Commission for the Conservation of Southern Bluefin Tuna



みなみまぐろ保存委員会

CCSBT-CC/1209/04 (Rev.3)

COMPLIANCE WITH CCSBT MANAGEMENT MEASURES CCSBT 管理措置の遵守

Purpose 目的

To provide a summary of compliance with CCSBT conservation and management measures by Members and Cooperating Non-Members (CNMs).

メンバー及び協力的非加盟国(CNM)のCCSBT保存管理措置の遵守の概要を提供する。

Discussion 議論

1. Compliance with Measures

措置の遵守

In order to assist with the review of compliance, the Secretariat has compiled a table summarising compliance with the main CCSBT measures for reporting and submission of data between July 2011 and June 2012. This summary table is provided at **Attachment A**. It remains in the same format as for the Sixth Meeting of the Compliance Committee (CC6), although some of the category wordings and footnotes have been revised. An additional table showing the number of forms in each category has been added.

遵守状況のレビューを支援するため、事務局は、2011年7月から2012年6月までの 期間における報告及び提出に関する主要な CCSBT の措置の遵守状況の表をとりま とめた。これの表は、別紙Aのとおり。これは、第6回遵守委員会会合(CC6)時 のフォーマットと同じであるが、カテゴリーの文言及び注釈の一部については修正 を加えている。提出された CDS 様式のカテゴリーごとの枚数を示す表を追加してい る。

Note that the Secretariat is continuing to clear the backlog of reconciliation work which involves contacting Members and CNMs about missing data and/or discrepancies. Therefore, in some cases, the percentages of correct/matching forms presented in this table may improve as this backlog is cleared.

事務局は、未処理分の照合作業(これには欠損データ及び/又は差違に関するメンバー及び CNM への連絡が含まれる)を継続していることに留意されたい。したがって、一部のケースに関しては、この表に記載している正しい/マッチする様式の割合は、この未処理分の作業の進み具合に応じて改善される可能性がある。

For 2011 and the first quarter of 2012, the CDS items in Attachment A of most concern are: 2011 年及び 2012 年第一四半期に関して、別紙 A の CDS に関する項目のうち、懸念

されるものは次のとおり。

41.6% of Indonesian Catch Monitoring forms (CMFs) for exports included a fishing vessel that was not authorised, *i.e.* was not in the CCSBT record of authorised vessels. Forms such as these should not be validated by the exporting Member /CNM, nor should they be accepted by the importing Member/CNM. The same situation was reported at CC6, where Indonesia advised that "*it has many small vessels and it does not register them on the CCSBT list of vessels due to the small chance of them catching SBT*". インドネシアの輸出用漁獲モニタリング様式 (CMF) の 41.6%に、許可されてい ない漁船が含まれていた(すなわち、CCSBT 許可船舶記録に存在しない漁船)。 このような様式は、輸入メンバー/CNM によって、確認されるべきではないか、 又は受け入れられるべきではない。同じような事例は、CC6 でも報告されており、 同会合でインドネシアは、「*自国は多くの小型船舶を抱えており、これらがSBT を漁獲する機会は少ないことから、CCSBT の船舶リストに登録していない*」と説 明している。

The Compliance Committee should recommend what is acceptable practice in these situations. In particular, should exports and imports be permitted from non-authorised artisanal vessels, and if not, should domestic landings be permitted from these non-authorised artisanal vessels? In the event that vessels must be authorised as is currently required, would it be acceptable for a catching Member/CNM to retrospectively authorise artisanal vessels after they have caught the SBT, but before they validate the corresponding CDS form(s)?

遵守委員会は、このような状況において、受容しうる方法は何かについて勧告す るべきである。特に、許可を有しない沿岸小型漁船由来の輸出及び輸入を認める べきかどうか。もし認めないのであれば、このようなものの国産品としての水揚 げを認めるべきかどうか? 現行の要請のとおり船舶が許可されなければならな い状況において、漁獲したメンバー/CNMは、SBTを漁獲した後であってもそれ に対応する CDS 様式を確認する前であれば当該沿岸小型船を遡及的に許可するこ とが可能であろうか?

- For the first quarter of 2012, some Members had relatively low percentages of CMFs for which the corresponding Catch Tagging Forms (CTFs) had been provided. This situation is likely to be resolved as additional data are provided throughout the 2012 year.
 2012 年第一四半期に関して、一部のメンバーは、CMF について、それに対応する漁獲標識様式(CTF)が提供されたものの割合が少なかった。かかる状況は、2012 年中に追加的なデータが提供されるにつれて改善されていくだろう。
- The European Union (EU) has not provided any CDS documents to the Secretariat in either 2011 or 2012.
 欧州連合(EU)は、2011年及び2012年のいずれの年においても、CDS文書を事務局に一切提供していない。

Further details about some common or reported CDS data issues are reviewed in paper 10 to CC7 – "Operation of CCSBT MCS Measures".

CDS データに関するいくつかの一般的又は報告された課題についての詳細は、CC7 の文書 10(CCSBT MSC 措置の運用)においてレビューしている。

2.Catch and Allocation 漁獲量及び配分

The Total Allowable Catch is CCSBT's primary conservation measure. A comparison of catches against allocation for this measure is shown at **Attachment B**. Monthly catch reports are usually the most up to date source of information for this comparison. However, catches from other reports to CCSBT have been included where available.

総漁獲可能量は、CCSBTの主要な保存措置である。この措置に関連する漁獲量と配 分量との比較は、別紙Bのとおり。この比較のための最も新しいデータの出所は、 通常、月別漁獲報告である。ただし、これ以外のCCSBTへの漁獲報告のうち、利 用可能なものはこれに含めている。

Members

メンバー

At CC6, it was reported that there was a significant discrepancy (197.4t) between the reported catch for Indonesia in 2010 (473.2t) and the estimated catch calculated by the Secretariat from CDS documents (670.6t). Indonesia requested that the Secretariat work with them to try to resolve this discrepancy. The Secretariat provided copies of the relevant CDS forms to Indonesia in December 2011 so that they could review this information and work towards resolving the discrepancy. The Secretariat received some initial correspondence from Indonesia, but to date has not received any further communications from them on this matter, and therefore the discrepancy remains. In addition, since CC6, Indonesia has resubmitted revised catch totals for 2010 of 560.8t, and the estimated catch for Indonesia calculated from CDS documents for 2010 has increased to 724.8t, therefore decreasing the current level of the 2010 discrepancy to 164.0t.

