Committee	
Obligations	Minimum performance requirements
<p>i. Each Member shall submit the above Annual <u>Report to the Compliance Committee (CC) and Extended Commission (EC) view of Fisheries</u> in accordance with the agreed format for the <u>template of the annual Report to the CC and EC¹⁶ review (Attachment A)¹⁷</u> four weeks prior to the convening of the Compliance Committee meeting.</p>	<p>1. The report is submitted electronically to Executive Secretary at least 4 weeks before the annual meeting of Compliance Committee. <u>No sections of the template should be left empty. If the required information is not collected, this should be stated rather than leaving a section empty. Similarly, if a section is not applicable to a particular fishery, this should be stated instead of leaving that section empty.</u></p>
<p>ii. Each Member should continue to improve the detail in its <u>report to the Compliance-Committee and Extended Commission¹⁶ Compliance Action Plan</u>, and the <u>report plan</u> should be kept up to date and submitted to future annual meetings of the Compliance Committee. <u>The agreed template for the plan is provided at Attachment B.¹⁷</u></p>	
<p>iii. Members shall provide VMS summary reports in advance of the Compliance Committee meeting. <u>The agreed format of the report is at Section III (1) of Attachment B.¹⁷</u></p>	<p>1. <u>Complete section II(1)(d): VMS of the Annual National Report template.¹⁶</u> <u>Submit report electronically to Executive Secretary at least 4 weeks before the annual Compliance Committee meeting.</u></p>
<p>iv. Members shall report the following to the Executive Secretary 4 weeks prior to the Annual Meeting of the Commission:</p> <ul style="list-style-type: none"> o The quantities of SBT transhipped during the previous <u>fishing seasonyear</u>. o The list of the LSTLVs registered in the CCSBT Authorised Vessel List which have transhipped during the previous <u>fishing seasonyear</u>. 	<p>1. <u>Complete sections II(3)(a) i – iii of the Annual National Report template.¹⁶</u> <u>1. Submit information and report electronically to Executive Secretary at least 4 weeks before the annual Compliance Committee meeting.</u> <u>2. The report for the previous calendar year must:</u></p> <ul style="list-style-type: none"> a. include the quantities of SBT transhipped; b. list the LSTLVs on the CCSBT Authorised Vessel List that —transhipped;

¹⁷ Attachments A and B are expected to be reviewed and replaced once this policy is finalised

6.5 Annual Reporting to the Compliance Committee	
Obligations	Minimum performance requirements
<p><u>o</u> A comprehensive report assessing the content and conclusions of the reports of the observers assigned to carrier vessels which have received transhipments from their LSTLVs.</p>	<p>c. analyse the observers reports received including assessing the content and conclusions of the reports of observers assigned to carrier vessels.</p>
<p><u>v.</u> Members will report annually to the Compliance Committee on the action they have taken pursuant to paragraphs 1, 2 and 3 of the Recommendation to mitigate the impact on ecologically related species of fishing for SBT. These three paragraphs are shown at Section III (3) of Attachment B.</p>	<p><u>1. Complete sections III(2)(a) – (c) of the Annual National Report template.¹⁶</u> Submit report electronically to Executive Secretary at least 4 weeks before the annual Compliance Committee meeting.</p>
<p><u>vi.</u> Members will report annually on the details of their <u>implementation timetable and implementation progress with respect to the development of a common definition of Attributable SBT Catch that takes into account the importance of including all sources of mortality.</u></p>	<p><u>1. Complete section I(3) of the Annual National Report template.¹⁶</u></p>
<p><u>vii.</u> Members will report annually on their best estimates of all <u>sources of mortality including recreational catch and discards.</u></p>	<p><u>1. Complete section III(3) of the Annual National Report template.¹⁶</u></p>