CC6において、インドネシアの 2010年における報告漁獲量(473.2トン)と事務局 が CDS 決議文書を利用して算出した推定漁獲量(670.6トン)との間に著しい差違 (197.4トン)があったことが報告された。インドネシアは、事務局に対して、同国 とともに作業を行いかかる差違を解決するよう要請した。事務局は、2011年12月、 インドネシアに対して関連する CDS の写しを提供し、同国がこの情報をレビューし、 当該差違の解消作業が行えるようにした。この件に関して、当初、事務局は、イン ドネシアからいくつかの連絡を受けたが、同国からは現在までに更なる連絡は来て いないため、かかる差違は依然として残っている。さらに、CC6以降、インドネシ アは、修正後の 2010年総漁獲量 560.8トンを再提出し、2010年の CDS 文書から算 出したインドネシアの推定漁獲量は、724.8トンまで増加し、現在のところ、2010 年の差違は 164.0トンのレベルまで減少している。

Figures highlighted in the Attachment B tables indicate cases where recorded catch was higher than the Effective Catch Limit¹. Based on CDS estimates for the two-season catch limit period, 1 January 2010 to 31 December 2011, CDS estimated catches for Indonesia remain higher than nationally reported estimates. If these CDS estimates are correct, then this suggests that Indonesia has exceeded its two-season catch allocation by 154.6t. 別紙Bの表でハイライトされている数値は、記録された漁獲量が実際の漁獲制限量¹を上回っているものである。2つの漁期を合わせた漁獲制限の期間(2010年1月1

¹ The Effective Catch Limit is allocated catches (after agreed quota transfers) minus any additional agreed voluntary reductions.

実際の漁獲制限量は、配分漁獲量(合意された枠の移譲後)から全ての追加的に合意された自主的削減分を差し引いたもの。

日から 2011 年 12 月 31 日まで)に対応するCDSからの推定値に基づけば、インドネシアに関するCDS推定漁獲量は、依然として国別報告推定量を上回っている。仮にこれらのCDSからの推定量が正しいものであるとすれば、これは、インドネシアは同国の 2 つの漁期を合わせた漁獲配分量を 154.6 トン超過していることを示唆するものである。

CNMs

Unlike Members, CNMs received only single season catch allocations during 2010 and 2011. メンバーと異なり、CNMは、2010年及び2011年は、単一漁期の漁獲配分量を受けている。

For South Africa's January 2011 to December 2011 season, 2.3t of its exports were reported to have been recorded on fraudulent CDS forms. In addition, South Africa exceeded its 2011 allocation by at least 8.6t according to its reports and CDS estimated catch figures, and exceeded its 2012 allocation by 32.2t according to monthly catch report data. 南アフリカの 2011 年 1 月から 2011 年 12 月までの漁期に関して、同国からの輸出の うちの 2.3 トンが偽装 CDS 様式に記録されたものであることが報告されている。さらに、南アフリカからの報告及び CDS からの漁獲量推定値によれば、同国は、2011 年の配分量を少なくとも 8.6 トン超過しており、月別漁獲報告データによれば、2012 年の配分量を 32.2 トン超過していた。

The European Union (EU) did not provide any CDS documentation, and therefore it is not possible to draw any conclusions based on CDS data extracts. However, the EU's submission to CCSBT's annual data exchange process indicated that the EU exceeded their January to December 2010 season allocation by 0.8t.

欧州連合(EU)は、CDS文書を一切提供していないため、CDSデータからはいか なる結論も導き出すことは不可能である。しかしながら、EUが CCSBTの年次デー タ交換プロセスに提出しているデータは、EUが2010年1月から12月までの漁期の 配分量を0.8トン超過していることを示唆している。

3.Characterisation of Global SBT Fisheries 全世界のSBT 漁業の特徴

The Fifth Meeting of the Compliance Committee (CC5) agreed that the Secretariat should annually update the information contained within the "Characterisation of global fisheries for southern bluefin tuna" with the most recent year's data. The updated information is provided at **Attachment C.**

第5回遵守委員会会合は、事務局が最新のデータを用いて「全世界のみなみまぐろ 漁業の特徴」に含まれる情報を毎年更新すべきことに合意している。かかる更新情 報は、**別紙**Cのとおり。

Prepared by the Secretariat 事務局作成文書

Compliance with CCSBT measures for the period 01-Jul-2011 to 30-Jun-2012

For CDS data, these tables cover the 2011 Calendar year and the first quarter of 2012, because data for Jan - Mar 2012 is to be provided by 30 June 2012. With the exception of National reports, all compliance indicators are as at 30 August 2012. The notation used within the table is described on the next page.

| Table 1: Compli | iance with Measures | Australia | Indonesia | Japan | Korea | New Zealand | Taiwan | Philippines | South Africa | European Union |
|---|---|--------------|-----------|--------------|-------|----------------|--------|-------------|--------------------|----------------|
| Data | Monthly Catch Reports Quota Allocation & Final Catch per entity | ✓ | Р | √ | ✓ | ✓ | F | F | F | F |
| | Initial Allocation | ✓ | n/a | ✓ | ✓ | ✓ | ✓ | n/a | n/a | n/a |
| | Final Catch by Vessel | ✓ | Х | X^1 | F | ✓ | ✓ | ✓ | ✓ | Х |
| | Scientific Data Exchange | | | | | | | | • | |
| | Total Catch by Fleet | ✓ | ✓ | \checkmark | ~ | \checkmark | ✓ | ✓ | ✓ | ✓ |
| | Catch and Effort | \checkmark | Х | ✓ | ~ | \checkmark | ✓ | NRDE | ✓ | NRDE |
| | Size Data | ~ | Х | ~ | Р | \checkmark | ~ | NRDE | ✓ | NRDE |
| | Direct Ageing | \checkmark | NRDE | ~ | Х | \checkmark | ~ | NRDE | NRDE | NRDE |
| | • Other ² | ~ | Х | ✓ | ~ | ~ | ~ | n/a | n/a | n/a |
| CDS (during 2011 Calendar year) ³ | % of Forms submitted where Validators were correctly authorised to validate | 99.2% | 100% | 100% | 100% | 100% | 100% | 100% | 96.3% | Х |
| | Documents Received | F | F | ✓ | F | ~ | F | ✓ | F | Х |
| | % of CMFs for Domestic Landings that contain complete and accurate information ⁴ | 98.5% | 100% | 97.9% | n/a | 100% | 94.7% | n/a | 87.5% | Х |
| | % of CMFs for exports that contain complete and accurate information ⁴ | 97.9% | 81.0% | n/a | 84.6% | 94.4 % | 97.0% | 0% | 75.7% | Х |
| | % of CMFs with all correctly corresponding CTFs (where required) | 94.4% | 93.6% | 78.9% | 100% | 96.3% | 89.5% | 100% | 59.5% ⁵ | Х |
| | • % of CTFs where fish numbers exactly match CMF | 96.8% | 97.1% | 97.7% | 92.3% | 98.6% | 100% | 100% | 91.5% | Х |
| | • % of CTFs where fish weights within 2.5% of CMF | 98.3% | 96.8% | 98.7% | 100% | 98.1% | 100% | 100% | 83.0% | Х |
| | % of CMFs for Domestic Landings with valid authorised vessels | 100% | 100% | 100% | n/a | 100% | 100% | n/a | 100% | Х |
| | % of CMFs for Exports with valid authorised vessels | 100% | 58.4% | n/a | 100% | 100% | 100% | 100% | 100% | Х |

| | | Australia | Indonesia | Japan | Korea | New Zealand | Taiwan | Philippines | South Africa | European Union |
|---|---|-----------------|--------------|--------------|-------|----------------|--------------|--------------|--------------|----------------|
| CDS (during first quarter of 2012) ³ | % of Forms submitted where Validators were correctly authorised to validate | 100% | 100% | 100% | 100% | 100% | 100% | n/a | n/a | Х |
| | Documents Received | P^6 | \checkmark | \checkmark | ~ | \checkmark | ~ | n/a | n/a | Х |
| | % of CMFs for Domestic Landings that contain complete and accurate information ⁴ | 100% | n/a | 100% | n/a | 100% | 100% | n/a | n/a | Х |
| | % of CMFs for Exports that contain complete and accurate information ⁴ | 100% | 92.2% | n/a | 100% | 100% | 100% | n/a | n/a | Х |
| | % of CMFs with all correctly corresponding CTFs (where required) | 0% ⁶ | 26.4% | 100% | 66.7% | 89.7% | 100% | n/a | n/a | Х |
| | • % of CTF where fish numbers exactly match CMF | 0% ⁶ | 98.9% | 100% | 100% | 94.3% | 100% | n/a | n/a | Х |
| | • % of CTF where fish weights within 2.5% of CMF | $0\%^{6}$ | 97.9% | 92.3% | 100% | 85.7% | 100% | n/a | n/a | Х |
| | % of CMFs for Domestic Landings with valid authorised vessels | 100% | n/a | 100% | n/a | 100% | 100% | n/a | n/a | Х |
| | % of CMFs for Exports with valid authorised vessels | 100% | 84.4% | n/a | 100% | 100% | 100% | n/a | n/a | Х |
| Transhipments | Deployment Requests Received | n/a | n/a | Р | ✓ | n/a | ✓ | n/a | n/a | n/a |
| | Deployment Requests contain correct information ⁷ | n/a | n/a | \checkmark | ~ | n/a | ~ | n/a | n/a | n/a |
| | Transhipment Declarations received | n/a | n/a | Р | ✓ | n/a | ✓ | n/a | n/a | n/a |
| | Transhipment Declarations contain correct information ⁸ | n/a | n/a | ✓ | ~ | n/a | ~ | n/a | n/a | n/a |
| | Carrier vessel authorised at time of transhipment | n/a | n/a | \checkmark | ~ | n/a | ~ | n/a | n/a | n/a |
| | Fishing Vessel authorised at time of transhipment | n/a | n/a | \checkmark | ~ | n/a | ~ | n/a | n/a | n/a |
| | Members Reports submitted in 2011 | n/a | n/a | ✓ | ✓ | n/a | \checkmark | n/a | n/a | n/a |
| | Members Reports submitted in 2012 | n/a | n/a | \checkmark | ✓ | n/a | ✓ | n/a | n/a | n/a |
| Authorised | Fishing Vessel authorisations received | ✓ | ✓ | ✓ | ~ | ✓ | ✓ | ✓ | ✓ | ✓ |
| Vessels/Farms | Carrier Vessel authorisations received | n/a | n/a | \checkmark | ✓ | n/a | ✓ | \checkmark | n/a | n/a |
| | Farm authorisations received | \checkmark | n/a | n/a | n/a | n/a | n/a | n/a | n/a | n/a |

| | | Australia | Indonesia | Japan | Korea | New Zealand | Taiwan | Philippines | South Africa | European Union |
|--------------------------|---|--------------|--------------|--------------|--------------|----------------|--------------|--------------|-----------------|-------------------|
| ERS Recommendations | ERS Compliance <u>as advised by</u> <u>Member/CNM</u> | | | | | | | | | |
| | • Implement IPOA – Seabirds | ~ | ~ | ~ | F | \checkmark | \checkmark | ~ | ✓ | \checkmark |
| | • Implement IPOA – Sharks | \checkmark | \checkmark | \checkmark | \checkmark | \checkmark | \checkmark | \checkmark | ✓ | \checkmark |
| | Implement FAO - Sea Turtles | ~ | ~ | ~ | \checkmark | ✓ | \checkmark | \checkmark | \checkmark | \checkmark |
| | Comply with ICCAT Measures | Not due yet | Not due yet | Not due yet | Not due yet | Not due yet |
| | • Comply with IOTC Measures | ~ | ~ | ~ | \checkmark | n/a | ✓ | ~ | ✓ | \checkmark |
| | • Comply with WCPFC Measures | ~ | ~ | ~ | \checkmark | ✓ | \checkmark | \checkmark | n/a | \checkmark |
| | Report to the CC on action taken pursuant to paragraphs 1-3 of ERS recommendation | | | | | | | | | |
| | • 2011 | ✓ | Х | ✓ | \checkmark | \checkmark | ✓ | Р | ✓ | \checkmark |
| | • 2012 | ✓ | Х | ✓ | ✓ | ✓ | ✓ | Р | Р | ✓ |
| Members Reports | CC/CCSBT | √ | Р | Р | ✓ | √ | ✓ | Р | Р | Р |
| in 2012 providing | ESC | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | Х | Х | Х |
| all information as | ERSWG | ✓ | Р | \checkmark | ✓ | ✓ | ✓ | Х | Х | \checkmark |
| required by templates | Compliance Action Plan | ~ | Х | ~ | ✓ | \checkmark | \checkmark | F | ~ | ✓ |
| VMS | Members Reports | √ | Х | ✓ | ✓ | ✓ | ✓ | Р | ✓ | Р |

Table 2: Number of CMFs from which the CDS Data Percentages were Derived

| CDS Data Submission | | Number of CMFs Submitted by Member/ CNM | | | | | | | | | | |
|-----------------------|-------------------|---|-----------|-------|-------|----------------|--------|-------------|-----------------|-------------------|--|--|
| Period | Туре | Australia | Indonesia | Japan | Korea | New Zealand | Taiwan | Philippines | South Africa | European Union | | |
| 2011 | Domestic Landings | 135 | 3 | 95 | 0 | 22 | 19 | 0 | 16 | 0 | | |
| 2011 | Exports | 570 | 957 | 0 | 13 | 359 | 67 | 1 | 66 | 0 | | |
| First Quarter of 2012 | Domestic Landings | 8 | 0 | 13 | 0 | 3 | 3 | 0 | 0 | 0 | | |
| First Quarter of 2012 | Exports | 1 | 360 | 0 | 3 | 36 | 1 | 0 | 0 | 0 | | |

- For Data and CDS Indicates Full compliance where the total number of days outside the due date was less than 28 (when added together for the entire period)
 For Members Reports Indicates that reports contained all information as required by the template
 - For Authorised Vessels/Farms indicates that data has been received and there is no evidence of periods of non-authorisation
- F For Data and CDS Indicates Full compliance but the total number of days outside the due date was greater than 28 (when added together for the entire period)
 - For Members Reports Indicates that reports contained partial information on all aspects of the template
 - For ERS indicates that a plan is 'Under Development'
 - For Authorised Vessels/Farms indicates that full information has been received, however there has been some period of non-authorisation
- P Indicates Partial compliance (not all data received or no advice provided for a part of the period)
 For Members Reports indicates that report did not contain all of the information specified in the template
- X Indicates non compliance (no data received, or no advice provided) - For ERS – indicates non-implementation of measure, or no advice provided
- n/a Not Applicable
- NRDE Not specified as required for the ESC Data Exchange because this Member/Cooperating Non-Member is not currently able to provide this type of information. However, they are encouraged to start collecting/providing this core information as soon as possible.

- forms where there are CMF/CTF discrepancies, i.e. CMFs do not have all corresponding CTFs present, and/or there is a mismatch between fish numbers and/or weights between corresponding CMFs and CTFs

- forms that were signed off by validators who were not correctly authorised to validate.

¹ The data were not provided to the Secretariat as required by the decision. However, Japan has advised that the 2010-11 season data data were provided to diplomatic posts on 12 September 2011, and the 2011-12 season data were provided to diplomatic posts on 14 September 2012.

² Evaluation is limited to other agreed primary data items for specific Members, including: Catch at age, CPUE indices, Aerial survey and Troll indices.

³ The process for the Secretariat contacting Members/CNMs regarding missing data and discrepancies and obtaining responses is taking some time to complete and some figures in this table are subject to improvement through this process

⁴ Incomplete/Inaccurate information includes things such as missing information for one or more fields and incorrect information such as invalid codes/conversion factors etc.

It does not include the following items as these items are accounted for in separate rows of the table:

⁻ forms that include a vessel which was not authorised

⁵ South Africa submitted tagging data for the first half of 2011 which was not in the standard format and didn't allow for individual tagged fish to be matched to a specific CMF. This problem has been recognised and subsequently corrected by South Africa. This issue is a contributing factor to the percentage value presented here.

⁶ Australia allows its farms to provide a single Catch Tagging Form at the end of their harvest period. Subsequently the data for the period 1-Jan-2012 to 31-Mar-2012 have not yet been received. It is expected that these data will be provided at the next submission of data due on 30-Sep-2012.

⁷ Correct information is interpreted to mean that the deployment requests contained information relating to SBT and were not revised.

⁸ Correct information is interpreted to mean that the Transhipment Declaration contains the same information on SBT (presence and/or weight) as the Observer reports, or has not been revised.

Table 1: Catch and Allocation for the Season Following CCSBT 18

| | Season | Total Allocated Catch (tonnes) | Effective Catch Limit (tonnes) | Whole weight (tonnes) from other reports to CCSBT (type of report) | Estimated Catch from CDS Documents (tonnes) | Partial Fishing Season Estimated Whole weight (tonnes) from Monthly Catch Reports ¹ | Partial 2012 Calendar Year Estimated Whole weight (tonnes) from Monthly Catch Reports ¹ |
|----------------|-----------------------|---|-----------------------------------|--|--|---|---|
| Australia | 1-Dec-11 to 30-Nov-12 | 4,528 | 4,508.93 ² | | | 4,513.2 | 4,530.0 |
| Indonesia | 1-Jan-12 to 31-Dec-12 | 685 | 685 | | | 194.6 | 194.6 |
| Philippines | 1-Jan-12 to 31-Dec-12 | 45 | 45 | | | 27.2 | 27.2 |
| South Africa | 1-Jan-12 to 31-Dec-12 | 40 | 40 | | | <mark>72.2</mark> | 72.2 |
| European Union | 1-Jan-12 to 31-Dec-12 | 10 | 10 | Not yet Available | Not yet Available | 6.3 | 6.3 |
| Taiwan | 1-Apr-12 to 31-Mar-13 | 911 | 911 | | | 269.9 | 274.4 |
| Japan | 1-Apr-12 to 31-Mar-13 | 2,519 | 2,519 | | | 1840.9 | 1928.8 |
| Korea | 1-Apr-12 to 31-Mar-13 | 911 | 911 | | | 512.1 | 545.5 |
| New Zealand | 1-Oct-11 to 30-Sep-12 | 800 | 800 | | | 732.8 | 730.1 |

Table 2a: Members Catch and Allocation for the Two Seasons Combined (2010 & 2011)³ Following CCSBT 16

(Note: This table is for a two year Catch and Allocation)

Cells Highlighted in yellow indicate that the reported season's catch is greater than the Effective Catch Limit.

Cells highlighted in green below indicate where CDS estimates of the season's catch are higher than the nationally reported estimates.

| | 2 Year Fishing Season | Two Season Total Allocated Catch for 2010 & 2011 (tonnes) | Two Season Total Effective Catch Limit for 2010 & 2011 (after Quota transfers) (tonnes) | Two Season Whole weight (tonnes) from other reports to CCSBT (type of report) | Two Season Estimated Catch from CDS Documents (tonnes) | Two Season Estimated Whole weight (tonnes) from Monthly Catch Reports | 2010 & 2011 Calendar Years Estimated Whole weight (tonnes) from Monthly Catch Reports |
|-------------|-----------------------|--|--|---|--|---|--|
| Australia | 1-Dec-09 to 30-Nov-11 | 8,540 | 8,030 | <mark>8,049² (OL & ESC)</mark> | 7,802.9 | <mark>8,046.3</mark> | 7,858.7 |
| Indonesia | 1-Jan-10 to 31-Dec-11 | 1,302 | 1,302 | 1,302 (OL) | 1,456.6 | 1,217.0 | 1,217.0 |
| Taiwan | 1-Apr-10 to 31-Mar-12 | 1,718 | 1,718 | 1,658 (ERSWG & DE) | 1,645.8 | 1,679.4 | 1,781.5 |
| Japan | 1-Apr-10 to 31-Mar-12 | 4,522 | $4,800^{4}$ | 4,668 (ESC & DE) | 4,278.0 | 4,668.1 | 4,741.5 |
| Korea | 1-Apr-10 to 31-Mar-12 | 1,718 | 1,718 | 1,606.1 (ESC & ERSWG) | 1,580.3 | 1,606.2 | 1,572.8 |
| New Zealand | 1-Oct-09 to 30-Sep-11 | 1,508 | 1,140 ⁴ | 1,047.2 (ESC) | 1,047 <mark>.6</mark> | 1,046.7 | 1,074.6 |

Table 2b: Cooperating Non-Members Catch and Allocation for the Two Seasons separately (2010 & 2011) Following CCSBT 16

Cells Highlighted in yellow indicate that the reported season's catch is greater than the Effective Catch Limit. Cells highlighted in green below indicate where CDS estimates of the season's catch are higher than the nationally reported estimates.

| | Fishing Season | Total Allocated Catch per Season (tonnes) | Effective Catch Limit for Season (tonnes) | Season: Whole weight (tonnes) from other reports to CCSBT (type of report) | Season: Estimated Catch from CDS Documents (tonnes) | Season: Estimated Whole weight (tonnes) from Monthly Catch Reports | 2010 & 2011 Calendar Years Estimated Whole weight (tonnes) from Monthly Catch |
|----------------|-----------------------|---|---|--|---|--|---|
| | | | | | | | Reports |
| Philippines | 1-Jan-10 to 31-Dec-10 | 45 | 45 | 42.5 (DE) | 42.5 | 42.5 | 42.5 |
| Philippines | 1-Jan-11 to 31-Dec-11 | 45 | 45 | 45 (DE) | 45 | 45 | 45 |
| South Africa | 1-Jan-10 to 31-Dec-10 | 40 | 40 | 34.4 (DE) | 29.2 | 34.4 | 34.4 |
| South Africa | 1-Jan-11 to 31-Dec-11 | 40 | 40 | <mark>48.6 (DE)</mark> | 57.1 ⁵ | <mark>53.9</mark> | 53.9 |
| European Union | 1-Jan-10 to 31-Dec-10 | 10 | 10 | 10.8 (DE) | No documents received | 2.8 | 2.8 |
| European Union | 1-Jan-11 to 31-Dec-11 | 10 | 10 | 9.9 | No documents received | 9.9 | 9.9 |

Table 3: Catch and Allocation for the Season Following CCSBT 15

*Cells Highlighted in yellow indicate that the reported season's catch is greater than the Effective Catch Limit. Cells highlighted in green below indicate where TIS estimates of the season's catch are higher than the nationally reported estimates*⁶.

| | Season | Allocated Catch (tonnes) | Effective Catch Limit ⁷ (tonnes) | Season: Whole weight (tonnes) from other reports to CCSBT (type of report) | Season: Estimated Catch from TIS Documents ⁶ (tonnes) | Season: Estimated Whole weight (tonnes) from Monthly Catch Reports | 2009 Calendar Year Estimated Whole weight (tonnes) from Monthly Catch Reports |
|-------------------|-----------------------|--------------------------------|---|---|---|---|--|
| Australia | 1-Dec-08 to 30-Nov-09 | 5,265 | 5,265 | 5,242 (CC) | 5,005.4 ⁸ | 5,222 | 5,088.6 |
| Indonesia | 1-Jan-09 to 31-Dec-09 | 750 | 750 | 641 (CC) | 22 | 640.7 | 640.7 |
| Philippines | 1-Jan-09 to 31-Dec-09 | 45 | 45 | 47 (OL + CC) | 46.6 | 44.6 | 44.6 |
| South Africa | 1-Jan-09 to 31-Dec-09 | 40 | 40 | 32 (CC) | 0 | 34.3 | 34.3 |
| European Union | 1-Jan-09 to 31-Dec-09 | 10 | 10 | 1.77 (OL) | 0 | 1.77 | 1.77 |
| Taiwan | 1-Apr-09 to 31-Mar-10 | 1,140 | 1,000 | 949 (ESC) | 387 | 936.8 | 912.1 |
| Japan | 1-Apr-09 to 31-Mar-10 | 3,000 | 3,000 | 2,816 (CC) | 0 | 2814 | 2,657.7 |
| Korea | 1-Apr-09 to 31-Mar-10 | 1,140 | 1,000 | 1,166 (CC) | 593.3 | <mark>1,123</mark> | 1,165.5 |
| New | | | | | | | |
| Zealand | 1-Oct-08 to 30-Sep-09 | 420 | 420 | 417.3 (CC) | 182 | 416.4 | 318.6 |

Table 4: Catch and Allocation for the Season Following CCSBT 14

*Cells Highlighted in yellow indicate that the reported season's catch is greater than the Effective Catch Limit. Cells highlighted in green below indicate where TIS estimates of the season's catch are higher than the nationally reported estimates*⁶.

| | Season | Allocated Catch (tonnes) | Effective Catch Limit ⁷ (tonnes) | Season: Whole weight (tonnes) from other reports to CCSBT (type of report) ⁹ | Season: Estimated Catch from TIS Documents ⁶ (tonnes) | Season: Estimated Whole weight (tonnes) from Monthly Catch Reports | 2008 Calendar Year Estimated Whole weight (tonnes) from Monthly Catch Reports |
|-------------------|-----------------------|--------------------------------|---|--|---|---|--|
| Australia | 1-Dec-07 to 30-Nov-08 | 5,265 | 5,265 | 5,234 (CC) | 5,202 ⁸ | 5,233 | 5,033.1 |
| Indonesia | 1-Jan-08 to 31-Dec-08 | 750 | 750 | <mark>900 (CC)</mark> | 112 | <mark>873</mark> | 873 |
| Philippines | 1-Jan-08 to 31-Dec-08 | 45 | 45 | 44.9 (OL + CC) | <mark>50</mark> | 44.7 | 44.7 |
| South Africa | 1-Jan-08 to 31-Dec-08 | 40 | 40 | 45.5 (CC) | 0 | <mark>45.5</mark> | 45.5 |
| European Union | 1-Jan-08 to 31-Dec-08 | 10 | 10 | 14.3 (CC) | 0 | <mark>14.3</mark> | 14.3 |
| Taiwan | 1-Apr-08 to 31-Mar-09 | 1,140 | 1,000 | 926 (CC) | 649 | 926.6 | 876.5 |
| Japan | 1-Apr-08 to 31-Mar-09 | 3,000 | 3,000 | 2,919 (CC) | 3 | 2921 | 2952 |
| Korea | 1-Apr-08 to 31-Mar-09 | 1,140 | 1,000 | 1,135 (CC) | <mark>1,286</mark> | <mark>1,140.3</mark> | 1,134.5 |
| New Zealand | 1-Oct-07 to 30-Sep-08 | 420 | 420 | 318.8 (CC) | 103.2 | 318.1 | 318.6 |

¹ Data from Monthly Catch Reports are available for catches up to the end of July 2012, so the figures in this column represent catches to July 2012 only

- ² On 25 January 2012 (see CCSBT Circular #2012/002), Australia advised that it had exceeded its 2009-11 fishing season allocation by 19.07t and that it had consequently voluntarily reduced its 2012 allocation to 4,508.93t in accordance with Compliance Policy Guideline 3 (Corrective Actions Policy)
- ³ CCSBT17 decided that that the current TAC allocation decided at CCSBT 16 was to be considered a 2 year total TAC, and could be distributed across the two year period, with unused catch from the first year carried forward to the second year
- ⁴ The effective catch limits for Japan and New Zealand agreed at CCSBT 16 were 2261t and 709t respectively. The figures shown here include a 139t transfer from New Zealand to Japan

⁵ This figure includes a total of 2.3t of exports recorded on CDS forms that have been reported as fraudulent by South Africa

⁶ As reported in CCSBT/ESC/1009/04, the Trade Information Scheme has a number of limitations in estimating global catches, and the TIS should generally underestimate the true weight of the total catch.

⁷ Includes agreed and voluntary reductions in catch

⁸ The TIS scheme does not record the month of harvest for farmed product. These figures are taken from the annual TIS farm summaries provided by Australia, and are the weights of product captured for farming

⁹ In order of preference, the following information sources were used, (but with most recent data reports taking highest preference regardless of the order below):

- OL Official Letter
- CC National Reports to the Compliance Committee
- ESC National Reports to the Extended Scientific Committee
- ERSWG National Reports to the Ecologically Related Species Working Group
- DE Data Exchange (2012)

Characterisation of Global Fisheries for Southern Bluefin Tuna

Includes: (A) Catching Sector, (B) Transporting / landing, (C) Markets, and (D) Monitoring

(A) Catching Sector (2011 calendar year)

| Domestic Catch | Catch (t) ¹ | No. of vessels ² | Size of authorised vessels in Fleet ³ |
|---------------------------------|--------------------------------|--|--|
| Longline within domestic waters | 1282 | 245 | |
| Australia | 84.8 | 13 ⁴ | 21.1m average (15.8m – 28.0m) |
| Indonesia | 601.6 | 170 | 23.6m average (12.9m – 49.4m) |
| New Zealand | 547 | 42 ⁵ | 20.5m average (13.4m – 49.9m) ⁶ |
| South Africa | 48.6 | 18 ⁵ | 24.8m average (21.5m – 29.7m) ⁶ |
| Surface within domestic waters | 4120 | 5 | |
| Australia | 4120 | 5 | 32.5m average (18.7m – 47.0m) |
| Recreational | Unknown | | · |
| Australia | Insufficient data available to | determine | |
| New Zealand ⁷ | 0.1 | | |
| South Africa | Allowance of 10/day per pers | son but practicality of reaching grounds | means that recreational take is unlikely |
| High Seas Catch | | | |
| High Seas Catch | 3834.3 | 135 | |
| EU | 9.9 | ? | 35.7m average (12.0m – 52.0m) |
| Japan | 2518 | 75 | 49.0m average (43.7m – 54.8m) |
| Korea | 705.4 | 5 | 48.0m average (43.5m – 51.0m) |
| Philippines ⁸ | 45 | 1 | 47.0m average (40.8m – 55.2m) |
| Taiwan | 556 | 54 | 51.3m average (30.2m – 59.2m) |

¹ Based on the catch data provided to the Secretariat (*i.e.*Data exchange) ² The figures indicate the number of vessels which actually caught SBT based on CDS documents provided to the Secretariat ³ The figures are for vessels which were on the CCSBT authorised fishing vessel list in 2011 (regardless of its authorised period) using gear type filter. Unable to differentiate between domestic and high seas based on available information

 ⁴ The total of 13 vessels includes 12 longliners and 1 trolling vessel
 ⁵ The figure includes vessels flagged to Japan that caught SBT under Joint Venture/Charter agreements with Japan
 ⁶ Size range of vessels that caught SBT (including Japanese-flagged charter vessels), *i.e.* not the size range of all authorised vessels in the fleet
 ⁷ Recreational allowance of 4t, customary allowance of 1t, and allowance for other source of mortality of 2t
 ⁸ All Philippines catch assumed to be taken on high seas based on CDS Data from 2011

(B) Transporting / landing (2011 calendar year)

| | Australia | EU | Indonesia | Japan | Korea | New Zealand | Philippines | South Africa | Taiwan |
|--|--------------|--|--|---|---|--------------------------------|---|--|--|
| Number of transhipments at sea (and flag transhipped to) ⁹ | 0 | 0 | 0 | Panama: 8 Vanuatu: 11 Japan: 1 Marshall Islands: 1 | Panama: 1 Vanuatu: 1 | 0 | Panama: 1 | 0 ¹⁰ | Taiwan: 4 Panama: 12 Singapore: 4 Vanuatu: 10 |
| Number & flag of carrier vessels authorised ¹¹ | Australia: 0 | 0 | 0 | Japan: 3 Panama: 13 Singapore: 1 Vanuatu: 13 | Panama: 2 Vanuatu: 1 | 0 | Japan: 3 Panama: 13 Singapore: 3 Vanuatu: 10 | 0 | Japan: 2 Panama: 13 Singapore: 1 Taiwan: 3 Vanuatu: 15 |
| Main ports: Domestic ¹² | Port Lincoln | none | 2 designated ports (Jakarta, Bali) | 8 designated ports (Shimizu, Yaizu etc.) | Busan | Gisborne Tauranga Napier | Unknown | 9 designated ports (inc. Cape Town, Port Elizabeth, Durban) | Kaohsiung |
| Main ports: Foreign ¹³ | N/A | Durban, South Africa / Papeete, Tahiti | Unknown | 15 designated ports (includes. Cape Town etc.) | 5 designated ports (Shimizu, Cape Town, Durban, Port Louis, Bali | N/A | Cape Town | Unknown | 2 designated ports (Cape Town, Port Louis) |
| Exports by destination country ^{14,15} | 7162.9 | none | 607.9 | none | 769.2 | 476.5 | 39.1 | 34.4 | 460.5 |
| Japan | 7111.9 | | 389.8 | | 769.2 | 474.7 | 39.1 | 24.5 | 458.6 |
| Korea | 49.7 | | 15.5 | 0.0 | | 0.0 | 0.0 | 0.0 | 0.0 |
| USA | 0.0 | | 194.7 | 0.0 | 0.0 | 0.0 | 0.0 | 9.9 | 0.0 |
| Australia | | | 0.0 | 0.0 | 0.0 | 1.3 | 0.0 | 0.0 | 0.0 |
| EU | 0.0 | | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| South Africa | 0.0 | | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | | 1.9 |
| China | 0.1 | | 0.0 | 0.0 | 0.0 | 0.2 | 0.0 | 0.0 | 0.0 |
| All others | 1.2 285kg | Unknown | 7.9 Unknown | 0.0 Unknown | 0.0 | 0.3 Limited | 0.0 Unknown | 0.0 Nil | 0 150t (estimated) |
| Domestic Consumption | imported | (believed to be limited) | (approx. 800t) | (approximates total catches + imports) | Unknown (believed to be limited) | Limited | (believed to be limited) | | root (estimated) |

 ⁹ Based on the transhipment at sea reports provided to the Secretariat
 ¹⁰ At sea transhipments not permitted
 ¹¹ The figures are for vessels which were on the CCSBT authorised carrier vessel list in 2011 (regardless its authorised period)
 ¹² Based on each Member's Compliance Action Plan(2011) or National Report(2011)
 ¹³ Based on each Member's Compliance Action Plan(2011) or National Report(2011)
 ¹⁴ Export quantities (t) calculated using information from CDS Catch Monitoring Forms (using the figures for overall net weights)

¹⁵ Some CDS 'Exports by destination country' quantities presented in this table for Australia differ from the quantities the exporter (Australia) has recorded; these differences could potentially be due to differences in the way export year was recorded, i.e. either as the year in which the SBT was harvested, the year it was despatched, or the year it arrived at its export destination

(C) Markets (2011 calendar year) Quantities are net weights in tonnes¹⁶

| | | | | | Ехро | rters | | | | |
|-------------------------|-------------------------|----|-----------|-------|-------|----------------|-------------|-----------------|--------|--------|
| Importers | Australia ¹⁵ | EU | Indonesia | Japan | Korea | New Zealand | Philippines | South Africa | Taiwan | Total |
| Australia | | 0 | 0 | 0 | 0 | 1.3 | 0 | 0 | 0 | 1.3 |
| Canada | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| China | 0.1 | 0 | 0 | 0 | 0 | 0.2 | 0 | 0 | 0 | 0.3 |
| France | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Germany | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Greece | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Hong Kong | 0 | 0 | 0 | 0 | 0 | 0.2 | 0 | 0 | 0 | 0.2 |
| Indonesia | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Italy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Japan | 7111.9 | 0 | 389.8 | | 769.2 | 474.7 | 39.1 | 24.5 | 458.6 | 9267.8 |
| Korea | 49.7 | 0 | 15.5 | 0 | | 0 | 0 | 0 | 0 | 65.2 |
| Malaysia | 0 | 0 | 0.2 | 0 | 0 | 0 | 0 | 0 | 0 | 0.2 |
| Netherlands | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| New Zealand | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 |
| Philippines | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 |
| Portugal | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Russia | 0 | 0 | 7.7 | 0 | 0 | 0 | 0 | 0 | 0 | 7.7 |
| Singapore | 1.2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1.2 |
| South Africa | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 1.9 | 1.9 |
| Spain | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Switzerland | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Thailand | 0 | 0 | 0 | 0 | 0 | 0.1 | 0 | 0 | 0 | 0.1 |
| Turkey | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| United Arab Emirates | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| United Kingdom | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| United States | 0 | 0 | 194.7 | 0 | 0 | 0 | 0 | 9.9 | 0 | 204.6 |
| Total | 7162.9 | 0 | 607.9 | 0 | 769.2 | 476.5 | 39.1 | 34.4 | 460.5 | 9550.5 |

¹⁶ Export quantities (t) calculated using information from CDS Catch Monitoring Forms (using the figures for overall net weights)

(D) Monitoring (2010 or 2011 fishing year unless otherwise stated)

| | Observer Coverage ¹⁷ | | | |
|----------------------------|---------------------------------|--|---|---|
| | As a Percentage of Catch | | As a Percentage of Effort (purse seine set or longline hook) | |
| | 2010 fishing year | 2011 fishing year | 2010 fishing year | 2011 fishing year |
| Australia | 12.4% (purse seine) | 12.2%(purse seine) (Please note: observer coverage in which fish were taken was 13.8%) | 19.8% (purse seine), 7.7% (ETBF), 2.5%(WTBF) | 19.8%(purse seine) (Please note: observer coverage of purse seine effort in which fish were taken was11.1%), 9.6%(ETBF), 1.7%(WTBF) |
| EU | | | | |
| Indonesia ¹⁸ | ? | ? | ? | ? |
| Japan | 7.2% | 14.8% | 6.5% | 11.8% |
| Korea | 12.7% | 0% | 12.7% | 0% |
| New Zealand ¹⁹ | 86% (charter) 8% (domestic) | 82% (charter) 9% (domestic) | 80% (charter) 7% (domestic) | 74% (charter) 8% (domestic) |
| Philippines ²⁰ | | | | |
| South Africa ²¹ | | | | |
| Taiwan | 8.4% | 0.05% | 12.0% | 3.6% |

| | Vessel Monitoring Systems(2011 fishing year) ²² | | | |
|--------------|---|--|--|--|
| Australia | VMS is mandatory for all authorised SBT vessels. 57 vessels actually reported to a national VMS (2011 fishing season) | | | |
| EU | VMS requirements under CCSBT, CCAMLR, ICCAT, IOTC and WCPFC apply | | | |
| Indonesia | (i) Foreign fisheries vessels and other fisheries vessels 100 GT above are compulsory to procure their own transmitter, (ii) fisheries vessel with 60 – 100 GT may borrow transmitter belongs to government (if any stock) and (iii) fisheries vessels below 60 GT will be provided by VMS off line procured by government. | | | |
| Japan | Mandatory for all far seas fishing vessels. 99 authorised vessels actually reported to a national VMS in the 2011 fishing season. | | | |
| Korea | Mandatory for all SBT fishing vessels. 19 authorised vessels actually reported to a national VMS. | | | |
| New Zealand | Mandatory in large-scale vessels (>28m), as well as foreign charter vessels; New Zealand flagged and registered vessels operating outside of New Zealand vessels; vessels issued with a foreign license to fish in New Zealand waters; and other vessels as specified by the Chief Executive. 27 NZ flagged vessels on the CCSBT authorised vessels list reported to the national VMS during the 2011 year. | | | |
| Philippines | The Philippine Fisheries Administration formally operationalized its VMS to track its flagged vessels operating in IOTC, ICCAT and WCPFC. The Bureau of Fisheries and Aquatic Resources(BFAR) required commercial fishing vessel owners to submit vessel tracking agreements, authorizing BFAR to monitor and track their respective vessels. | | | |
| South Africa | Mandatory for all vessels. All authorised vessels fishing in the South African fishery reported to a national VMS. | | | |
| Taiwan | Mandatory for all SBT fishing vessels. 53 authorised vessels actually reported to a national VMS during the 2011 fishing season . | | | |

 ¹⁷ Based on National report or Compliance Action Plan of Members/CNMs
 ¹⁸ Observer data not reported on basis of catch or effort. Indonesia's 2012 National Report notes 5 observers observed for 240 days in total in 2010, and 5 observers observed for 210 days in total in 2011
 ¹⁹ Figures are for Calender year
 ²⁰ Observer data not reported on basis of catch or effort. Philippines' 2011 National Report notes to date 80 observers were ready for deployment
 ²¹ Observer data not reported on basis of catch or effort. South Africa's 2011 National Report notes 100% coverage was obtained for foreign fishing vessels and 14% for domestic vessels in 2010
 ²² Based on National Report or Compliance Action Plan of Members/CNMs.